

# Assignment Project Exam Help

Operating Systems and Concurrency

Lecture 13: File Systems II

G52OSC

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- **Traditional hard drives** are still used for most of secondary storage, **solid state disks** are becoming more popular
  - SSDs require less disk scheduling
- Traditional hard drives have **physical limitations** (seek times, rotational latency)  $\Rightarrow$  **disk scheduling** can help to minimise the impact of this
- This influences file system design

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## 1 User view of file systems

- System calls
- Structures, organisation, file types

## 2 Implementation view of file systems

- Disk and partition layout
- File tables
- Free space management
- ...

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- A **user view** that defines a file system in terms of the **abstract** **operations** that the operating system provides
- An **implementation view** that defines the file system in terms of its **low level implementation**

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Application

Virtual Machine Interface

Operating System

Hardware Interface

Hardware

Figure: User vs. Implementation View

# User View

## Definition

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- Important aspects of the user view include:
  - The **file abstraction** which hides away implementation details to the user (similar to processes and memory)
  - **File naming policies** (abstracts storage details), “user file **attributes**” (e.g. size, protection, owner, protection, dates)
    - There are also **system attributes** for files (e.g. non-human readable file descriptors (similar to a PID), archive flag, temporary flag, etc.)
  - **Directory structures** and organisation
  - **System calls** to interact with the file system
- The **user view** defines how the file system looks like to regular users (and programmers) and relates to **abstractions**

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# Files

## Types

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- Many OSs support several types of file.
- Both Windows and Unix (including OS X) have regular files and directories:
  - **Regular files** contain user data in ASCII or binary (well defined) format
  - **Directories** group files together (but are files on an implementation level)
- Unix also has character and block special files:
  - **Character special files** are used to model serial I/O devices (e.g. keyboards, printers)
  - **Block special files** are used to model, e.g. hard drives

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# Files

## File control block

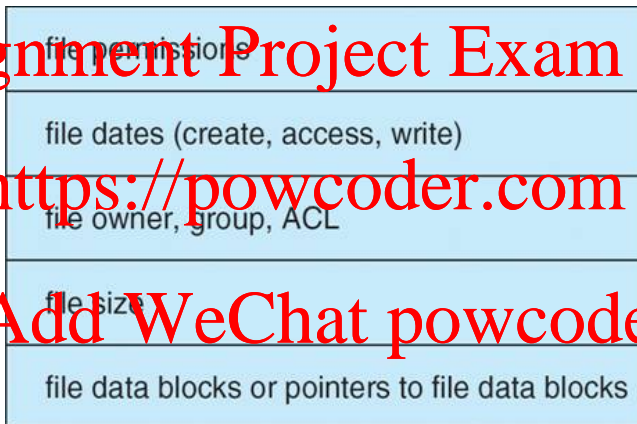


Figure: File control block (FCB) (Silberschatz)

# System Calls

## Types

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- File control blocks (FCBs) are kernel data structures, i.e. they are protected and only accessible in kernel mode!
- Allowing user applications to access them directly could compromise their integrity
- System calls enable a **user application** to **ask the operating system** to carry out an **action** on its behalf (in kernel mode)
- There are two different categories of **system calls**:
  - **File manipulation**: `open()`, `close()`, `read()`, `write()`, ...
  - **Directory manipulation**: `create()`, `delete()`, `readdir()`, `rename()`, `link()`, `unlink()`, `list()`, `update()`

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# File System Structures

## Overview

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- Different directory structures have been used over the years
  - **Single level**: all files in the same directory (reborn in consumer electronics)
  - **Two or multiple level directories** (hierarchical): tree structures
    - Absolute path name: from the root of the file system
    - Relative path name: the current working directory is used as the starting point
  - **Directed acyclic graph (DAG)**: allows files to be shared (i.e. **links** to files or sub-directories) but **cycles are forbidden**
  - **Generic graph structure** in which links and cycles can exist
- The use of **DAGs** and **generic graph structures** results in significant **complications** in the implementation

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# File System Structures

## Overview

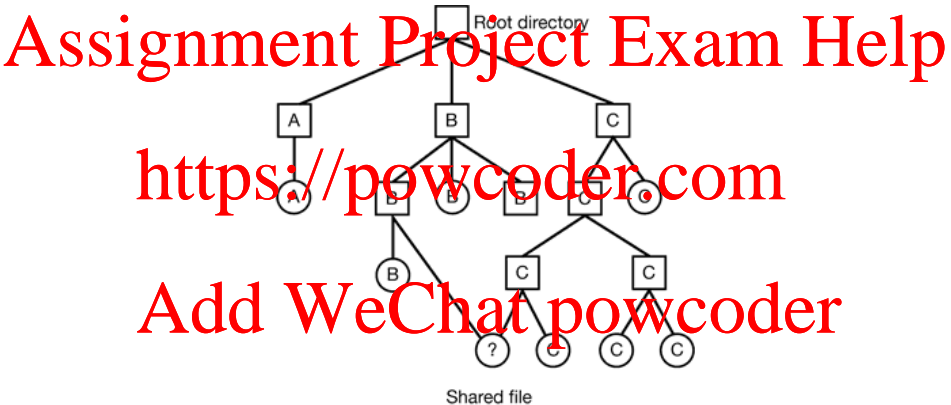


Figure: DAG Directory Implementation (Tanenbaum)

# File System Structures

## DAG and Graph Complications

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- When searching the file system:
  - Cycles can result in **infinite loops**
  - Sub-trees can be **traversed multiple times**
- Files have **multiple absolute file names**
- **Deleting files** becomes a lot more complicated (i.e. links may no longer point to a file, **inaccessible cycles** may exist)
- A **garbage collection scheme** may be required to remove files that are no longer accessible from the file system tree (that are part of a **cycle only**)

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# Directories

## Possible Implementations

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- Directories contain a list of **human readable file names** that are mapped onto **unique identifiers** and **disk locations**
  - They provide a mapping of the logical file onto the physical location
- Retrieving a file comes down to **searching a directory file** as fast as possible:
  - A **simple random order of directory** entries might be insufficient (search time is linear as a function of the number of entries)
  - Indexes or **hash tables** can be used
- They can **store all file related attributes** (e.g. file name, disk address – Windows) or they can **contain a pointer** to the data structure that contains the details of the file (Unix)

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# Directories

## Possible Implementations

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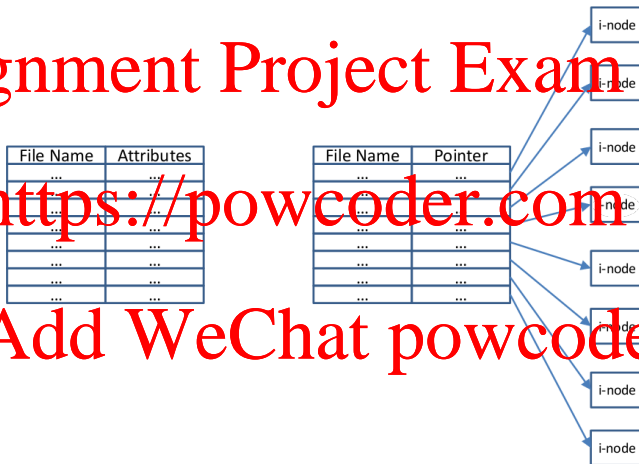


Figure: Directory Implementations

# Directories

## System Calls

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- Similar to files, **directories** are manipulated using **system calls**
  - create/delete: a new directory is created/deleted
  - opendir/closedir: add/free directory to/from internal tables
  - readdir, return the next entry in the directory file
  - Others: rename, link, unlink, list, update
- **Directories are special files** that **group files** together and of which the **structure is defined by the file system**
  - A bit is set to indicate that they are directories!

# Implementation

## Context

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- Irrespectively of the type of file system, a number of **additional considerations** have to be addressed, including
  - Disk partitions, partition tables, boot sectors, etc
  - Free space management ( $\Rightarrow$  free memory)
  - System wide and per process **file tables** ( $\Rightarrow$  process tables)
- **Low level formatting** writes sectors to the disk, **high level formatting** imposes a file system on top of this (using **blocks** that can cover multiple **sectors**)

# Hard Disk Structures

## Partitions

- Disks are usually divided into **multiple partitions**
  - An independent file system may exist on each partition
- **Master boot record** is located at start of the entire drive:
  - Used to boot the computer (BIOS reads and executes MBR)
  - Contains **partition table** at its end with active partition
  - One partition is listed as **active** containing a boot block to load the operating system

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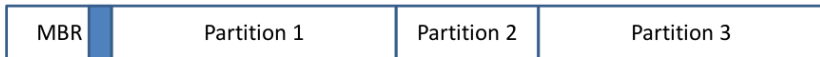


Figure: Layout of a Disk



# Partition Layouts

## A Unix Partition

- The layout of a partition differs depending on the file system
- A UNIX partition contains:
  - The partition **boot block**:
    - Contains code to boot the operating system
    - Every partition has boot block – even if it does not contain OS
  - **Super block** contains the partition's details, e.g., partition size, number of blocks, I-node table size
  - **Free space management** contains, e.g., a bitmap or linked list that indicates the free blocks

Boot block	Super block	Free space MGT	I-nodes	Root dir	Files/directories
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Figure: Layout of a Partition

# Partition Layouts

## A Unix Partition

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- A UNIX partition contains (cont'ed):
  - **I-nodes**: an array of data structures, one per file, telling all about the files
  - **Root directory**: the top of the file-system tree
  - **Data**: files and directories

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Boot block	Super block	Free space MGT	I-nodes	Root dir	Files/directories
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Figure: Layout of a Partition

# Disk Space Management

## Free Space Management

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- Two methods are commonly used to keep track of free disk space:

### **bitmaps** and **linked lists**

- Note that these approaches are very similar to the ones to keep track of free memory
- Bitmaps** represent each block by a single bit in a map
  - The **size of the bitmap** grows with the size of the disk but is constant for a given disk
  - Bitmaps take **comparably less space** than linked lists

# Disk Space Management

## Free Space Management

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- A Linked List of disk blocks (also known as Grouping)
  - We use free blocks to hold the **numbers of the free blocks** (hence, they are no longer free). E.g. with a 1KB block a 32-bit disk block number, each block will hold 255 free blocks (one for the pointer to the next block).
    - Since the free list shrinks when the disk becomes full, this is not wasted space
  - **Blocks are linked together**, i.e., multiple blocks list the free blocks
  - The size of the list **grows with the size of the disk and shrinks with the size of the blocks**
- Linked lists can be modified by **keeping track of the number of consecutive free blocks** for each entry (known as Counting)

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# Disk Space Management

## Free Space Management

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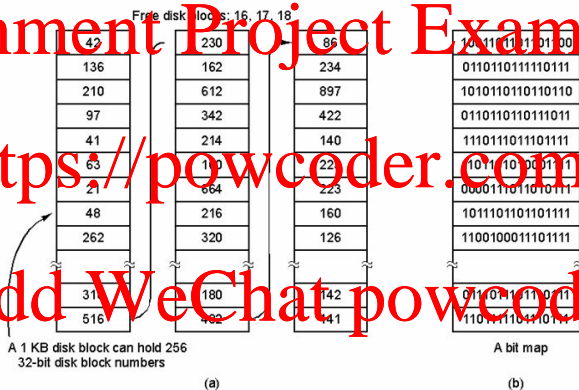


Figure: Free Block Management with Linked Lists (Tanenbaum)

# Disk Space Management

Free Space Management: bitmap vs. linked list

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- Bitmaps:

- Require extra space. E.g: If block size =  $2^{12}$  bytes and disk size =  $2^{30}$  bytes (1 GB)  $\Rightarrow$  bitmap size:  $2^{30} / 2^{12} = 2^{18}$  (32 kB)
- Keeping it in main memory is possible only for small disks.

- Linked lists:

- No waste of disk space
- We only need to keep in memory one block of pointers (call a new block when need).

# File Tables

## Implementation

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Apart from the free space memory tables, there is a number of key data structures stored in memory:

- An in-memory mount table
- An in-memory directory cache of recently accessed directory information
- A **system-wide open file table**, containing a copy of the FCB for every currently open file in the system, including location on disk, file size, and "open count" (# processes that use the file)
- A **per-process open file table**, containing a pointer to the system open file table

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# File Tables

## Illustration

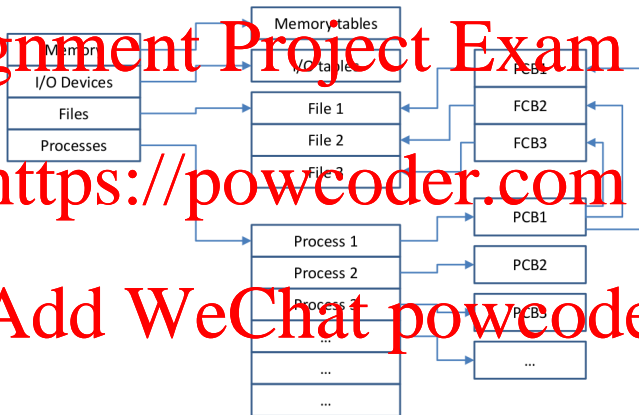
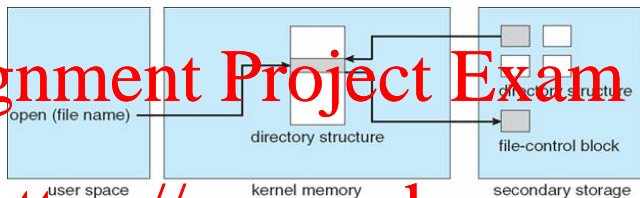


Figure: Illustration of File Tables



# File Tables

## Illustration



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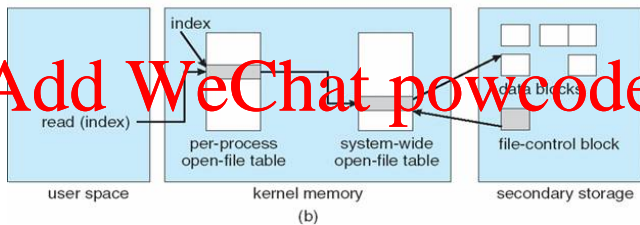


Figure: (a) Opening a file (b) reading a file (Silberschatz)

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- User vs. implementation view
- Implementation of files and directories
- System calls for file and directory management
- File tables, free space management, partitions, boot sectors, etc.

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<sup>1</sup>Tanenbaum Chapter 4, Sections 4.1, 4.2, 4.3, 4.4