

Operating Systems

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Lecture 3b

The elephant on campus

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What is going to happen with operating systems during the strike?

- Lectures and Labs will keep running as usual
- Lectures will be recorded as usual, too – those who want to support their lecturers during the industrial action can do so without disadvantage
- If you want to help getting the current problems resolved as soon as possible, consider sending your suggestions to the University's Vice-Chancellor:

Professor Adam Tickell: vc@sussex.ac.uk

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Image source: The Guardian

On programming with threads

- Parallel vs concurrent execution
- Data vs. Task vs. Pipeline parallelism
- Thread Safety
- Limits of parallelisation
- Hyperthreading
- Java thread library

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Scheduling

- Long/mid/short-term scheduling
- CPU vs I/O-bound processes
- Scheduling criteria
- Scheduling policies: FCFS, SJF, SRT, RR

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Recap: Multithreading / Parallelisation

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Benefits of multithreading

- Responsiveness
- Resource sharing (communication)
- Economy (creation, context switching, . . .)
- Scalability (parallel architectures)

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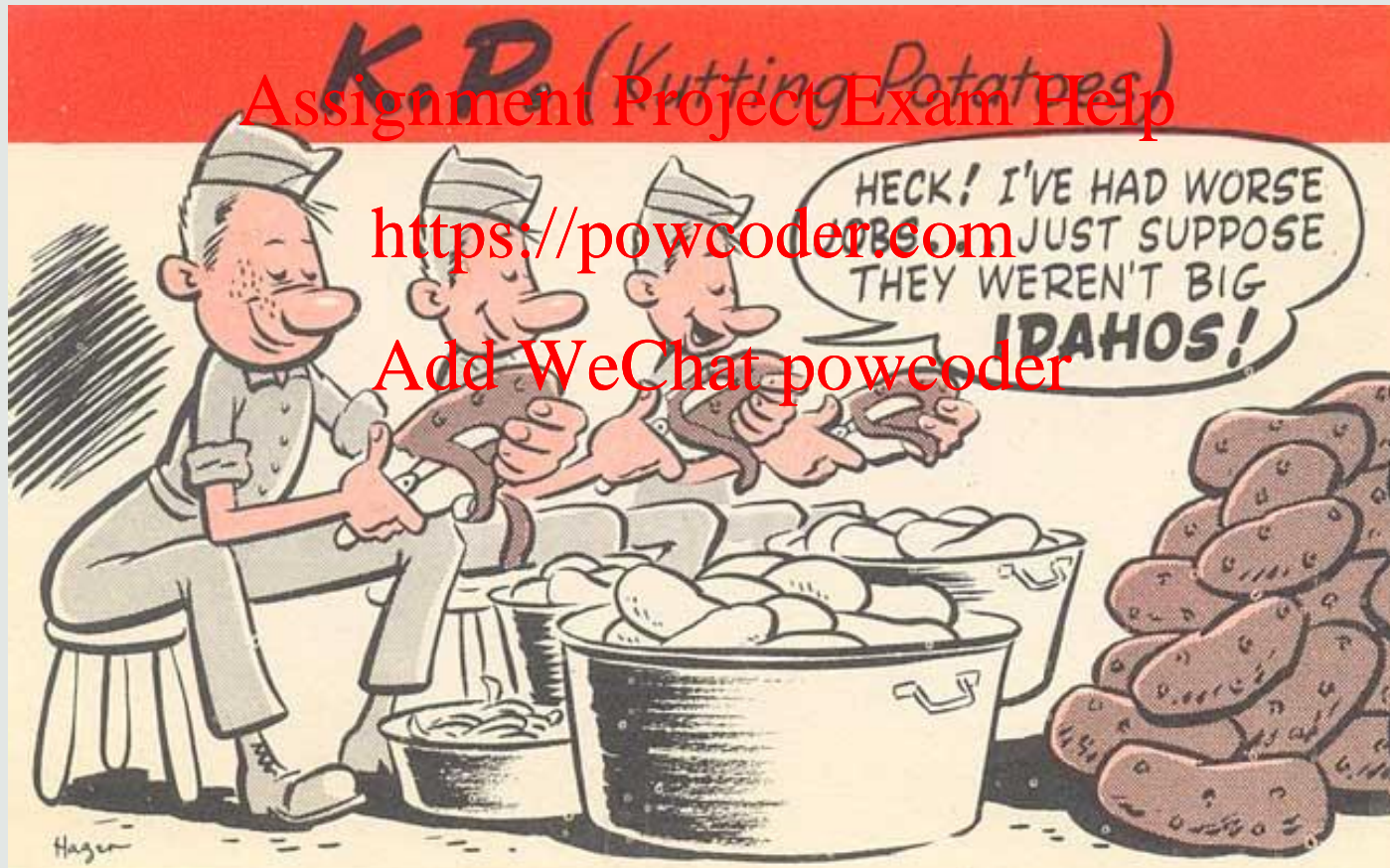
Parallelism vs Concurrency

Patterns for parallelisation: pipelines, tasks, data splitting

Recap: Multithreading / Parallelisation

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What type of parallelism is this?



Recap: Multithreading / Parallelisation

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What type of parallelism is this?



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Recap: Multithreading / Parallelisation

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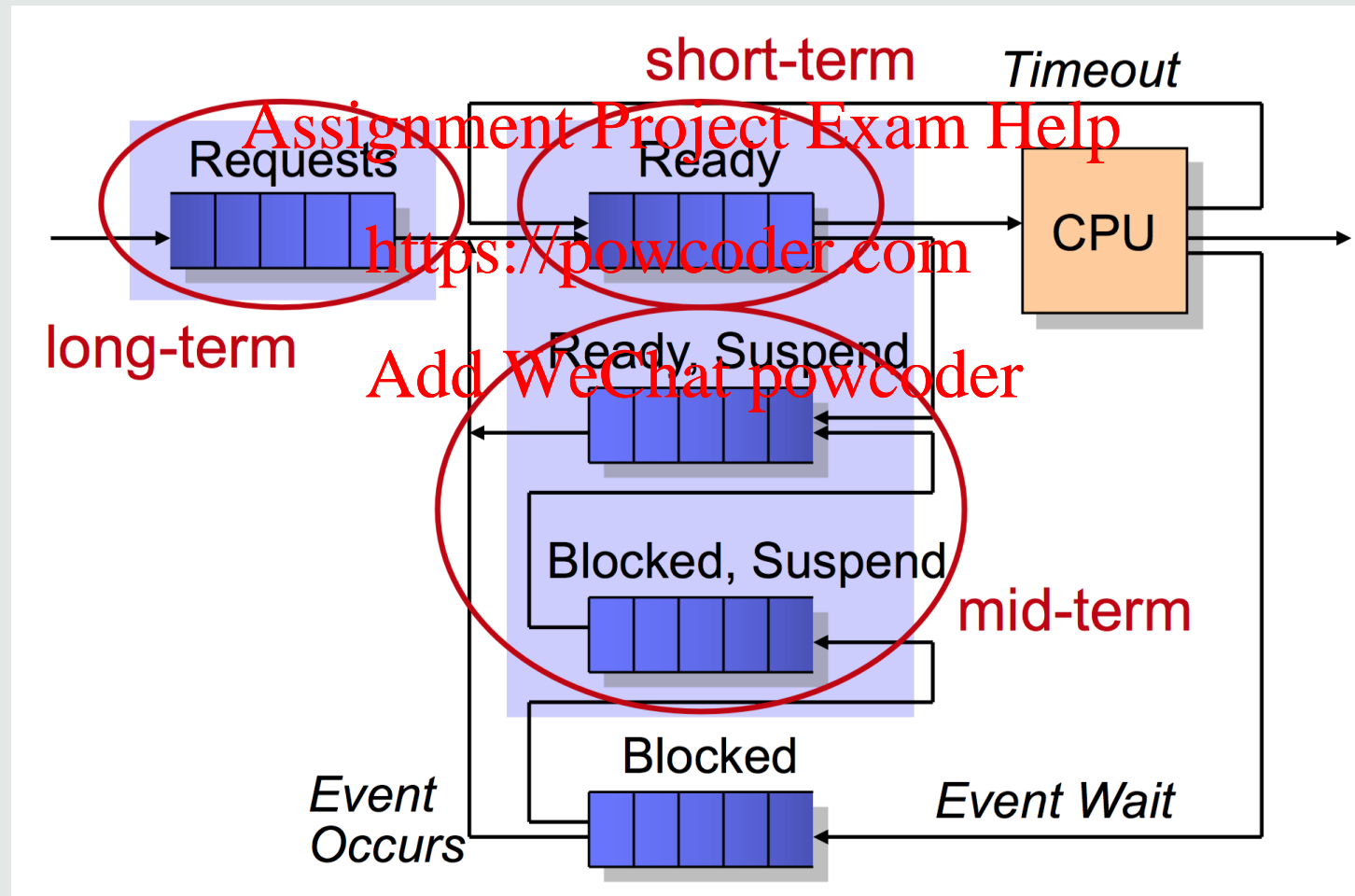
What type of parallelism is this?



Scheduling

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Determines the execution order of processes

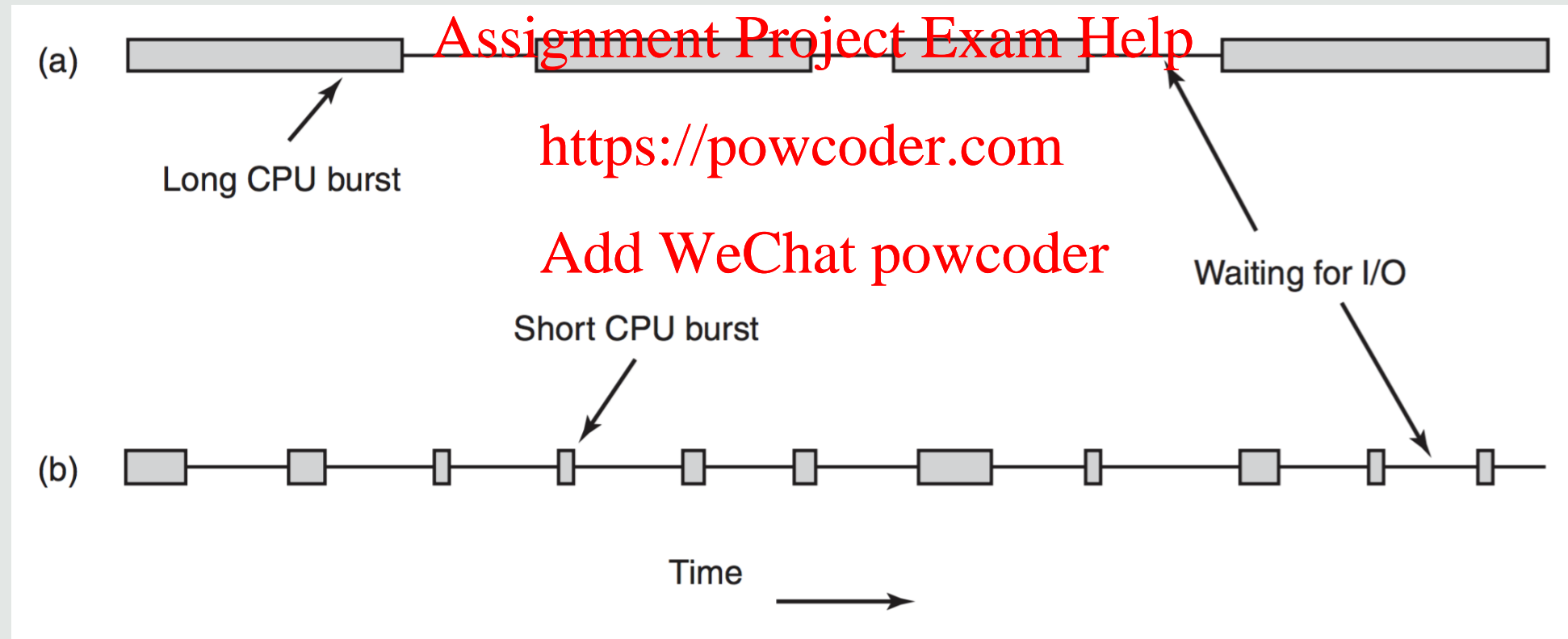


CPU vs I/O-Bound Processes

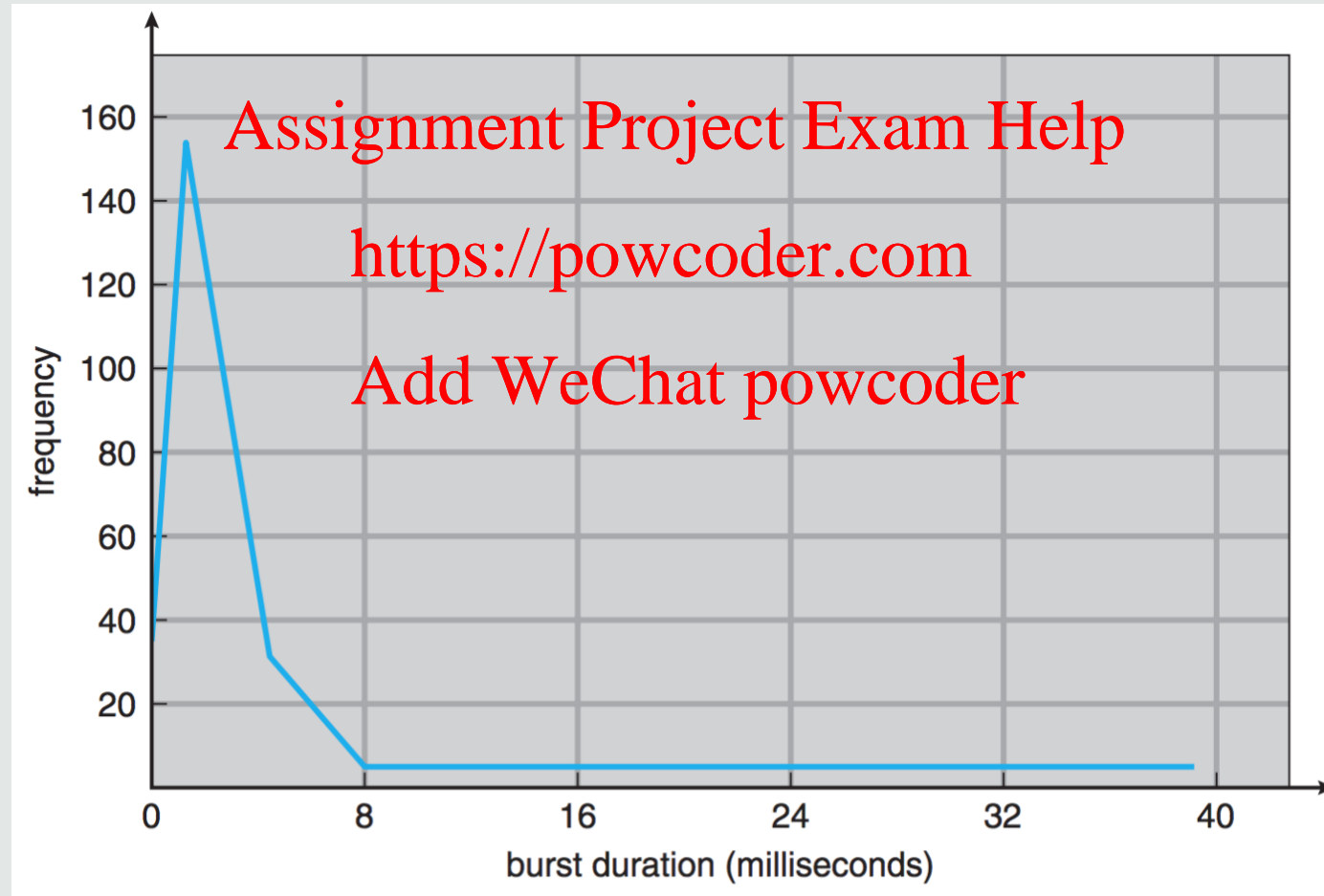
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a) CPU-bound process

b) I/O-bound process



What kind of process is this?



Short-term Scheduling

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- **Scheduler**: selects process from Ready queue
- **Dispatcher**: performs the context switch

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- **Scheduler**: selects process from Ready queue

- **Dispatcher**: performs the context switch

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- When is scheduling happening?
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- After process creation

- After ISR completion

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- A process blocks (I/O request, synchronisation, . . .)

- At end of time slice

- After process termination

- On a yield system call (voluntary release of CPU)

- Non-preemptive

The current process retains a hold over the CPU until returning control

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- Preemptive

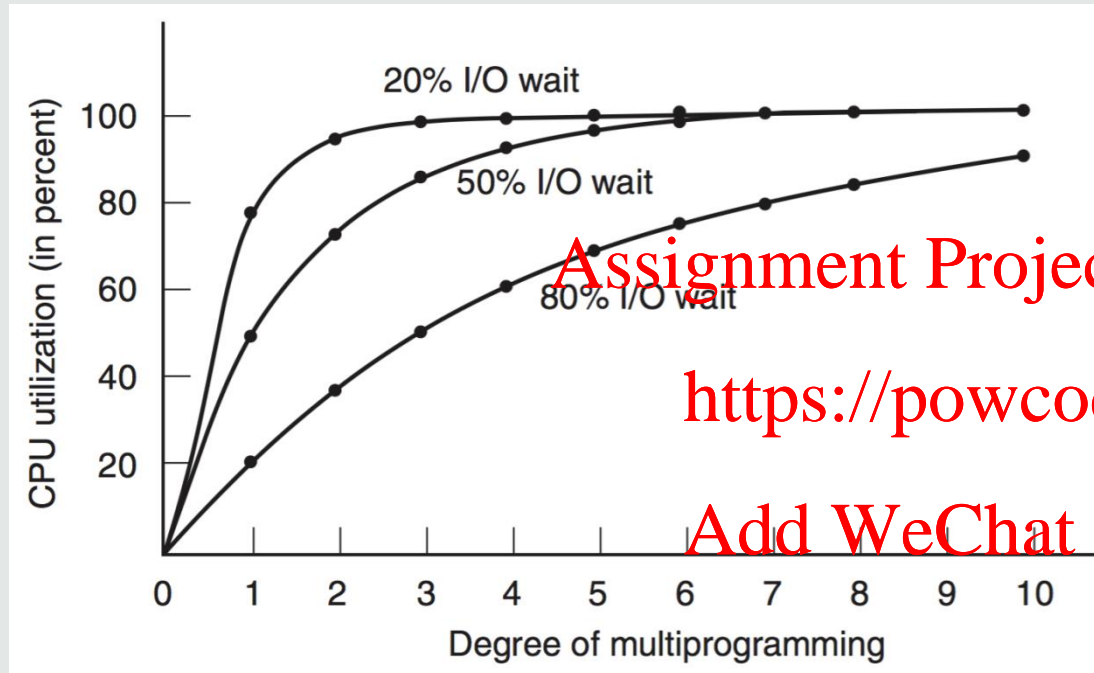
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Scheduler takes control of the CPU even though the current process could continue executing

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Short-term Scheduling

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utilisation = $1 - p^n$

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- p is the fraction of time processes spend waiting for I/O
- n is the number of processes
- This model assumes independent processes.

- **CPU utilisation** ("Load"): Percentage of time the CPU is busy
- **Throughput**: Processes per second handled
- **Turnaround time**: Time from submission to completion
- **Waiting time**: Time processes spend in the Ready queue
- **Response time**: Time from submission to the first response (Interactive systems)
- **Meeting deadlines**: Operating within required time constraints (real-time systems)
- **Predictability**: Avoiding erratic behaviour that needs frequent correction
- **Fairness**: Every process gets a turn.
- **Balance**: All system components well-used, not just the CPU.
- **Policy enforcement**: Some things are more important than others (e.g. make sure critical processes can run when needed)

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Which criteria are most important depends on the kind of system used

- Batch Systems

- First-Come First-Served
- Shortest Job First
- Shortest Remaining Time Next

- Real-Time Systems

- Earliest Deadline First
- Rate Monotonic Scheduling

- Interactive Systems

- Round Robin
- Priority Scheduling
- Feedback Scheduling

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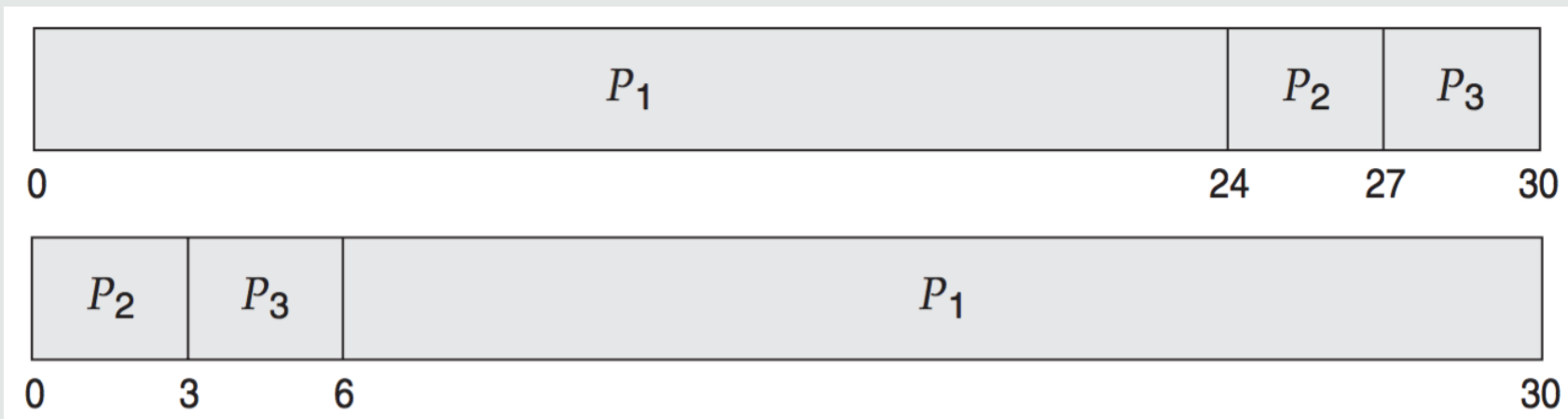
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... and many more!

First-Come First-Served (FCFS)

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Process	Burst time
P1	24
P2	3
P3	3

+ Simple implementation
(FIFO queue)
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- Long average waiting times
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Shortest Job First (SJF)

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Process	Burst time
P1	6
P2	8
P3	7
P4	3

„Shortest CPU burst first“

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+ Is optimal: minimal average waiting time

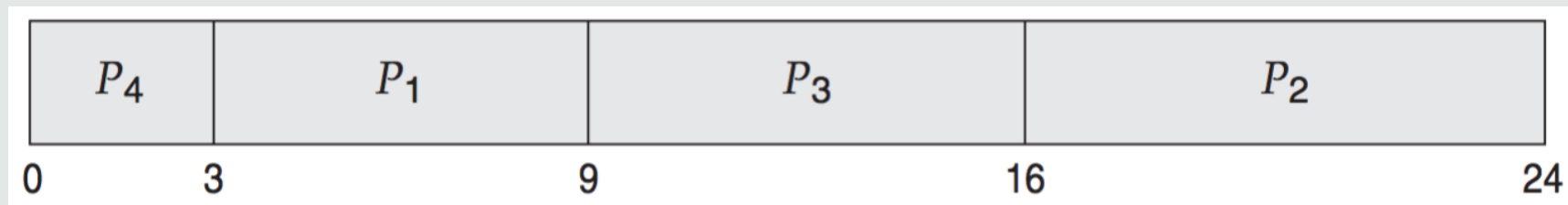
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How do we know the length of the next CPU burst of a process?

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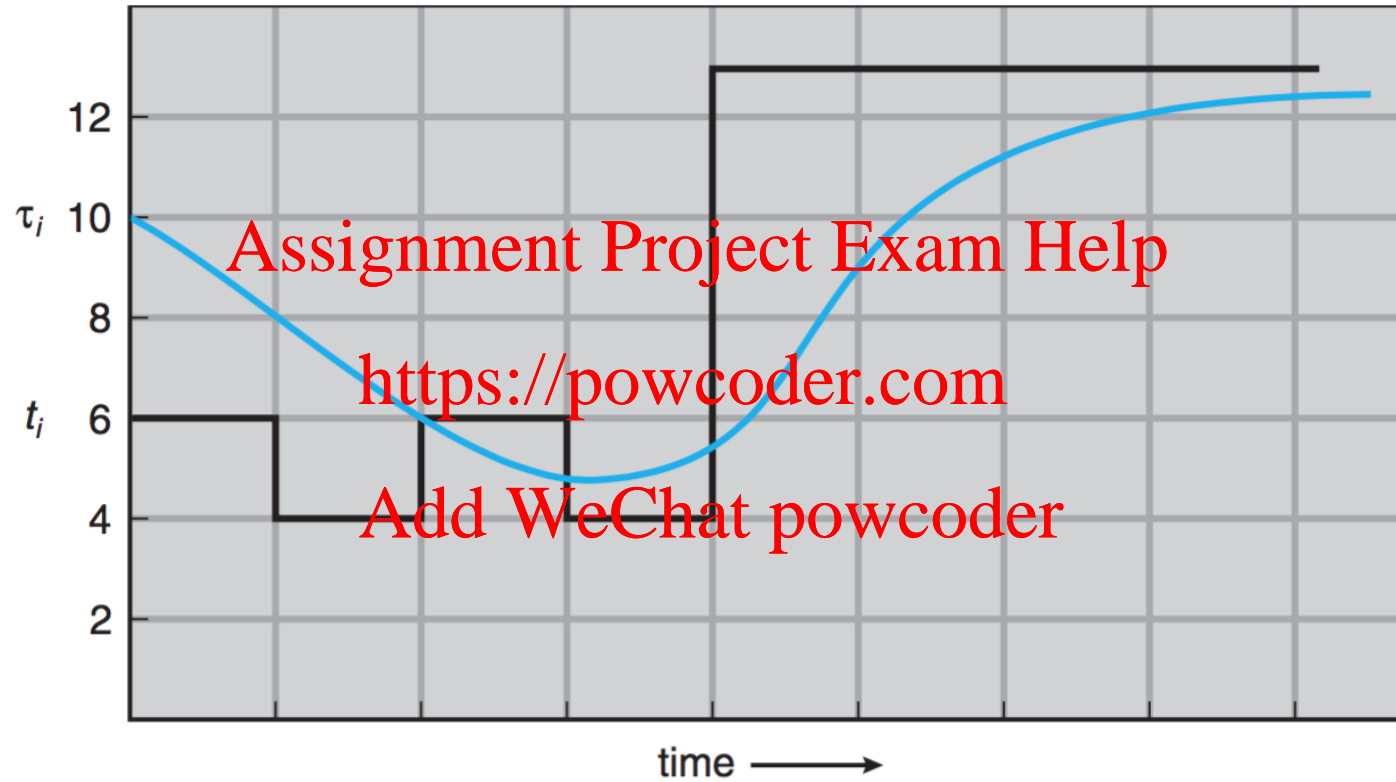


Requires good predictability!



Prediction by Exponential Averaging

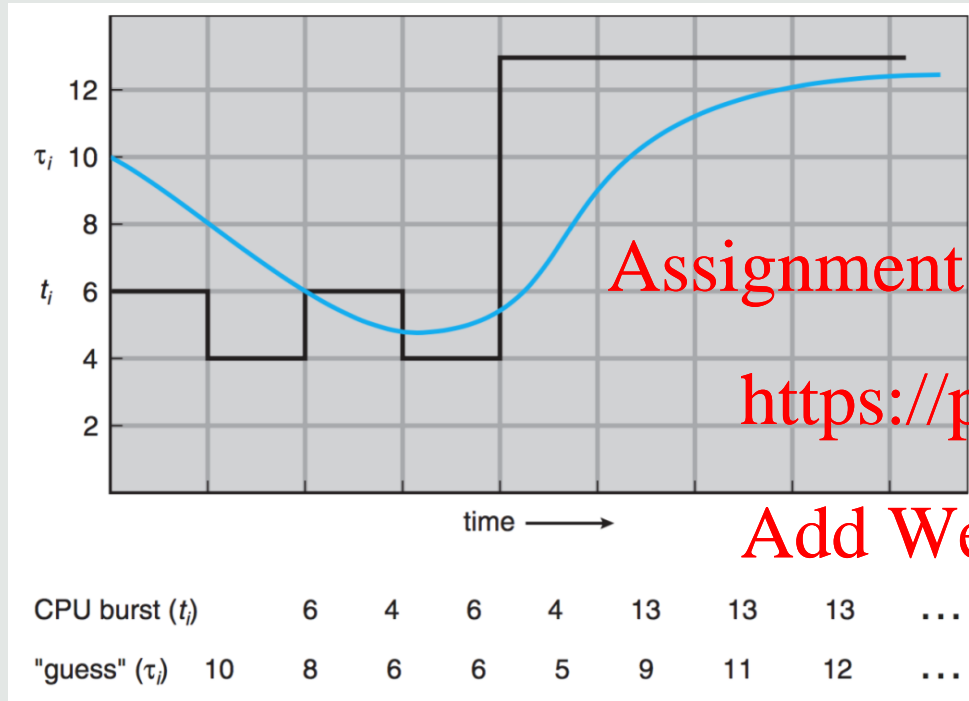
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CPU burst (t_i)	6	4	6	4	13	13	13	...	
"guess" (τ_i)	10	8	6	6	5	9	11	12	...

Prediction by Exponential Averaging

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$T_{n+1} = \alpha * t_n + (1 - \alpha) * T_n$
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- t_n is the (known) length of the most recent burst
- T_{n+1} is the prediction of the next burst
- T_0 is initialised e.g. to average expected burst length
- $\alpha \in [0, 1]$ gives weight to the history, e.g. $1/2$

Shortest Remaining Time First (SRT)

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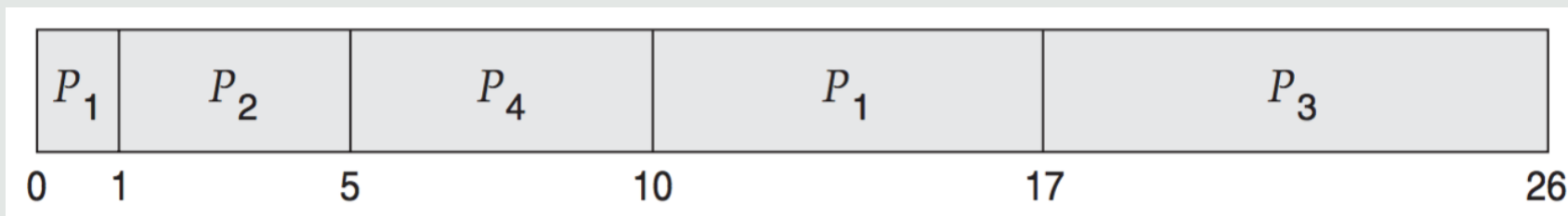
Process	Arrival time	Burst time
P1	0	8
P2	1	4
P3	2	9
P4	3	5

Preemptive version of SJF

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Priority Scheduling

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Process	Priority	Burst time
P1	3	10
P2	1	1
P3	4	2
P4	5	1
P5	2	5

Lowest number is highest priority

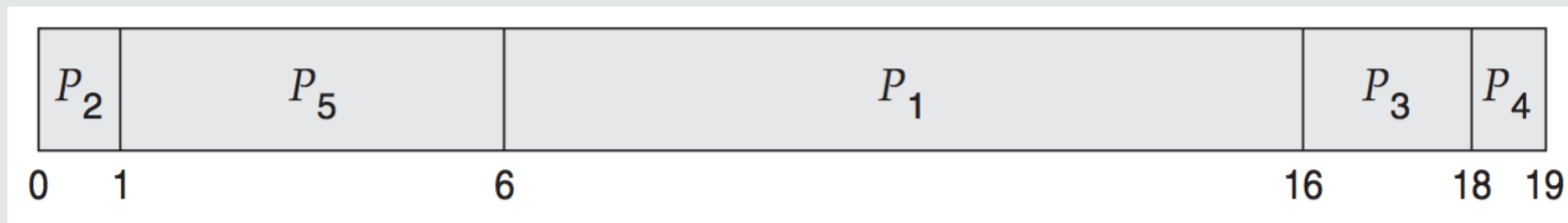
Processes with same
priority scheduled FCFS

Implementation:

Priority queue

Starvation possible, i.e. indefinite waiting

→ Aging: gradually increase priority



Round Robin

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Process	Burst time
P1	24
P2	3
P3	3

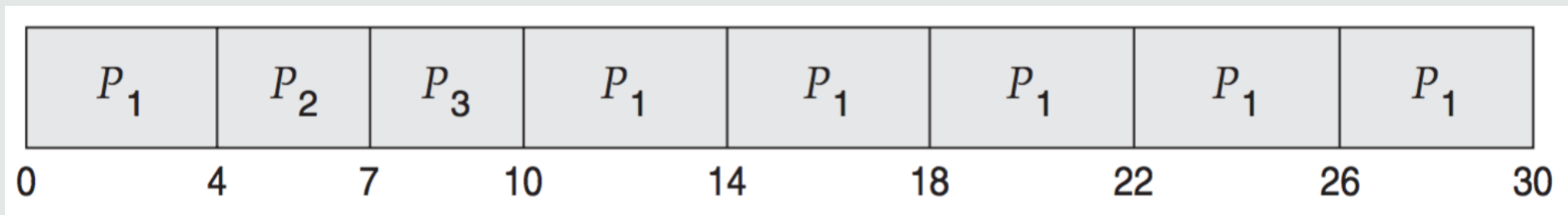
FCFS + preemption at end of time slice
(time quantum)

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Implementation: FIFO queue + Timer
interrupt

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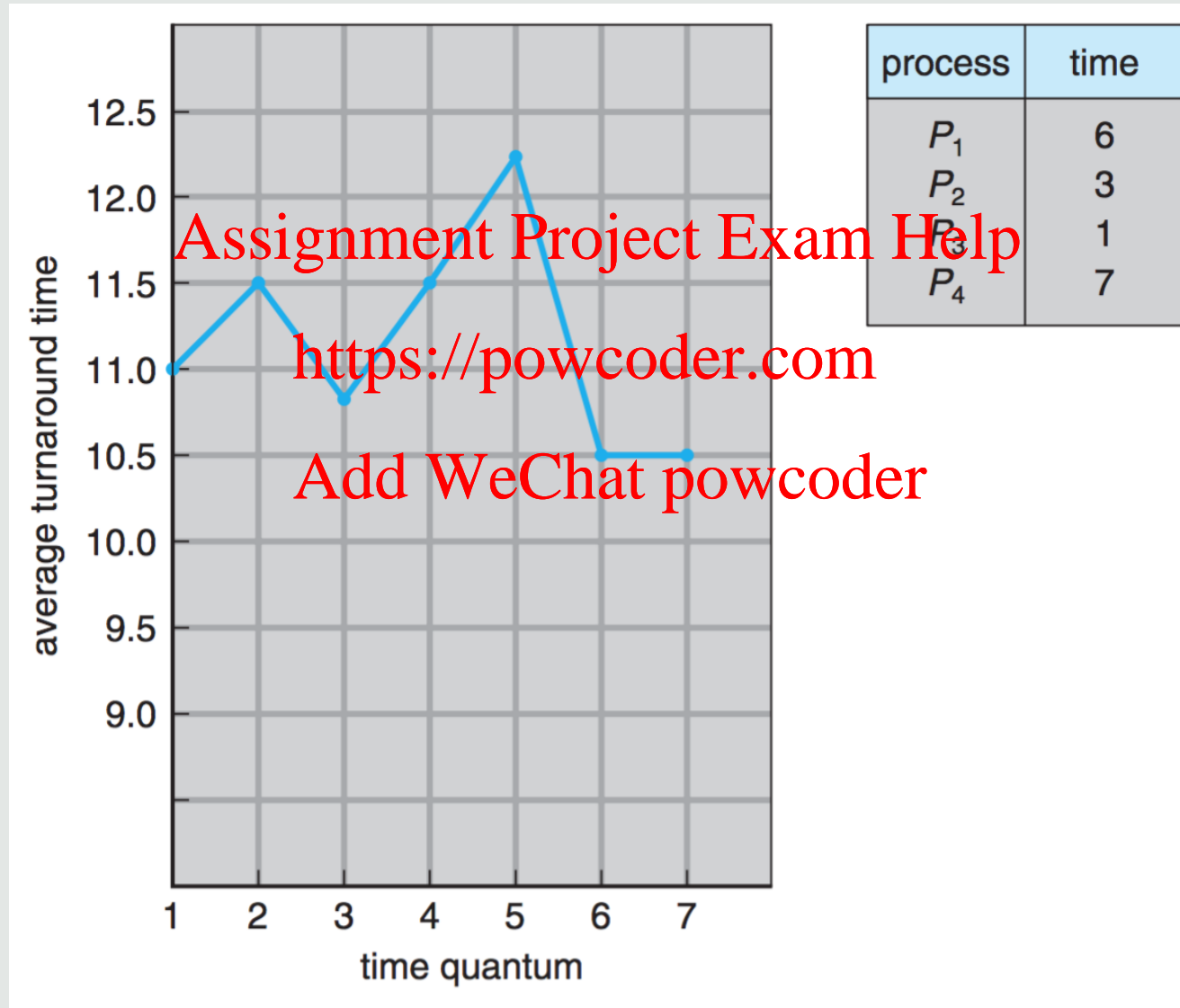
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Time quantum: 4ms

How to choose the time quantum?

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Scheduling

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- Tanenbaum & Bos., Modern Operating Systems

- Chapter 2.4

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- Silberschatz et al., Operating System Concepts

- Chapter 5

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- Further reading: Love. Linux Kernel Development:

- Chapter 4

- Introduction
- Operating System Architectures
- Processes
- Threads - Programming
- **Process Scheduling (continued)**
- Process Synchronisation
- Deadlocks
- Memory Management
- File Systems
- Input / Output
- Security and Virtualisation

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