

Image from: <http://www.kirrk.com/modularity/wp-content/uploads/2009/12/EncapsulatingDesign1.jpg>

## Example of Hill Climbing Application: Software Module Clustering (Algorithmic Design)

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# Design Variable

**Design variable:** allocation of units into modules.

- Consider that we have  $N$  units, identified by natural numbers in  $\{1, 2, \dots, N\}$ .
- This means that we have at most  $N$  modules.
- Our design variable is a list  $L$  of  $N$  modules, where each module  $L_i$ ,  $i \in \{1, 2, \dots, N\}$ , is a set containing a minimum of 0 and a maximum of  $N$  units.

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$L_1 = \{\}$

Module 1

$L_2 = \{1\}$

Module 2

unit 1

$L_3 = \{2, 4, 5\}$

Module 3

unit 2

unit 4

unit 5

$L_4 = \{\}$

Module 4

$L_5 = \{3\}$

Module 5

unit 3

# Constraints and Objective Function

Constraints: N/A

Objective function: quality of modularisation (to be maximised).

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$$\text{Quality}(L) = \sum_{\substack{i \in \{1, 2, \dots, N\} \\ L_i \neq \{\}}} \text{Quality}(L_i)$$

(maximise)

$$\text{Quality}(L_i) = \frac{\# \text{IntraEdges}_i}{\# \text{IntraEdges}_i + 1/2 * \# \text{InterEdges}_i}$$

(maximise)

# Problem Formulation

## Hill-Climbing (assuming maximisation)

1. current\_solution = generate initial solution randomly

2. Repeat:

2.1 generate neighbour solutions (differ from current solution by a single element)

2.2 best\_neighbour = get highest quality neighbour of current\_solution

2.3 If quality(best\_neighbour) <= quality(current\_solution)

2.3.1 Return current\_solution

2.4 current\_solution = best\_neighbour

Until a maximum number of iterations

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Design variable —>  
what is a candidate solution for us?

Objective —>  
what is quality for us?

Are there any constraints that need to be satisfied?

# Designing Representation, Initialisation and Neighbourhood Operators

## Hill-Climbing (assuming maximisation)

1. current\_solution = generate initial solution randomly

2. Repeat:

2.1 generate neighbour solutions (differ from current solution by a single element)

2.2 best\_neighbour = get highest quality neighbour of current\_solution

2.3 If quality(best\_neighbour) <= quality(current\_solution)

2.3.1 Return current\_solution

2.4 current\_solution = best\_neighbour

Until a maximum number of iterations

- Representation:

- How to store the design variable.
- E.g., boolean, integer or float variable or array.

- Initialisation:

- Usually involve randomness.

- Neighbourhood operator:

- How to generate neighbour solutions.

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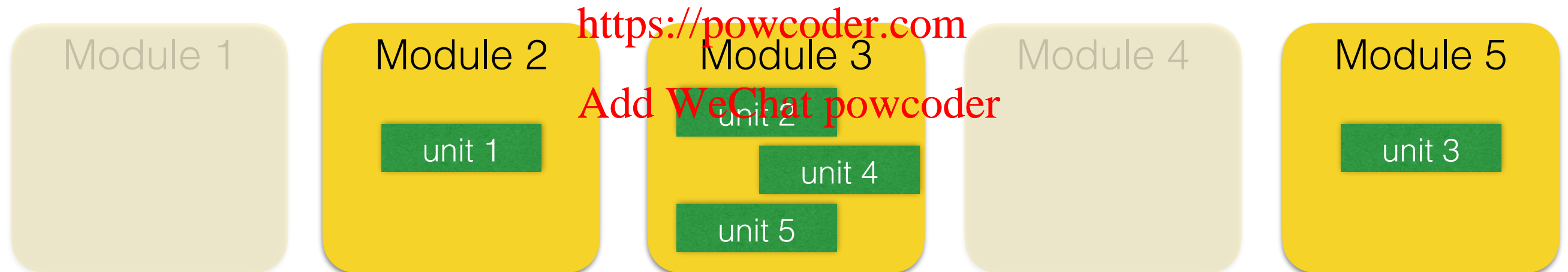
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# Representation

How to represent the design variable internally in the implementation?

- E.g., list of  $N$  modules, where each module is a list of integers in  $\{1, 2, \dots, N\}$  identifying the existing units.



- E.g., if we have  $N=5$ , a possible allocation is  $L = \{\{\}, \{1\}, \{2, 4, 5\}, \{\}, \{3\}\}$ .

# Representation

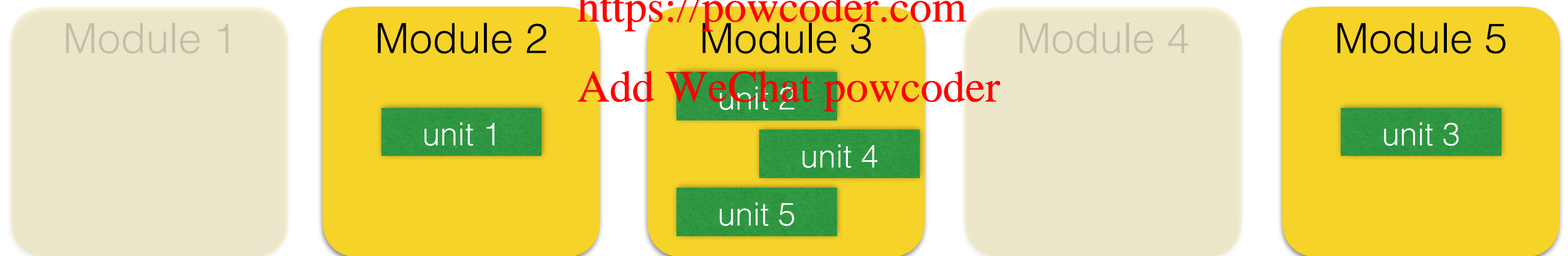
How to represent the design variable internally in the implementation?

- E.g., matrix  $A_{N \times N}$ , where  $A_{ij} = 1$  if unit  $j$  is in module  $i$ , and 0 otherwise.

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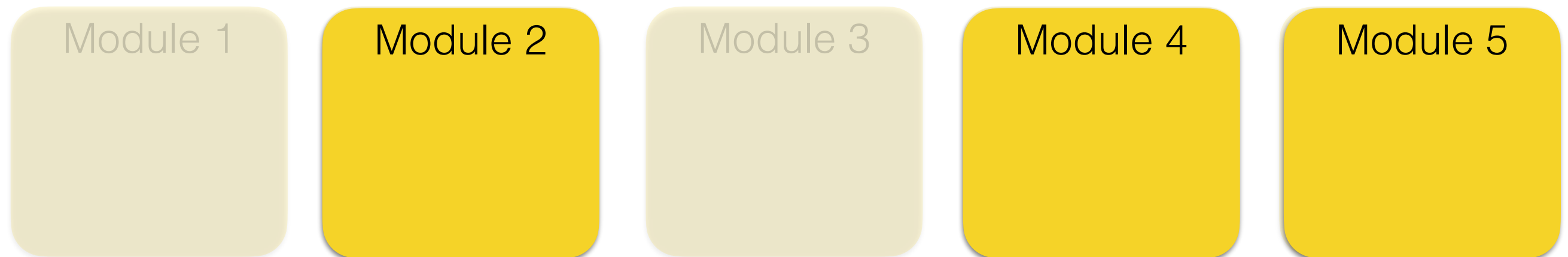
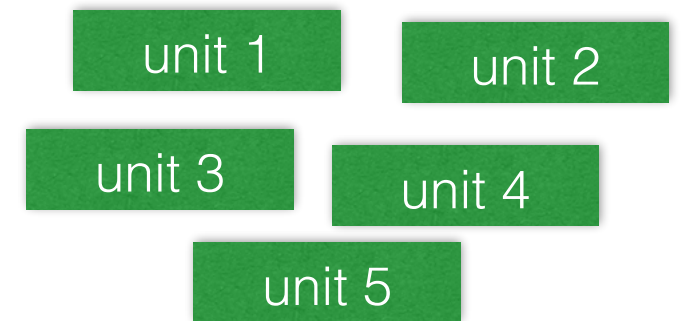
$$A = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \end{bmatrix}$$

# Initialisation

E.g.: place each unit into a randomly picked module.

For each unit  $u \in \{1, \dots, N\}$   
Add  $u$  to a module  $L_i$ , where  $i \sim U\{1, N\}$

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$$L = \{\{\}, \{1, 3\}, \{2\}, \{4\}, \{5\}\}$$

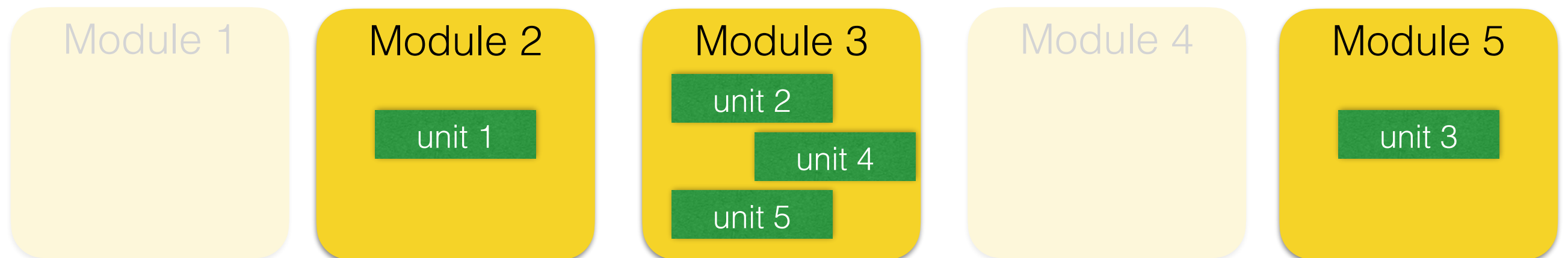


# Neighbourhood Operator

- What would be a possible neighbourhood operator for the software clustering problem?
- A neighbour in the software module clustering problem would be a solution where a single unit moves from one module to another. E.g. <https://powcoder.com>

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$$L = \{\{\}, \{1\}, \{2, 4, 5\}, \{\}, \{3\}\} \longrightarrow L = \{\{\}, \{1, 5\}, \{2, 4\}, \{\}, \{3\}\}$$



# Neighbourhood

- Real world problems will frequently have more than two neighbours for each candidate solution.
- How many neighbours do we have for the candidate solution below, if we allow for equivalent neighbours?

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5 units \* 4 possible modules to move to = 20

# Neighbourhood

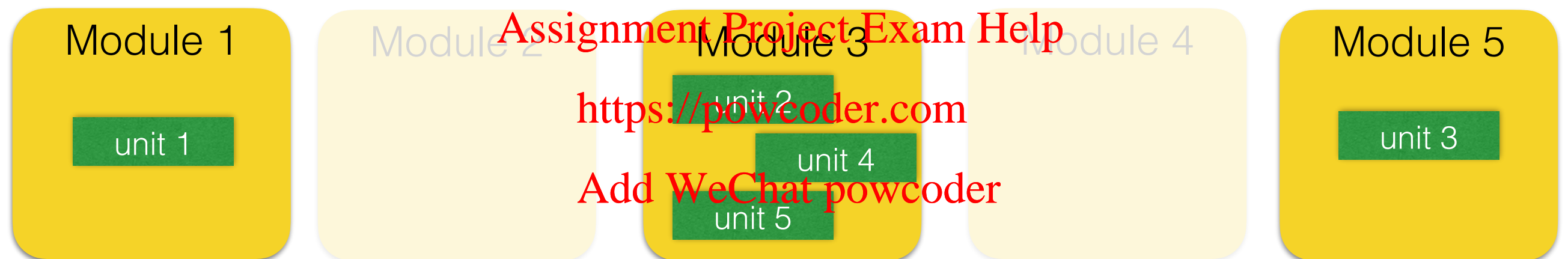
- How many neighbours do we have for the candidate solution below, if we allow for equivalent neighbours?



Some neighbours will be equivalent.  
Duplicates could be eliminated.

# Neighbourhood

- How many neighbours do we have for the candidate solution below, if we allow for equivalent neighbours?



```
For i ∈ {1,...,N} // module
  For j ∈ {1,...,size(Li)} // unit within module
    For i' ∈ {1,...,N} \ i // another module
      L' = clone of L
      Move unit L'ij to module L'i'
      Yield L' as a neighbour
```

# Hill Climbing

Hill-Climbing (assuming maximisation)

1. `current_solution` = generate `initial` solution randomly

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2. Repeat:

2.1 generate `neighbour` solutions (differ from current solution by a single element)

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2.2 `best_neighbour` = get highest `quality` neighbour of `current_solution`

2.3 If `quality(best_neighbour) <= quality(current_solution)`

2.3.1 Return `current_solution`

2.4 `current_solution` = `best_neighbour`

Until a maximum number of iterations

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Simulated Annealing would also require a representation, initialisation procedure, and neighbourhood operator to solve a problem.

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# Summary

- Software Module Clustering problem formulation.
- Representation, initialisation and neighbourhood operators.

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# Next

- Application of Simulated Annealing.