

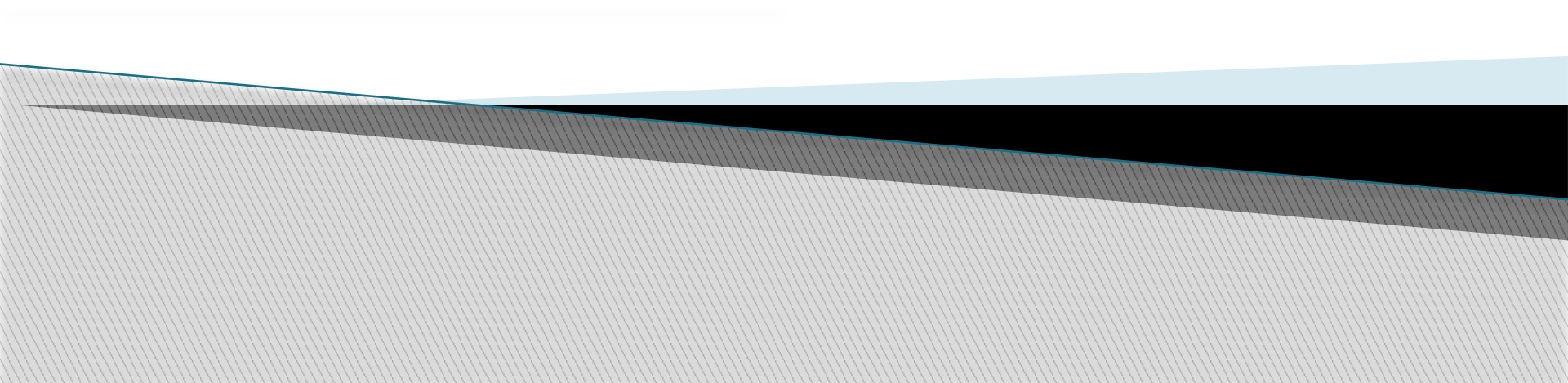
COP5556 Programming Language Principles

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Parsing 2



- ▶ Recap of parsing so far:
 - Specify phrase structure of programming language with context free grammar (CFG) using EBNF notation
 - CFGs generate sentences
 - Parsers recognize sentences
 - Several approaches to parsing
 - bottom up
 - top down
 - Can classify grammars according to the type of parsing algorithm that can be used to parse them.

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- ▶ We are primarily interested in (mostly) LL(1) grammars which admit top-down parsing with one-step lookahead.
- ▶ We defined
 - FIRST set
 - FOLLOW set
 - PREDICT set
 - Grammar is LL(1) if the PREDICT sets of all productions with the same left hand side are disjoint

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Is this grammar LL(1)?

dec ::= modifier type ident ;
dec ::= procedure ident (formals) body ;
type ::= int | bool
modifier ::= public | ϵ
formals ::= ϵ | type ident (type ident) *

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$\text{dec} ::= \text{modifier type ident ;}$
 $\text{dec} ::= \text{procedure ident (formals) body ;}$
 $\text{type} ::= \text{int} \mid \text{bool}$
 $\text{modifier} ::= \text{public} \mid \epsilon$
 $\text{formals} ::= \epsilon \mid \text{type ident (type ident)}^*$

 PREDICT($\text{dec} ::= \text{modifier type ident ;}$) = ????
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$\text{dec} ::= \text{modifier type ident ;}$
 $\text{dec} ::= \text{procedure ident (formals) body ;}$
 $\text{type} ::= \text{int} \mid \text{bool}$
 $\text{modifier} ::= \text{public} \mid \epsilon$
 $\text{formals} ::= \epsilon \mid \text{type ident (type ident)}^*$

 $\text{PREDICT}(\text{dec} ::= \text{modifier type ident ;}) =$
 $\text{FIRST}(\text{modifier type ident ;}) =$
 $\{ \text{public, int, bool} \}$

`dec ::= modifier type ident ;`
`dec ::= procedure ident (formals) body ;`
`type ::= int | bool`
`modifier ::= public | ϵ`
`formals ::= ϵ | type ident (, type ident)*`

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`PREDICT(dec ::= modifier type ident ;) =`
`{ public, int, bool }`

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`PREDICT(dec ::= procedure ident (formals) body ;)=`
`{ procedure }`

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$\text{dec} ::= \text{modifier type ident},$
 $\text{dec} ::= \text{procedure ident (formals) body ;}$
 $\text{type} ::= \text{int} \mid \text{bool}$
 $\text{modifier} ::= \text{public} \mid \epsilon$
 $\text{formals} ::= \epsilon \mid \text{type ident ($

Same left hand side,
 PREDICT sets are disjoint,
 so far so good

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 PREDICT($\text{dec} ::= \text{modifier type ident},$) =
 { public, int, bool }

PREDICT($\text{dec} ::= \text{procedure ident (formals) body ;}$)
 = { procedure }

$\text{dec} ::= \text{modifier type ident ;}$
 $\text{dec} ::= \text{procedure ident (formals) body ;}$
 $\text{type} ::= \text{int} \mid \text{bool}$
 $\text{modifier} ::= \text{public} \mid \epsilon$
 $\text{formals} ::= \epsilon \mid \text{type ident (, type ident)}^*$

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PREDICT ($\text{type} ::= \text{int}$) = { int }

PREDICT ($\text{type} ::= \text{bool}$) = { bool }

PREDICT ($\text{modifier} ::= \text{public}$) = { public }

PREDICT ($\text{modifier} ::= \epsilon$) = FOLLOW(modifier) =
 { int, bool }

Same left hand side,
PREDICT sets are disjoint,
still on track to be
LL(1)

$\text{dec} ::= \text{modifier type ident} ;$

$\text{dec} ::= \text{procedure ident (formals) ;}$

$\text{type} ::= \text{int} \mid \text{bool}$

$\text{modifier} ::= \text{public} \mid \epsilon$

$\text{formals} ::= \epsilon \mid \text{type ident (formals)}$

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PREDICT ($\text{type} ::= \text{int}$) = { int }

PREDICT ($\text{type} ::= \text{bool}$) = { bool }

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PREDICT ($\text{modifier} ::= \text{public}$) = { public }

PREDICT ($\text{modifier} ::= \epsilon$) = FOLLOW(modifier) =
{ int, bool }

dec ::= modifier type ident ;

dec ::= procedure ident (formals) body ;

type ::= int | bool

modifier ::= public | ϵ

formals ::= ϵ | type ident (, type ident)*

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PREDICT (formals ::= type ident (, type ident)*) =
{ int, bool }

PREDICT (formals ::= ϵ) = FOLLOW(formals) = {) }

Same left hand side,
PREDICT sets are disjoint.

Grammar is LL(1)

$\text{dec} ::= \text{modifier type ident} ;$

$\text{dec} ::= \text{procedure ident (formals) ;}$

$\text{type} ::= \text{int} \mid \text{bool}$

$\text{modifier} ::= \text{public} \mid \epsilon$

$\text{formals} ::= \epsilon \mid \text{type ident (, type ident)}^*$

 $\text{PREDICT (formals } ::= \text{type ident (, type ident)}^*) =$
 $\{ \text{int, bool} \}$

$\text{PREDICT (formals } ::= \epsilon) = \text{FOLLOW(formals)} = \{) \}$

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```
dec ::= procedure ident ( formals ) body ;  
      { procedure }
```

```
type ::= int {int} | bool {bool}
```

```
modifier ::= public {public}
           | ε {int, bool}
```

```
formals ::= ε { } https://powcoder.com
          | type ident ( , type ident ) * { int, bool }
```

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Top down parse of `int ident ;`

dec

```
int ident ;
```

dec ::= modifier type ident ; { public, int, bool }

dec ::= procedure ident (formals) body ;
 { procedure }

type ::= int { int } | bool { bool }

modifier ::= public { public }

| int bool

formals ::= ϵ {) }

| type ident (, type ident) * { int, bool }

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Top down parse of int ident ;

dec

modifier type ident ;

int ident ;

dec ::= modifier type ident ; { public, int, bool }

dec ::= procedure ident (formals) body ;
 { procedure }

type ::= int { int } | bool { bool }

modifier ::= public { public }
 | ϵ { int, bool }

formals ::= ϵ { int, bool }
 | type ident (type ident) * { int, bool }

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Top down parse of int ident ;

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dec
modifier type ident ;
 ϵ type ident ;

int ident ;

$\text{dec} ::= \text{modifier type ident ; } \{ \text{public, int, bool} \}$
 $\text{dec} ::= \text{procedure ident (formals) body ;}$
 $\qquad \qquad \qquad \{ \text{procedure} \}$
 $\text{type} ::= \text{int } \{ \text{int} \} \mid \text{bool } \{ \text{bool} \}$
 $\text{modifier} ::= \text{public } \{ \text{public} \}$
 $\qquad \qquad \qquad \mid \epsilon \{ \text{int, bool} \}$
 $\text{formals} ::= \epsilon \mid \text{type ident (, type ident)}^* \{ \text{int, bool} \}$

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Top down parse of int ident ;

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dec	int ident ;
modifier type ident ;	
ϵ type ident ;	
int ident ;	int ident ;

► Summary

- property of PREDICT sets tells us that grammar is LL(1)
- PREDICT sets are also used during parsing to choose a production

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Is this grammar LL(1)?

ident_list ::= **ident**

ident_list ::= *ident_list* **ident**

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Is this grammar LL(1)?

$ident_list ::= ident \mid ident_list, ident$

- FIRST($ident_list$) =
 $ident \cup FIRST(ident_list)$

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PREDICT ($ident_list ::= ident$) = { $ident$ }

PREDICT ($ident_list ::= ident_list, ident$)
= FIRST($ident_list$) = { $ident$ }

Is this grammar LL(1)?

$ident_list ::= ident \mid ident_list, ident$

- ▶ $FIRST(ident_list) =$
 $ident \cup FIRST(ident_list)$
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PREDICT ($ident_list ::= ident$)

PREDICT ($ident_list ::=$
 $= FIRST(ident_list)$

If you see left recursion,
the grammar is not LL

Left recursion

- ▶ We saw that left recursion makes a grammar non-LL(1)
- ▶ A grammar is left recursive if, for some nonterminal A ,
 $A \rightarrow + A \sigma$.
- ▶ If there is a production of the form
 $A ::= A \sigma \mid \beta$
then we immediately see that it is left recursive.
- ▶ Left recursion may be indirect, involving several rules, but we will only worry about direct recursion

Left recursion removal

A production of the form

$$A ::= A \sigma \mid \beta$$

can be replaced in the grammar with

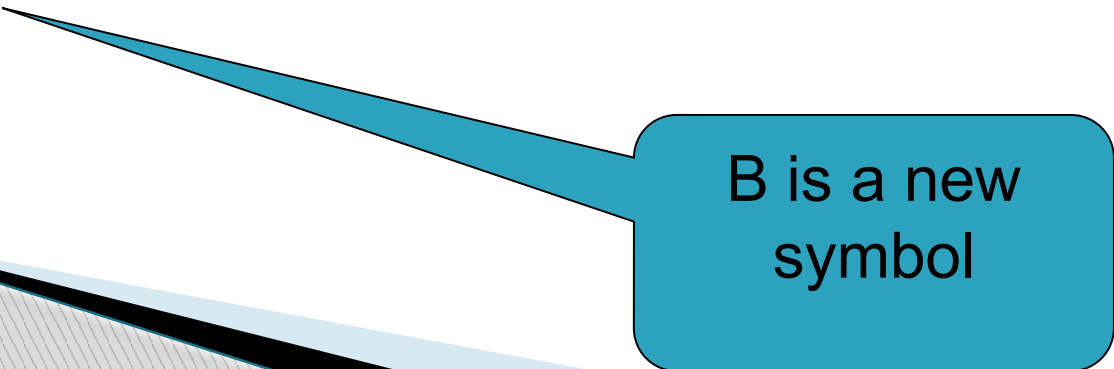
$$A ::= \beta B$$

$$B ::= \sigma B \mid \varepsilon$$

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B is a new
symbol

Left recursion removal (2)

More generally, productions of the form

$$A ::= A \sigma_1 \mid \dots \mid A \sigma_n \mid \beta_1 \mid \dots \mid \beta_m$$

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can be replaced by <https://powcoder.com>

$$A ::= \beta_1 B \mid \dots \mid \beta_m B$$

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$$B ::= \sigma_1 B \mid \dots \mid \sigma_n B \mid \varepsilon$$

Original grammar

$ident_list ::= ident \mid ident_list, ident$

- Recall rule : replace $A ::= A \sigma \mid \beta$ with

$A ::= \beta B$

$B ::= \sigma B \mid \varepsilon$

- Let

- $A ::= ident_list$

- $\sigma ::= , ident,$

- $\beta = ident,$

- introduce new symbol $ident_list_tail$ instead of B

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Equivalent grammar without left-recursion

$ident_list ::= ident \ ident_list_tail$

$ident_list_tail ::= , ident \ ident_list_tail \mid \varepsilon$

Transforming to EBNF

$A ::= \beta B$

$B ::= \sigma B \mid \varepsilon$

can be replaced with

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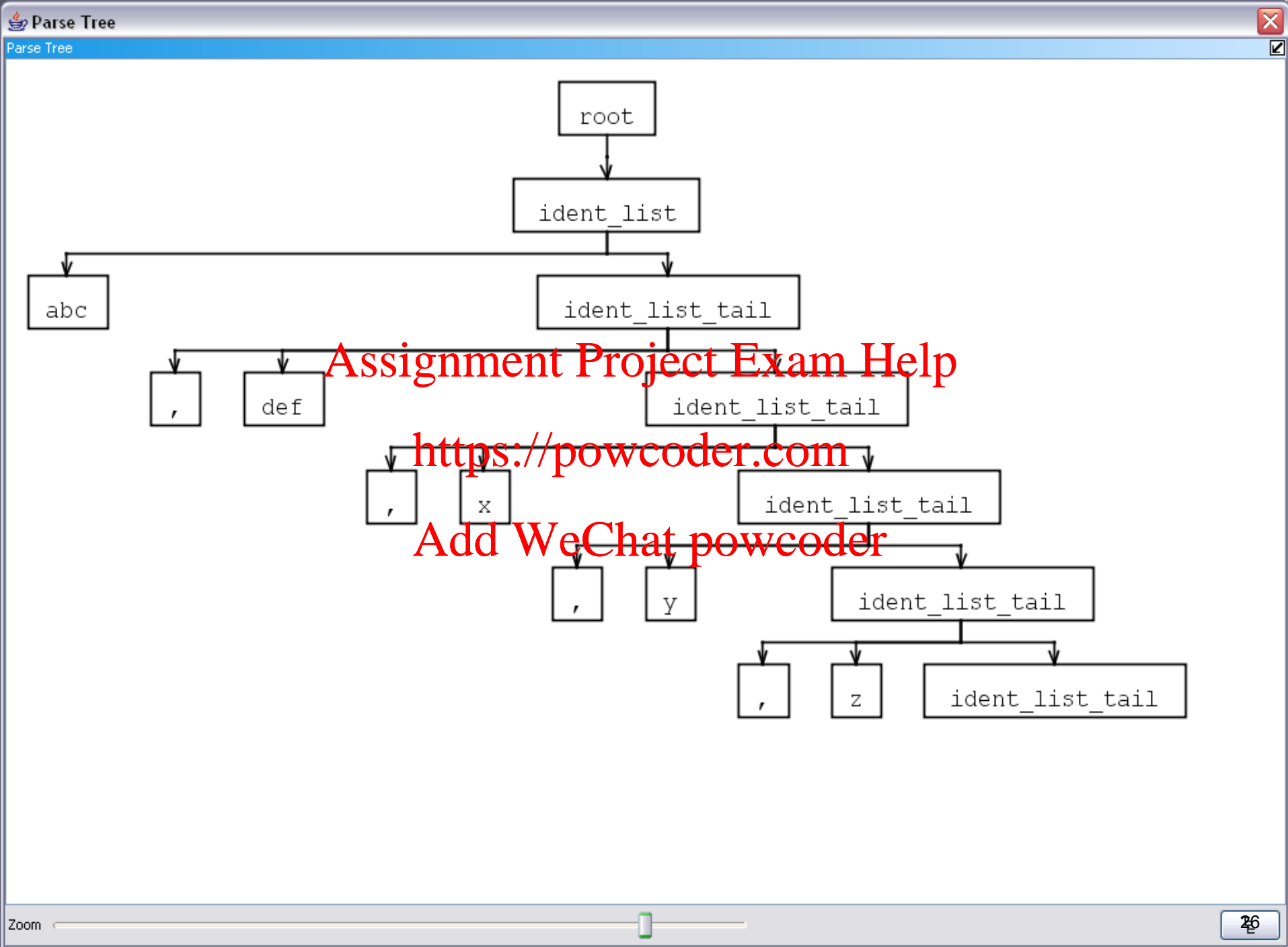
<https://powcoder.com>

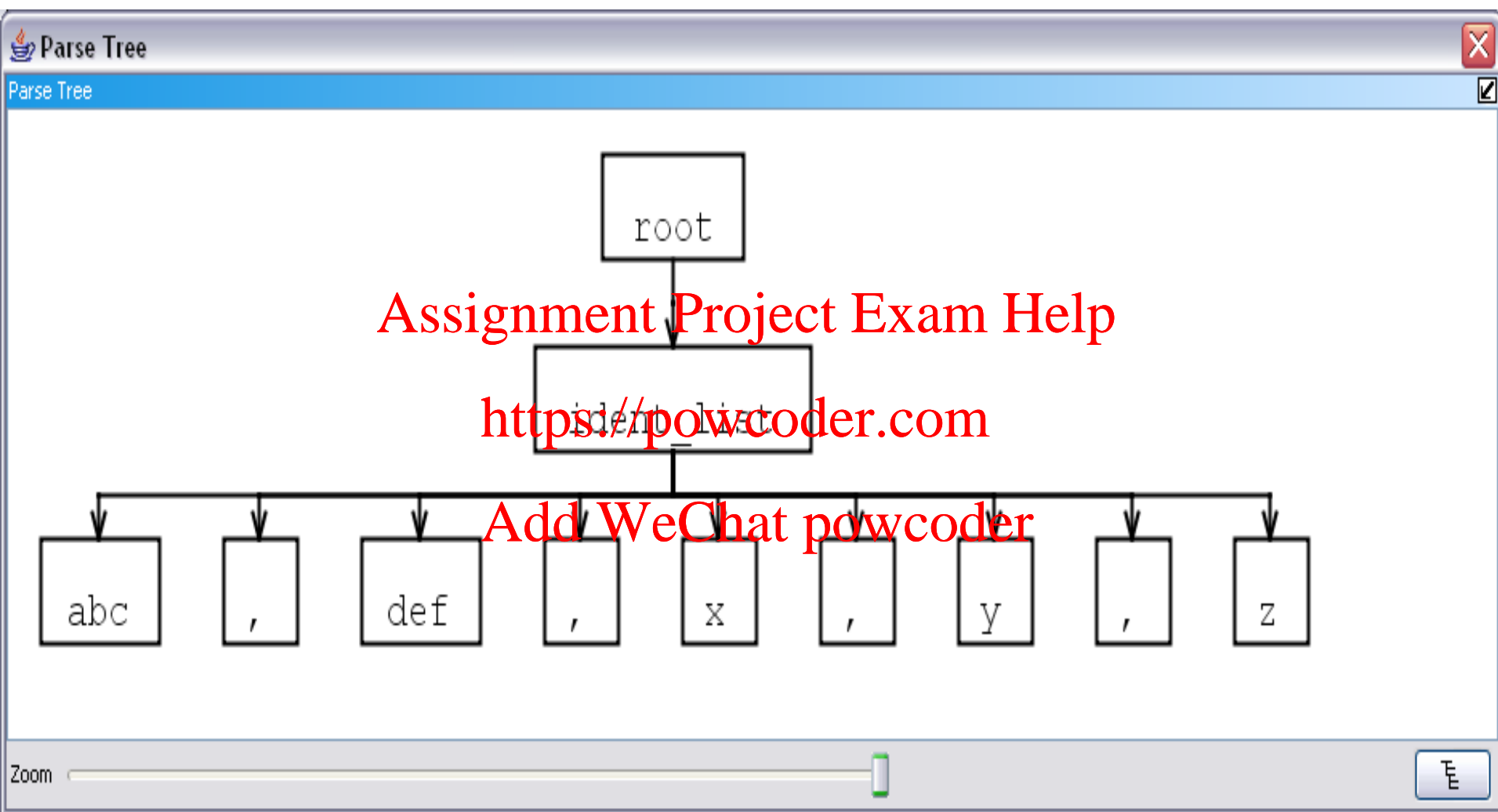
$A ::= \beta \sigma^*$

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$ident_list ::= ident (, ident)^*$

New grammar specifies the same language as the starting point, but the structure of the parse tree may change.





Example: expression grammar with precedence and associativity

expr ::= term | expr add_op term

term ::= factor | term mult_op factor

factor ::= ident | number | - factor | (expr)

add_op ::= + | -

*mult_op ::= * | /*

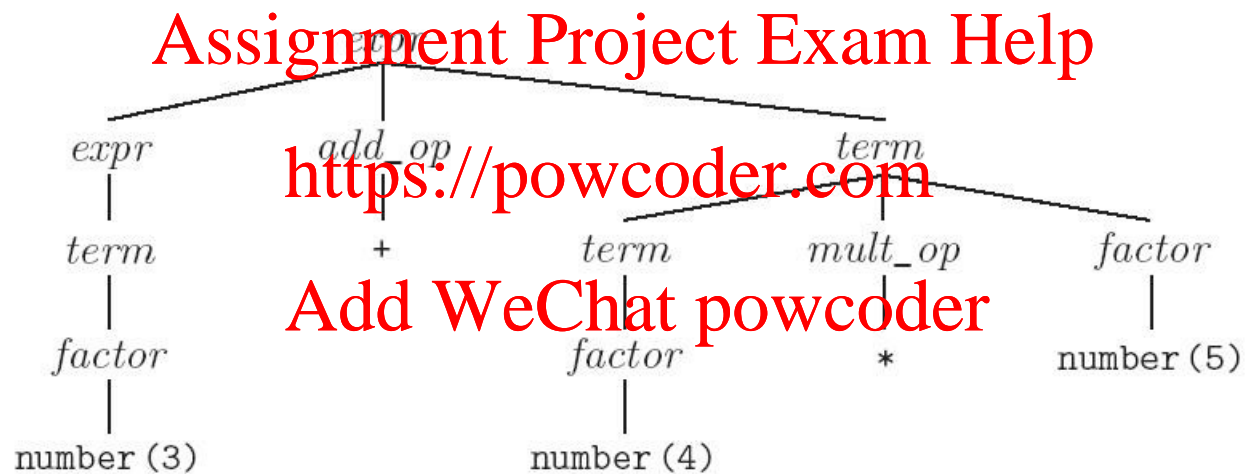
Operators are left associative:

$$10-4-3 = (10-4)-3$$

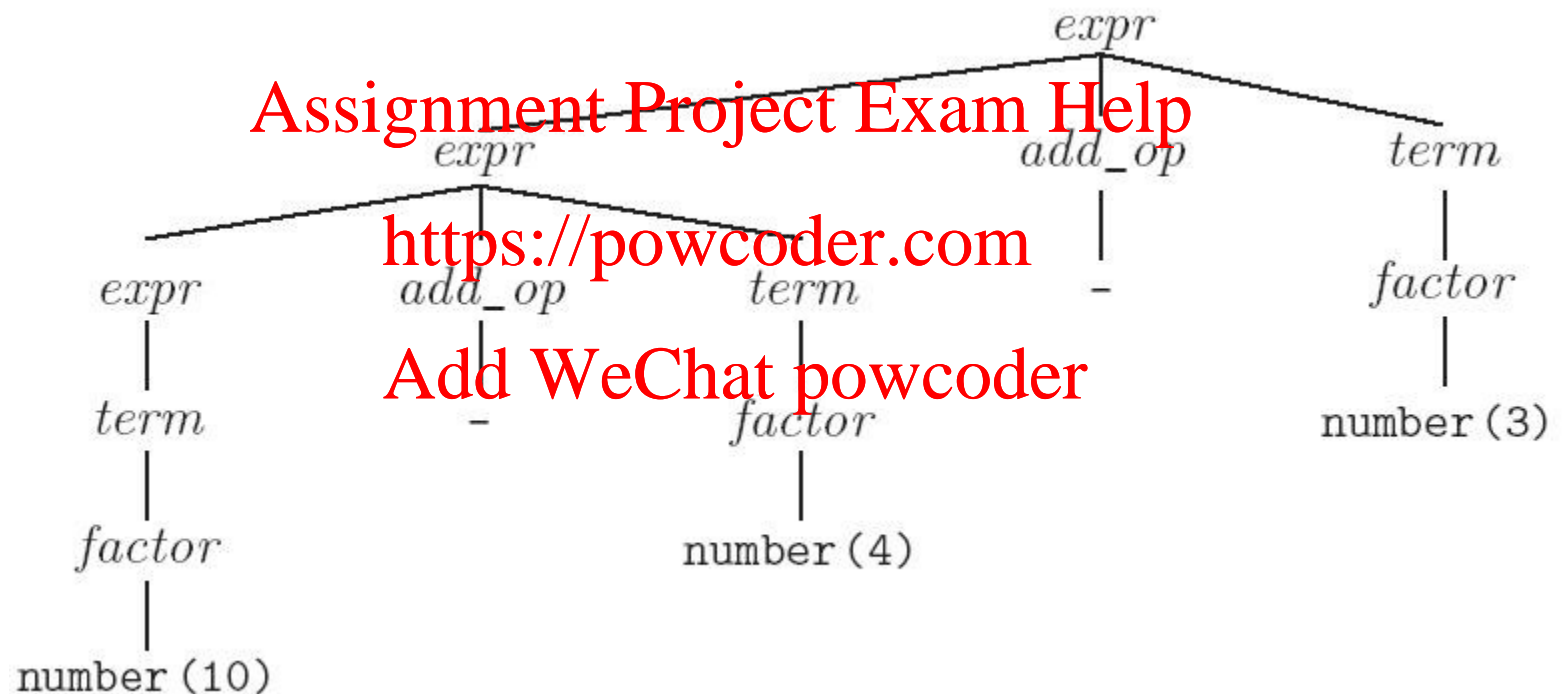
A mult_op has higher precedence than an add_op:

$$3+4*5 = 3+(4*5)$$

Parse tree for $3+4*5$



Parse tree for 10-4-3



Is this expression grammar LL(1)?

expr ::= term | expr add_op term

term ::= factor | term mult_op factor

factor ::= id | assignment | project | exam | help

add_op ::= + | -

*mult_op ::= * | /*

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Left
recursive

$expr ::= term$
 $\quad | \quad expr \text{ add_op } term$

$term ::= factor \mid term \text{ mult_op } factor$

$factor ::= \text{ident} \mid \text{number} \mid - factor \mid (expr)$

$\text{add_op} ::= + \mid -$

$\text{mult_op} ::= * \mid /$

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$\text{FIRST}(expr) = \text{FIRST}(term) \cup \text{FIRST}(expr)$

Grammar not LL(1)

Example

- ▶ Start with left recursive grammar for expressions

$expr ::= \text{number} \mid expr \text{ add_op } \text{number}$

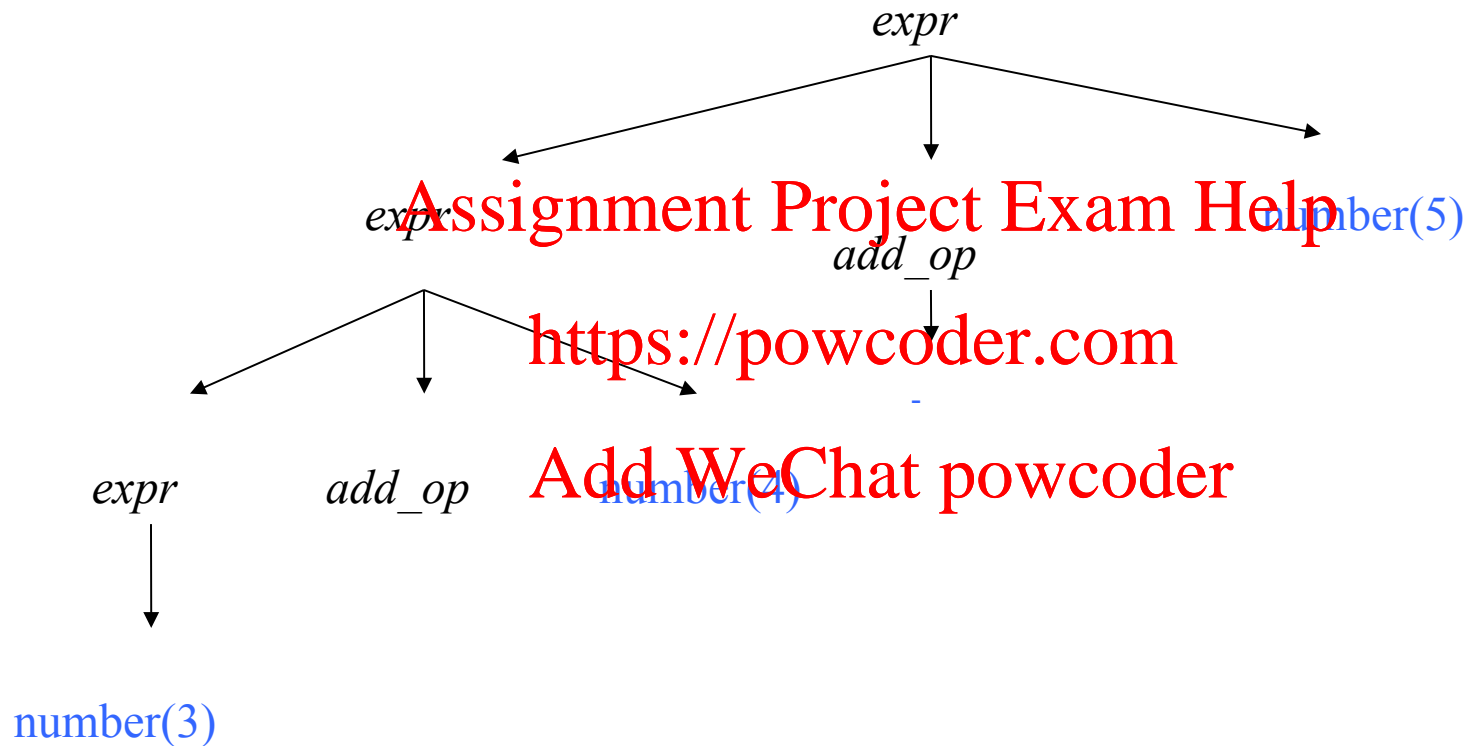
$\text{add_op} ::= + \mid -$

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- ▶ Left recursion gives left-associative operators.
- ▶ Right recursion gives right-associative operators

$expr ::= \text{number}$
 $\quad \mid \text{number } \text{right_assoc_op } expr$

Parse tree for 4-3-5



Transformed example

$expr ::= \text{number } expr_tail$

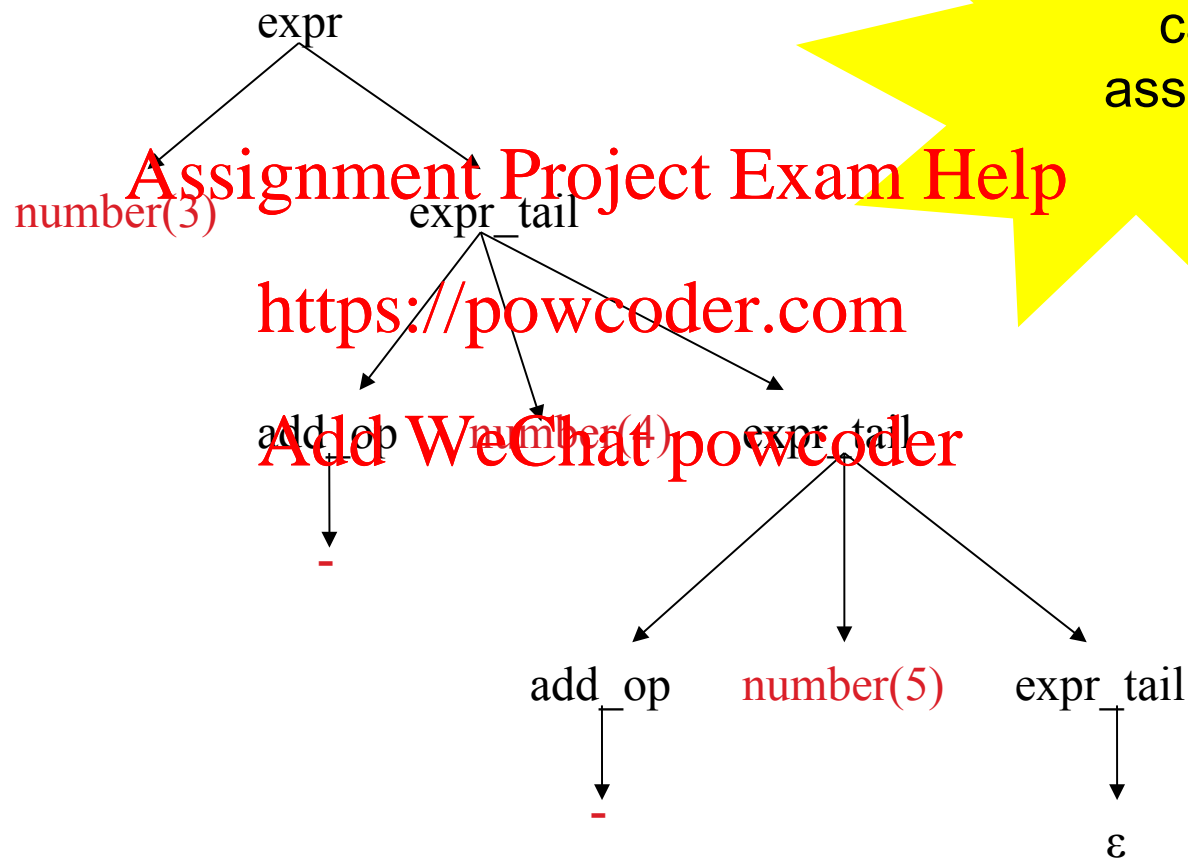
$expr_tail ::= add_op \text{number } expr_tail \mid \epsilon$

$add_op ::= + \mid -$

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Parse tree for 3-4-5



Fails to
correctly
capture
associativity

Transformed again-- to EBNF

$expr ::= \text{number} \mid expr \text{ add_op } \text{number}$

$\text{add_op} ::= + \mid -$

$expr ::= \text{number } expr_tail$

$expr_tail ::= \text{add_op } \text{number } expr_tail \mid \epsilon$

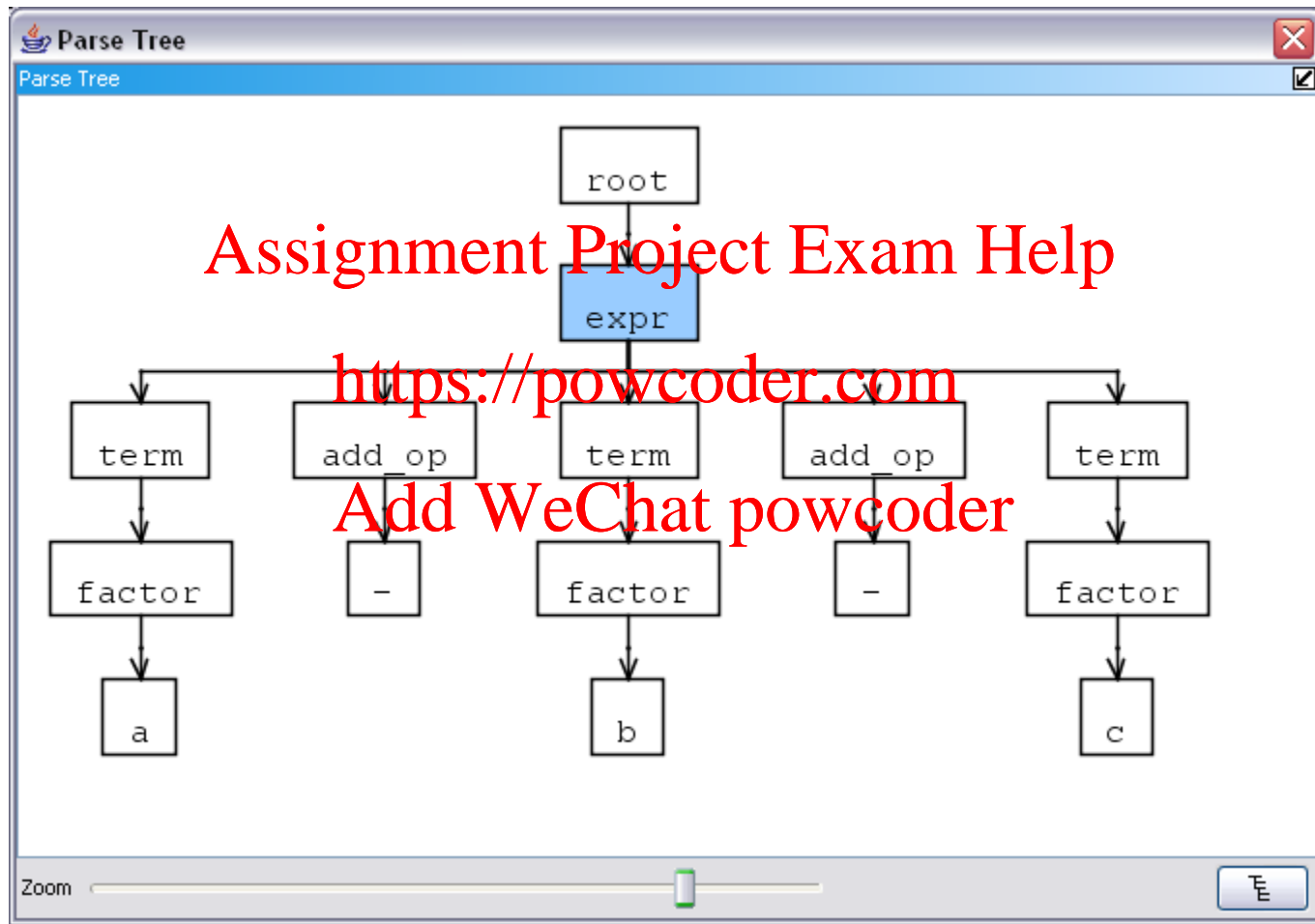
$\text{add_op} ::= + \mid -$

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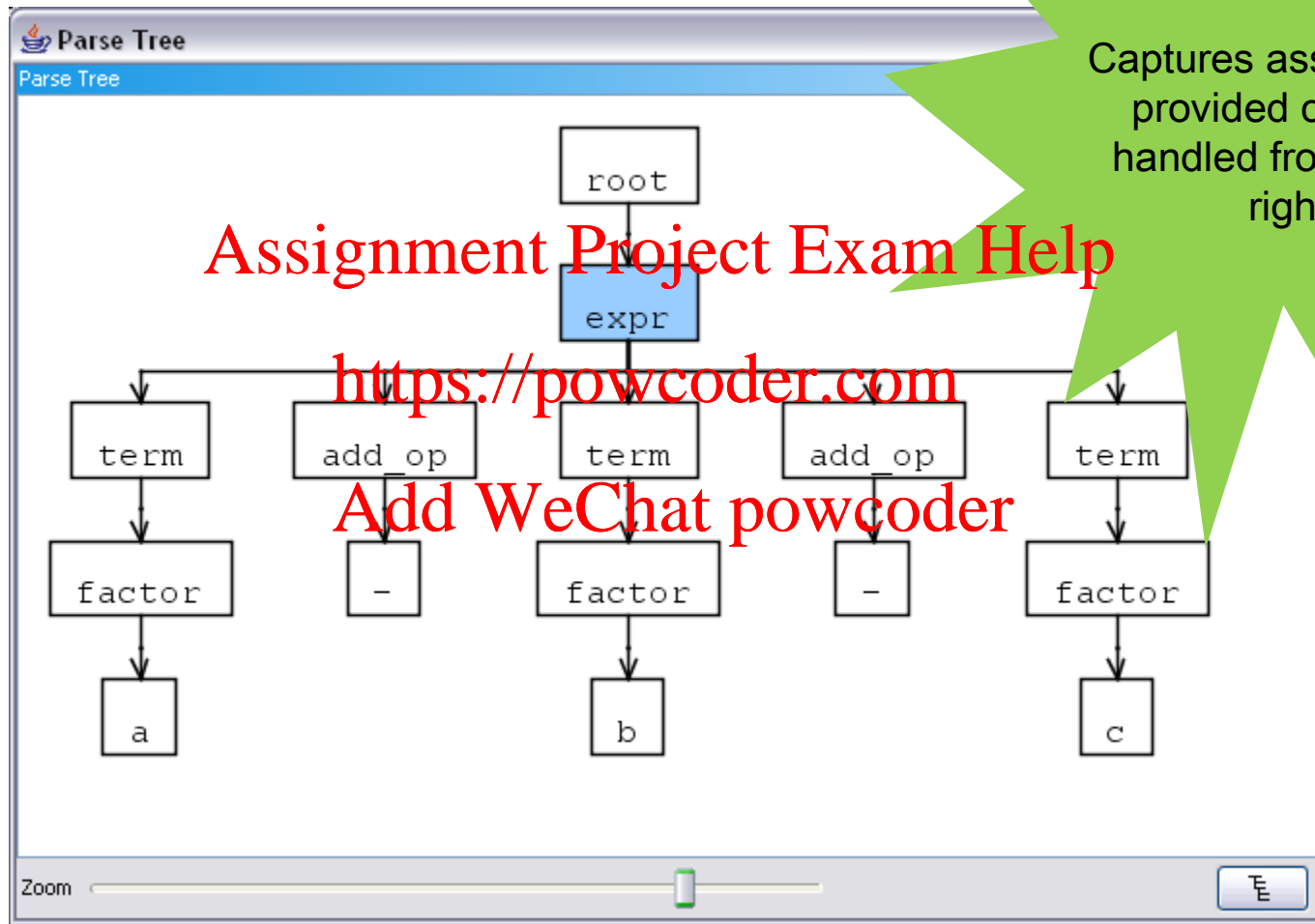
$expr ::= \text{number } (\text{add_op } \text{number})^*$

$\text{add_op} ::= + \mid -$

Parse tree for 3-4-5



Parse tree for 3-4-5



- ▶ Thus the left-recursion in the grammar provided structural information beyond just the set of legal strings.

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- ▶ We need to be somewhat careful when applying transformations.

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- ▶ Note that bottom up parsers can handle left recursion just fine.

Another cause of non-LL(1) ness

Grammar with production of shape

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 $A ::= \alpha \beta \mid \alpha \gamma$
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is not LL(1) Add WeChat powcoder

Common initial part can be factored out

$$A ::= \alpha\beta \mid \alpha\gamma$$

can be transformed using [left factorization](https://powcoder.com)

<https://powcoder.com>

$$A ::= \alpha B$$

$$B ::= \beta \mid \gamma$$

where B is a new non-terminal

We still need $\text{PREDICT}(B ::= \beta)$ and $\text{PREDICT } B ::= \gamma$ to be disjoint

It is often convenient to replace

$$A ::= \alpha B$$
$$B ::= \beta \mid \gamma$$

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with

$$A ::= \alpha (\beta \mid \gamma)$$

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to avoid introducing a new symbol.

Example

$stmt ::= ident := expr \mid ident (arg_list)$

can be transformed to

$stmt ::= ident ident_stmt_tail$
 $ident_stmt_tail ::= := expr \mid (arg_list)$

Or more compactly

$stmt ::= ident (:= expr \mid (arg_list))$

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Example

$stmt ::= \text{ident } ident_stmt_tail$

$ident_stmt_tail ::= := \text{expr} \mid (\text{arg_list})$
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OK!

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Another example

$expr ::= @expr . field_name$
| $@expr . field_name = expr$
| $@expr . method_name (Expr(, Help)^*)$
|

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$field_name ::= ident$
 $method_name ::= ident$

Another example (2)

expr ::= @*expr* . *field_name*

| @*expr* . *field_name* = *expr*

| @*expr* . *method_name* (*Expr* , *Expr*)^{*})

| ...

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field_name ::= *ident*

method_name ::= *ident*

Another example (3)

```
expr ::= @expr . field_name  
| @expr . field_name = expr  
| @expr . method_name (  $\epsilon$  | expr ( , expr ) * )  
| ....
```

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Problem!

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```
field_name ::= ident  
method_name ::= ident
```


Another example (4)

*substitute **ident** for `field_name` and `method_name`*

`expr ::= @ident Project Exam Help`
`| @expr . ident = expr`
`| @expr . ident (ϵ | expr (, expr))`*
`| ...`

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Another example (5)

expr ::= *@expr . ident*

| *@expr . ident* = *expr*

| *@expr . ident* (*expr* | *expr* (*expr*) *)

| ...

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Another example (5)

$expr ::= @expr . ident$

$| @expr . ident = expr$

$| @expr . ident (\epsilon | expr (, expr)^*)$

$| \dots$

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or

$expr ::= @expr . ident$

$(\epsilon | = expr / (\epsilon | expr (, expr)^*))$

Another example (6)

$expr ::= @expr . ident$

$(\epsilon \mid Assignment \mid Project \mid Exam \mid Help)$

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Because of the ϵ we can't be sure this will work
without knowing FOLLOW($expr$) in entire grammar

Transformations do not always work

- ▶ Note that eliminating left recursion and common prefixes does NOT necessarily make a grammar LL
- ▶ There are infinitely many non-LL LANGUAGES, and the mechanical transformations work on most of them
- ▶ The few that arise in practice, however, can generally be handled with kludges

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Systematic construction of parsers

- ▶ Given an LL(1) grammar, we can systematically construct a **recursive descent parser**.
- ▶ Tools exist that will do this automatically, we'll do it by hand.
- ▶ Our first parser will only recognize whether or not a sentence is legal.
- ▶ Later we'll extend the approach to construct an AST (abstract syntax tree)

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Parser construction

- ▶ Compute the PREDICT sets for each production
- ▶ Rewrite the grammar so that each non-terminal is on the left side of exactly one production.
- ▶ For example, change

$A ::= B$

$A ::= C$

to

$A ::= B \mid C$

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- ▶ For each non-terminal A , we will have a method `void A()`.
- ▶ For each possible shape of the rhs of a production

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$A ::= \sigma$ Add WeChat powcoder

we have a rule for constructing the code for the method `A()`;

$\sigma_1 \mid \sigma_2 \mid \dots \sigma_n$ (where none are ε)

becomes code fragment

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```
if (token  $\in$  PREDICT(lhs ::=  $\sigma_1$ )) parse  $\sigma_1$  ;  
else if (token  $\in$  PREDICT(lhs ::=  $\sigma_2$ ))  
    parse  $\sigma_2$  ;  
...  
else if (token  $\in$  PREDICT(lhs ::=  $\sigma_n$ ))  
    parse  $\sigma_n$   
else error()
```

Notation: lhs = left hand side

$\sigma_1 \mid \sigma_2 \mid \dots \mid \sigma_n \mid \varepsilon$

becomes

```
if (token ∈ PREDICT(lhs ::=  $\sigma_1$ )) parse  $\sigma_1$  ;  
  else if (token ∈ PREDICT(lhs ::=  $\sigma_2$  ))  
    parse  $\sigma_2$  ;  
    ...  
  else if (token ∈ PREDICT(lhs ::=  $\sigma_n$  ))  
    parse  $\sigma_n$  ;  
  else just return //this branch matches  $\varepsilon$ 
```

$\sigma_1 \sigma_2 \dots \sigma_n$

becomes

parse σ_1 ;
parse σ_2 ;
...
parse σ_n

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σ^*

becomes **Assignment Project Exam Help**

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while(token \in FIRST(σ)) { parse σ ; }

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A (where A is a non-terminal)

becomes

A(); Assignment Project Exam Help

(i.e. just invoke the method for A)
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c (where a is a terminal symbol)

becomes

```
match(c);
```

where

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```
match(c)
{
  if ( current token is c )
  {
    get next token from scanner;
  }
  else error();
}
```

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It may be worthwhile to simplify the grammar before starting.

For example,

$A ::= B \mid xC$

$C ::= D$

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could be simplified to

$A ::= B \mid xD$

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Also, code can be simplified after the fact to eliminate redundant tests, etc.

Implementing a Parser in Java

$expr ::= term ((+ | -) term)^*$

$term ::= factor ((* | /) factor)^*$

$factor ::= intlit | (expr)$

We are given a Scanner and Token class

Class SimpleParser

```
public class SimpleParser
```

```
{
```

```
    Scanner scanner; //Token producer
```

```
    Token t; //next token
```

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```
    Parser(Scanner scanner) {
```

```
        this.scanner = scanner;
```

```
        t = scanner.nextToken();
```

```
}
```

```
Token consume()
{
    t = scanner.getNext();
}
```

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```
void match(Kind kind){
    if( t.isKind(kind)){
        consume();
    } else handle error
}
```

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// *expr ::= term ((+ | -) term)**

```
public void expr()
{
    term();
    while (t.isKind(PLUS) || t.isKind(MINUS))
    {
        if (t.isKind(PLUS))
        {
            match(PLUS);
        }
        else if (t.isKind(MINUS))
        {
            match(MINUS);
        }
        term();
    }
}
```

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*term ::= factor ((* | /) factor)**

same shape as `expr()`—here we use switch statement

```
void term()
{
    factor();
    while (t.isKind(TIMES) || t.isKind(DIV))
    {
        switch (t.kind)
        {
            case TIMES:
                match(TIMES);
                break;
            case DIV:
                match(DIV);
                break;
        }
        factor();
    }
}
```

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*term ::= factor ((* | /) factor)**

same shape as `expr()`

```
void term()
{
    factor();
    while (t.isKind(TIMES) || t.isKind(DIV))
    {
        switch (t.kind)
        {
            case TIMES:
                consume(); // match(TIMES);
                break;
            case DIV:
                consume (); //match(DIV);
                break;
        }
        factor();
    }
}
```

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Slightly
simplified

*term ::= factor ((* | /) factor)**

```
void term()
{
    factor();
    while (t.isKind(TIMES) || t.isKind(DIV))
    {
        switch (t.kind)
        {
            case TIMES:
                consume();
                break;
            case DIV:
                consume ();
                break;
        }
        factor();
    }
}
```

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Simplified
again

// *term ::= factor ((* | /) factor)**

```
void term()
```

```
{
```

```
    factor();
```

```
    while (t.isKind(TIMES) || t.isKind(DIV))
```

```
    {
```

```
//      switch (t.kind)
```

```
//      {
```

```
//          case TIMES: case DIV
```

```
//      consume();
```

```
//          break;
```

```
//      }
```

```
    factor();
```

```
}
```

```
}
```

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And again

factor ::= int_lit | (expr)

```
void factor() {  
    if (t.isKind(INT_LIT)) {  
        match(INT_LIT);  
    } else if (t.isKind(LPAREN)) {  
        match(LPAREN);  
        expr();  
        match(RPAREN);  
    }  
    else handle error  
}
```

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- ▶ We showed how to systematically construct a recursive descent parser for a language specified by an LL(1) grammar

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- ▶ Next
 - Example to show that the parser really does implement the top down parsing algorithm
 - Error handling in recursive descent parsers

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Recap of example

$expr ::= term \ (\ + \ | \ - \) \ term \)^*$
 $term ::= factor \ (\ * \ | \ / \) \ factor \)^*$
 $factor ::= int \ | \ lit \ | \ (\ expr \)$

```

public void expr() // expr ::= term ( ( + | - ) term ) *
{
    term();
    while (t.isKind(PLUS) || t.isKind(MINUS)) { consume(); term(); }
    return;
}

```

```

void term() // term ::= factor ( ( * | / ) factor ) *
{
    factor();
    while (t.isKind(TIMES) || t.isKind(DIV)) { consume(); factor(); }
    return;
}

```

```

void factor() // factor ::= int_lit | ( expr )
{
    if (t.isKind(INT_LIT)) { consume(); }
    else if (t.isKind(LPAREN))
    { consume(); expr(); match(RPAREN); }
    else error();
    return;
}

```

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Sentence to parse:

3 - (4 * 5)

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Tokens:

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num_lit(3) minus lparen,

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num_lit(4), times, numlit(5),

rparen

<i>expr</i>	3 – (4 * 5)	expr
<i>term</i> ((+ -) <i>term</i>)*	3 – (4 * 5)	term
<i>factor</i> ((* /) <i>factor</i>)* ((+ -) <i>term</i>)*	3 – (4 * 5)	factor
<i>int_lit</i> ((* /) <i>factor</i>)* ((+ -) <i>term</i>)*	3 – (4 * 5)	if (intlit) consume() return from factor
((* /) <i>factor</i>)* ((+ -) <i>term</i>)*	– (4 * 5)	return from term
((+ -) <i>term</i>)*	– (4 * 5)	if + or - consume
(+ -) <i>term</i> ((+ -) <i>term</i>)*	– (4 * 5)	
<i>term</i> ((+ -) <i>term</i>)*	(4 * 5)	term
<i>factor</i> ((* /) <i>factor</i>)* ((+ -) <i>term</i>)*	(4 * 5)	factor
(<i>expr</i>) ((* /) <i>factor</i>)* ((+ -) <i>term</i>)*	(4 * 5)	if (consume

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<i>expr</i> $((* /) factor)^*$ $((+ -) term)^*$	$4 * 5)$	expr
<i>term</i> $((+ -) term)^*) ((* /)$ <i>factor</i> $)^*$ $((+ -) term)^*$	$4 * 5)$	term
<i>factor</i> $((* /) factor)^* ((+ -)$ <i>term</i> $)^*) ((* /) factor)^* ((+ -)$ <i>term</i> $)^*$	$4 * 5)$	factor
<i>int_lit</i> $((* /) factor)^* ((+ -)$ <i>term</i> $)^*) ((* /) factor)^*$ $((+ -) term)^*$	$4 * 5)$	if intlit consume return from factor
$((* /) factor)^* ((+ -) term)^*) ($ $((* /) factor)^*$ $((+ -) term)^*$	$* 5)$	while * or /
$* factor ((* /) factor)^* ((+ -)$ <i>term</i> $)^*) ((* /) factor)^*$ $((+ -) term)^*$	$* 5)$	consume

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<i>factor</i> ((* /) <i>factor</i>) * ((+ -) <i>term</i>) *) ((* /) <i>factor</i>) * ((+ -) <i>term</i>) * <i>factor</i> ((* /) <i>factor</i>) * ((+ -) <i>term</i>) *) ((* /) <i>factor</i>) * ((+ -) <i>term</i>) *	5)	factor
<i>int_lit</i> ((* /) <i>factor</i>) * ((+ -) <i>term</i>) *) ((* /) <i>factor</i>) * ((+ -) <i>term</i>) *	5)	if (intl it) consume return from factor
((* /) <i>factor</i>) * ((+ -) <i>term</i>) *) ((* /) <i>factor</i>) * ((+ -) <i>term</i>) *		not * or / , terminate while loop and return from term
((+ -) <i>term</i>) *) ((* /) <i>factor</i>) * ((+ -) <i>term</i>) *)	not + or - terminate while loop and return from expr
) ((* /) <i>factor</i>) * ((+ -) <i>term</i>) *)	match) return from factor
((* /) <i>factor</i>) * ((+ -) <i>term</i>) *	eof	not * or / , end while return from term
((+ -) <i>term</i>) *		not + or - , terminate while loop and return from expr

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► Syntax Errors

- Read Scott section 2.3.5 (in the online supplement) through Exception based errors
- We will discuss several approaches
 - Halting
 - Syntax error recovery
 - Panic mode recovery
 - Phrase level recovery
 - Context specific
 - Exception based
- [http://Scott 4e Online Supplement](http://Scott4eOnlineSupplement)

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▶ Halting

- When an error is detected, just stop and print message
- This is easy for the compiler implementer, but inconvenient for the programmer using the compiler

▶ Syntax error recovery

- compiler continues looking for errors
- high quality error recovery essential for production compilers
- **Problem:** how to avoid cascading errors

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► Panic mode recovery

- Define small set of **safe** symbols that delimit “clean” points in the input
- When an error occurs, delete input tokens until a safe symbol is encountered
- Return from subroutine until the parser is in a context where the new symbol might occur
- Tends to generate lots of spurious errors in most languages

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▶ Phrase level recovery

- Use different sets of safe symbols in different contexts
- When a parsing routine for non-terminal A discovers an error at its beginning, it deletes tokens until it find one that is in $FIRST(A)$ and proceeds, or a member of $FOLLOW(A)$ and returns

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```

void factor() //factor ::= int_lit | ( expr )
{
    if (! (t.isKind(INT_LIT) || t.isKind(LPAREN)))
    { report error
      do { t = s.next(); }
      while ( t not in FIRST(factor) && t not in FOLLOW(factor) )
    }
    if (t.isKind(INT_LIT)) { consume(); }
    else if (t.isKind(LPAREN))
    { consume(); expr(); match(RPAREN); }
    //else error();
    return();
}

```

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```

void factor() //factor ::= int
{
    if (! (t.isKind(INT_LIT) || FOLLOW(t)))
    {
        report error
        do { t = s.next();
            while ( t not in FIRST(FOLLOW(t)))
            {
                if (t.isKind(INT_LIT)) { consume(); }
            }
            else if (t.isKind(LPAREN))
            { consume(); expr(); match(RPAREN); }
            //else error();
        }
        return();
    }
}

```

if not the expected token, match just reports the error and returns, effectively inserting the expected token

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match(RPAREN); }

```

void factor() //factor ::= int_lit | ( expr )
{
    if (! (t.isKind(INT_LIT) || t.isKind(LPAREN)))
    { report error
      do { t = s.next(); }
      while ( t not in FIRST(factor) && t not in
        FOLLOW(factor)
    }
    if (t.isKind(INT_LIT)) { consume(); }
    else if (t.isKind(LPAREN))
    { consume(); expr(); match(RPAREN);
      //else error();
      else return();
    }
}

```

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This example isn't
very interesting—
all tokens except
(are in
FOLLOW(factor)

More general description of phrase level recovery from Scott

```
procedure foo
  if (input_token  $\notin$  FIRST(foo)) and ( $\epsilon \notin$  FIRST(foo))
    report_error -- print message for the user
    repeat
      delete_token
    until input_token  $\in$  (FIRST(foo)  $\cup$  FOLLOW(foo)  $\cup$  { $\epsilon$ })
  case input_token of
    ...: ...
    ...: ...
    ...: ...
  otherwise return -- error or foo  $\rightarrow \epsilon$ 
```

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If $\text{foo} \rightarrow \epsilon$, this approach tends to PREDICT ϵ and return when it should detect an error—

More general description of phrase level recovery from Scott

```
procedure foo
  if (input_token  $\notin$  FIRST(foo)) and ( $\epsilon$   $\notin$  FIRST(foo))
    report_error -- print message for the user
    repeat
      delete_token
    until input_token  $\in$  (FIRST(foo)  $\cup$  FOLLOW(foo)  $\cup$  { $\epsilon$ })
  case input_token of
    ...: ...
    ...: ...
    ...: ...
  otherwise return -- error or foo  $\rightarrow \epsilon$ 
```

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A symbol may be in FOLLOW set because it can follow *foo* somewhere, but not in the particular context

- ▶ Context-specific look-ahead (Wirth '76)
 - Tokens may follow A somewhere in a valid program (and thus be in FOLLOW(A)) but are not necessarily allowed at a particular place.
 - Let the follow set be context-dependent
 - Pass in FOLLOW set in recursive calls
 - Otherwise, the same as phrase-level recovery
 - Example
 - `stmt ::= ident := expr ;`
 - would pass SEMI when calling `expr` here,
 - `factor ::= (expr)`
 - would pass RPAREN when calling `expr` here,
 - Additional heuristic—don't delete tokens that start major constructs that require matching tokens later (BEGIN, WHILE, etc)

▶ Exception-based error recovery

- Choose small set of contexts to back-out to when an error occurs (for example the beginning of code to handle a declaration, or a statement)
- Attach exception handler to blocks of code that should implement recovery
- When error is detected—raise an exception (throw in Java)
- The system unwinds the stack to find most recent block of code with a handler.
- The handler can continue, or re-throw the exception.

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Aside: Exceptions in Java

class SyntaxException extends Exception{

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- ▶ Can add fields for additional information, constructors, etc. <https://powcoder.com>
- ▶ When an exception occurs
 - the block is exited.
 - If there is no handler, the exception is propagated to enclosing block.
 - Propagation continues until the exception is caught.
 - If the exception reaches the outermost block without being caught, the program terminates.

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```
void factor() throws SyntaxException
{
    if (t.isKind(INT_LIT)) {
        consume();
    }
    else if (t.isKind(LPAREN)) {
        consume();
        expr();
        match(RPAREN);
    }
    //else error();
    else throw new SyntaxException(...);
}
```

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```

public void expr()
{ try{
    term();
    while (t.isKind(PLUS) || t.isKind(MINUS))
    { consume();
      term();
    }
  }
  catch (SyntaxException e)
  { while (t not EOF)
    { if (t in FIRST(expr) expr(); return;}
      else if (t in FOLLOW(expr): return;}
      else t = s.next();
    }
  }
}

```

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Tools for parser generation

- ▶ Lex and Yacc
 - ▶ ANTLR
 - LL(*)
 - ▶ LPG
 - LR(k), backtracking possible
 - ▶ Xtext
 - based on ANTRL
 - creates simple eclipse IDE
 - ▶ many, many more
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ANTLR

- ▶ ANTLR (ANother Tool for Language Recognition)
- ▶ Reads a grammar file and generates a
 - lexer
 - parser
- ▶ Can generate the lexer and parser in several languages (maybe)
 - java, c/c++, c#, python, ruby, ...
- ▶ Can include target language fragments in the grammar file that will be included in the generated parser.
- ▶ More info at www.antlr.org
- ▶ Accepts LL grammars, including LL(*) (unbounded lookahead)
 - Holds the entire input string in memory.
 - Approach only feasible recently, but necessary for IDEs such as eclipse.

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