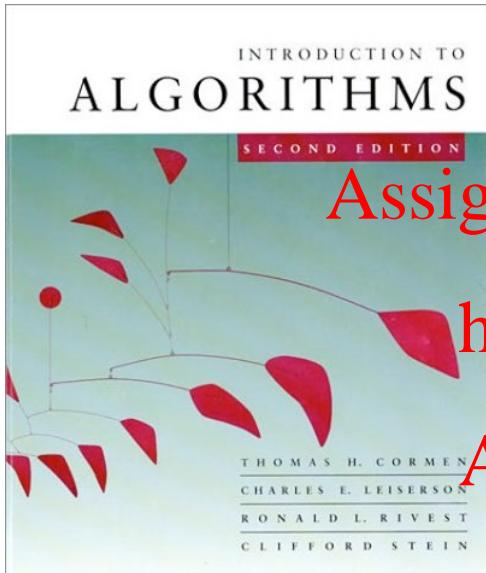


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# COT5405 Analysis of Algorithms

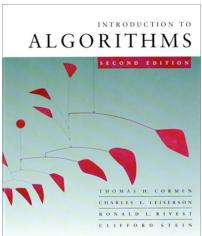


## LECTURE 14-15

### Dynamic Programming vs Greedy Algorithms

- MatrixChain Multiplication
- Activity Selection Problem
- Optimal substructure
- Greedy Selection
- Knapsack Problem

Prof. Alper Üngör

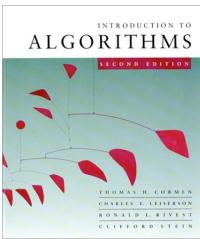


# Add WeChat powcoder Matrix Chain Multiplication

Given a sequence (chain) of  $n$  matrices  $A_1, A_2, \dots, A_n$ , where ~~Assignment Project Exam Help~~

<https://powcoder.com>  
Compute their product  $A_1 \cdot A_2 \cdot \dots \cdot A_n$  using the  
minimum number of scalar multiplications

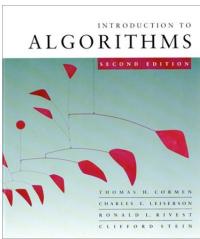
Find a parenthesization that minimizes the number  
of multiplications



# Add WeChat powcoder Optimal Substructure

**Notation.** Let  $A_{i,j} = A_i \cdot \dots \cdot A_j$  for  $i \leq j$

- Consider an optimal parenthesization for  $A_{i,j}$   
Say it splits  $\text{Assignment}^k = \text{Project}(\text{Exam}(A_1 \cdot \dots \cdot A_j))$
- Then, the parenthesization of the prefix  $A_i \cdot \dots \cdot A_k$   
<https://powcoder.com>  
within the optimal parenthesization of  $A_{i,j}$  must be an  
optimal parenthesization of  $A_{i,k}$ .



# Add WeChat powcoder Optimal Substructure

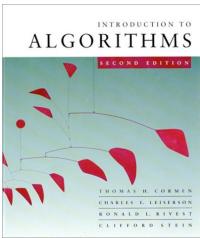
**Notation.** Let  $A_{i,j} = A_i \cdot \dots \cdot A_j$  for  $i \leq j$

- Consider an optimal parenthesization for  $A_{i,j}$

Say it splits  $\text{Assignment}^k \text{so } A_{i,j} = (\text{Project. Exam. Help.} \dots \cdot A_j)$

- Then, the parenthesization of the prefix  $A_i \cdot \dots \cdot A_k$  within the optimal parenthesization of  $A_{i,j}$  must be an optimal parenthesization of  $A_{i,k}$ .

(**Proof.** Suppose it is not optimal, then there exists a better parenthesization for  $A_{i,k}$ . Copy and paste this parenthesization into the parenthesization for  $A_{i,j}$ . This yields a better parenthesization for  $A_{i,j}$ . Contradiction.)



# Add WeChat powcoder Dynamic programming

$m[i,j]$  = minimum number of scalar multiplications to compute  $A_{ij}$ . We want to compute  $m[1,n]$

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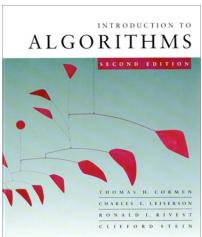
$$A_{i,j} = \underbrace{(A_i \cdot \dots \cdot A_k)}_{p_i \times p_k} \cdot \underbrace{(A_{k+1} \cdot \dots \cdot A_j)}_{p_k \times p_j}$$

<https://powcoder.com>

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Recurrence for optimal substructure:

- $m[i,i] = 0$  for  $i=1,2,\dots,n$
- $m[i,j] = \min_{i \leq k < j} \{ m[i,k] + m[k+1,j] + p_{i-1} p_k p_j \}$



# Add WeChat powcoder Naive or Recursive Approach

- Enumerate all possible parenthesizations
- Implement the described recursion directly

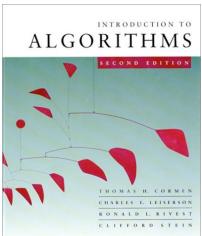
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The runtime of both algorithms is  $\Omega(2^n)$

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- Overlapping subproblems!

There are only  $O(n^2)$  different problems



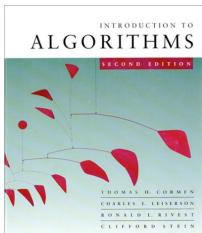
# Add WeChat powcoder Dynamic Programming

Fill the 2 dimensional  $m[i,j]$ -table bottom-up

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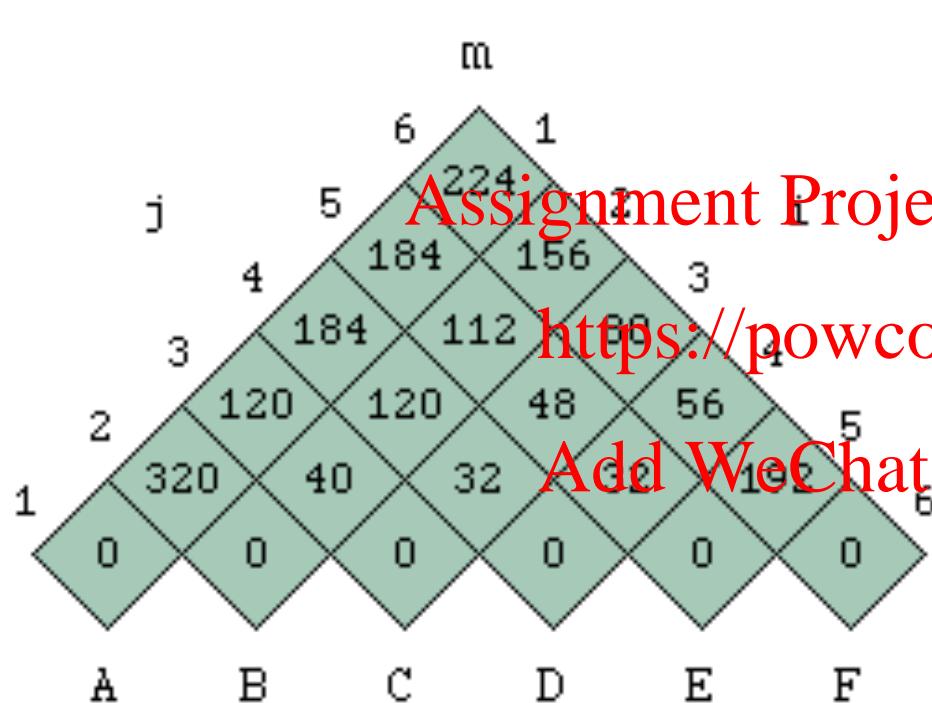
For the construction of the optimal parenthesization,  
use an additional array  $s[i,j]$  that records that value  
of  $k$  for which the ~~Add WeChat powcoder~~ and stored in  
 $m[i,j]$

- $m[1,n]$  is the desired value



# Add WeChat powcoder MatrixChain Mult. Example

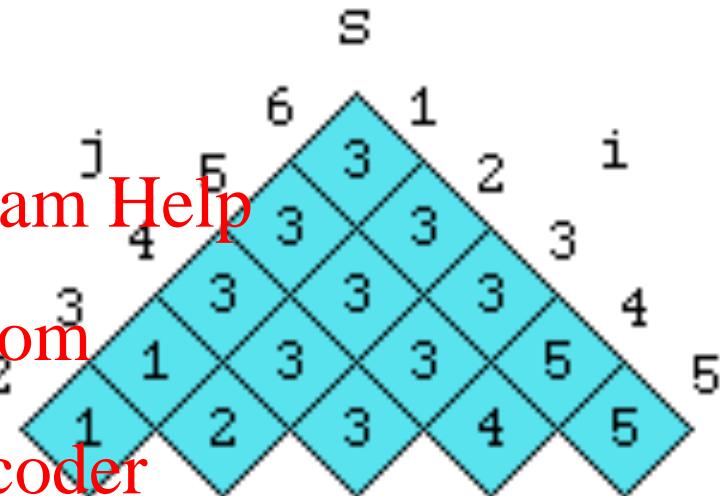
$A_1, \dots A_6$  with sizes 8x10, 10x4, 4x1, 1x8, 8x4, 4x6



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<https://powcoder.com>

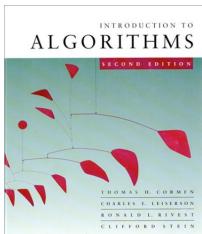
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Nice Visualization/Animaiton of this Algorithm:

<http://www.brian-borowski.com/Software/Matrix/>

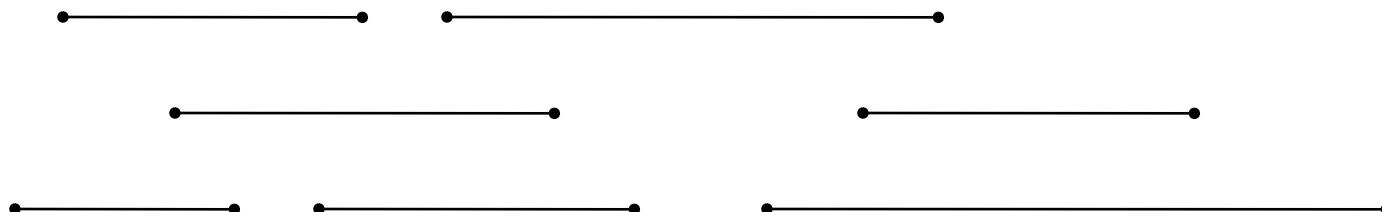
[http://www.cs.auckland.ac.nz/software/AlgAnim/mat\\_chain.html#mat\\_chain\\_anim](http://www.cs.auckland.ac.nz/software/AlgAnim/mat_chain.html#mat_chain_anim)

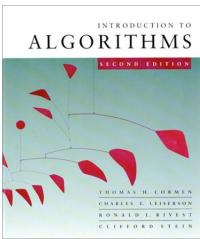


# Add WeChat powcoder Activity Selection Problem

- ◆ Input: Set  $S$  of  $n$  activities,  $a_1, a_2, \dots, a_n$ .
  - »  $s_i$  = start time of activity  $i$ .
  - »  $f_i$  = finish time of activity  $i$ .
- ◆ Output: Subset  $A$  of maximum number of compatible activities.
  - » Two activities are compatible, if their intervals don't overlap.

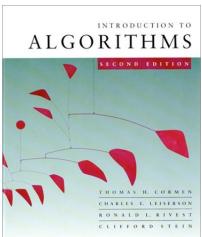
Example:





# Add WeChat powcoder Optimal Substructure

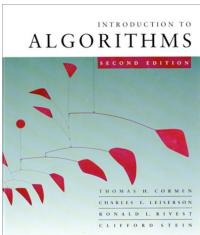
- ◆ Assume activities are sorted by finishing times.
  - »  $f_1 \leq f_2 \leq \dots \leq f_n$ .
- ◆ Suppose an optimal project exists. Activity  $a_k$ .
  - » This generates two subproblems <https://powcoder.com>
  - » Selecting from  $a_1, \dots, a_{k-1}$ , activities compatible with one another, and that finish before  $a_k$  starts (compatible with  $a_k$ ).
  - » Selecting from  $a_{k+1}, \dots, a_n$ , activities compatible with one another, and that start after  $a_k$  finishes.
  - » The solutions to the two subproblems must be optimal.
    - Prove using the cut-and-paste approach.



# Add WeChat powcoder Recursive formulation

- ◆ Let  $S_{ij}$  = subset of activities in  $S$  that start after  $a_i$  finishes and finish before  $a_j$  starts.  
**Assignment Project Exam Help**  
<https://powcoder.com>
- ◆ Subproblems: Selecting maximum number of mutually compatible activities from  $S_{ij}$ .
- ◆ Let  $c[i, j]$  = size of maximum subset of mutually compatible activities in  $S_{ij}$ .

$$c[i, j] = \begin{cases} 0 & \text{if } S_{ij} = \emptyset \\ \max_{i < k < j} \{c[i, k] + c[k, j] + 1\} & \text{if } S_{ij} \neq \emptyset \end{cases}$$



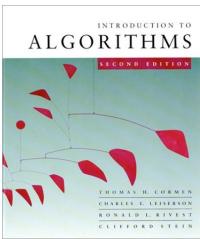
# Add WeChat powcoder Can we do better?

**Theorem.** Consider any non-empty subproblem  $S_{ij}$ , and  $a_m$  be the activity in  $S_{ij}$  with earliest finish time. Then,

- i) Activity  $a_m$  is used in some maximum size subset of mutually compatible activities of  $S_{ij}$
- ii) The first subproblem  $S_m$  is empty.

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<https://powcoder.com>



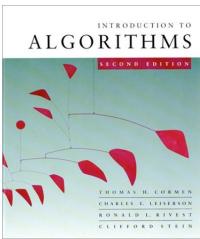
# Add WeChat powcoder Can we do better?

**Theorem.** Consider any non-empty subproblem  $S_{ij}$ , and  $a_m$  be the activity in  $S_{ij}$  with earliest finish time. Then,

- i) Activity  $a_m$  is assigned in some maximum subset of mutually compatible activities of  $S_{ij}$ .  
<https://powcoder.com>
- ii) The first subproblem  $S_{im}$  is empty.

**Proof.** (ii) Suppose  $S_{im}$  is non-empty. There exists some activity  $a_k$  such that  $f_i \leq s_k < f_k \leq s_m < f_m$ . Then  $a_k$  is also in  $S_{ij}$  and it has earlier finish time than  $a_m$ .

Contradiction.



# Add WeChat powcoder Can we do better?

**Theorem.** Consider any non-empty subproblem  $S_{ij}$ , and  $a_m$  be the activity in  $S_{ij}$  with earliest finish time. Then,

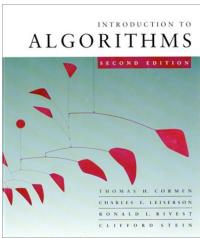
- i) Activity  $a_m$  is assigned in some maximum subset of mutually compatible activities of  $S_{ij}$ .  
<https://powcoder.com>
- ii) The first subproblem  $S_{im}$  is empty.

**Proof.** (i) Let  $A_{ij}$  be an opt solution for  $S_{ij}$ . let  $a_k$  be the activity with earliest finish in  $A_{ij}$ . If  $a_k = a_m$ , we are done.

Otherwise, construct a new solution

$$A'_{ij} = A_{ij} - \{a_k\} + \{a_m\}$$

which is also an optimum feasible solution.



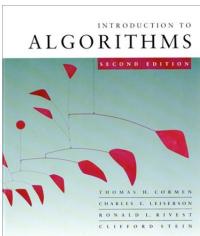
# Add WeChat powcoder Implication

**Theorem.** Consider any non-empty subproblem  $S_{ij}$ , and  $a_m$  be the activity in  $S_{ij}$  with earliest finish time. Then,

- i) Activity  $a_m$  is assigned in some maximum subset of mutually compatible activities of  $S_{ij}$ .  
<https://powcoder.com>
- ii) The first subproblem  $S_{im}$  is empty.

**Implication**      Add WeChat powcoder

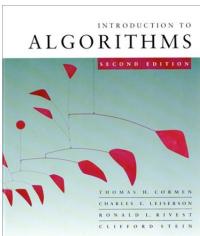
- ii) solve only one of the two of subproblems.
- i) a simple top-down approach. pick the job with the earliest finish time. **Greedy Algorithm!**



# Add WeChat powcoder Recursive Greedy Algorithm

## Recursive-Activity-Selector ( $s, f, i, j$ )

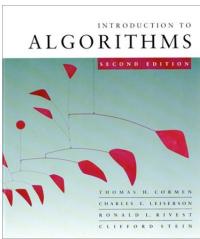
1.  $m \leftarrow i+1$  Assignment Project Exam Help
2. **while**  $m \leq j$  and  $s_m < f_i$  <https://powcoder.com>
3.     **do**  $m \leftarrow m+1$
4. **if**  $m \leq j$  Add WeChat powcoder
5.     **then return**  $\{a_m\} \cup$   
            Recursive-Activity-Selector( $s, f, m, j$ )
6.     **else return**  $\emptyset$



# Add WeChat powcoder Iterative Greedy Algorithm

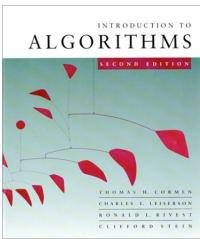
## Greedy-Activity-Selector ( $s, f$ )

1.  $n \leftarrow \text{length}[s]$
2.  $A \leftarrow \text{Assignment Project Exam Help}$
3.  $i \leftarrow 1$
4. **for**  $m \leftarrow 2$  **to**  $n$
5.     **do if**  $s_m < f_i$  Add WeChat powcoder
6.     **then**  $A \leftarrow A \cup \{a_m\}$
7.          $i \leftarrow m$
8. **return**  $A$



# Add WeChat powcoder Recap of Greedy Strategy

- ◆ Cast the optimization problem as one in which we make a choice and are left with one subproblem to solve.
- ◆ Prove that there's always an optimal solution that makes the greedy choice, so that the greedy choice is always safe.
- ◆ Show that greedy choice and optimal solution to subproblem  $\Rightarrow$  optimal solution to the problem.
- ◆ Make the greedy choice and **solve top-down**.
- ◆ May have to **preprocess** input to put it into greedy order.
  - » Example: Sorting activities by finish time.



# Add WeChat powcoder Why not use all the time?

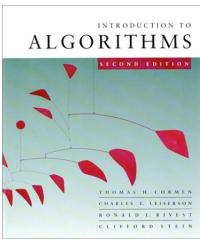
- ◆ **Matrix Chain Multiplication Problem.**

Greedy Strategy: do the leftmost multiplication first;  
do the rightmost multiplication first;  
do the cheapest product first;  
do the product  $A_{ik}A_{kj}$  with largest  $k$  first;

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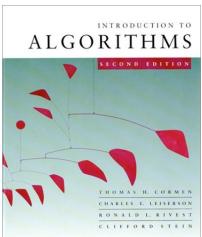
- ◆ **Longest Common Subsequence.**

Greedy Strategy: ???



# Add WeChat powcoder Knapsack Problem

- Given a knapsack with weight  $W > 0$ .  
A set  $S$  of  $n$  items with weights  $w_i > 0$  and  
benefits  $b_i > 0$  for  $i = 1, \dots, n$ .  
**Assignment Project Exam Help**
- $S = \{ (item_1, w_1, b_1), (item_2, w_2, b_2) , \dots , (item_n, w_n, b_n) \}$
- Find a subset of the items which does not exceed the  
weight  $W$  of the knapsack and maximizes the  
benefit.



# Add WeChat powcoder 0/1 Knapsack Problem

Determine a subset  $A$  of  $\{ 1, 2, \dots, n \}$  that satisfies the following:

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$$\max \sum_{i \in A} b_i \text{ where } \sum_{i \in A} w_i \leq W$$

https://powcoder.com

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In 0/1 knapsack a specific item is either selected or not

## Add WeChat powcoder Variations of the Knapsack problem

- **Fractions are allowed.** This applies to items such as:
  - bread, for which taking half a loaf makes sense
  - gold dust
- **No fractions.**
  - 0/1 (1 brown pants, 1 green shirt...)
  - Allows putting many items of same type in knapsack
    - 5 pairs of socks
    - 10 gold bricks
  - More than one knapsack, etc.
- First 0/1 *knapsack* problem will be covered then the Fractional *knapsack* problem.

# Add WeChat powcoder Brute force!

- Generate all  $2^n$  subsets
  - Discard all subsets whose sum of the weights exceed  $W$  (*not feasible*)
  - Select the maximum total benefit of the remaining (feasible) subsets
- Add WeChat powcoder**
- What is the run time?  
 $O(n 2^n)$ ,  $\Omega(2^n)$
  - Lets try the obvious greedy strategy .

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## Example with “brute force”

$S = \{ (item_1, 5, \$70), (item_2, 10, \$90), (item_3, 25, \$140) \}$ ,  $W=25$

- Subsets:

1. {}

2. { (  $item_1$  , 5, \$70 ) } Profit=\$70

3. { (  $item_2$  , 10, \$90 ) } Profit=\$90

4. { (  $item_3$  , 25, \$140 ) } Profit=\$140

5. { (  $item_1$  , 5, \$70 ), (  $item_2$  , 10, \$90 ) }, Profit=\$160 \*\*\*\*

6. { (  $item_2$  , 10, \$90 ), (  $item_3$  , 25, \$140 ) } exceeds  $W$

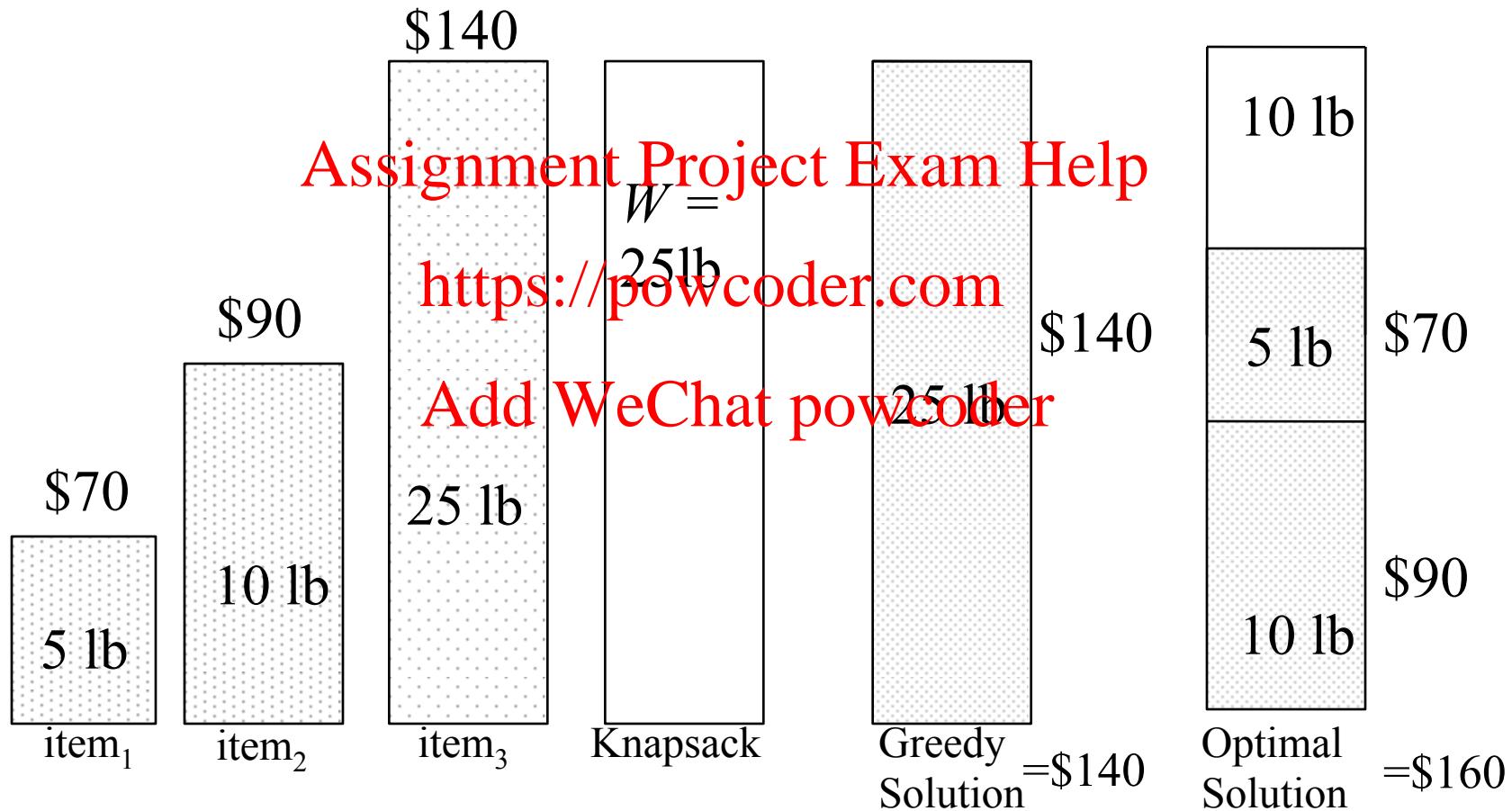
7. { (  $item_1$  , 5, \$70 ), (  $item_3$  , 25, \$140 ) } exceeds  $W$

8. { (  $item_1$  , 5, \$70 ), (  $item_2$  , 10, \$90 ), (  $item_3$  , 25, \$140 ) } exceeds  $W$

# Greedy 1: Selection criteria: *Maximum beneficial item.*

Counter Example:

$$S = \{ (item_1, 5, \$70), (item_2, 10, \$90), (item_3, 25, \$140) \}$$

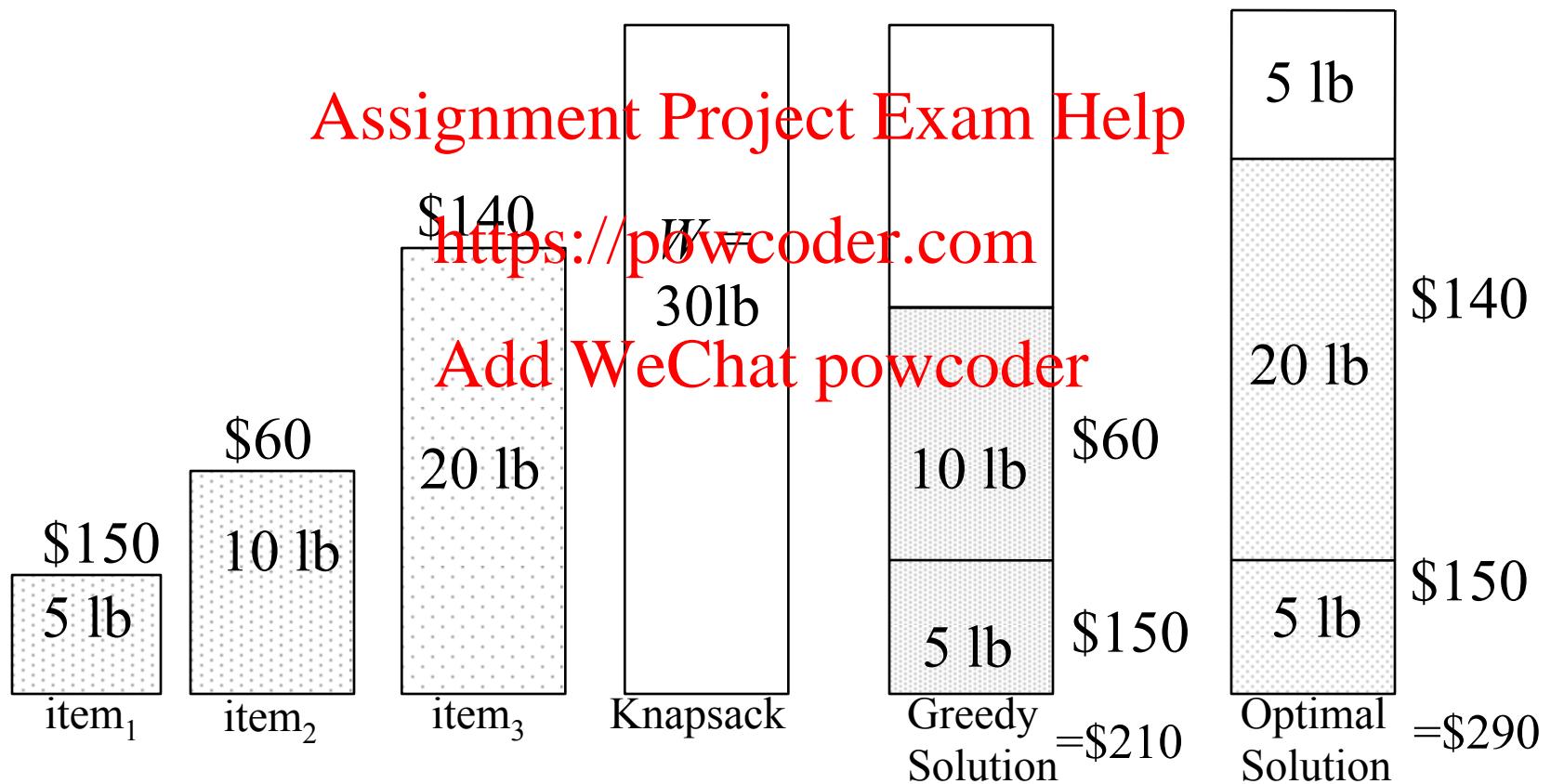


## Add WeChat powcoder

### Greedy 2: Selection criteria: *Minimum weight item*

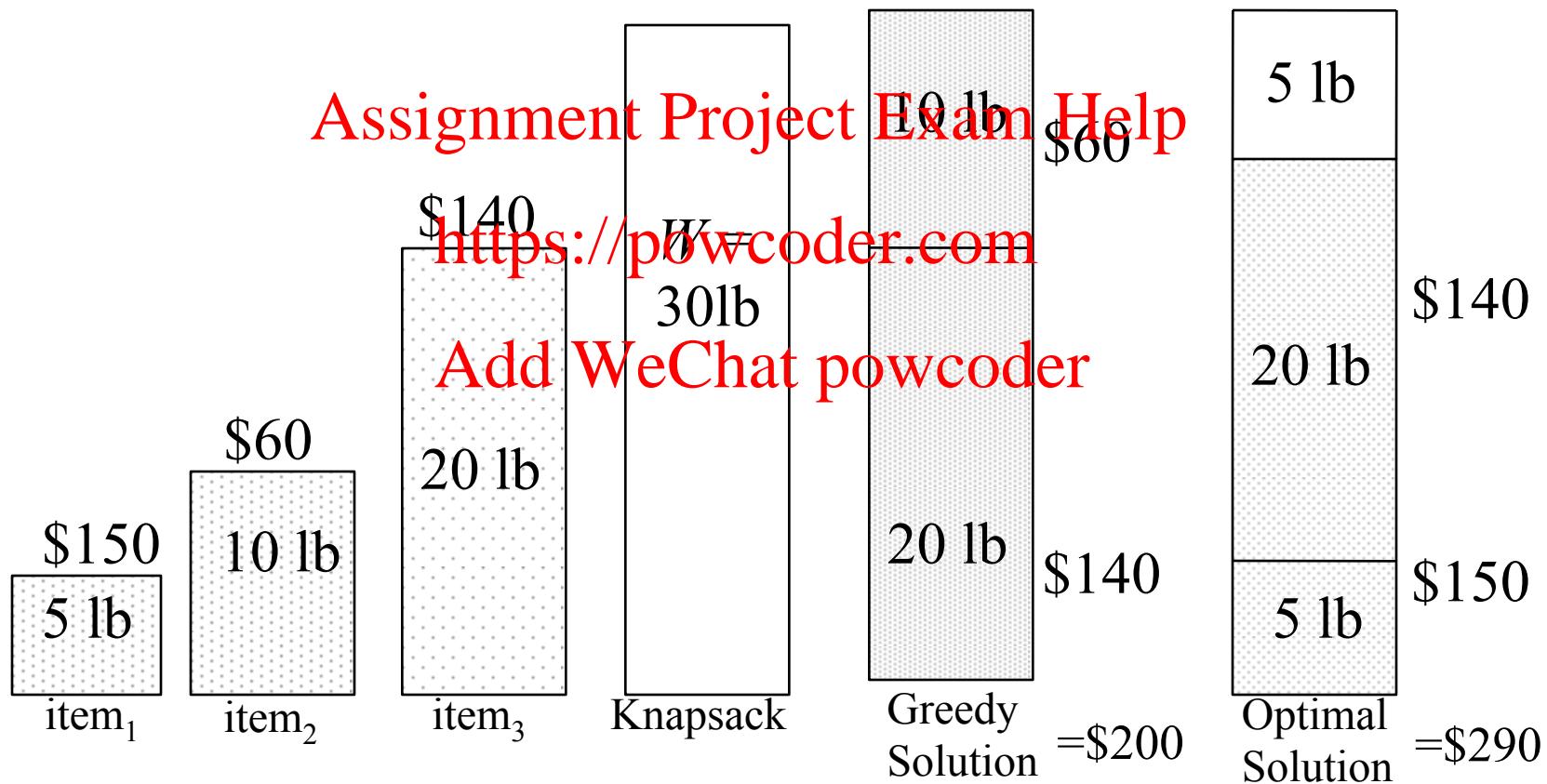
#### Counter Example:

$$S = \{ (item_1, 5, \$150), (item_2, 10, \$60), (item_3, 20, \$140) \}$$



## Add WeChat powcoder Greedy 3: Selection criteria: Maximum weight item Counter Example:

$$S = \{ (item_1, 5, \$150), (item_2, 10, \$60), (item_3, 20, \$140) \}$$



## Greedy 4: Selection criteria: ~~Add WeChat powcoder~~ Maximum benefit per unit item Counter Example

$$S = \{ (item_1, 5, \$50), (item_2, 20, \$140), (item_3, 10, \$60) \}$$

