

Version A

Multiple choice: **b, c, a, e, c, c, d, b, b, a**

- (b): This is the only codeword which has 1221 in the information positions.
- (c): If the sent codeword is \mathbf{x} , then $\mathbf{y} = \mathbf{x} + a\mathbf{e}_i$ for some $a \in \mathbb{Z}_3$ and $i \in \{1, \dots, 7\}$.
Since $S(\mathbf{y}) = H\mathbf{y}^T = 022^T = 2H\mathbf{e}_5^T$, twice the 5th column of H , and that $S(\mathbf{y}) = aH\mathbf{e}_i^T$, we see that $a = 2$ and $i = 5$, so $\mathbf{x} = \mathbf{y} - 2\mathbf{e}_5 = 0021010$, so $\mathbf{m} = 1010$.
- (a): 1001 and 0121 are the only codewords and, of these, only 1001 can encode 10.
- (e): By trial and error, we see that none of the four words for \mathbf{c}_4 are suitable:

$$(a) \mathbf{c}_4\mathbf{c}_4 = \mathbf{c}_2 \quad (b) \mathbf{c}_4 = \mathbf{c}_1\mathbf{c}_2 \quad (c) \mathbf{c}_4 = \mathbf{c}_1\mathbf{c}_1\mathbf{c}_1 \quad (d) \mathbf{c}_4\mathbf{c}_2\mathbf{c}_4 = \mathbf{c}_1\mathbf{c}_3\mathbf{c}_3\mathbf{c}_1$$

- (c): The Kraft-McMillan number $K = \sum \frac{1}{2^{\ell_i}}$ must be at most 1 for UD codes.
Testing values of $\ell = 1, 2, 3, \dots$ gives us that $\ell = 4$ is the minimum length that satisfies this.
You can also draw a decision tree.

- (c): Encode the message $ba\bullet$:

	subinterval	start	width
begin	0	0	1
b	0.4	0	0.3
a	0.4	$0.4 \times 0.3 = 0.12$	
\bullet	$0.4 + 0.7 \times 0.12 = 0.484$	$0.3 \times 0.12 = 0.036$	

so the message encodes as a number in the interval $[0.484, 0.52)$.

- (d): 1. b 2. a 3. aa 4. aab 5. aaa
- (b): Use one dummy symbol (and Knuth's Theorem to save time).
- (b): $\lim_{n \rightarrow \infty} \frac{L_3^{(n)}}{n} = H_3(S) = -0.4 \log_3 0.4 - 0.3 \log_3 0.3 - 0.3 \log_3 0.3 - 0.3 \log_3 0.3 \approx 1.16$.
- (a):

p_i	$\frac{1}{p_i}$	ℓ_i	code
0.4	2.5	2	00
0.3	3.3	2	01
0.2	5	3	100
0.1	10	4	1010

So, the message $\mathbf{m} = s_1s_4s_2$ is encoded as 00101001.

11. (a) Let us now calculate the Huffman codes Huff_E , $\text{Huff}_{(1)}$, $\text{Huff}_{(2)}$, $\text{Huff}_{(3)}$:

Source	p_i	Huff_E	Source	p_i	$\text{Huff}_{(1)}$	Source	p_i	$\text{Huff}_{(2)}$	Source	p_i	$\text{Huff}_{(3)}$
s_1	$\frac{6}{17}$	00	s_1	0.7	0	s_1	0.2	10	s_1	0.1	01
s_2	$\frac{7}{17}$	1	s_2	0.2	10	s_2	0.6	0	s_2	0.4	00
s_3	$\frac{4}{17}$	01	s_3	0.1	11	s_3	0.2	11	s_3	0.5	1

- (b) The average lengths of these codes

$$L_E = \frac{27}{17} \approx 1.59 \quad L_{(1)} = 1.3 \quad L_{(2)} = 1.4 \quad L_{(3)} = 1.5$$

The Markov Huffman code has average length

$$L_M = \frac{6}{17}L_{(1)} + \frac{7}{17}L_{(2)} + \frac{4}{17}L_{(3)} = \frac{6}{17}1.3 + \frac{7}{17}1.4 + \frac{4}{17}1.5 \approx 1.39$$

- c) We encode $s_1s_3s_2s_1$:

symbol	code to use	encoded symbol
s_1	Huff_E	00
s_3	$\text{Huff}_{(1)}$	11
s_2	$\text{Huff}_{(3)}$	00
s_1	$\text{Huff}_{(2)}$	10

so this is encoded as 00110010.

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Version B

Multiple choice: **a, d, d, d, b, b, e, a, a, c**

1. **(a)**: This is the only codeword which has 1221 in the information positions.
2. **(d)**: If the sent codeword is \mathbf{x} , then $\mathbf{y} = \mathbf{x} + a\mathbf{e}_i$ for some $a \in \mathbb{Z}_3$ and $i \in \{1, \dots, 7\}$.
Since $S(\mathbf{y}) = H\mathbf{y}^T = 002^T = H\mathbf{e}_3^T$, the 3rd column of H , and $S(\mathbf{y}) = aH\mathbf{e}_i^T$, we see that $a = 1$ and $i = 3$, so $\mathbf{x} = \mathbf{y} - \mathbf{e}_3 = 1101111$, so $\mathbf{m} = 1101$.
3. **(d)**: 1201 is the only codeword here that can encode 10.
4. **(d)**: This choice of \mathbf{c}_4 gives an I-code and thus a UD-code.
5. **(b)**: The Kraft-McMillan number $K = \sum \frac{1}{2^{\ell_i}}$ must be at most 1 for UD codes.
Testing values of $\ell = 1, 2, 3, \dots$ gives us that $\ell = 3$ is the minimum length that satisfies this.
You can also draw a decision tree.

6. **(b)**: Encode the message $ab\bullet$:

	subinterval start	width
begin	0	1
a	0	0.4
b	$0 + 0.4 * 0.4 = 0.16$	$0.4 \times 0.4 = 0.16$
\bullet	$0.16 + 0.8 \times 0.16 = 0.288$	$0.2 \times 0.16 = 0.032$

so the message encodes as a number in the interval $[0.288, 0.32)$.

7. **(e)**: 1. b 2. ba 3. baa 4. $baab$ 5. $baaa$
8. **(a)**: Use one dummy symbol (and Knuth's Theorem to save time).
9. **(a)**: $\lim_{n \rightarrow \infty} \frac{L_4^{(n)}}{n} = H_4(S) = -0.4 \log_4 0.4 - 0.2 \log_4 0.2 - 0.2 \log_4 0.2 - 0.1 \log_4 0.1 - 0.1 \log_4 0.1 \approx 1.06$.
10. **(c)**:

p_i	$\frac{1}{p_i}$	ℓ_i	code
0.4	2.5	2	00
0.2	5	3	010
0.2	5	3	011
0.1	10	4	1000
0.1	10	4	1001

So, the message $\mathbf{m} = s_1 s_4 s_2$ is encoded as 001000010.

11. (a) Let us now calculate the Huffman codes Huff_E , $\text{Huff}_{(1)}$, $\text{Huff}_{(2)}$, $\text{Huff}_{(3)}$:

Source	p_i	Huff_E	Source	p_i	$\text{Huff}_{(1)}$	Source	p_i	$\text{Huff}_{(2)}$	Source	p_i	$\text{Huff}_{(3)}$
s_1	$\frac{10}{27}$	00	s_1	$\frac{1}{4}$	10	s_1	$\frac{1}{2}$	1	s_1	$\frac{1}{4}$	00
s_2	$\frac{13}{27}$	1	s_2	$\frac{2}{3}$	0	s_2	$\frac{1}{3}$	00	s_2	$\frac{1}{2}$	1
s_3	$\frac{4}{27}$	01	s_3	$\frac{1}{12}$	11	s_3	$\frac{1}{6}$	01	s_3	$\frac{1}{4}$	01

- (b) The average lengths of these codes are

$$L_{(1)} = \frac{4}{3} \quad L_{(2)} = 1.5 \quad L_{(3)} = 1.5$$

The Markov Huffman code has average length

$$L_M = \frac{10}{27}L_{(1)} + \frac{13}{27}L_{(2)} + \frac{4}{27}L_{(3)} = \frac{10}{27} \cdot \frac{4}{3} + \frac{13}{27} \cdot 1.5 + \frac{4}{27} \cdot 1.5 = \frac{233}{162} \approx 1.44$$

- c) We encode $s_1 s_3 s_2 s_1$:

symbol	code to use	encoded symbol
s_1	Huff_E	00
s_3	$\text{Huff}_{(1)}$	11
s_2	$\text{Huff}_{(3)}$	1
s_1	$\text{Huff}_{(2)}$	1

so this is encoded as 001111.

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