

Self-Driving Cars and Optimal Trajectory

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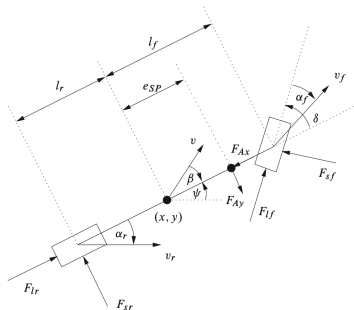
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Overview

- ▶ Single track model
- ▶ Optimal control problem formulation
- ▶ Road geometry construction
- ▶ Alternate optimal control problem formulation using Lagrange collocation
- ▶ Model predictive control

Single Track model

Single track model



v : velocity

l_r, l_f : distance from
COG to rear and front
wheels

F_{sr}, F_{sf} : lateral tire
forces

F_{lr}, F_{lf} : longitudinal tire
forces

F_{Ax}, F_{Ay} : Air drag

$\alpha_f, \alpha_r, \beta$: side slip
angles

ψ : yaw angle

ω_z : angular velocity of
COG

δ : steering angle

ODEs for Single Track model

$$\dot{x} = v \cos(\psi - \beta)$$

$$\dot{y} = v \sin(\psi - \beta)$$

$$\dot{v} = \frac{1}{m} \left[(F_{lr} - F_{Ax}) \cos \beta - (F_{sr} - F_{Ay}) \sin \beta + F_{lf} \cos(\delta + \beta) - F_{sf} \sin(\delta + \beta) \right]$$

$$\dot{\beta} = \omega_z - \frac{1}{mv} \left[(F_{lr} - F_{Ax}) \sin \beta + (F_{sr} - F_{Ay}) \cos \beta + F_{lf} \sin(\delta + \beta) + F_{sf} \cos(\delta + \beta) \right]$$

$$\dot{\psi} = \omega_z$$

$$\dot{\omega}_z = \frac{1}{I_{zz}} \left[F_{sf} \cdot l_f \cdot \cos \delta - F_{sr} \cdot l_r + F_{sf} \cdot l_f \cdot \sin \delta \right]$$

$$\dot{\delta} = \omega_\delta$$

Description of single track model forces

The longitudinal tire forces are related to the braking/accelerating forces and the rolling resistances at the tires by

$$F_{lf} = -F_{Bf} - F_{Rf}$$

$$F_{lr} = -F_{Br} - F_{Rr}$$

We assume that the braking/accelerating force F_B is distributed as

$$F_{Bf} = \begin{cases} \frac{2}{3}F_B, & F_B > \Delta \\ \frac{5}{6}F_B - \frac{1}{4\Delta}F_B^2 + \frac{1}{12\Delta^3}F_B^4, & |F_B| \leq \Delta \\ F_B, & \text{otherwise} \end{cases}$$

$$F_{Br} = \begin{cases} \frac{1}{3}, F_B & F_B > \Delta \\ \frac{2}{3\Delta}F_B^2 - \frac{1}{3\Delta^2}F_B^3, & 0 < F_B \leq \Delta \\ 0, & \text{otherwise} \end{cases}$$

where $\Delta = 0.01$. $F_B > 0$ corresponds to acceleration and $F_B < 0$ corresponds to braking.

Description of single track model forces

Side slip angles at the front and rear wheels are given by,

$$\alpha_f = \delta - \arctan\left(\frac{l_f \cdot \dot{\psi} - v \sin\beta}{v \cos\beta}\right)$$
$$\alpha_r = \arctan\left(\frac{l_r \cdot \dot{\psi} + v \sin\beta}{v \cos\beta}\right)$$

The lateral forces on the tires are given by Pacejka's magic formula [11]

$$F_{sf}(\alpha_f) = D_f \cdot \sin(C_f \arctan(B_f \alpha_f - E_f(B_f \alpha_f - \arctan(B_f \alpha_f))))$$
$$F_{sr}(\alpha_r) = D_r \cdot \sin(C_r \arctan(B_r \alpha_r - E_r(B_r \alpha_r - \arctan(B_r \alpha_r))))$$

Description of single track model forces

The air drag along the lateral direction of the vehicle is assumed to be zero and along the longitudinal direction it is given by

$$F_{Ax} = \frac{1}{2} c_w \rho A v^2$$
$$F_{Ay} = 0$$

Optimal Control problem formulation

Optimal Control problem formulation

What is optimal control ?

- ▶ To optimize the controls and state trajectories for a dynamical system over a period of time.

How does it work ?

- ▶ Optimize the cost function with respect to control variables while satisfying the ODEs, control & state constraints.

Defining variables

State variables

- ▶ x : displacement in x direction
- ▶ y : displacement in y direction
- ▶ v : velocity of car
- ▶ δ : steering angle
- ▶ ψ : yaw angle
- ▶ ω_z : angular velocity of COG

Control Variables

- ▶ ω_δ : steering velocity
- ▶ F_B : braking or acceleration force

Defining Variables

State variables -

$$z : t \rightarrow \mathbb{R}^7, z(t) = (x, y, v, \beta, \psi, \omega_z, \delta)^T(t)$$

Control Variables -

$$u : t \rightarrow \mathbb{R}^2, u(t) = (w_\delta, F_B)^T(t)$$

ODE constraints

dynamics of states z is described by, $f : \mathbb{R} \times \mathbb{R}^7 \times \mathbb{R}^2 \rightarrow \mathbb{R}^7$

$$\dot{z}(t) = f(t, z(t), u(t))$$

$$:= \begin{bmatrix} v \cos(\psi - \beta) \\ v \sin(\psi - \beta) \\ \frac{1}{m} \left[(F_{lr} - F_{Ax}) \cos \beta - (F_{sr} - F_{Ay}) \sin \beta + F_{lf} \cos(\delta + \beta) - F_{sf} \sin(\delta + \beta) \right] \\ \omega_z - \frac{1}{mv} \left[(F_{lr} - F_{Ax}) \sin \beta + (F_{sr} - F_{Ay}) \cos \beta + F_{lf} \sin(\delta + \beta) + F_{sf} \cos(\delta + \beta) \right] \\ \frac{1}{I_{zz}} \left[F_{sf} \cdot l_f \cdot \cos \delta - F_{sr} \cdot l_r + F_{sf} \cdot l_f \cdot \sin \delta \right] \\ \omega_\delta \end{bmatrix}$$

Optimal Control Problem formulation

Objective function : final time

$$t_f$$

Control constraints -:

$$c(t, z(t), u(t)) := \begin{bmatrix} w_\delta(t) - 0.5 \\ -0.5 - w_\delta(t) \\ -5000 - F_B(t) \\ F_B(t) - 15000 \end{bmatrix} \leq 0_{\mathbb{R}^4} \quad (1)$$

state constraints,

$$s(t, z(t)) := \begin{bmatrix} y(t) - y_{up}(x(t)) \\ y_{down}(x(t)) - y(t) \end{bmatrix} \leq 0_{\mathbb{R}^2} \quad (2)$$

where y_{up}, y_{down} are functions describing road boundary
y-coordinates

Final and Initial conditions constraints

$$\gamma(z(t_0), z(t_f)) := \begin{bmatrix} x(t_0) \\ y(t_0) \\ v(t_0) \\ \psi(t_0) \\ \omega_z(t_0) \\ \delta(t_0) \\ x(t_f) - x_{END} \end{bmatrix} = 0^{\mathbb{R}^7}$$

- ▶ t_0 : Initial time
- ▶ t_f : Final time

Converting the OCP to a fixed time problem

Assuming $t_0 = 0$, the final time t_f is free so we use the linear time transformation. To convert the OCP to a fixed time problem we use the following transformation [7]

$$t(\tau) = \tau t_f, \tau \in [0, 1] \quad (3)$$

and introduce the state t_f which is constant for $\tau \in [0, 1]$ and

$$\frac{d}{d\tau} t_f(\tau) = 0$$

The states z are transformed by

$$\bar{z}(\tau) := z(\tau t_f)$$

$$\bar{u}(\tau) := u(\tau t_f)$$

Converting the OCP to a fixed time problem

Then,

$$\begin{aligned}\frac{d}{d\tau}\bar{z}(\tau) &= \dot{z}(t(\tau)) \cdot \frac{d}{d\tau}(t(\tau)) \\ &= f(t(\tau), z(t(\tau)), u(t(\tau))) \cdot t_f \\ &= t_f f(\tau t_f, \bar{z}(\tau), \bar{u}(\tau))\end{aligned}$$

Now we redefine $\bar{z}(\tau) = (\bar{z}(\tau), t_f(\tau))$ to include the new state t_f
Then the optimization problem is given by,

Optimal Control Problem formulation

Minimize

$$t_f$$

with respect to \bar{z} and \bar{u} , subject to the ODEs,

$$\dot{\bar{z}}(t) = f\left(\tau t_f, \bar{z}(t), \bar{u}(t)\right)$$

and constraints,

$$\bar{c}\left(\tau t_f, \bar{z}(t), \bar{u}(t)\right) := c(t(\tau), z(t(\tau)), u(t(\tau))) \leq 0_{\mathbb{R}^6}$$

$$\bar{s}\left(\tau t_f, \bar{z}(t), \bar{u}(t)\right) := s(t(\tau), z(t(\tau)), u(t(\tau))) \leq 0_{\mathbb{R}^2}$$

$$\bar{\gamma}(\bar{z}_0, \bar{z}_N) = 0_{\mathbb{R}^7}$$

Full Discretization for states and controls

To include the ODE constraints we use the full discretization method. [7]

We define a grid with N steps,

$$G_N = \{\tau_i | i = 0, 1, 2, 3, \dots, N\}$$

We use an ODE method **ode** which given the states at \bar{z}_j computes the states at \bar{z}_{j+1} such that

$$\bar{z}_{j+1} = \text{ode}(\bar{z}_j, h), j = 0, 1, \dots, N-1 \text{ and } h = \frac{1}{N} \quad (4)$$

We discretize the control space with piecewise linear functions on the grid G_N to get $\{m_0, m_1, \dots, m_{N-1}\}$ controls where $m_i \in \mathbb{R}^2$

OCP formulation using full discretization

Then the discretized optimisation problem is,
Minimize

$$t_f(N)$$

with respect to $(\bar{z}_0, \bar{z}_2, \dots, \bar{z}_N, m_0, \dots, m_{N-1}) \in \mathbb{R}^{8N+2(N+1)}$,
subject to,

$$\bar{z}_{j+1} - ode(\bar{z}_j, h) = 0_{\mathbb{R}^8}, \quad j = 0, 1, \dots, N-1$$

OCP formulation using full discretization

and constraints,

$$\bar{c}(\tau_j, \bar{z}_j, \bar{u}_M(\tau_j; m)) \leq 0_{\mathbb{R}^6}, \quad j = 0, 1, \dots, N-1$$

$$\bar{s}(\tau_j, \bar{z}_j) \leq 0_{\mathbb{R}^2} \quad j = 0, 1, \dots, N-1$$

$$\bar{\gamma}(\bar{z}_0, \bar{z}_N) = 0_{\mathbb{R}^7}$$

Collecting all the variables and constraints into matrices, we get the optimization problem,
Minimise

$$J(\bar{q}) = t_f(\tau_N)$$

with respect to $(\bar{z}_0, \bar{z}_2, \dots, \bar{z}_N, m_1, \dots, m_{N-1}) \in \mathbb{R}^{8(N+1)+2N}$,
subject to,

with constraints

$$G(\bar{q}) = \begin{bmatrix} \bar{c}(\tau_0, \bar{z}_0, \bar{u}_M(\tau_0; m)) \\ \bar{c}(\tau_1, \bar{z}_1, \bar{u}_M(\tau_1; m)) \\ \vdots \\ \bar{c}(\tau_N, \bar{z}_N, \bar{u}_M(\tau_N; m)) \\ \bar{s}(\tau_0, \bar{z}_0) \\ \bar{s}(\tau_1, \bar{z}_N) \\ \vdots \\ \bar{s}(\tau_N, \bar{z}_N) \end{bmatrix} \leq 0_{\mathbb{R}^{8(N+1)+2N}} \quad (5)$$

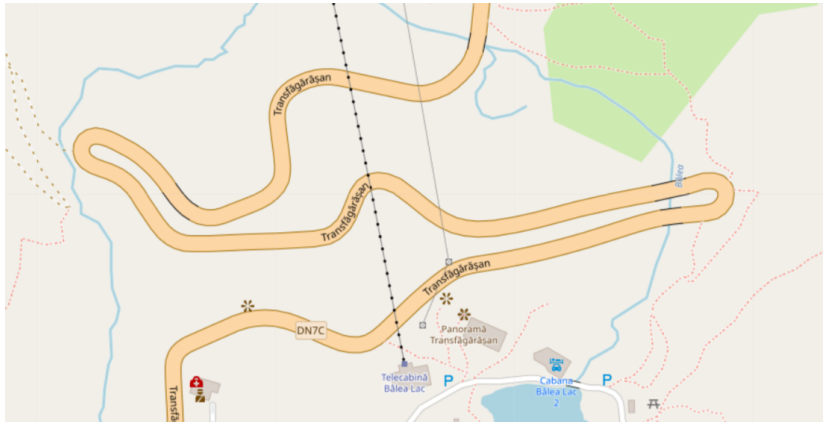
and,

$$H(\bar{q}) = \begin{bmatrix} \bar{z}_1 - ode(\bar{z}_0, h) \\ \bar{z}_2 - ode(\bar{z}_1, h) \\ \vdots \\ \bar{z}_N - ode(\bar{z}_{N-1}, h) \\ \bar{\gamma}(\bar{z}_0, \bar{z}_N) \end{bmatrix} = 0_{\mathbb{R}^{8(N+1)+9}} \quad (6)$$



Road Geometry Construction

Road Geometry Construction



(Close to Romania - OpenStreetMap)

Degrees to Distance

The number of meters one has to travel to move 1 degree in latitude is,

$$111132.92 - 559.82 \cos(2\phi_{lat}) + 1.175 \cos(4\phi_{lat}) - 0.0023 \cos(6\phi_{lat})$$

The same to move 1 degree in longitude is,

$$111412.84 \cos(4\phi_{long}) - 93.5 \cos(3\phi_{long}) + 0.118 \cos(5\phi_{long})$$

[4]

Cubic Splines

The points need to be represented as,

$$\mathbf{P}_i = (x_i, y_i) = (X_i(u), Y_i(u))$$

where $i = 1, \dots, N$ and N is the number of data points. For a cubic polynomial,

$$X_i(u) = a_{x,i} + b_{x,i}(u - u_{i+1}) + c_{x,i}(u - u_{i+1})^2 + d_{x,i}(u - u_{i+1})^3$$

$$Y_i(u) = a_{y,i} + b_{y,i}(u - u_{i+1}) + c_{y,i}(u - u_{i+1})^2 + d_{y,i}(u - u_{i+1})^3$$

where $u \in [u_i, u_{i+1}]$.

Constraints

We need additional constraints to compute all the coefficients

$$X_i(u)^{(1)} \Big|_{u=u_{i+1}} = D_{x,i} = b_{x,i}$$

$$Y_i(u)^{(1)} \Big|_{u=u_{i+1}} = D_{y,i} = b_{y,i}$$

$$X_i(u)^{(1)} \Big|_{u=u_i} = D_{x,i+1} = b_{x,i} + 2c_{x,i}(u_{x,i} - u_{x,i+1}) + 3d_{x,i}(u_{x,i} - u_{x,i+1})$$

$$Y_i(u)^{(1)} \Big|_{u=u_i} = D_{y,i+1} = b_{y,i} + 2c_{y,i}(u_{y,i} - u_{y,i+1}) + 3d_{y,i}(u_{y,i} - u_{y,i+1})$$

Practical computation of constraints

- ▶ How to choose D_i 's?
- ▶ Natural splines (special case of Hermite Interpolation) impose

$$\begin{aligned} X_i^{(2)}(u) \Big|_{u=u_{x,i}} &= X_{i+1}^{(2)}(u) \Big|_{u=u_{x,i+1}} \\ Y_i^{(2)}(u) \Big|_{u=u_{y,i}} &= X_{i+1}^{(2)}(u) \Big|_{u=u_{y,i+1}} \end{aligned}$$

- ▶ We have $2(N - 2)$ equations. We need 4 more conditions to compute D_i 's $\forall i = 1, \dots, N$

End conditions for practical computations

- ▶ End conditions
- ▶ *Clamped or complete, not-a-knot, periodic, ...*
- ▶ Choice of the user and based on application.
- ▶ MATLAB's `csapi` from Curve Fitting Toolbox uses *not-a-knot* end conditions.

$$\begin{aligned} X_2^{(3)}(u) \Big|_{u=u_{x,2}} &= X_{N-1}^{(3)}(u) \Big|_{u=u_{x,N-1}} = 0 \\ Y_2^{(3)}(u) \Big|_{u=u_{y,2}} &= Y_{N-1}^{(3)}(u) \Big|_{u=u_{y,N-1}} = 0 \end{aligned}$$

- ▶ $2N$ equations to solve for $D_{x,i}$ and $D_{y,i}$ for $i = 1, \dots, N$.
(R.H Bartels et al.[3])

Parametrizations

- ▶ How to choose the parameter values (knots), u ?
- ▶ The parameters are recursively computed by,

$$u_0 = 0, \quad u_{i+1} = u_i + d_i$$

where we define

$$d_i := \|\mathbf{P}_{i+1} - \mathbf{P}_i\|_2^\mu$$

μ is called the blending parameter. The value of μ influences the overall shape [6].

- ▶ $\mu = 0 \implies$ *uniform parametrization* and $\mu = 1 \implies$ *chordal parametrization*.

Normal projection

Coordinates of the boundaries (inner and outer) of the road with width w can be computed by moving in normal direction,

$$\mathbf{P}_{i-inner} = \mathbf{P}_i - \frac{w}{2} \hat{\mathbf{n}}$$

$$\mathbf{P}_{i-outer} = \mathbf{P}_i + \frac{w}{2} \hat{\mathbf{n}}$$

Road modeling

Algorithm

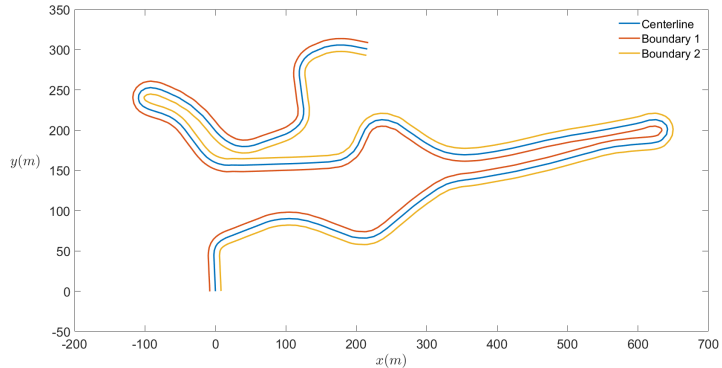
1. Project GPS coordinates of the road centerline.
2. Interpolate the centerline using `csapi`.
3. Sample M points from the centerline spline. Compute the coordinates of inner and outer boundaries of the road.
4. Interpolate the boundaries of the road.

Insights

Findings

1. $\mu = 0 \implies$ poor modeling of the road boundaries when $M \gg N$. Inner road boundaries have normal crossings and require separate cleaning and/or smoothing procedure.
2. $\mu = 0$ better in the above aspect.
3. But for a given M that is close to N , $\mu = 0 \implies$ relatively better construction of the overall geometry of the road.

Constructed Road



Modified Road Constraints for the OCP

- Road constraints

$$s(t, z(t)) := \begin{bmatrix} y(t) - y_{up}(x(t)) \\ y_{down}(x(t)) - y(t) \end{bmatrix} \leq 0_{\mathbb{R}^2}$$

- Need to modify our state variable ODEs to include the spline parametrization.

$$\dot{x} = \frac{dx}{du} \dot{u} = t_f v_x \quad (7)$$

$$\dot{y} = \frac{dy}{du} \dot{u} = t_f v_y \quad (8)$$

We can replace the dynamics ODEs (Eqs. 7-8) with the dynamics of parameter t

$$\dot{u} = t_f v_x \left(\frac{dx}{du} \right)^{-1} \quad (9)$$

Modified Road Constraints for the OCP

Modified Road constraints

$$s(t, z(t)) := \begin{bmatrix} y(u) - y_{outer}(u) \\ y_{inner}(u) - y(u) \end{bmatrix} \leq 0_{\mathbb{R}^2} \quad (10)$$

Tests on Straight road

Tests on Straight road

- ▶ Feasibility studies were performed on a straight road.
- ▶ ODEs were integrated using Explicit Euler method, MATLAB's `ode45` [13] and `dop54` [1]
- ▶ Stiff Solvers like MATLAB's `ode15s` and `radau` were also tested - Computationally expensive and were unsuccessful in finding even a feasible point.

Explicit Euler

- ▶ Total Time steps $N = 40$
- ▶ $x_0 = 0$ $x_{END} = 140$ m
- ▶ interior-point in MATLAB's `fmincon`

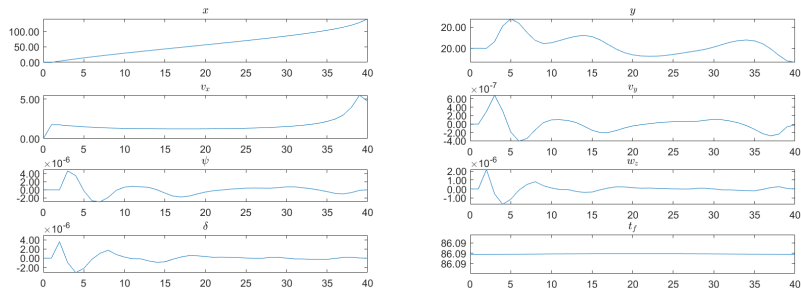


Figure: Feasible State Variables for straight road using Explicit Euler method

Explicit Euler

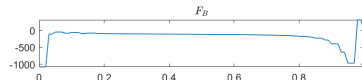
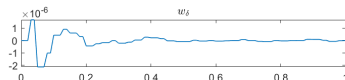


Figure: Feasible Control Variables for straight road using Explicit Euler method

- ▶ Feasible solution used as initial guess for optimization.
- ▶ Optimal solution not found.

Explicit Runge-Kutta Methods

- ▶ Total Time steps $N = 30$
- ▶ $x_0 = 0$ $x_{END} = 2000$ m
- ▶ interior-point in MATLAB's `fmincon`

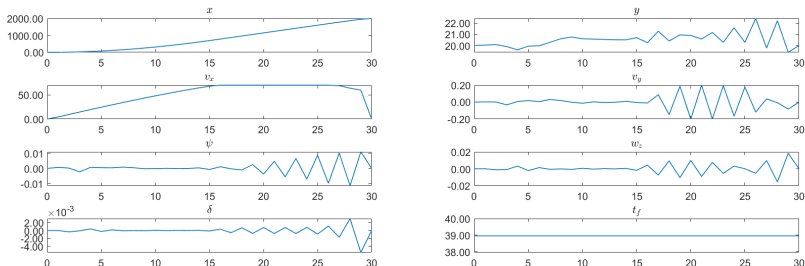


Figure: Feasible State Variables for straight road using `ode45`

Explicit Runge-Kutta Methods

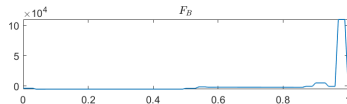
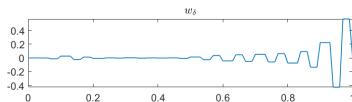


Figure: Feasible Control Variables for straight road using `ode45`

- Feasible solution used as initial guess for optimization.
- Optimal solution not found.

Explicit Runge-Kutta Methods

- ▶ Total Time steps $N = 30$
- ▶ $x_0 = 0$ $x_{END} = 140$ m
- ▶ interior-point in MATLAB's `fmincon`

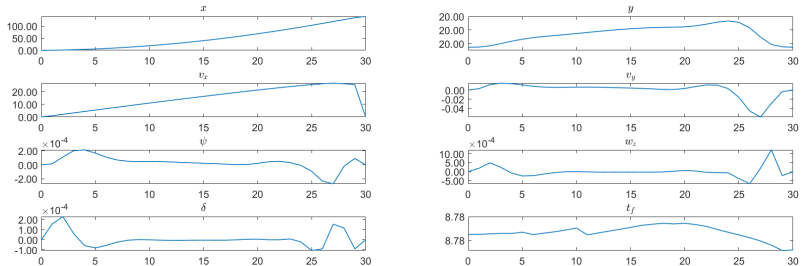


Figure: Feasible State Variables for straight road using dop54

Explicit Runge-Kutta Methods

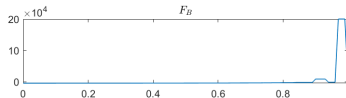
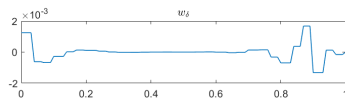


Figure: Feasible Control Variables for straight road using `dop54`

- ▶ Feasible solution used as initial guess for optimization.
- ▶ Optimal solution not found.

Alternate optimal control problem formulation using Lagrange collocation

Optimal trajectory using Lagrange collocation and new objective formulation

Problems with previous formulation

- ▶ Relies on explicit ODE solver
- ▶ directly minimising time which is included as a state
- ▶ optimization steps are slow because of slow ODE solver computation
- ▶ some ODE solvers run into discontinuities during optimization

New objective formulation

Uses a path coordinate s for formulating ODEs and optimization problem. [12]

s : independent variable

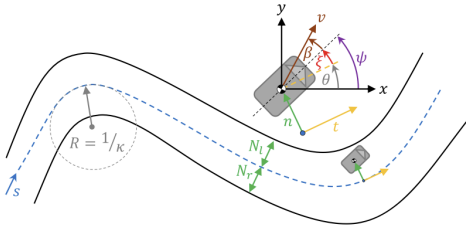
t_f : dependent variable

- ▶ s : progress of car along track
- ▶ track boundaries, initial and final end points can be specified easily with s
- ▶ s not required as state in optimization

New objective formulation

Introduce new variables [5]

- ▶ s : parameter for progress along track centerline
- ▶ θ : angle between tangent to track centerline and x-direction
- ▶ n : lateral displacement from track centerline
- ▶ ξ : angle between vehicle axis and tangent to centerline
- ▶ κ : curvature of track centerline at any point s



Using s, n, ξ position and orientation of vehicle on the track can be specified

New objective formulation

ODEs for the new states s, n, ξ w.r.t time (t)

$$\dot{s} = \frac{v \cos(\xi - \beta)}{1 - n \kappa(s)} \quad (11)$$

$$\dot{n} = v \sin(\xi - \beta) \quad (12)$$

$$\dot{\xi} = \omega_z - \kappa \frac{v \cos(\xi - \beta)}{1 - n \kappa(s)} \quad (13)$$

New objective formulation

ODEs for the new formulation

$$\dot{v} = \frac{1}{m} \left[(F_{lr} - F_{Ax}) \cos \beta - (F_{sr} - F_{Ay}) \sin \beta + F_{lf} \cos(\delta + \beta) - F_{sf} \sin(\delta + \beta) \right]$$

$$\dot{\beta} = \omega_z - \frac{1}{mv} \left[(F_{lr} - F_{Ax}) \sin \beta + (F_{sr} - F_{Ay}) \cos \beta + F_{lf} \sin(\delta + \beta) + F_{sf} \cos(\delta + \beta) \right]$$

$$\dot{\omega}_z = \frac{1}{I_{zz}} \left[F_{sf} \cdot l_f \cdot \cos \delta - F_{sr} \cdot l_r + F_{sf} \cdot l_f \cdot \sin \delta \right]$$

$$\dot{s} = \frac{v \cos(\xi - \beta)}{1 - n \kappa(s)}$$

$$\dot{n} = v \sin(\xi - \beta)$$

$$\dot{\xi} = \omega_z - \kappa \frac{v \cos(\xi - \beta)}{1 - n \kappa(s)}$$

New objective formulation

The ODEs, constraints and objectives are then modified according to new independent variable s . from (1),

$$\frac{dt}{ds} = \frac{1 - n\kappa(s)}{v\cos(\xi - \beta)} := J(s) \quad (14)$$

and we get the new objective function by,

$$t_f = \int_{t_0}^{t_f} dt = \int_{s_0}^{s_f} J(s).ds \quad (15)$$

where s_f and s_0 are the values of s at the start and end point of the track.

ODE transformation

Similarly ODEs for all states are transformed for the variable s ,
for an arbitrary state x and control u ,

$$\dot{x} = \frac{dx}{dt} = f(x(t), u(t))$$

is transformed into

$$\dot{x} = \frac{dx}{ds} = \frac{dx}{dt} \frac{dt}{ds} = J(s) \cdot f(x(s), u(s)) \quad (16)$$

ODEs of the STM and orientation are transformed using (16)

New optimal control problem formulation

$$\begin{aligned} \min_{x,u} \quad & \int_{s_0}^{s_f} J(s) \cdot ds \\ \text{s.t.} \quad & \frac{dx}{ds} - f(x(s), u(s)) = 0 \\ & g(x(s), u(s)) \leq 0 \\ & h(x(s), u(s)) = 0 \\ & \gamma(x(s_0), x(s_f)) = 0 \end{aligned}$$

with states x , controls u , STM equations for v, β, ω_z and orientation equations for n, ξ in f , equality constraints in h , inequality constraints in g and initial and final point constraints in γ

Discretization of states using Lagrange collocation

Controls and states are discretized on a grid $s_k, k = 0, 1, \dots, N$ with uniform interval Δs where $\Delta s = \frac{s_f - s_0}{N}$

The states on an interval $[s_k, s_{k+1}]$ are approximated using Lagrange basis polynomials $p_{k,i}$

$$\bar{x}_k(s) = \sum_{i=0}^d \theta_{k,i} \cdot p_{k,i}(s), \quad s \in [s_k, s_{k+1}]$$

$$p_{k,i}(s) = \prod_{j=0, j \neq i}^d \frac{s - s_{k,j}}{s_{k,i} - s_{k,j}}$$

Here $s_{k,i}$ are the collocation points for the interval. We can see that $x_k(s_{k,i}) = \theta_{k,i}, i = 0, 1, \dots, d$

Discretization of states using Lagrange collocation

We use Gauss-Legendre collocation with $d = 3$. The controls are discretized using piecewise constant functions. The transformed optimal control problem is

$$\min_{\theta, u} \sum_{k=0}^{N-1} \sum_{i=0}^d J_k(\theta_{k,i}) \quad \text{s.t.} \quad (17)$$

$$\dot{\tilde{x}}_k(s_{k,j}) - \Delta s f(\theta_{k,j}, u_k) = 0, \quad k = 0, \dots, N-1, j = 1, \dots, d \quad (18)$$

$$\dot{\tilde{x}}_k(s_{k+1,j}) - \theta_{k+1,0} = 0, \quad k = 0, \dots, N-1 \quad (19)$$

$$g(\theta_k, 0, u(k)) \leq 0, \quad k = 0, \dots, N-1 \quad (20)$$

$$h(x(s), u(s)) = 0, \quad k = 0, \dots, N-1 \quad (21)$$

$$\gamma(\theta_{0,0}, \theta_{N,0}) = 0 \quad (22)$$

with $\int_{s_0}^{s_f} J(s).ds = \sum_{k=0}^{N-1} \Delta s \sum_{r=0}^d B_r J_k(\theta_{k,r})$ where B_r is the integral of the Lagrange basis polynomials.

Optimization for optimal trajectory

We have 6 states $v, \beta, \omega_z, \dots, \epsilon$ and 3 controls $\delta, F_{drive}, F_{brake}$ with the additional constraint $F_{drive} \cdot F_{brake} = 0$

Road boundary constraints are included using the smooth interpolation described above.

The decision variables of the optimization are

$\{\theta_{0,0}, \dots, \theta_{0,d}, u_0, \theta_{1,0}, \dots, \theta_{1,d}, u_1, \dots, \theta_{N-1,0}, u_{N-1}\}$
where $d=3$, $\theta_{k,i} \in \mathbb{R}^6$ and $u_k \in \mathbb{R}^3$

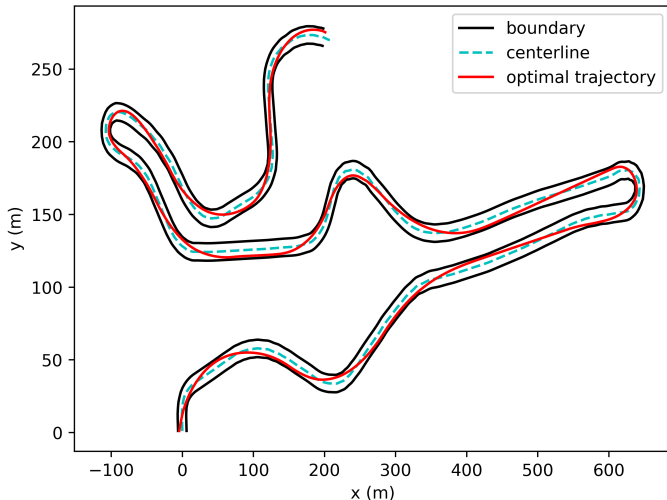
Large number of variables for optimization but analytical solutions of ODEs are explicitly included as equality constraints and ODEs don't require integration by solvers.

We use Primal-Dual Interior Point method to solve the NLP with the solver IPOPT [14] and discretization interval $\Delta s = 3m$.

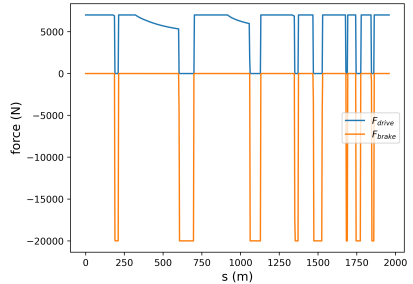
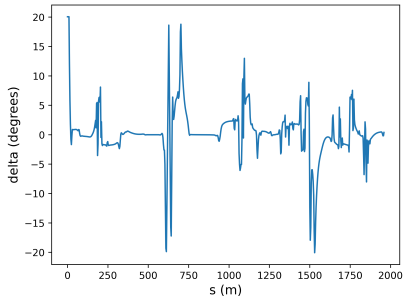
Optimal trajectory

Optimal trajectory time: 78.052 s

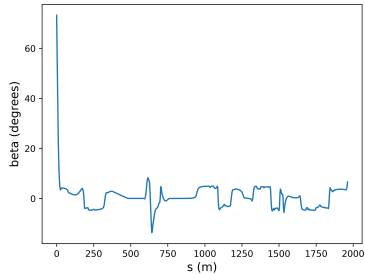
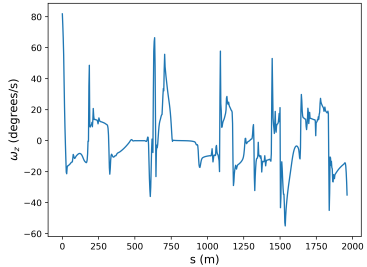
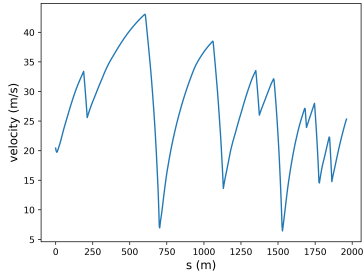
Optimization runtime: 19 s



Optimal controls



Optimal states



Model Predictive Control

Model Predictive Control (MPC)

What is a MPC?

- ▶ Optimal control strategy

How does MPC work?

- ▶ The future response is predicted by predictive control that use a discrete linear time invariant dynamic model

Why is MPC good?

- ▶ Control linear and nonlinear systems while taking into account state as well as input constraints

MPC Formulation

$$x_{t+1} = Ax_t + Bu_t$$

$$y_t = Cx_t + u_t$$

$$x_{t+1} \in \mathbb{R}^n, u_{t+1} \in \mathbb{R}^m, y_t \in \mathbb{R}^p, t \in \mathbb{Z}$$

with state vector x_{t+1} , control input u_{t+1} , output vector y_t and time index t

MPC formulation

$$\min_{\mathbf{u}} J(\mathbf{x}(t), \mathbf{u})$$
$$\mathbf{u} = (u_t, \dots, u_{t+N_p-1})$$

Subject to

$$\mathbf{x}_{t+k+1} = \mathbf{A}_{\mathbf{x}_{t+k}} + \mathbf{B}_{u_{t+k}}, \forall k = 0, \dots, N_p - 1$$

$$\mathbf{x}_{t+k} \in \mathbb{X}, \forall k = 0, \dots, N_p - 1$$

$$u_{t+k} \in \mathbb{U}, \forall k = 0, \dots, N_p - 1$$

$$\mathbf{x}_t = \mathbf{x}(t)$$

MPC Formulation

Based on the dynamic ODEs

$$\dot{x} = v \cos(\psi - \beta)$$

$$\dot{y} = v \sin(\psi - \beta)$$

...

$$\dot{v} = \frac{1}{m} \left[(F_{lr} - F_{Ax}) \cos \beta - (F_{sr} - F_{Ay}) \sin \beta + F_{lf} \cos(\delta + \beta) - F_{sf} \sin(\delta + \beta) \right]$$

the state space representation is: $\begin{cases} \dot{x} = f(x(t), u(t)) \\ y_t = g(x(t)) \end{cases}$

with state vector $x = [\dot{x}, \dot{y}, \dot{\phi}, \phi, X, Y]$

MPC for Self-Driving Car

Motion Control

- ▶ Motion Control MPC is based on vehicle kinetic model by finding the next closest point to plan suitable motion.[15]

Dynamic Control

- ▶ Dynamic Control MPC is based on state error composed by heading error, lateral error and location error etc.

$$\text{station error} = -(dx \cos \theta_{des} + dy \sin \theta_{des})$$

$$\text{speed error} = V_{des} - V \cos \Delta\theta/k$$

MPC Formulation

With the objective to follow a desired path, the MPC was formulated as[9]:

$$\min \sum_{t=1}^{N_p} Q_1 e_{y_t}^2 + Q_2 e_{\phi_t}^2 + Q_3 e_{\epsilon_t}^2 + Q_4 u_{t-1}^2 + R_1 \Delta u_t^2$$

s.t

$$x_{t+1} = Ax_t + B(u_t + \Delta u_t) + C, \forall t = 0, \dots, N_p$$

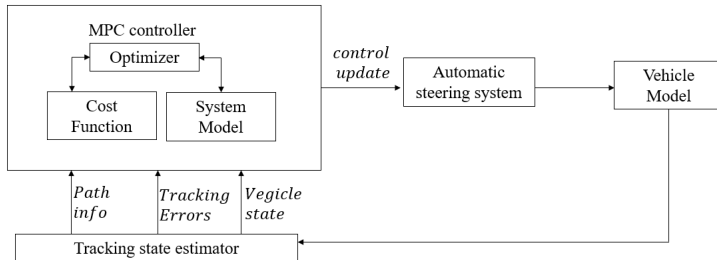
$$|u_t| - \epsilon_t \leq u_{max}, \forall t = 0, \dots, N_p$$

$$|\Delta u_{t-1}| \leq \Delta u_{max}, \forall t = 0, \dots, N_p$$

$$\epsilon_t \geq 0, \forall t = 0, \dots, N_p$$

where e_{y_t} is lateral deviation to the reference path, e_{ϕ_t} is the error in the heading angle and ϵ_t is a slack variable to soft u_t from becoming infeasible.

MPC Flow Chart



Basic NMPC algorithm for time varying reference x^{ref} ¹

At each simpling time $t_n, n = 0, 1, 2, \dots$

1. Measure the state $x(n) \in X$ of the system
2. Set $x_0 = x(n)$, solve the optimal control problem

$$\min J_N(n, x_0, u(\cdot)) := \sum_{k=0}^{N-1} \ell(n+k, x_u(k, x_0), u(k))$$

w.r.t $u(\cdot) \in \mathbb{U}^N(x_0)$

subject to $x_u(0, x_0) = x_0, x_u(k+1, x_0) = f(x_u(k, x_0), u(k))$

and denote the obtained optimal control sequence by

$u^*(\cdot) \in \mathbb{U}^N(x_0)$

3. Define the NMPC-feedback value $\mu_n(n, x(n)) := u^*(0) \in U$ and use this control value in the next sampling period.

¹ [8] Lars Grüne and Jürgen Pannek, Nonlinear Model Predictive Control, 2017

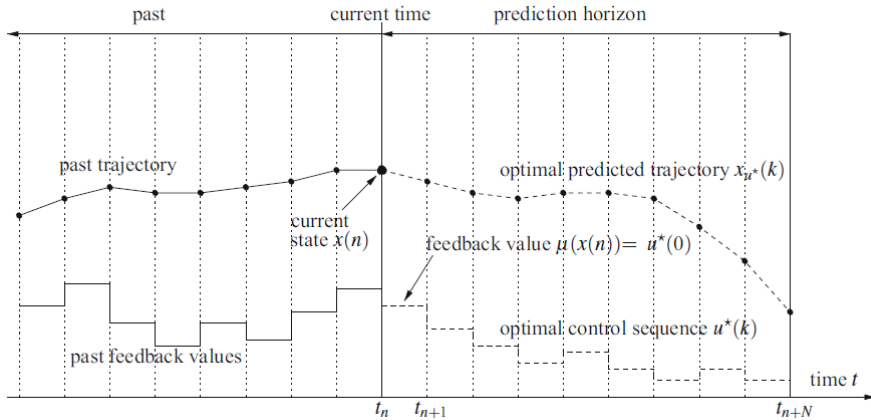


Figure: Illustration of the NMPC step at time t_n ²

²[8] Lars Grüne and Jürgen Pannek, Nonlinear Model Predictive Control, 2017

From OCP to NLP

$$\begin{bmatrix} \dot{x} \\ \dot{y} \\ \dot{\theta} \end{bmatrix} = \begin{bmatrix} v \cos \theta \\ v \sin \theta \\ \omega \end{bmatrix} = \begin{bmatrix} \cos \theta & 0 \\ \sin \theta & 0 \\ 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} v \\ \omega \end{bmatrix}$$

system model:

$$\dot{\mathbf{x}}(t) = \mathbf{f}(\mathbf{x}_u(k), \mathbf{u}_k)$$

$$\mathbf{x}(k+1) = \mathbf{f}(\mathbf{x}(k), \mathbf{u}_k)$$

$$\begin{bmatrix} \dot{x} \\ \dot{y} \\ \dot{\theta} \end{bmatrix} = \begin{bmatrix} v \cos \theta \\ v \sin \theta \\ \omega \end{bmatrix} \xrightarrow[\text{Sampling Time } \Delta T]{\text{Euler Discretization}} \begin{bmatrix} x(k+1) \\ y(k+1) \\ \theta(k+1) \end{bmatrix} = \begin{bmatrix} x(k) \\ y(k) \\ \theta(k) \end{bmatrix} + \Delta T \begin{bmatrix} v(k) \cos \theta(k) \\ v(k) \sin \theta(k) \\ \omega(k) \end{bmatrix}$$

$$\text{running costs: } \ell(\mathbf{x}, \mathbf{u}) = \|\mathbf{x}_u - \mathbf{x}^{\text{ref}}\|_Q^2 + \lambda \|\mathbf{u} - \mathbf{u}^{\text{ref}}\|_R^2$$

$$\text{cost function: } J_N(\mathbf{x}_0, \mathbf{u}) = \sum_{k=0}^{N-1} \ell(\mathbf{x}_u(k), \mathbf{u}(k))$$

$$\text{value function: } V_N(x) = \min_u J_n(\mathbf{x}_0, \mathbf{u})$$

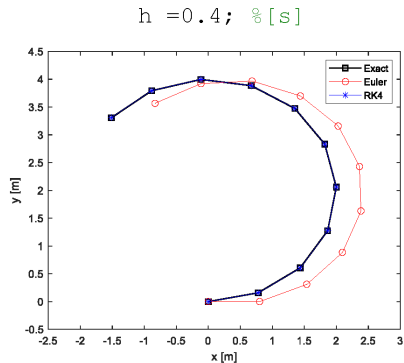
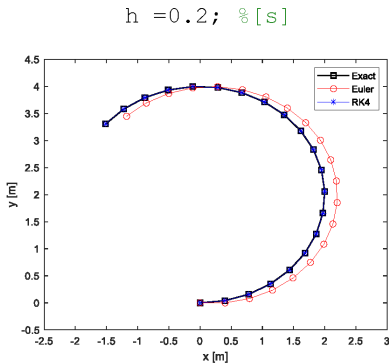


Figure: Comparison between Euler and RK4³

³[10] Mohamed W. Mehrez, Prediction Model Simulation Using Runge Kutta Method, 2020

Runge-Kutta 4th

$$\dot{x} = f(x, u(t))$$

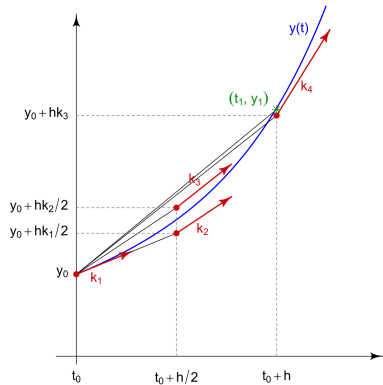
$$k_1 = f(x_{n-1}, u)$$

$$k_2 = f(x_{n-1} + \frac{h}{2}k_1, u)$$

$$k_3 = f(x_{n-1} + \frac{h}{2}k_2, u)$$

$$k_4 = f(x_{n-1} + hk_3, u)$$

$$x_n = x_{n-1} + \frac{h}{6}(K_1 + 2k_2 + 2k_3 + k_4)$$



wikipedia: Runge-Kutta Method

From OCP to NLP

OCP $\xrightarrow[\text{Euler, RK4}]{\text{Shooting Methods}}$ **NLP**

OCP

$$\begin{aligned} \min_{\mathbf{u}} J_N(\mathbf{x}_0, \mathbf{u}) &= \sum_{k=0}^{N-1} \ell(\mathbf{x}_{\mathbf{u}}(k), \mathbf{u}(k)) \\ \text{subject to } \mathbf{x}_{\mathbf{u}}(k+1) &= \mathbf{f}(\mathbf{x}_{\mathbf{u}}(k), \mathbf{u}_k), \\ \mathbf{x}_{\mathbf{u}}(0) &= \bar{\mathbf{x}}_0, \\ \mathbf{u}(k) &\in U, \forall k \in [0, N-1] \\ \mathbf{x}_{\mathbf{u}}(k) &\in X, \forall k \in [0, N] \end{aligned}$$

From OCP to NLP

NLP with $\mathbf{w} = [\mathbf{u}_0 \cdots \mathbf{u}_{N-1}, \mathbf{x}_0 \cdots \mathbf{x}_N]$

$\min_{\mathbf{w}} \Phi(\mathbf{w})$ objective function

$$\text{subject to } \mathbf{g}_1(\mathbf{w}) = \begin{bmatrix} g_1(\mathbf{x}_0, \mathbf{u}_0) \\ \vdots \\ g_1(\mathbf{x}_{N-1}, \mathbf{u}_{N-1}) \\ g_1(\mathbf{x}_N) \end{bmatrix} \leq 0, \quad \mathbf{g}_2(\mathbf{w}) = \begin{bmatrix} \bar{\mathbf{x}}_0 - \mathbf{x}_0 \\ \mathbf{f}(\mathbf{x}_0, \mathbf{u}_0) - \mathbf{x}_1 \\ \vdots \\ \mathbf{f}(\mathbf{x}_{N-1}, \mathbf{u}_{N-1}) - \mathbf{x}_N \end{bmatrix} = 0$$

inequality constraints equality constraints

CasADi⁴

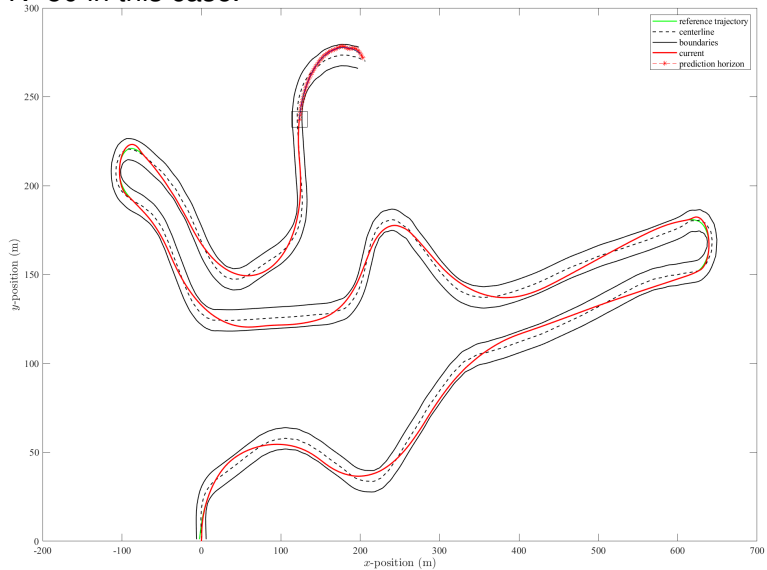
- ▶ General scope of numerical optimization
- ▶ The solution of NLP's
- ▶ Facilitates the solution of optimal control problems (OCPs)
 - ▶ Write state-of-the-art OCP algorithms with very little code!
- ▶ Free & open-source (LGPL), also for commercial use
- ▶ Four standard problems can be handled by CasADi
- ▶ Supports high-level operations: matrix-operations, implicit functions, calls to DAE integrators
 - ▶ Quadratic programs
 - ▶ nonlinear programs
 - ▶ root finding problems
 - ▶ initial-value problems in ODE/DAE

⁴[2] Joel Andersson, introduction to casadi, 2015

Our Result without obstacles

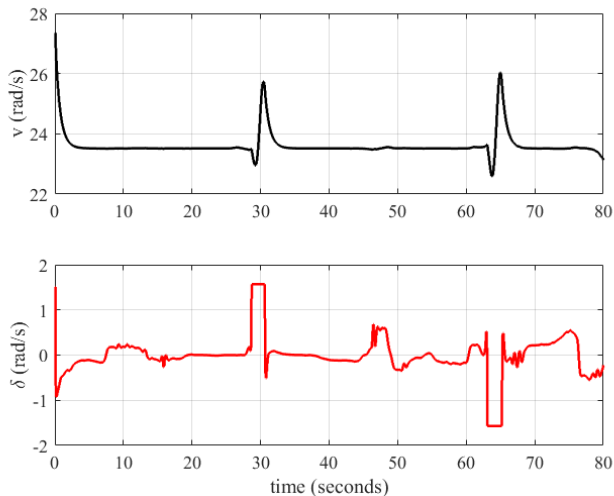
Different choice of prediction horizon (matlab sample).

N=50 in this case.



MPC Simulation

Control inputs for $N=50$.



Future scope

For Vehicle Dynamics:

- ▶ Implement Double Track Model
- ▶ Compare the differences

For optimal trajectory:

- ▶ Smoothing for control variables
- ▶ Friction maps

For MPC:

- ▶ Implement more complicated Vehicle Dynamics
- ▶ Implement different scenerio
- ▶ Proof the stability and robustness of MPC

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