

# Random Initialization and Breaking Symmetry

## ✗ Zero Initialization



All neurons identical  
**Symmetry problem**



## ✓ Random Initialization



Each neuron unique  
**Symmetry broken!**

### Common Distributions

$N(0, 0.01)$  or  $U(-0.01, 0.01)$

## Key Benefits

**Breaks symmetry** between neurons with small random values

Each neuron learns **different features** independently

Enables **diverse representation** learning across layers

**Scale matters:** Too large → saturation, Too small → vanishing gradients

Foundation for **all modern methods**

# Practical Example: Why Zero Initialization Fails

Input Layer

$x_1=1$

$x_2=2$

Hidden Layer

$h_1$

$h_2$

$W_{1\ 1}$  ,  $W_{1\ 2}$

$W_{2\ 1}$  ,  $W_{2\ 2}$

## ❌ Zero Initialization

$$W_{1\ 1} = W_{1\ 2} = W_{2\ 1} = W_{2\ 2} = 0$$

$$\begin{aligned} h_1 &= W_{1\ 1} \cdot x_1 + W_{1\ 2} \cdot x_2 \\ &= 0 \cdot 1 + 0 \cdot 2 = 0 \end{aligned}$$

$$\begin{aligned} h_2 &= W_{2\ 1} \cdot x_1 + W_{2\ 2} \cdot x_2 \\ &= 0 \cdot 1 + 0 \cdot 2 = 0 \end{aligned}$$

$h_1 = h_2 = 0$  (Identical!)

### Gradient Update:

$$\partial L / \partial W_{1\ 1} = \partial L / \partial W_{1\ 2} \quad (\text{same})$$

$$\partial L / \partial W_{2\ 1} = \partial L / \partial W_{2\ 2} \quad (\text{same})$$

$W_{1\ 1}$  and  $W_{1\ 2}$  stay identical

$W_{2\ 1}$  and  $W_{2\ 2}$  stay identical

⚠️ Symmetry Never Broken

## ✅ Random Initialization

$$W_{1\ 1} = 0.5, W_{1\ 2} = -0.3$$

$$W_{2\ 1} = 0.2, W_{2\ 2} = 0.4$$

$$\begin{aligned} h_1 &= W_{1\ 1} \cdot x_1 + W_{1\ 2} \cdot x_2 \\ &= 0.5 \cdot 1 + (-0.3) \cdot 2 \\ &= 0.5 - 0.6 = -0.1 \end{aligned}$$

$$\begin{aligned} h_2 &= W_{2\ 1} \cdot x_1 + W_{2\ 2} \cdot x_2 \\ &= 0.2 \cdot 1 + 0.4 \cdot 2 \\ &= 0.2 + 0.8 = 1.0 \end{aligned}$$

$h_1 = -0.1 \neq h_2 = 1.0$  (Different!)

### Gradient Update:

$$\partial L / \partial W_{1\ 1} \neq \partial L / \partial W_{1\ 2} \quad (\text{different})$$

$$\partial L / \partial W_{2\ 1} \neq \partial L / \partial W_{2\ 2} \quad (\text{different})$$

Each weight learns  
unique features independently

✓ Symmetry Broken Successfully