

# Lecture 03 - Contents

An overview of the parts in the medical RAG systems lecture.

## Part 1

Knowledge Base & Retrieval

## Part 2

Advanced RAG Techniques

## Part 3

Production Systems

## Hands-on

RAG Pipeline Hands-on

This outline is for guidance. Navigate the slides with the left/right arrow keys.



Lecture 3:

# RAG for Healthcare

## Evidence-Based AI

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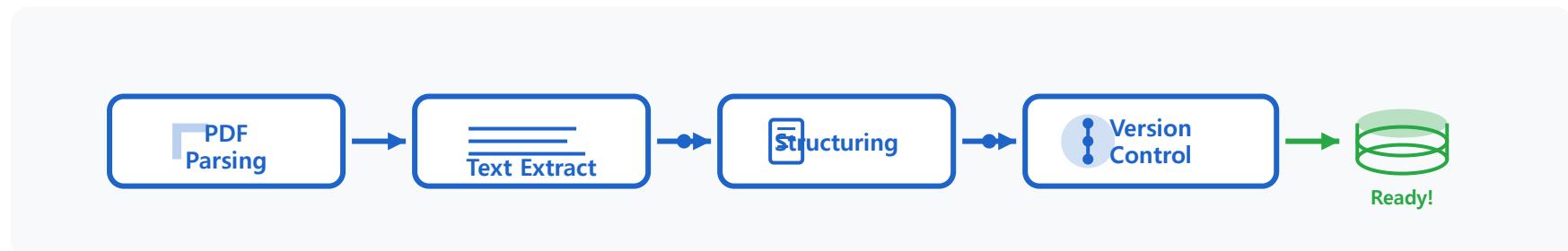
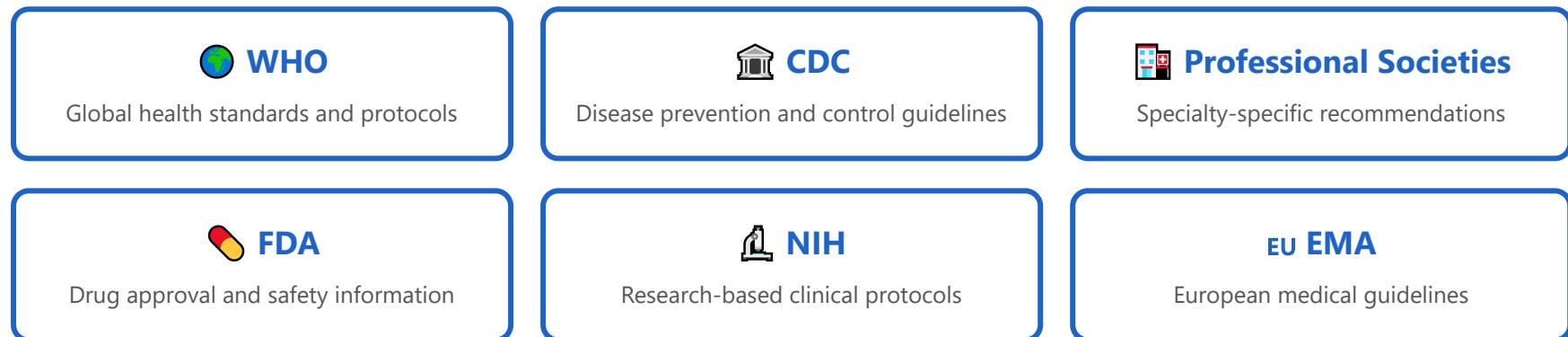
# RAG Architecture for Healthcare



Part 1:

# Building Medical Knowledge Bases

# Clinical Guidelines Ingestion



Metadata Schema		
<b>Source:</b> Organization name	<b>Version:</b> Publication date	<b>Topic:</b> Medical category
<b>Evidence:</b> Quality level	<b>Updates:</b> Revision history	<b>Language:</b> Multi-lingual support

## **Reserved Slot (L03\_05)**

추후 내용이 추가될 자리입니다. 강의 흐름의 연속성을 위해 번호를 보존합니다.

# Drug Database Integration



**13,000+**

Comprehensive drug data with molecular structures



**150,000+**

Standardized medication nomenclature



**100,000+**

Official prescribing information

## Drug Knowledge Graph

Drug Entity



Interactions



Side Effects



Indications



### Interaction Matrix

Drug-drug, drug-food, drug-disease interaction checking



### Pharmacokinetics

ADME properties, half-life, metabolism pathways



### Adverse Events

FDA FAERS database with 10M+ reports



### Pricing & Access

Cost information and formulary status

# Vector Embedding Strategies

## Dense Embeddings

BERT, BioBERT, Sentence-BERT



High-dimensional  
continuous space

- Semantic similarity
- Context understanding
- Computational cost

Best for: "chest pain" ≈ "cardiac discomfort"

## Sparse Embeddings

BM25, TF-IDF



Most values = 0  
Only keywords

- Fast retrieval
- Interpretable
- No semantics

Best for: Exact term "ICD-10 I21.0"

## Hybrid Approach

Dense + Sparse fusion



- Best of both
- High accuracy (95%+)
- More complex
- Recommended for medical applications

Dense



87%

## Retrieval Accuracy Comparison

384d - Fast, general purpose

768d - BERT standard

1024d - High precision

## Dimension Selection

# Dense vs Sparse Retrieval

Aspect	Dense Retrieval	Sparse Retrieval
Similarity Type	Semantic meaning	Keyword matching
Speed	Medium (ANN search)	Fast (inverted index)
Accuracy	High for concepts	High for exact terms
Medical Terms	Understands synonyms	Exact match required

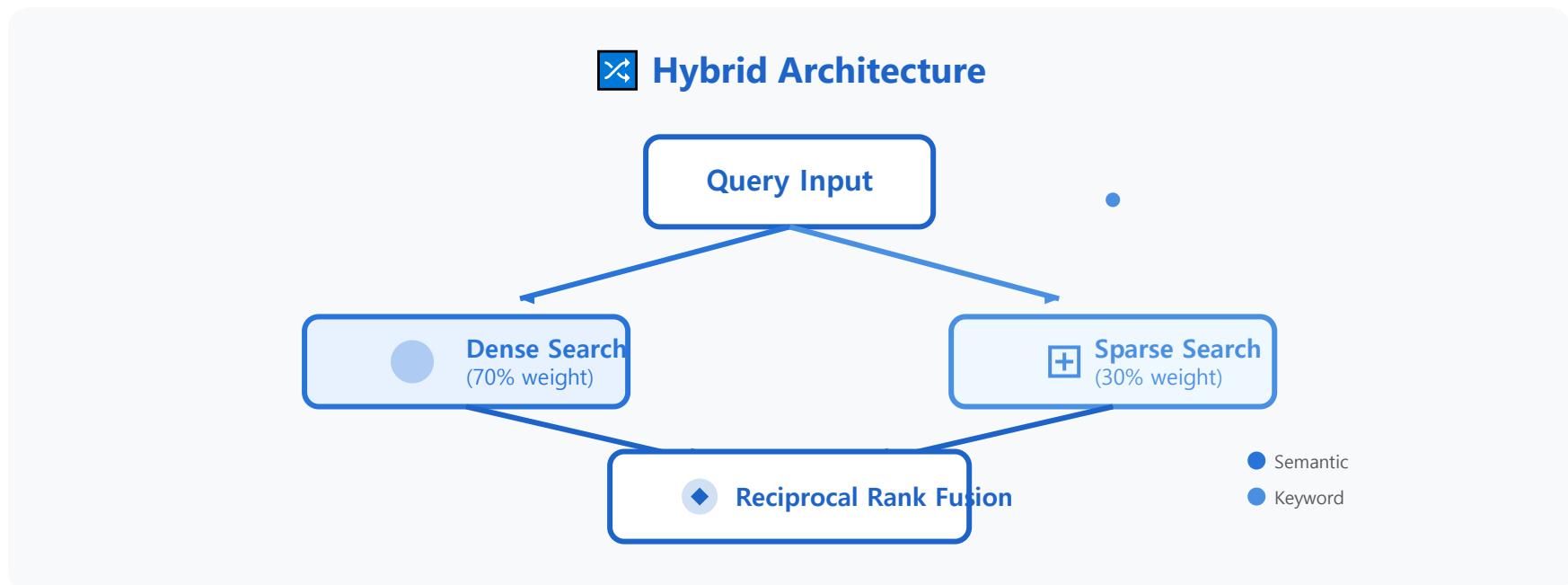
## Dense best for:

"Patient with chest pain and shortness of breath"

## Sparse best for:

"ICD-10 code I21.0" or "Aspirin 81mg"

# Hybrid Search Implementation



## Weighted Sum

$$\text{score} = \alpha \cdot \text{dense} + (1-\alpha) \cdot \text{sparse}$$

## RRF (Recommended)

$$\text{score} = \sum \frac{1}{k + \text{rank}}$$

## Ensemble

Multiple models voting

## Performance Improvement

Precision@10: **89% → 95%**

Recall@10: **76% → 92%**

# Similarity Metrics for Medical Text

## Cosine Similarity

$$\cos(\theta) = \mathbf{A} \cdot \mathbf{B} / (\|\mathbf{A}\| \|\mathbf{B}\|)$$

Range: [-1, 1]

Best for: Dense embeddings

## Euclidean Distance

$$d = \sqrt{\sum (a_i - b_i)^2}$$

Range: [0, ∞]

Best for: Spatial similarity

## Jaccard Index

$$J = |A \cap B| / |A \cup B|$$

Range: [0, 1]

Best for: Set overlap

## Semantic Similarity

Based on medical ontology

Range: [0, 1]

Best for: Medical concepts

## Medical Text Example

Text 1: "Patient has myocardial infarction"

Text 2: "Heart attack diagnosed"

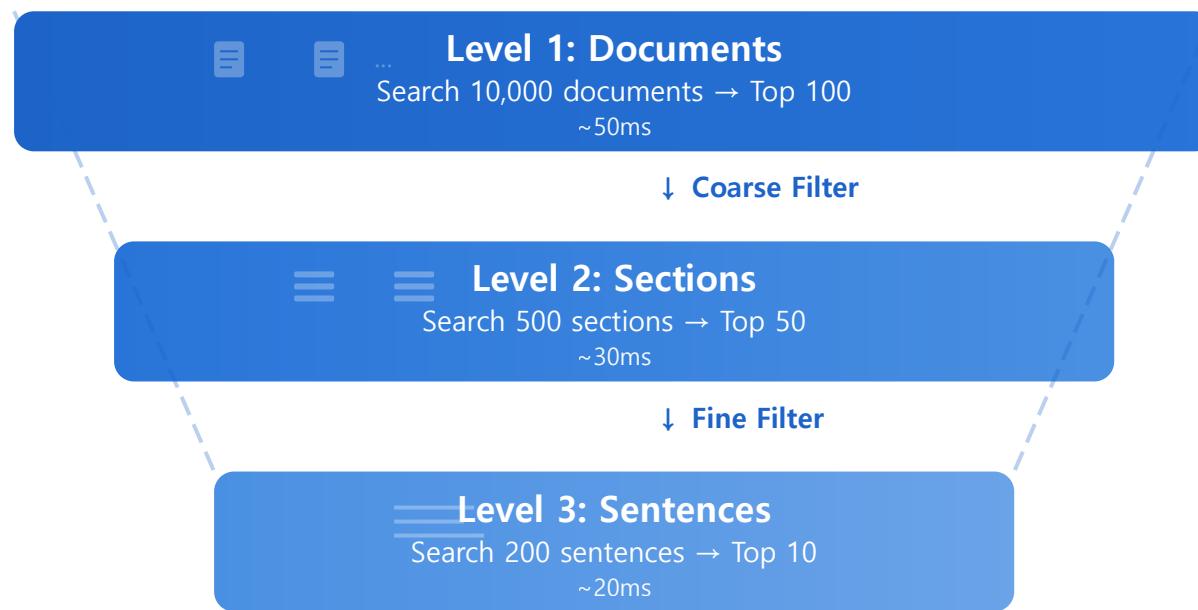
Cosine: **0.89** 

Jaccard: **0.12** 

Semantic: **0.95** 



# Hierarchical Retrieval



## ⚡ Efficiency

100ms total vs 500ms flat  
5x faster

## 🎯 Accuracy

Precision@10: 94%  
2% drop acceptable

## 💾 Memory

Incremental loading  
Lower RAM usage

# Multi-hop Reasoning



## Multi-hop Query Example

Q: "Treatment for pneumonia in diabetic patients?"

1

Hop 1

Search: "pneumonia treatment"  
→ **Antibiotics: Amoxicillin, Azithromycin**



2

Hop 2

Search: "diabetes drug interactions"  
→ **Fluoroquinolones affect glucose**



3

Hop 3

Search: "safe antibiotics diabetes"

Synthesis →



## Evidence-Based Answer

**Amoxicillin** is recommended for diabetic patients with pneumonia. **Avoid fluoroquinolones** due to glucose control interference. Monitor blood sugar during treatment.

# Citation Generation

## Citation Formats

### APA Style

Smith, J. (2024). Title. Journal, 12(3), 45-67.

### MLA Style

Smith, John. "Title." Journal 12.3 (2024): 45-67.

### Vancouver

Smith J. Title. Journal. 2024;12(3):45-67.

## Evidence Strength Indicators

 High: Systematic reviews, RCTs

 Medium: Cohort studies

 Low: Case reports, expert opinion



## Inline Citation Example

Aspirin reduces cardiovascular events by 25% [Smith et al., 2024 ] in high-risk patients [Johnson, 2023 ].

# Evidence Scoring System

## ▲ Evidence Pyramid

Meta-analyses & Systematic Reviews

Score: 9-10

Randomized Controlled Trials (RCTs)

Score: 7-8

Cohort Studies

Score: 5-6

Case-Control Studies

Score: 3-4

Case Reports & Expert Opinion

Score: 1-2



### GRADE System Factors

Study design quality

Consistency of results

Directness of evidence

Precision (CI, p-value)

Publication bias check

# Confidence Calibration

## 🎯 What is Calibration?

If model says 80% confidence, it should be correct 80% of the time

### Temperature Scaling

Adjust logits with temperature T  
 $p' = \text{softmax}(\text{logits} / T)$

### Platt Scaling

Logistic regression on outputs  
 $p' = 1 / (1 + \exp(A \cdot p + B))$

### Isotonic Regression

Non-parametric calibration  
Monotonic function fitting

### 📊 Calibration Metrics

**ECE** (Expected Calibration Error):  $|\text{confidence} - \text{accuracy}|$

**MCE** (Maximum Calibration Error):  $\max|\text{confidence} - \text{accuracy}|$

**Brier Score**: Mean squared error of probabilities

# Query Decomposition

## Complex Query

"What are the contraindications for prescribing metformin in elderly patients with chronic kidney disease?"

## ↓ Decompose ↓

1 Metformin contraindications

3 Chronic kidney disease drug safety

2 Elderly patients drug considerations

4 Metformin + CKD interactions

## ↓ Integrate Results ↓

## Synthesized Answer

Metformin is contraindicated in CKD stage 4-5 (eGFR <30) due to lactic acidosis risk. In elderly CKD stage 3, dose reduction to 500mg BID with careful monitoring is recommended.



# Vector Database Selection

## Pinecone

- ✓ Fully managed
- ✓ Excellent scalability
- ✗ Proprietary, costly

## Weaviate

- ✓ Open source
- ✓ Built-in vectorization
- ⚠ Self-hosting required

## Milvus

- ✓ High performance
- ✓ Trillion-scale
- ⚠ Complex setup

## Qdrant

- ✓ Rust-based speed
- ✓ Easy deployment
- ✓ Good for medical

## Selection Criteria

Data volume: **>10M vectors**

QPS: **1000+**

Latency: **<100ms**

HIPAA compliance: **Required**

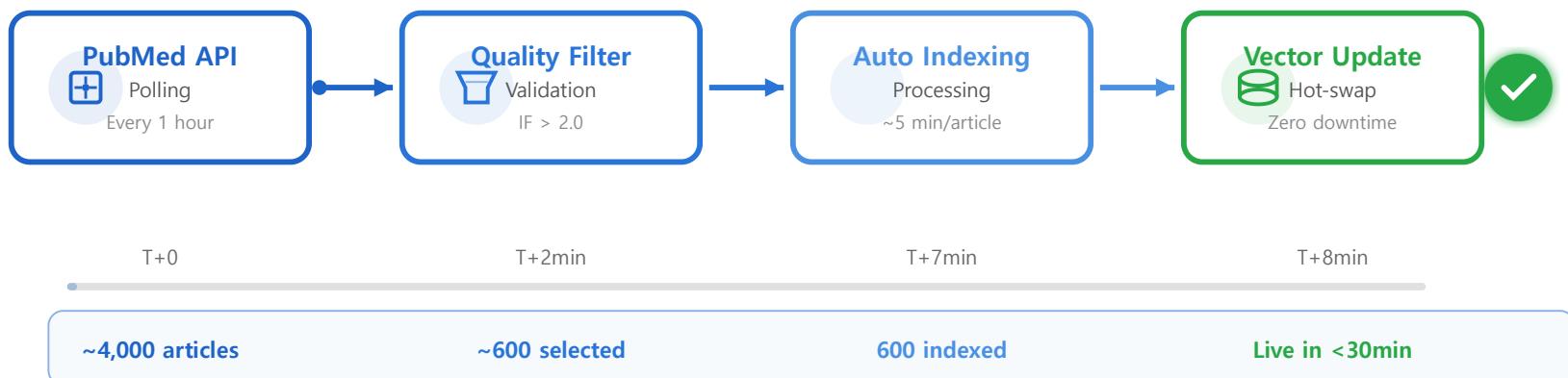
## **Reserved Slot (L03\_20)**

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# Real-time Literature Updates



## Real-time Update Pipeline



**4,000+**

New articles/day

**<30min**

Detection latency

**99.5%**

Indexing success

### Quality Filters

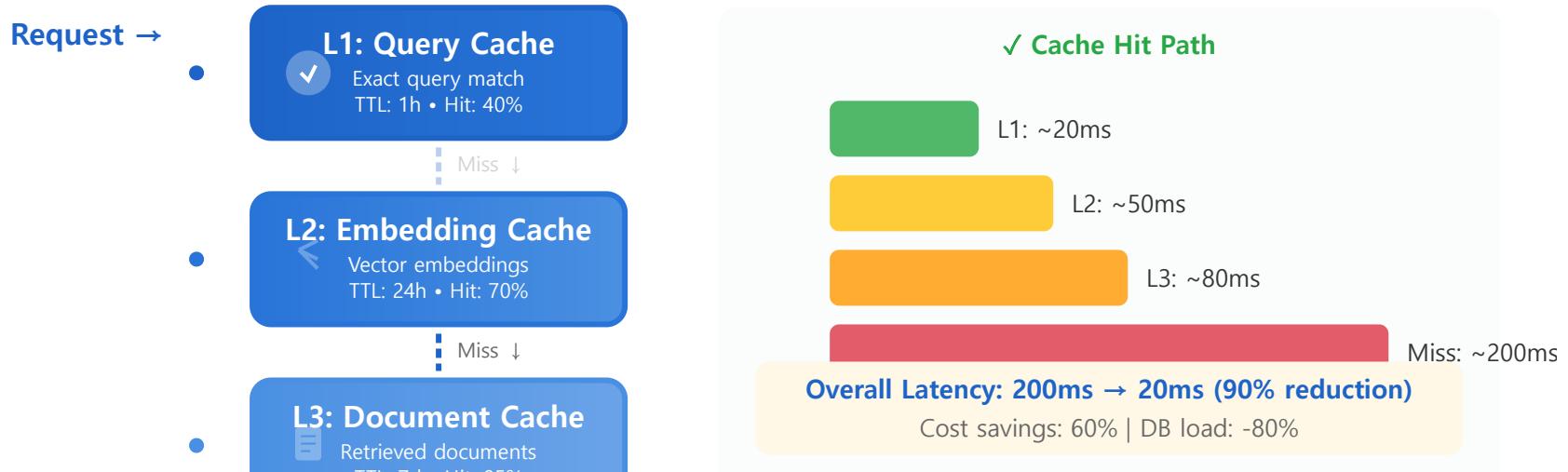
✓ Peer-reviewed journals only

✓ Full-text availability required

✓ Impact factor > 2.0

✓ Duplicate detection algorithm

# Caching Optimization



## ⚙️ Redis Configuration

**Memory:** 16GB with LRU eviction

**Persistence:** RDB + AOF for durability

**Cluster:** 3 nodes with replication

## 📊 Performance Impact

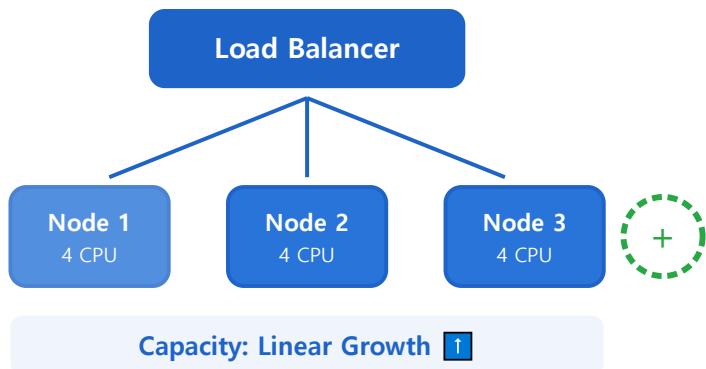
Latency reduction: **200ms → 20ms**

Cost savings: **60% reduction**

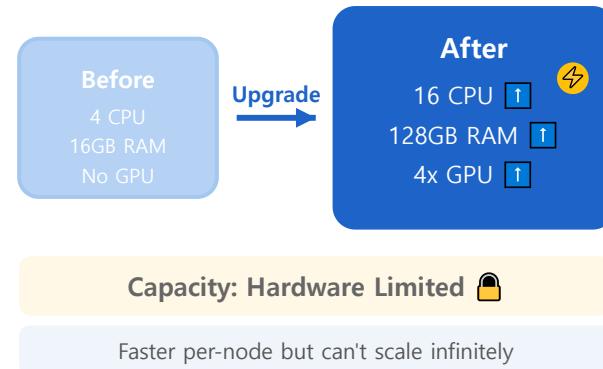
DB load: **-80%**

# Scalability Patterns

## ↔ Horizontal Scaling



## ↔ Vertical Scaling



## ☒ Sharding Strategies

### Hash-based

Uniform distribution

### Range-based

Date/category sharding

### Geo-based

Regional data locality

## 🛡 High Availability

✓ 3x replication factor

✓ Auto-failover in <5s

✓ 99.99% uptime SLA

# Case Study: UpToDate Integration

World's Leading Clinical Decision Support System

**6,000+**

Clinical Topics

**12,000+**

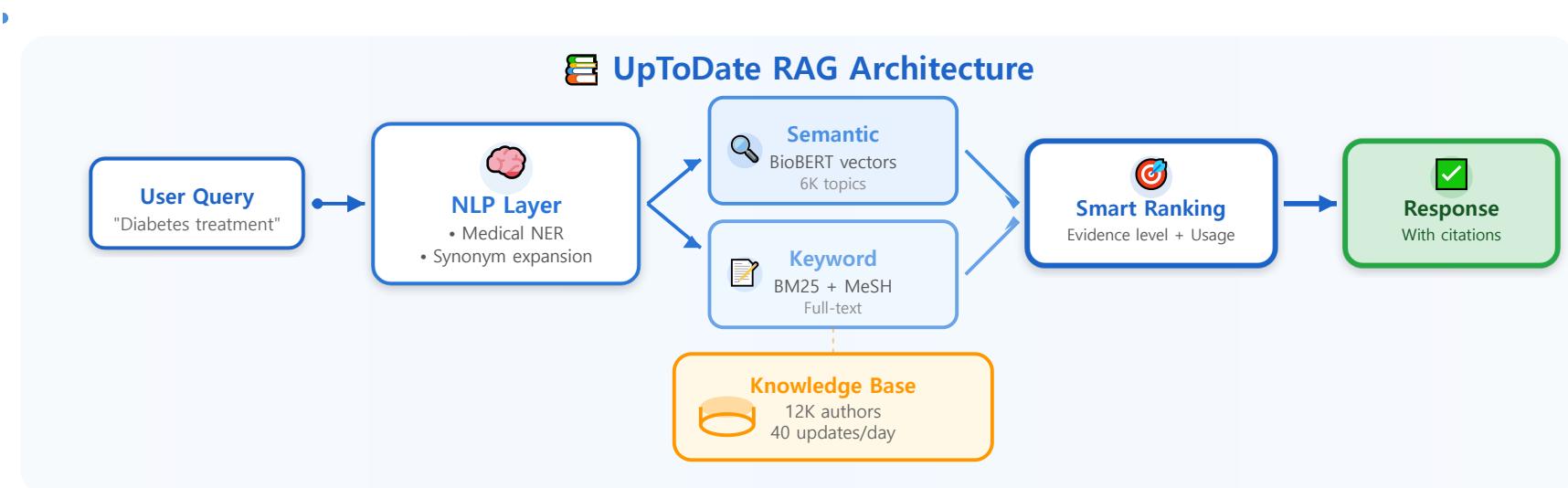
Expert Authors

**130+**

Countries

**40+**

Updates/day



## Clinical Impact (Evidence-Based Outcomes)

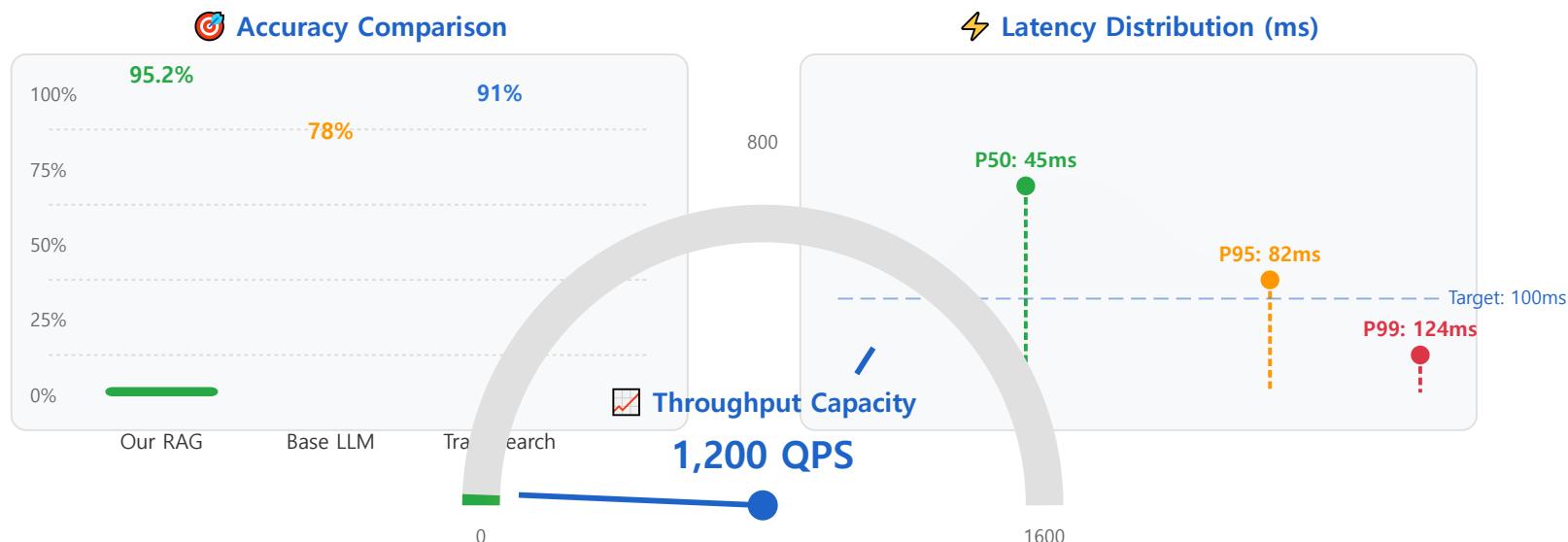
↓ 6% mortality reduction  
(NEJM 2012)

↓ 19% shorter hospital stays  
(Systematic review)

92% change clinical decisions  
(User survey)

# Performance Benchmarks

📊 RAG System Performance Metrics



**95.2%**

Retrieval Accuracy

**82ms**

P95 Latency

**98.5%**

Citation Accuracy

**2%**

Hallucination Rate

# Hallucination Mitigation

## ⚠️ Types of Hallucinations

### Factual Errors

Wrong dosage, incorrect diagnosis

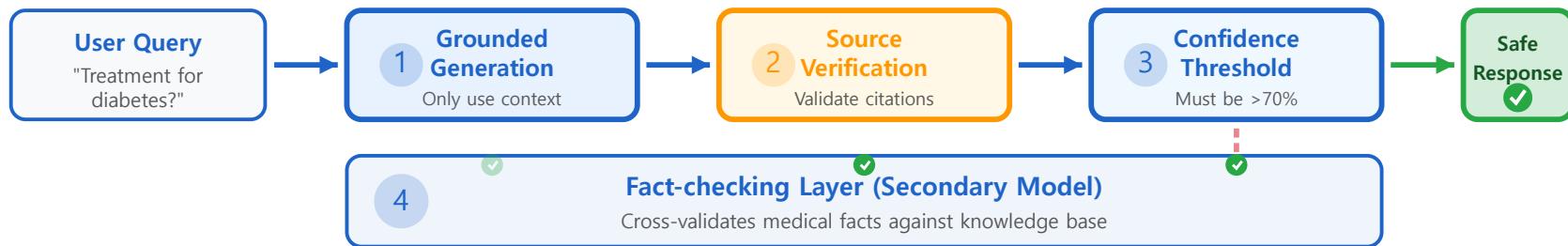
### Fabricated Citations

Made-up study references

### Outdated Info

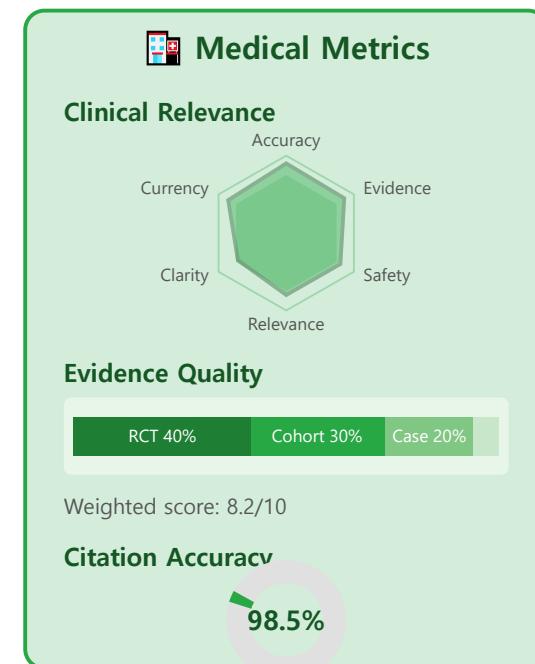
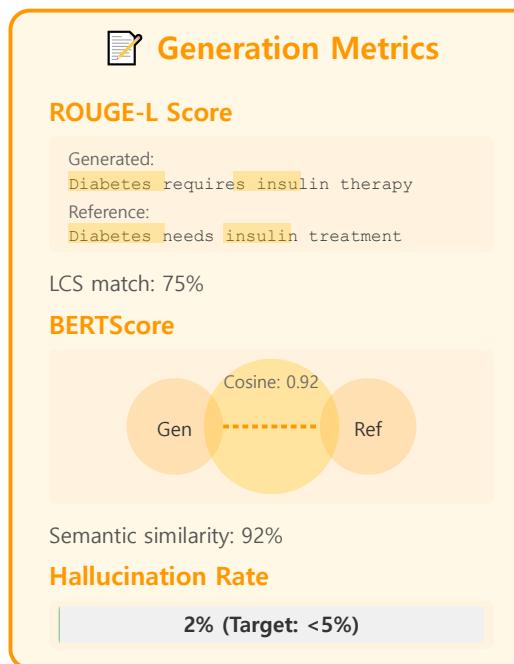
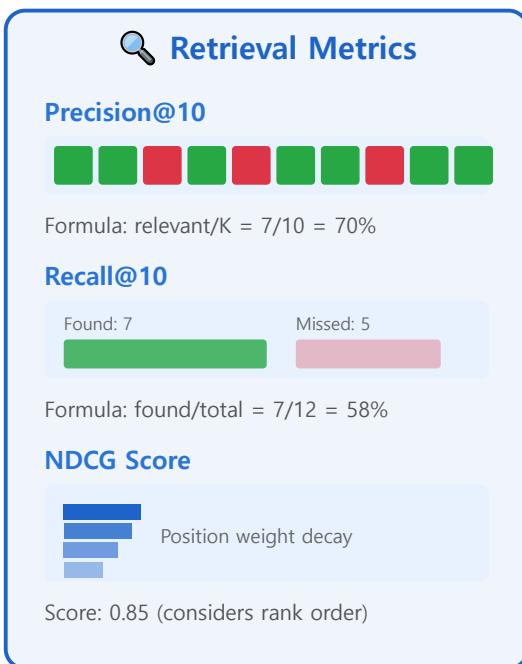
Using obsolete guidelines

## 🛡️ Mitigation Pipeline

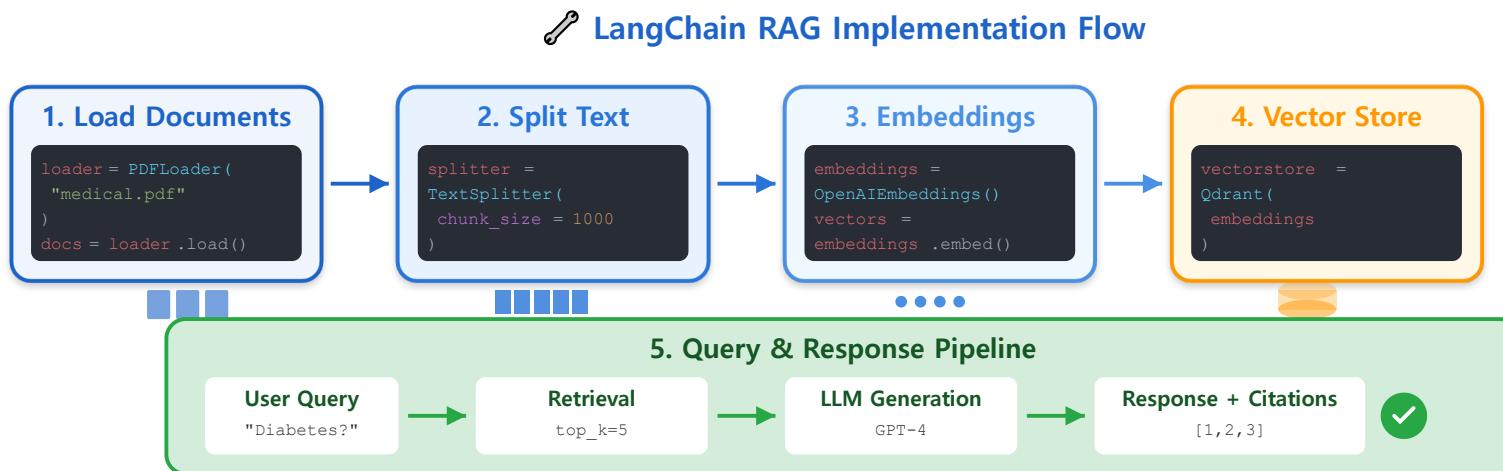


📊 Results: Hallucination rate reduced from 12% → 2% | Citation accuracy: 98.5%

# Evaluation Metrics



# Hands-on: RAG Pipeline



## 💻 Complete Implementation Code

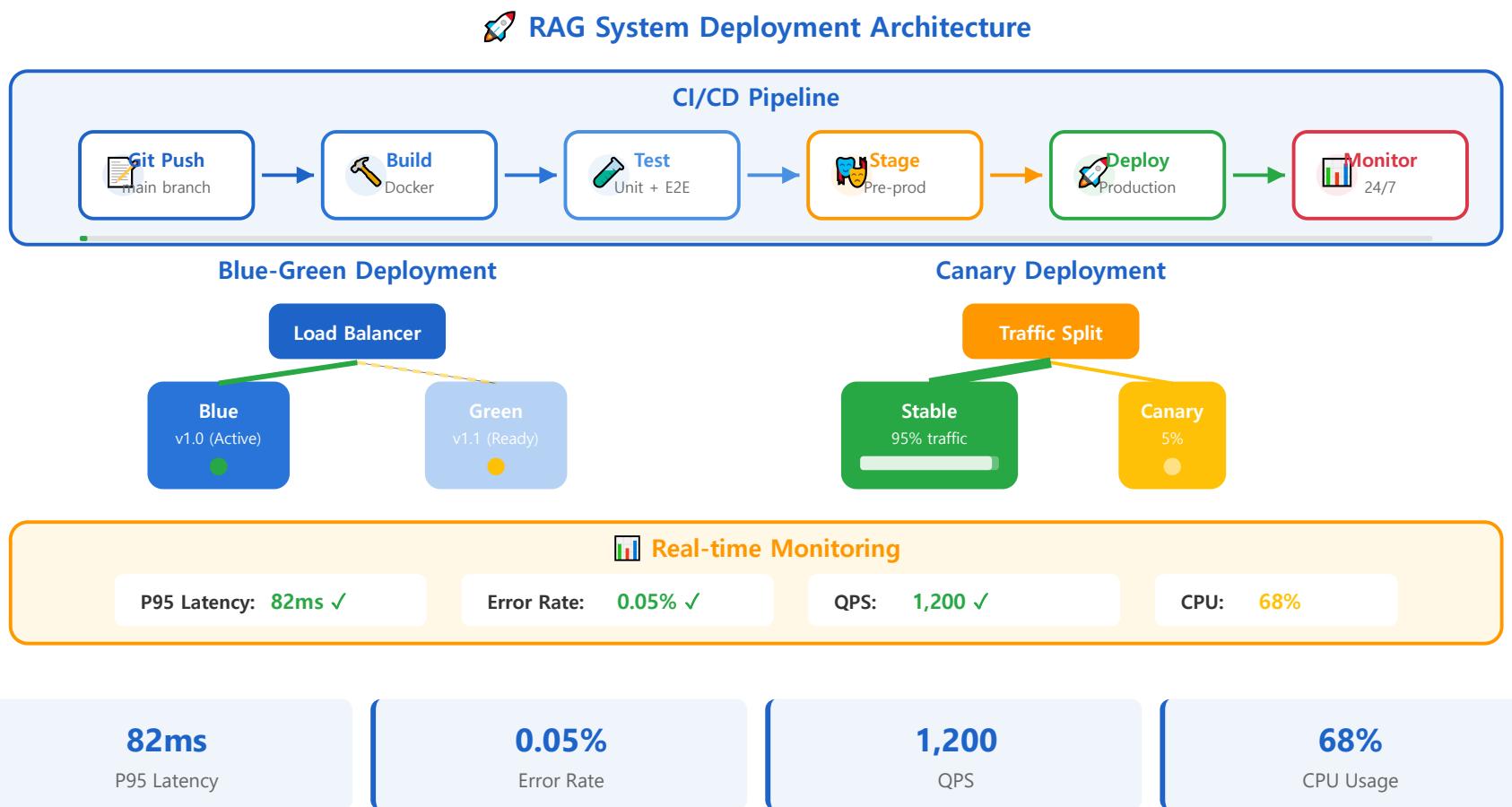
```
from langchain.vectorstores import Qdrant
from langchain.embeddings import OpenAIEMBEDDINGS
from langchain.chains import RetrievalQA

# Setup Vector Store
vectorstore = Qdrant(embeddings=OpenAIEMBEDDINGS(), collection="medical_kb")

# Create RAG Chain
qa_chain = RetrievalQA.from_chain_type(
    llm=OpenAI(temperature=0),
    retriever=vectorstore.as_retriever(search_kwargs={"k": 5}),
    return_source_documents=True
)

# Query with Citations
result = qa_chain({"query": "Treatment for Type 2 Diabetes?"})
print(result['answer'], result['source_documents'])
```

# Deployment Strategies



# Thank You

## 🎓 Key Takeaways



VECTOR DBS: Pinecone, Weaviate, Qdrant

Research: arXiv.org (cs.CL, cs.IR)

# Questions & Answers