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1 function [s] = generateOptimizedLFM(simParams, s_init)
2
3 % Number of samples per pulse
4 nPulse = length(simParams.t);
5
6 % Form binary spectral mask
7 G = abs(simParams.spectral_template);
8 wF = fftshift(G <= 0.9);
9
10 % Percent power scaling for inequality constraint
11 gamma = (sum(G<=0)/length(G))/(nPulse)*(10^(6/10));
12
13 % Parse initialization waveform
14 if nargin < 2 || isempty(s_init)
15     % No initial waveform provided -> default to LFM
16     s_init = generateLFM(simParams);
17 end
18 s_init = [real(s_init); imag(s_init)];
19
20 % Define autocorrelation mask
21 wsl = computeCorrelationMask(s_init, simParams.nfft);
22
23 % Define general optimization parameters
24 optParams = struct();
25 optParams.outerIter = 200;
26 optParams.innerIter = 20;
27 optParams.p = 8;
28 optParams.gamma = gamma;
29 optParams.nPulse = nPulse;
30 optParams.nfft = simParams.nfft;
31 optParams.wsl = wsl;
32 optParams.wF = wF;
33 optParams.alpha = 5e-5;
34 optParams.rho_init = 0.1;
35 optParams.eta_init = 0.1;
36 optParams.tol = 1e-4;
37
38 % Call Augmented Lagrange Method wrapper
39 [x_opt, hist] = ALM(s_init, optParams);
40
41 % Plot convergence curves
42 plotConvergence(hist);
43
44 % Reconstruct waveform from real and imaginary
45 s = x_opt(1:nPulse) + 1i*x_opt(nPulse+1:end);
46
47 % Normalize pulse to unit energy
48 s = s./sqrt(sum(abs(s).^2));
49
50
51
52 %% Objective and Constraint Functions
53
54 function [J] = Jx(x, optParams)
55
56     nPulse = optParams.nPulse;
57     nfft = optParams.nfft;
58     wsl = optParams.wsl;

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59     p = optParams.p;
60
61     % Reconstruct waveform from real and imaginary
62     s = x(1:nPulse) + 1i*x(nPulse+1:end);
63
64     % Evaluate cost function
65     ccorr = ifft( fft(s, nfft) .* conj(fft(s, nfft)));
66     J = log(sum(abs(wsl.*ccorr).^p));
67
68     return
69
70     function [VJ] = VJx(x, optParams)
71
72         nfft = optParams.nfft;
73         wsl = optParams.wsl;
74         p = optParams.p;
75         nPulse = optParams.nPulse;
76
77         % Reconstruct waveform from real and imaginary
78         s = x(1:nPulse) + 1i.*x(nPulse+1:end);
79
80         % Evaluate cost function
81         ccorr = ifft(fft(s, nfft) .* conj(fft(s, nfft)));
82         Js1 = sum(abs(wsl.*ccorr).^p);
83
84         % Redundant pre-calculations
85         temp = (abs(ccorr).^(p-2)).*ccorr;
86         temps1 = fft(wsl.*temp);
87
88         % CELSI gradient
89         S = fft(s, nfft);
90         c = ifft(S .* temps1);
91         g_s = p * c(1:nPulse);
92         g_re = 2 * real(g_s) / Js1;
93         g_im = 2 * imag(g_s) / Js1;
94         VJ = [g_re; g_im];
95
96     return
97
98     function [H] = Hx(x, optParams)
99
100         nPulse = optParams.nPulse;
101
102         % Reconstruct complex waveform
103         s = x(1:nPulse) + 1i*x(nPulse+1:end);
104
105         % Constant-envelope constraint
106         H = abs(s).^2 - 1;
107
108     return
109
110     function VH = JHx(x, optParams)
111
112         nPulse = optParams.nPulse;
113
114         % reconstruct complex vector
115         s = x(1:nPulse) + 1i*x(nPulse+1:end);
116

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117 % allocate Jacobian: N constraints x 2N variables
118 VH = zeros(nPulse, 2*nPulse);
119
120 % fill block diagonal
121 for k = 1:nPulse
122     VH(k, k) = 2*real(s(k));
123     VH(k, k+nPulse) = 2*imag(s(k));
124 end
125
126 return
127
128 function G = Gx(x, optParams)
129
130     nPulse = optParams.nPulse;
131     nfft = optParams.nfft;
132     wF = optParams.wF;
133     gamma = optParams.gamma;
134
135 % Reconstruct complex waveform
136 s = x(1:nPulse) + 1i*x(nPulse+1:end);
137
138 % Compute inequality constraint value
139 Sf = wF .* fft(s, nfft);
140 G = sum(abs(Sf).^2) - gamma * sum(abs(s).^2);
141
142 return
143
144 function VG = VGx(x, optParams)
145
146 % numerical epsilon
147 eps = 1e-7;
148
149 % output gradient
150 VG = zeros(length(x),1);
151
152 % wrapper to evaluate inequality constraint
153 f = @(z) Gx(z, optParams);
154
155 % finite-difference loop
156 for k = 1:length(x)
157     e = zeros(length(x),1);
158     e(k) = 1;
159     VG(k) = (f(x + eps*e) - f(x - eps*e)) / (2*eps);
160 end
161
162 return
163
164 function [x, hist] = ALM(x0, optParams)
165
166 % ALM Augmented Lagrangian Method
167
168 % Unpack optimization settings
169 alpha = optParams.alpha; % primal gradient step
170 rho = optParams.rho_init; % equality penalty
171 eta = optParams.eta_init; % inequality penalty
172 maxOuter = optParams.outerIter; % outer AL iterations
173 maxInner = optParams.innerIter; % max inner GD iterations
174 tol = optParams.tol; % outer stopping tolerance

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175     nPulse      = optParams.nPulse;
176
177     % Inner-loop tolerance
178     if isfield(optParams, 'innerTol')
179         innerTol = optParams.innerTol;
180     else
181         innerTol = 1e-3;
182     end
183
184     % Initialize primal and dual variables
185     x      = x0(:);
186     lambda = zeros(nPulse,1);
187     mu     = 0;
188
189     % History storage
190     hist.obj      = zeros(maxOuter,1);
191     hist.hnorm    = zeros(maxOuter,1);
192     hist.gval     = zeros(maxOuter,1);
193     hist.gradNorm = zeros(maxOuter,1);
194     hist.rho      = zeros(maxOuter,1);
195     hist.eta      = zeros(maxOuter,1);
196
197     % 0. OUTER ALM LOOP
198     for k = 1:maxOuter
199
200         % 1. INNER LOOP
201         for it = 1:maxInner
202
203             % Objective gradient
204             gJ = VJx(x, optParams);
205
206             % Equality constraint + Jacobian
207             h  = Hx(x, optParams);
208             Jh = JHx(x, optParams);
209             v  = lambda + rho*h;
210             grad_eq = Jh' * v;
211
212             % Inequality constraint + gradient
213             g  = Gx(x, optParams);
214             gG = VGx(x, optParams);
215             t  = max(0, g);
216             grad_ineq = (mu + eta*t) * gG;
217
218             % Full AL gradient
219             grad_AL = gJ + grad_eq + grad_ineq;
220
221             % Gradient descent step
222             x_new = x - alpha * grad_AL;
223
224             % Inner stopping: small step or gradient
225             if it == 1
226                 grad0_norm = norm(grad_AL);
227             end
228
229             step_norm = norm(x_new - x);
230             grad_norm = norm(grad_AL);
231
232             x = x_new;

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233
234         if (grad_norm <= innerTol * (1 + grad0_norm)) ...
235             || (step_norm <= innerTol * (1 + norm(x)))
236             break;
237     end
238 end
239
240 % 2. RE-EVALUATE AT CURRENT x FOR DUAL UPDATES & LOGGING
241 Jval = Jx(x, optParams);
242 h     = Hx(x, optParams);
243 g     = Gx(x, optParams);
244
245 % 3. DUAL UPDATES
246 lambda = lambda + rho * h;
247 mu      = max(0, mu + eta * g);
248
249 % 4. LOG HISTORY
250 hist.obj(k)      = Jval;
251 hist.hnorm(k)    = norm(h);
252 hist.gval(k)     = g;
253 hist.gradNorm(k) = grad_norm;
254 hist.rho(k)      = rho;
255 hist.eta(k)      = eta;
256
257 fprintf('Outer %3d: Obj = %.4f, ||h|| = %.3e, g = %.3e, |grad| = %.3e\n', k, Jval,
norm(h), g, grad_norm);
258
259 % 5. OUTER STOPPING CRITERIA
260 if (norm(h) < tol) && (g <= tol)
261     fprintf('ALM converged successfully.\n');
262     hist.obj      = hist.obj(1:k);
263     hist.hnorm    = hist.hnorm(1:k);
264     hist.gval     = hist.gval(1:k);
265     hist.gradNorm = hist.gradNorm(1:k);
266     hist.rho      = hist.rho(1:k);
267     hist.eta      = hist.eta(1:k);
268     return;
269 end
270
271 end
272
273 fprintf('ALM reached maximum outer iterations.\n');
274 hist.obj      = hist.obj(1:end);
275 hist.hnorm    = hist.hnorm(1:end);
276 hist.gval     = hist.gval(1:end);
277 hist.gradNorm = hist.gradNorm(1:end);
278
279 return

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