Algorithms

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The Concept of an Algorithm

- Formal Definition: An algorithm is an **ordered** set of **unambiguous**, **executable** steps that defines a **terminating** process

- Problem = motivation for algorithm
- Algorithm = procedure to solve the problem
  - Often one of many possibilities
- Program – a formal and executable representation of an algorithm
- Process – activity of executing a program

Algorithm Criteria

- Input
  - Zero/more quantities are externally supplied
- Output
  - At least one quantity is produced
- Definiteness
  - Each instruction is clear and unambiguous
- Finiteness
  - Terminate after a finite number of steps
- Effectiveness:
  - Every instruction must be basic and easy to be computed

Representation

- Description of algorithm sufficient to communicate it to the desired audience
  - Natural languages
    - English, Chinese, …etc.
    - A lot of sentences…
  - Graphic representation
    - Flowchart.
    - Feasible only if the algorithm is small and simple
  - Programming language + few English
    - C++
    - Concise and effective!

Algorithm Representation

- Primitives– a well-defined set of building blocks from which algorithm representations can be constructed.
  - syntax: symbolic representation
  - semantics: concept represented

Algorithm Discovery

- The development of a program consists:
  - Discovering the underlying algorithm
  - Representing that algorithm as a program

- Theory of problem solving
  - The algorithm to generate an algorithm for any particular problem is purely imaginary
  - There are certain problems that are **unsolvable**!!
  - The ability to solve problems is more like an **artistic skill** to be developed

Problem Solving Phases

1. Understand the problem
2. Get an idea how an algorithmic procedure might solve the problem.
3. Formulate the algorithm and represent it as a program
4. Evaluate the program for accuracy and its potential as a tool for solving other problems

Incubation Periods

- Between conscious work and the sudden inspiration
  - Reflect a process
    - A subconscious part of the mind appears to continue working
    - Forces the solution into the conscious mind

Techniques For “Getting A Foot In The Door”

- Work the problem backwards
- Solve an easier related problem
  - Relax some of the problem constraints
  - Solve pieces of the problem first = bottom up methodology
- Stepwise refinement = top-down methodology
  - Popular technique because it produces modular programs

Logical Thinking

- Break down the big problem (logically)
  - Divide
- Combine the smaller puzzles (logically)
  - Conquer

Pseudocode

- A formal programming language in favor of a less formal, more intuitive notational system
- A notational system in which ideas can be expressed **informally** during the algorithm development process
  - Focus more on the numerous interrelated concepts and criteria
    - Researches show that human minds is capable of manipulating only about 7 details at a time
  - **Flowcharts** and **graphical representation** techniques are two other useful tools

Pseudocode Primitives

■ Assignment	*name* ← *expression*
■ Conditional selection	**if** *condition* **then** *action*
■ Repeated execution	**while** *condition* **do** *activity*
■ Procedure	**procedure** *name* (*generic names*)

Conditional Branch

- **if** (condition) **then** (activity 1) **else** (activity 2)

  - Divide the total by 366 or 365 dependent on the year is a leap year or not
  - E.g., **if** (year is leap year) **then** (divide total by 366) **else** (divide total by 365)
  - E.g.,

    if (year is leap year)
        then (divide total by 366)
        else (divide total by 365)

Conditional Loop

- **while** (condition) **do** (activity)

  - While there are tickets to sell, keep selling tickets
  - E.g.,
    while (tickets remain to be sold) **do**
    (sell tickets)

Procedure

- The set of activities to be used later

- **procedure** name
- E.g.,

  procedure Greetings (var)
    assign Count **the value** var+ 6;
    while Count > 0 **do**
      - (print the message “Hello” and
      - **assign** Count **the value** Count -1)

Algorithm Primitives and Structures

- Primitives
  - Assignment — *name* ← *expression*
  - Conditional selection — **if** *condition* **then** *action*
  - Repeated execution — **while** *condition* **do** *activity*
  - Procedure — **procedure** *name* (*generic names*)
- Repetitive structures used in describing algorithmic processes
  - Iterative structures
  - Recursive structures

Iterative Structures

- Repeat collections of instructions in a looping manner
- Four kinds of code blocks:
  - Initialize: establish an initial state to be modified
  - Test: compare the current state with the termination condition
  - Statement: the block repeated in each iteration
  - Modify: change the state toward the termination condition.

While-loop vs. Repeat-loop

- While-loop: initialize; while(test) { activity; modify; }
- Repeat-loop: initialize; repeat (activity; modify;) until (test)

- For-loop: for(initialize; test; modify) { statement; }

Recursive Structures

- Another loop paradigm for repetitive structures (by invoking itself)
- Divide-and-Conquer
  - The execution creates multiple instances (children)
  - Each child is born to conquer revised smaller problems and return the results back to the parent
  - Only one instance is actively progressing

The Sequential Search Algorithm In Pseudocode

```
procedure Search (List, TargetValue)
if (List empty)
      then
         (Declare search a failure)
      else
         (Select the first entry in List to be TestEntry;
          while (TargetValue > TestEntry and
                        there remain entries to be considered)
                do (Select the next entry in List as TestEntry.);
          if (TargetValue = TestEntry)
                then (Declare search a success.)
                else (Declare search a failure.)
         ) end if
```

Binary Search Algorithm

```
procedure Search (List, TargetValue)
if (List empty)
  then
     (Report that the search failed.)
   else
     [Select the "middle" entry in List to be the TestEntry;
      Execute the block of instructions below that is
         associated with the appropriate case.
            case 1: TargetValue = TestEntry
                       (Report that the search succeeded.)
            case 2: TargetValue < TestEntry
                       (Apply the procedure Search to see if TargetValue
                            is in the portion of the List preceding TestEntry,
                            and report the result of that search.)
            case 3: TargetValue > TestEntry
                      (Apply the procedure Search to see if TargetValue
                           is in the portion of List following TestEntry,
                           and report the result of that search.)
     ] end if
```

Performance

Efficiency and Correctness

- One problem can have a variety of algorithms
- The choice between efficient and inefficient algorithms can make the difference
  - Time and storage complexity of the algorithm

Von Neumann Architecture

- Central Processing Unit (CPU)
  - Arithmetic and Logic Unit (ALU)
  - Control Unit (CU)
  - Registers
- Memory Unit
- Buses

Performance Evaluation

- Two criteria:
  - Space Complexity
    - How much memory space is used?
  - Time Complexity
    - How many running time is needed?
- Two approaches:
  - Performance Analysis
    - Machine independent
    - A prior estimate
  - Performance Measurement
    - Machine dependent
    - A posterior testing

Space Complexity

- $S(P) = C + S_P(I)$
- C is a **fixed** part:
  - Independent of the inputs and outputs.
  - Including: Instruction space, space for simple variables, fixed-size structured variables, constants
- $S_P(I)$ is a **variable** part:
  - Depends on the particular problem instance
  - Space of referenced variable and recursion stack space (**Instance Characteristics**)
    - Include the number and magnitude of the **input** and **output**

Space Complexity: Simple Function

```
float Abc(float a, b, c)
{
  return a+b+b*c+(a+b-c)/(a+b)+4.00;
}
```

- I = a,b,c
- C = space for the program + space for variables a, b, c, Abc = constant
- $S_{Abc}(I) = 0$
- $S(Abc) = C + S_{Abc}(I)$ = constant

Space Complexity : Iterative Summing

```
float Sum(float *A, const int n)
{ float s = 0;
  for(int i=0; i<n; i++)
       s += A[i];
  return s;
}
```

- I = n (number of elements to be summed)
- C = constant
- $S_{Sum}(I)$ = 0 (a stores only the address of array)
- $S(Sum) = C + S_{Sum}(I)$ = constant.

Space Complexity : Recursive Summing

```
float Rsum(float *A, const int n)
{
  if (n<=0) return 0;
  else return (Rsum(A, n-1) + A[n-1]);
}
```

- C = constant
- I = n (number of elements to be summed)
  -
  -
- S(Rsum) = C + $S_{Rsum}(n)$ =

Time Complexity

- $T(P) = C + T_P(I)$
- C is a **constant** part:
  - Compile time
- $T_P(I)$ is a **variable** part:
  - Running time
  - Use "**program step**" to estimate $T_P(I)$
    - "program step" = a statement whose execution time is ***independent*** of instance characteristics(I).

Time Complexity : Iterative Summing

```
float Sum(float *A, const int n)
{ float s = 0;
  for(int i=0; i<n; i++)
      s += A[i];
  return s;
}
```

- I = n (number of elements to be summed)
- $T_{Sum}(I)$ =
- $T(Sum) = C + T_{Sum}(n) =$

Time Complexity : Recursive Summing

```
float Rsum(float *A, const int n)
{
  if (n<=0)
    return 0;
  else return (Rsum(A, n-1) + A[n-1]);
}
```

- I = n (number of elements to be summed)
- $T_{Rsum}(n)$ = ?

Time Complexity : Recursive Summing

```
float Rsum(float *A, const int n)
{
  if (n<=0)
    return 0;
  else return (Rsum(A, n-1) + A[n-1]);
}
```

- I = n (number of elements to be summed)
- Relation for $T_{Rsum}(n)$:
-
-
-
-

Observation on Step Counts

- In the previous examples :

  $T_{Sum}(n)$ =

  $T_{Rsum}(n)$ =
- Can we say that Rsum is faster than Sum ?
  -
  -
- Instead, we are interesting in "**Growth Rate**" of the program
  - "*How the running time changes with changes in the instance characteristics?*"

Program Growth Rate

- $T_{Sum}(n) = 2n + 3$ means
  - When n is tenfold(10X)
  - The running time $T_{Sum}(n)$ is tenfold(10X).
  - Runs in **linear** time.
- $T_{Rsum}(n) = 2n + 2$
  - Runs in **linear** time.
- $T_{Sum}(n)$ and $T_{Rsum}(n)$
  - The same growth rate
  -

Asymptotic Notation

- Predict the growth rate
  - Scenario 1: c1 =1, c2 =2, and c3 =100
    - P1:
    - P2:
  - Scenario 2: c1 =1, c2 =2, and c3 =1000
    - P1:
    - P2:

Notation: Big-O (O)

- Definition:
  - Let $f(n) = O(g(n))$
  - iff there exist **c, n_0>0** such that **$f(n) \leq c\, g(n)$** for **all $n \geq n_0$**
- Examples
  - $3n + 2 =$
    -
  - $100n + 6 =$
    -
  - $10n^2 + 4n + 2 =$
    -

Theorem 1.2

- Theorem 1.2:
  If $f(n) = a_m n^m + \ldots + a_1 n + a_0$, then $f(n) = O(n^m)$
  - Proof:

$$
\begin{aligned}
f(n) &= a_m n^m + \ldots + a_1 n + a_0 \\
&\le |a_m| n^m + \ldots + |a_1| n + |a_0| \\
&\le n^m (|a_m| + \ldots + |a_1| + |a_0|) \\
&\le n^m c \quad \text{for } n \ge 1
\end{aligned}
$$

So, $f(n) = O(n^m)$

  - *Leading constants* and *lower-order terms* do not matter

Practices

- $n^2 - 10n - 6 =$
- $n + \log n =$
- $n + n \log n =$
- $n^2 + \log n =$
- $2^n + n^{10000} =$
- $n^4 + 1000\, n^3 + n^2 = O(n^4)$, True or False?
- $n^4 + 1000\, n^3 + n^2 = O(n^5)$, True or False?

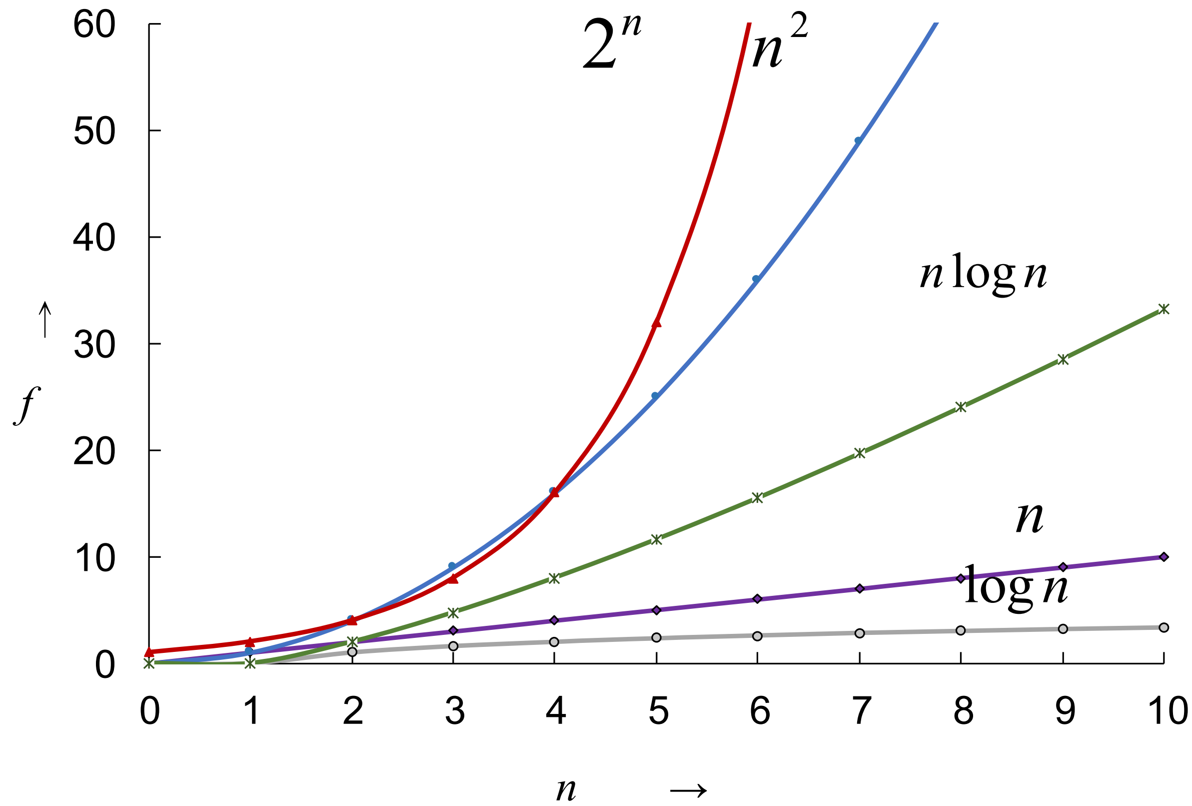
Properties of Big-O

- $f(n) = O(g(n))$
  - $g(n)$ is an upper bound of $f(n)$.
    - $n = O(n) = O(n^{2.5}) = O(n^3)$
    - However, we want $g(n)$ as small as possible
- Big-O: worst-case running time of a program
  - $f(n) =$

Naming Common Functions

Complexity	Naming
$O(1)$	Constant time
$O(\log n)$	Logarithmic time
$O(n \log n)$	$O(\log n) \leq . \leq O(n^2)$
$O(n^2)$	Quadratic time
$O(n^3)$	Cubic time
$O(n^{100})$	Polynomial time
$O(2^n)$	Exponential time

Plot of Common Function Values



Running Times on Computers

	f (n)						
n	n	$n \log_2 n$	n^2	n^3	n^4	n^{10}	2^n
10	.01 μs	.03 μs	.1 μs	1 μs	10 μs	10s	1μs
20	.02 μs	.09 μs	.4 μs	8 μs	160 μs	2.84h	1ms
30	.03 μs	.15 μs	.9 μs	27 μs	810 μs	6.83d	1s
40	.04 μs	.21 μs	1.6 μs	64 μs	2.56ms	121d	18m
50	.05 μs	.28 μs	2.5 μs	125 μs	6.25ms	3.1y	13d
100	.10 μs	.66 μs	10 μs	1ms	100ms	3171y	$4*10^{13}$y
10^3	1 μs	9.96 μs	1 ms	1s	16.67m	$3.17*10^{13}$y	$32*10^{283}$y
10^4	10 μs	130 μs	100 ms	16.67m	115.7d	$3.17*10^{23}$y	
10^5	100 μs	1.66 ms	10s	11.57d	3171y	$3.17*10^{33}$y	
10^6	1ms	19.92ms	16.67m	31.71y	$3.17*10^7$y	$3.17*10^{43}$y	

μs = microsecond = 10^{-6}second; ms =milliseconds = 10^{-3}seconds
s = seconds; m = minutes; h = hours; d = days; y = years;

Rule of Sum

- To compute the sequential statements in a program
- $f_1(n) = O(g_1(n))$, $f_2(n)=O(g_2(n))$
  - $f_1(n) + f_2(n) = O(\max(g_1(n), g_2(n))$
- Examples:
  - $f_1(n) = O(n)$, $f_2(n) = O(n^2)$
    - $f_1(n) + f_2(n) =$
  - $f_1(n) = O(n)$, $f_2(n) = O(n)$
    - $f_1(n) + f_2(n) =$

Rule of Product

- Used in time analysis of **nested loops**
- $f_1(n) = O(g_1(n))$, $f_2(n)=O(g_2(n))$
  - $f_1(n) \times f_2(n) = O(g_1(n) \times g_2(n))$
- Examples:
  - $f_1(n) = O(n)$, $f_2(n) = O(n)$
    - $f_1(n) \times f_2(n) = O(n^2)$.

```
for (i=0; i<n; i++) {          // O(n)
    for (j=0; j<n; j++)        // O(n)
        sum := sum + 1;        // O(1)
}
```

Complexity of Binary Search

- Analysis of the while loop:
  - Iteration 1: n values to be searched
  - Iteration 2: n/2 left for searching
  - Iteration 3: n/4 left for searching
  - …
  - Iteraton k+1: $n/(2^k)$ left for searching
  - When $n/(2^k) = 1$, searching must finish.
    - $n = 2^k$
    - $k = \log_2 n$
  - Hence, worst-case running time of binary search is

Notation: Omega (Ω)

- Definition
  - $f(n) = \Omega(g(n))$
  - iff there exist c, $n_0 > 0$ such that $f(n) \geq c\, g(n)$ for all all $n \geq n_0$
- Examples:
  - $3n + 2 = \Omega(n)$
    - $3n+2 \geq$
  - $100n + 6 = \Omega(n)$
    - $100n+6 \geq$
  - $10n^2 + 4n + 2 = \Omega(n^2)$
    - $10n^2 + 4n + 2 \geq$

Notation: Theta(Θ)

- Definition
  - $f(n) = \Theta(g(n))$
  - iff $f(n) = O(g(n))$ and $f(n) = \Omega(g(n))$
- Examples
  - $3n + 2 =$
  - $100n + 6 =$
  - $10n^2 + 4n + 2 =$

Performance Measurement

- Obtain actual space and time requirement when running a program.
- How to do time measurement in codes ?
  - Method 1: Use clock(), measured in clock ticks
  - Method 2: Use time(), measured in seconds
- To time a short program
  - Repeat it many times
  - Take the average.