

Ackermann Set Theory and ZF

poypoyan

2 Oct 2022

Abstract

Zermelo-Fraenkel Set Theory (ZF) is considered to be the “standard” set theory for foundation of mathematics. Ackermann proposed an alternative set theory in [1], which is now called *Ackermann Set Theory* (AST). An interesting well-known result is that ZF and a little modified AST is somewhat “the same.” In this note we present that variant of AST, the equivalence result, and its significance.

1 That Variant of AST

We will now describe a variant of Ackermann Set Theory we denote as \mathbf{A}^* , which has differences with the original in [1] in that there is one axiom removed and one axiom inserted. \mathbf{A}^* is formulated in first-order logic with equality and with a constant V which is interpreted as the set universe, and a binary relation \in which is interpreted as the usual membership relation. Here are the axioms of \mathbf{A}^* :

1. (Axiom of Extensionality)

$$x \in V \wedge y \in V \wedge \forall z(z \in x \leftrightarrow z \in y) \rightarrow x = y.$$

2. (Ackermann’s Schema) Let $\phi(y, z_1, \dots, z_n)$ be any first-order formula without V as free variable. If $z_1, \dots, z_n \in V$, then

$$\forall y(\phi(y, z_1, \dots, z_n) \rightarrow y \in V) \rightarrow \exists x(x \in V \wedge \forall y(y \in x \leftrightarrow \phi(y, z_1, \dots, z_n))).$$

3. (Strong Completeness Axioms for V)

$$x \in V \wedge (y \in x \vee y \subseteq x) \rightarrow y \in V.$$

where \subseteq is the usual subset relation, defined as $x \subseteq y \leftrightarrow \forall z(z \in x \rightarrow z \in y)$.

4. (Axiom of Regularity)

$$x \in V \wedge \exists y(y \in x) \rightarrow \exists y(y \in x \wedge \forall z(z \in x \rightarrow \neg z \in y)).$$

The one axiom (schema) removed is called the *Class Construction Schema* which is just the Separation Schema for V , and the one axiom inserted is the Axiom of Regularity above.

2 The Equivalence Result, and its Significance

Let ϕ^V be the *relativization* to V of a formula ϕ in first-order logic with $=$ and \in , but without V . This means that every instance of $\forall x\varphi$ in ϕ is replaced by $\forall x(x \in V \rightarrow \varphi)$ in ϕ^V , and every instance of $\exists x\varphi$ is replaced by $\exists x(x \in V \wedge \varphi)$.

Now the following two theorems make up the equivalence result. See Appendix A for proof sketches.

Theorem 2.1 (Lévy [3]). $\mathbf{A}^* \vdash \phi^V \implies \mathbf{ZF} \vdash \phi$.

Theorem 2.2 (Reinhardt [4]). $\mathbf{ZF} \vdash \phi \implies \mathbf{A}^* \vdash \phi^V$.

The main reason for writing this whole note is because this equivalence result basically means that for *well-founded* sets, all *unique* set constructions that are

1. definable as a first-order sentence (hence, are “finite”), and

2. “universe-agnostic” (since they do not mention V),

are *exactly* the ones definable using ZF! Informally, for unique well-founded set constructions,

“Nice” and “Finite” = Constructed using ZF.

Let’s consider the Axiom of Choice (AC), a well-known axiom independent to ZF. The sets it constructs are not unique, hence it is said to postulate existence of sets without defining it, unlike other ZF (set construction) axioms [2, Chapter 5]. However, if we just permit “lengths” of any ordinal to ϕ in Ackermann’s Schema, AC can now “produce” unique sets again! To see this, let S be a set of sets, then an appropriate ϕ for AC would simply be

$$y = a_0 \vee y = a_1 \vee y = a_2 \vee \dots$$

where $a_0 \in s_0, a_1 \in s_1, a_2 \in s_2, \dots$ and $S = \{s_0, s_1, s_2, \dots\}$. We hope that this gives importance to always remembering while studying infinities that our “full” descriptions of objects are always finite.

Lastly, it is this focus on set constructions instead of proper classes that we decided to restrict Extensionality to sets in V only, and to remove the Class Construction Schema for our A^* . Note that the Separation Schema immediately follows from Ackermann’s Schema by setting ϕ to $y \in a \wedge \varphi$ for $a \in V$.

References

- [1] Wilhelm Ackermann. Zur axiomatik der mengenlehre. *Mathematische Annalen*, 131(4):336–345, Aug 1956.
- [2] Thomas Jech. *Set Theory: The Third Millennium Edition*. Springer, 2003.
- [3] Azriel Lévy. On ackermann’s set theory. *Journal of Symbolic Logic*, 24(2):154–166, 1959.
- [4] William N. Reinhardt. Ackermann’s set theory equals zf. *Annals of Mathematical Logic*, 2(2):189–249, 1970.

A Proof Sketches

[WIP; will take a long time because I am not much knowledgeable in Set Theoretic methods.]