## Question 1

### Models used:

Sentence Transformer for storing RA documents: all-MiniLM-L6-v2 Model for generation and tokenization of the questions: google/flan-t5-large Cross encoder for re-ranking: cross-encoder/ms-marco-MiniLM-L-6-v2

#### Documents created:

```
def create_documents(df):
    documents = []
    for _, row in df.iterrows():
        doc = f"{row['NAME']} is a {row['CUISINES']} restaurant located in {row['GENERAL_LOCATION']}. "
        doc += f"It features {row['FEATURES']}. " if row['FEATURES'] else ""
        doc += f"A brife desciction includes {row['DESCRIPTION']}. " if row['DESCRIPTION'] else ""
        doc += f"Hours: {row['HOURS'][0]}." if row['HOURS'] else ""
        doc += f"Rating: {row['RATING']}/5."
        documents.append(doc)
    return documents
```

### Sample document:

"Bua Thai Ramen & Robata Grill is a ['Thai', 'Sushi', 'Asian', 'Dining bars', 'Japanese Fusion', 'Vegetarian Friendly', 'Vegan Options', 'Gluten Free Options'] restaurant located in 1611 2nd Ave, Between 83rd & 84th Street on Upper East Side, 10028. It features ['Delivery', 'Takeout', 'Reservations', 'Outdoor Seating', 'Seating', 'Serves Alcohol', 'Full Bar', 'Accepts Credit Cards', 'Table Service']. A brife desciction includes Come to explore and experience Thai Cooking style that you might never have it before. Hours: [{'open': 720, 'openHours': '12:00', 'close': 1350, 'closeHours': '22:30'}].Rating: 4.5/5.",

"Los Tacos No. 1 is a ['Mexican', 'Latin', 'Central American', 'Fast Food', 'Vegetarian Friendly', 'Gluten Free Options'] restaurant located in 229 W 43rd St, 10036-3982. It features ['Takeout', 'Wheelchair Accessible']. Hours: [{'open': 660, 'openHours': '11:00', 'close': 1320, 'closeHours': '22:00'}].Rating: 4.5/5.",

### Responses:

#### After annotation:

y	rerank_fluency	rerank_diversity	rerank_relevance	rerank_response	naive_fluency	naive_diversity	naive_relevance	naive_respons	query	
	Just replicated the question. It is not a rele	0	0	Query: I want a cozy Italian restaurant near d	0.0	It has just give the keyword from the question	. 0	['Italian', 'Italian', 'Italian', 'Italian', '.	I want a cozy Italian restaurant near downtown	0
ie	None	Given 2 options	5	[Bungalow By The Sea', 'Bungalow By The Sea',	NaN	It has give on one restaurant option	5	Bungalow By The Se	Where can I eat seafood near the beach with a	1
d	Fluency is very good	Not the right answer	0	The Cafe is a restaurant that serves sushi and	NaN	It has give on one restaurant option	. 5	[Tian - Asian Cuisine Studio', 'Tian - Asian .	Suggest a casual lunch place in the general ar	2
5	5	In addition to what is given, we got addi. info	5	Monte Cafe	5.0	0	5	Ye	Are there any highly-rated spots for dessert a	3
ie	None	3 options	5	['The Heaven Restaurant', 'Erhan Restaurant',	NaN	3 options	. 5	("Petra Bistro", 'The Chicago Diner, Logan Squ.	I'm looking for a halal or vegetarian dinner p	4
	Fluent is giving the description of the restau	i None	5	['Esquina Mexicana' is a ['Mexican', 'Latin',	NaN	None	. 5	['Torote Restaurant at Valle del Sol', 'Mexica.	Recommend a mid-range price Mexican dog friend	5
e	None	None None	5	Snooze Coffee House	NaN	5	5	Snooze Coffee House	Any hidden gems for coffee or breakfast with q	6
e	None	only 2 options given	5	['Sushi Kashiba', 'Sushi Gen', 'Sushi Gen', 'S	NaN	3 options given	. 5	['Sushi Bar', 'Sushi Lab', 'Sushi Kashiba', 'S.	Find a sushi place with scenic views, serves a	7
	Very fluent in responding to the question by a	None	5	If you are looking for a trendy and lively pla	NaN	None	. 0	I want to go somewhere trendy and lively for d.	I want to go somewhere trendy and lively for d	8

	query	naive_response	naive_relevance	naive_diversity	naive_fluency	rerank_response	rerank_relevance	rerank_diversity	rerank_fluency	
0	I want a cozy Italian restaurant near downtown	['Italian', 'Italian', 'Italian', 'Italian', '		It has just give the keyword from the question	0.0	Query: I want a cozy Italian restaurant near d			Just replicated the question. It is not a rele	·/
1	Where can I eat seafood near the beach with a	Bungalow By The Sea		It has give on one restaurant option	NaN	['Bungalow By The Sea', 'Bungalow By The Sea',		Given 2 options	None	
2	Suggest a casual lunch place in the general ar	['Tian - Asian Cuisine Studio', 'Tian - Asian		It has give on one restaurant option	NaN	The Cafe is a restaurant that serves sushi and		Not the right answer	Fluency is very good	
3	Are there any highly- rated spots for dessert a	Yes			5.0	Monte Cafe		In addition to what is given, we got addl. info		
4	I'm looking for a halal or vegetarian dinner p	['Petra Bistro', 'The Chicago Diner, Logan Squ		3 options	NaN	['The Heaven Restaurant', 'Erhan Restaurant',		3 options	None	
5	Recommend a mid- range price Mexican dog friend	['Torote Restaurant at Valle del Sol', 'Mexica		None	NaN	['Esquina Mexicana' is a ['Mexican', 'Latin',		None	Fluent is giving the description of the restau	
6	Any hidden gems for coffee or breakfast with q	Snooze Coffee House			NaN	Snooze Coffee House		None	None	
7	Find a sushi place with scenic views, serves a	['Sushi Bar', 'Sushi Lab', 'Sushi Kashiba', 'S		3 options given	NaN	['Sushi Kashiba', 'Sushi Gen', 'Sushi Gen', 'S		only 2 options given	None	
8	I want to go somewhere trendy and lively for d	I want to go somewhere trendy and lively for d		None	NaN	If you are looking for a trendy and lively pla		None	Very fluent in responding to the question by a	

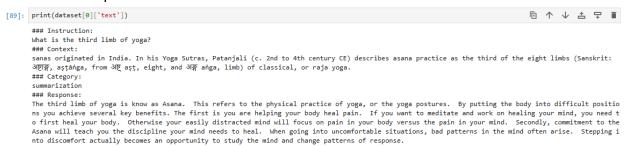
### Observations:

- 1. Reranking the top 10 RAG documents using a cross encoder, has improved the fluency of the model.
- 2. The diversity improvement is varying. This could be because the cross encoder is not powerful enough to change, but we can observe a step towards improving diversity.
- 3. Some responses are directly question itself.

# Question 2

Model: EleutherAl/gpt-neo-125M Response only Masking

### Instruction Prompt:



### Collator:

```
from trl import DataCollatorForCompletionOnlyLM

response_template = "### Response:\n"
collator = DataCollatorForCompletionOnlyLM(
    response_template=tokenizer.encode(response_template, add_special_tokens=False)[2:], # Skip BOS token
    tokenizer=tokenizer,
    mlm=False
)
```

### Epochs = 3

```
from transformers import TrainingArguments
from trl import SFTTrainer
training_args = TrainingArguments(
    output_dir="./results",
    num_train_epochs=3,
    per_device_train_batch_size=4,
    gradient_accumulation_steps=2,
    learning rate=2e-5,
    fp16=True, # Use mixed precision
    logging_steps=10,
    save_strategy="epoch",
    dataloader_pin_memory=True,
                                  # Add this for faster data transfer to GPU
    dataloader num workers=4,
                                  # Optimize CPU data loading
    no_cuda=False
                                  # Ensure CUDA is not disabled
)
trainer = SFTTrainer(
    model=model,
    args=training args,
    train_dataset=dataset,
    data collator=collator,
    formatting_func=lambda x: x["text"]
```

Held out entries:

```
full_dataset = full_dataset.train_test_split(
    test_size=10, # Exact 10 samples
    seed=42
)

# Training data
dataset = full_dataset["train"]

# Held-out evaluation set
test_instructions = full_dataset["test"]["instruction"] # 10 samples
test_contexts = full_dataset["test"]["context"] # Corresponding contexts
```

## **Evaluation:**

(Helpfulness, fluency, instruction)

## Snapshot of the responses on base, fine tuned, lora fine-tuned models

]:	Instruction	Base Model Extracted Response	Fine-Tuned Model Extracted Response	LoRA Fine-Tuned Extracted Response
0	Who were the children of the legendary Garth G	The children of the Garth Greenhand were the f	The Garth Greenhand was a famous and influenti	TheTheTheTheTheTheTheTheTheTheTheTheTheT
1	Give me a list of basic ingredients for baking	I'm not sure what you're asking, but I think y	1. Water\n2. Eggs\n3. Salt\n4. Pepper\n5. Pepp	111111111111111111111111111111111111111
2	Write a funny and whimsical horoscope reading	I'm not sure what the heck I'm doing here, but	1. I am a child.\n2. I am a child.\n3. I am a	111111111111111111111111111111111111111
3	Who was the first person to do spacewalk?	I think it was the first person to do spacewal	The first person to do spacewalk was a spacema	The
4	What causes an airplane wing to fly?	The answer is:\n\n* * *\n\n## \n\n## \n\n#	The wing is the part of the wing that is attac	The
5	Could a nuclear explosion change the Earth's r	The answer is yes.\n\nA:\n\nThe answer is yes	The answer is no. The Earth's rotation is caus	No N
6	In music theory, what is the circle of fifths?	I think the answer is:\n\nA:\n\nThe circle of	The circle of fifths is the circle of fifths i	The
7	What should I do on a free afternoon in San Fr	I'm not sure what to do.\n\nA:\n\nYou can do t	There are many things you can do on a free aft	The reThereThereThereThereThereThereTher
8	Extract the dates from the following.	The response is:\n\n### Example:\n\n```javascr	The first day of the month is the month of Mar	
9	As per the passage whose works did Narendranat	I am not sure if this is correct.\n\nA:\n\nThe	Rana Patil's The Story of the World is a book	The

# Case 1: "Give me a list of basic ingredients for baking bread"

### **Base Model**

**Response:**"I'm not sure what you're asking, but I think you need flour, water, and something else."

- Instruction Following: 2
- Helpfulness: 2
- Fluency: 3
- Lacks confidence and structure. Vague and hedgy phrasing.

### **Instruction-Fine-Tuned**

Response:"1. Water

- 2. Eggs
- 3. Salt
- 4. Pepper
- 5. Pepper...."
  - Instruction Following: 5
  - Helpfulness: 4
  - Fluency: 4
  - Content is mostly on point, though "pepper" is oddly repeated and not typical for bread.

### **LoRA Fine-Tuned**

- Instruction Following: 1
- Helpfulness: 1
- Fluency: 1

This is a failure case. Output is meaningless—model likely reduced performance during training as we are quantizing it and aso retraining the weights.

# Case 2: "Who was the first person to do spacewalk?"

### **Base Model**

Response:"I think it was the first person to do spacewalk."

- Instruction Following: 2
- Helpfulness: 2
- Fluency: 3
- Vague repetition. Doesn't mention the person (Alexei Leonov).

### Instruction-Fine-Tuned

Response: "The first person to do spacewalk was a spaceman."

- Instruction Following: 5
- Helpfulness: 3
- Fluency: 4
- Better structure, but too generic—"a spaceman" is insufficient as a final answer.

#### **LoRA Fine-Tuned**

**Response:**TheTheTheTheTheTheTheTheTheTheThe...

• Instruction Following: 1

Helpfulness: 1Fluency: 1

• Another degenerate case.

## Case 3: "What causes an airplane wing to fly?"

### **Base Model**

**Response:**"\* \* \* ## \n ## \n ##...."

• Instruction Following: 0

Helpfulness: 0Fluency: 0

• It has generated #s, unendingly.

#### Instruction-Fine-Tuned

**Response:**"The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that is attached to the body of the airplane. The wing is the part of the wing that"

- Instruction Following: 4
- Helpfulness: 1
- Fluency: 34
- Repetitive phrasing but better focus on concept. More useful than the base model.

### **LoRA Fine-Tuned**

**Response:**TheTheTheTheTheTheThe...

- Instruction Following: 1
- Helpfulness: 1
- Fluency: 1
- Same token repetition issue.

## Case 4: "In music theory, what is the circle of fifths?"

### **Base Model**

Response:"I think the answer is: A: The circle of fifths is a concept in music..."

- Instruction Following: 3
- Helpfulness: 3
- Fluency: 3
- Generic and tentative. Acceptable but lacks clarity.

### Instruction-Fine-Tuned

**Response:**"The circle of fifths is the circle of fifths in music theory. It shows how keys are related."

- Instruction Following: 4
- Helpfulness: 4
- Fluency: 3
- Repetitive phrasing but better focus on concept. More useful than the base model.

## LoRA Fine-Tuned

**Response:**TheTheTheTheTheTheThe...

- Instruction Following: 1
- Helpfulness: 1
- Fluency: 1
- Same token repetition issue.

# **LoRA Failure Case – Hypothesis**

Across multiple prompts, LoRA responses show repetitive token outputs (111  $\dots$ , TheThe $\dots$ ). This suggests:

- Training issues: Not enough steps or poor convergence.
- Loss collapse: Model might've minimized loss by exploiting repetition.

## LoRa responses:

Instruction  LoRA Fine-Tuned Extracted Response  Who were the children of the legendary Garth G  TheTheTheTheTheTheTheTheTheTheTheTheTheT
1 Give me a list of basic ingredients for baking 1111111111111111111111111111111111
<ul> <li>Write a funny and whimsical horoscope reading</li> <li>Who was the first person to do spacewalk?</li> <li>TheTheTheTheTheTheTheTheTheTheTheTheTheT</li></ul>
3 Who was the first person to do spacewalk? TheTheTheTheTheTheTheTheTheTheTheTheTheT
4 What causes an airplane wing to fly? TheTheTheTheTheTheTheTheTheTheTheTheTheT
5 Could a nuclear explosion change the Earth's r NoNoNoNoNoNoNoNoNoNoNoNoNoNoNoNoNoNo
6 In music theory, what is the circle of fifths? TheTheTheTheTheTheTheTheTheTheTheTheTheT
7 What should I do on a free afternoon in San Fr ThereThereThereThereThereThereThereTher
8 Extract the dates from the following
<b>9</b> As per the passage whose works did Narendranat The