

Unimodal inversion sequences and related pattern classes

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15th International Conference on Permutation Patterns
Reykjavik University, Iceland
June 26-30, 2017

Joint work with Walter Stromquist

Back to Iceland

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2017: It is **93206** steps from Landmannalaugar to Thórssmörk.

(Source: Legs)

- 1 Inversion sequences
- 2 Patterns and unimodal inversion sequences
- 3 Generalizations
- 4 Questions

Inversion sequences

Definition

An **inversion sequence** (**subexcedant sequence**, reversal of **Lehmer code**) is a sequence $e = e_1 e_2 \dots e_n$ ($n \geq 0$) such that $e_i \in [0, i - 1]$ for all i .

Let $SE_n = \prod_{i=1}^n [0, i - 1]$ denote the set of all inversion sequences of length n , and let $SE = \bigcup_{n \geq 0} SE_n$.

Patterns and inversion sequences

At Permutation Patterns 2014, Savage initiated the study of patterns in inversion sequences.

EIS hits

♡	□	◇	EIS	Notes
>	<	=	A113227	$S_n(1-23-4)$
>	<	≥	A117106	$S_n(21\bar{3}54)$
>	<		A033321	$S_n(2413, 2431, 2143)$ $S_n(2413, 4231, 4321)$
>	≠	>	A212198	diagonal of marked mesh pattern of type $R_n^{(2,0,2,0)}$
≠	<		A034943	321-avoiding separable permutations
≠	=		A047970	$S_n(\bar{3}1542)$
≥	≥		A108307	set partitions avoiding enhanced 3-crossings

Unimodal inversion sequences

A033321 in OEIS counts inversion sequences avoiding subsequences $e_i e_j e_k$ such that $e_i > e_j < e_k$. Since $e_1 = 0$, this is equivalent to unimodality of e , i.e.

$$e_1 \leq \dots \leq e_m > e_{m+1} \geq \dots \geq e_n$$

for some $m \leq n$. Denote the set of unimodal inversion sequences of length n by USE_n and let $\text{USE} = \bigcup_{n \geq 0} \text{USE}_n$.

Head of e , $\text{head}(e) = e_1 \dots e_m$ is the longest nondecreasing prefix of e . Let $h(e) = |\text{head}(e)| = m \geq 2$.

Tail of e , $\text{tail}(e) = e_{h(e)+1} \dots e_n$ (possibly empty).

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The counting sequence

Mansour, Shattuck, 2017:

A033321 also counts 9 pattern avoiding classes, $\text{Av}(T_i)$,
 $1 \leq i \leq 9$, where

$$\begin{aligned} T_1 &= \{2134, 3124, 4123\}, & T_2 &= \{1234, 1324, 1423\}, & T_3 &= \{2134, 1324, 1423\} \\ T_4 &= \{3124, 2314, 2413\}, & T_5 &= \{3214, 2314, 2413\}, & T_6 &= \{2143, 1243, 1342\} \\ T_7 &= \{1243, 1342, 1432\}, & T_8 &= \{2143, 3142, 4132\}, & T_9 &= \{2143, 2413, 3142\}. \end{aligned}$$

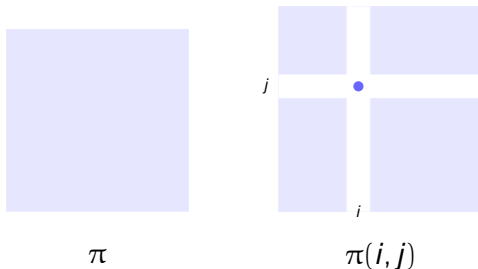
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$$\begin{aligned} T_1 &= \{\textcolor{red}{2}134, \textcolor{red}{3}124, \textcolor{red}{4}123\}, & T_2 &= \{1\textcolor{red}{2}34, 1\textcolor{red}{3}24, 14\textcolor{red}{2}3\}, & T_3 &= \{\textcolor{red}{2}134, 1\textcolor{red}{3}24, 14\textcolor{red}{2}3\} \\ T_4 &= \{\textcolor{red}{3}124, 2\textcolor{red}{3}14, 24\textcolor{red}{1}3\}, & T_5 &= \{3\textcolor{red}{2}14, 2\textcolor{red}{3}14, 24\textcolor{red}{1}3\}, & T_6 &= \{\textcolor{red}{2}143, 1\textcolor{red}{2}43, 1\textcolor{red}{3}42\} \\ T_7 &= \{1\textcolor{red}{2}43, 1\textcolor{red}{3}42, 14\textcolor{red}{3}2\}, & T_8 &= \{\textcolor{red}{2}143, \textcolor{red}{3}142, \textcolor{red}{4}132\}, & T_9 &= \{2143, 2413, 3142\}. \end{aligned}$$

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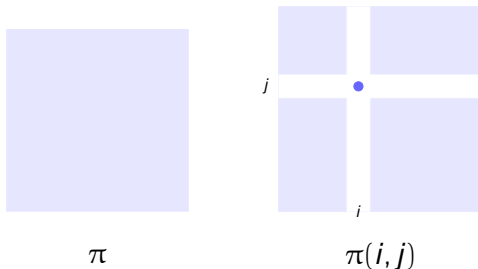


$$\text{Aug}(\pi, i, j) = \{\pi(i, k) : 1 \leq k \leq |\pi| + 1, k \neq j\}$$

Example

$123(1, 2) = 2134$, $123(1, 3) = 3124$, $123(1, 4) = 4123$,
so $\text{Aug}(123, 1, 1) = \{2134, 3124, 4123\}$.

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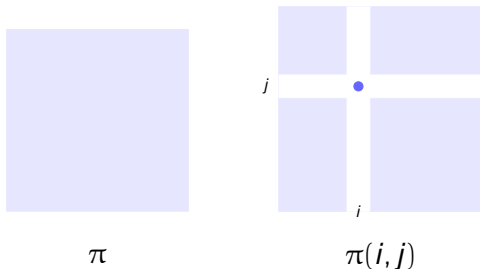


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Patterns T_1, \dots, T_8

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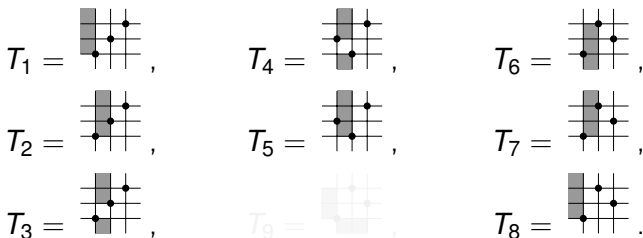


Recall: Wilf-equivalence of the T_i 's is equivalent to Wilf-equivalence of the corresponding mesh patterns (π_i, R_i) .

However, avoidance of the corresponding mesh patterns (π_i, R_i) requires containment of the patterns π_i , but in our case we also include π_i -avoiders.

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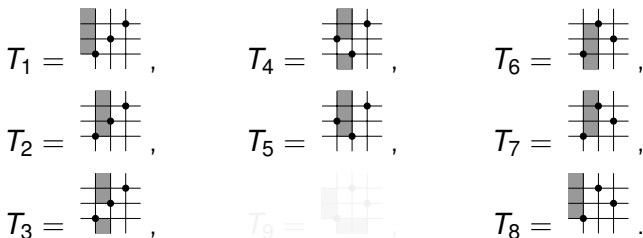


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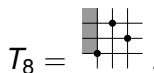
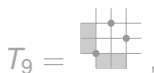
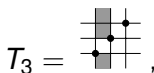
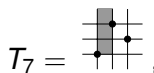
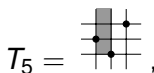
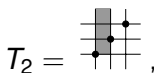
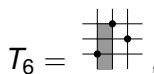
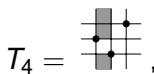
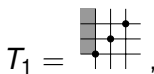


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Encoding permutations by subexcedant sequences

We would like to find bijections $f_i : \text{SE}_n \rightarrow \mathfrak{S}_n$ such that $f_i(\text{USE}_n) = \text{Av}(T_i)$. To do that, we first define the bijections g_{132} and g_{123} from the monotone (nondecreasing) strings in SE_n to $\text{Av}(132)$ and $\text{Av}(123)$.

Let $e = e_1 \dots e_n \in \text{SE}_n$, and let $\pi^{(0)} = \emptyset$.

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g_{132} and g_{123}

- **For pattern 132:** For each $i = 1, \dots, n$, let $\pi^{(i)} = \pi^{(i-1)}(1, e_i + 1)$. In other words, at the i -th step, $1 \leq i \leq n$, insert $e_i + 1$ on the left. Then $\pi = \pi^{(n)} = g_{132}(e)$ and e_i is the number of inversions starting from π_{n-i+1} .
- **For pattern 123:** Let $\pi^{(1)} = 1$, and for each $i = 2, \dots, n$, let

$$\pi^{(i)} = \begin{cases} \pi^{(i-1)}(1, e_i) & \text{if } e_i > e_{i-1}, \\ \pi^{(i-1)}(1, i) & \text{if } e_i = e_{i-1}. \end{cases}$$

Then $\pi = \pi^{(n)} = g_{123}(e)$ and non-right-to-left-maxima of π are the distinct nonzero entries of e .

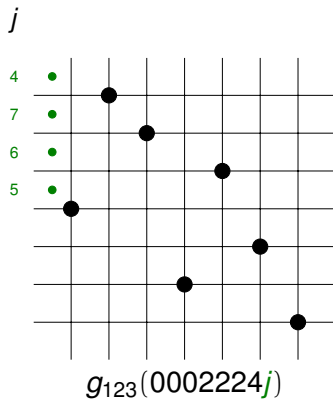
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A step in g_{123} algorithm



The bijections f_1 and f_8

We can define bijections f_1 and f_8 as follows.

- For set $T_8 = \text{Aug}(132, 1, 1)$: Start with $g_{132}(\text{head}(e))$ and insert $e_{h(e)+1} + 1, \dots, e_n + 1$ successively on the left to obtain $\pi = f_8(e)$. Then e is just the inversion code of $\pi = f_8(e)$ as for pattern 132.
- For set $T_1 = \text{Aug}(123, 1, 1)$: Start with $g_{123}(\text{head}(e))$ and insert $e_{h(e)+1} + 1, \dots, e_n + 1$ successively on the left to obtain $\pi = f_1(e)$. Then $\text{tail}(e)$ is the inversion code of the prefix of π ending on the rightmost “1” in an occurrence of 123 in π .

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f -insertion point

Idea for f_2 and f_3 : instead of inserting each new entry on the left, insert some entries at a particular location in the middle, called the f -insertion point.

If σ contains a pattern 123, determine the f -insertion point of σ as follows:

- Let $A(\sigma)$ be the smallest “1” in an instance of 123 in σ .
- Let $B(\sigma)$ be the rightmost “2” in an instance of 123 in σ that starts with $A(\sigma)$.
- Then the f -insertion point of σ is immediately to the left of $B(\sigma)$. Denote this position by $\text{ins}_f(\sigma)$.

Example

Let $\sigma = 245316$, then $A(\sigma) = 2$ and $B(\sigma) = 3$, so the f -insertion point is immediately to the left of 3, and $\text{ins}_f(\sigma) = 4$.

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The bijection f_2

Let $\pi^{(h(e))} = g_{123}(\text{head}(e))$. Then for each $i = h(e) + 1, \dots, n$, let $\pi' = \pi^{(i-1)}(1, e_i + 1)$, then $\pi^{(i)} = \pi^{(i-1)}(\text{ins}_f(\pi') - 1, e_i + 1)$. In other words,

- tentatively insert $e_i + 1$ to the left of π to obtain π' ,
- find the f -insertion point of π' ,
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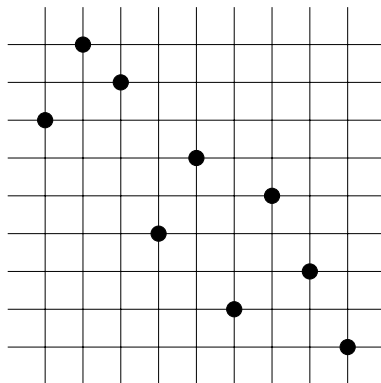
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A step in the f_2 algorithm

Inserting an entry into a permutation avoiding 123

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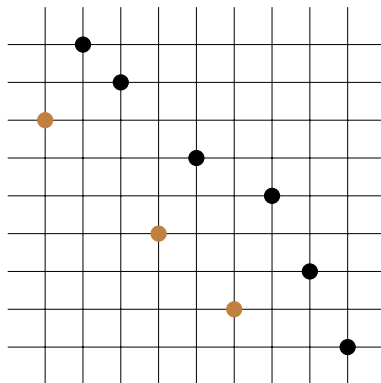


$f_2(000224447j)$

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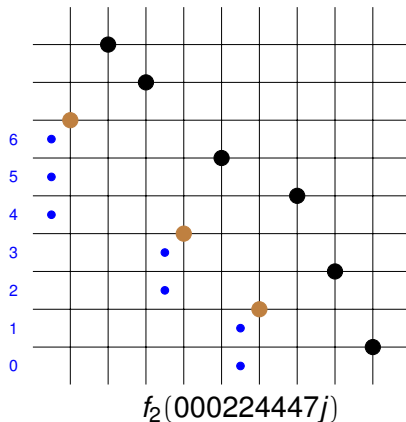


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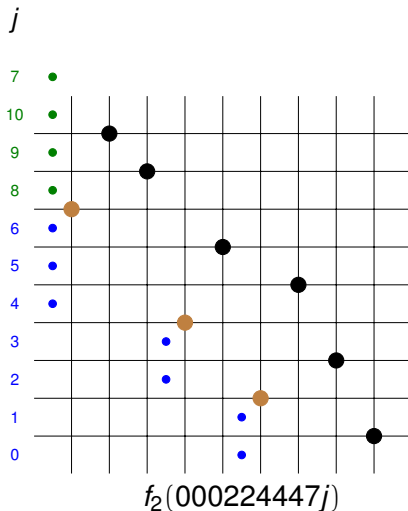
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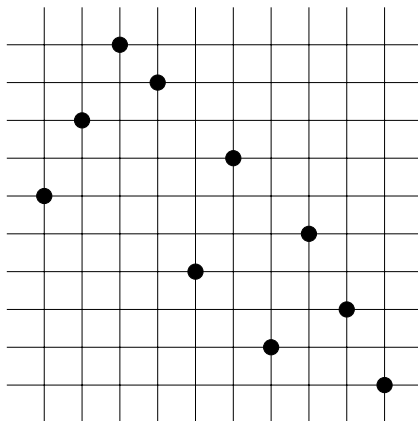
Inserting an entry into a permutation avoiding 123



A step in the f_2 algorithm

Inserting an entry into a permutation containing 123

j

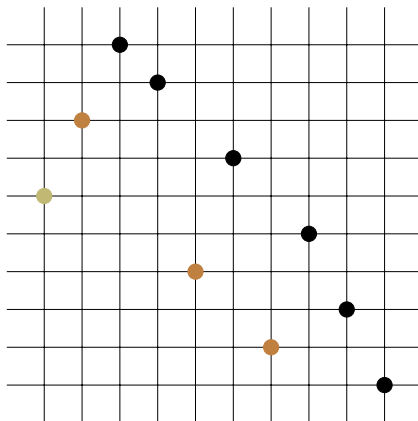


$f_2(0002244475j)$

A step in the f_2 algorithm

Inserting an entry into a permutation containing 123

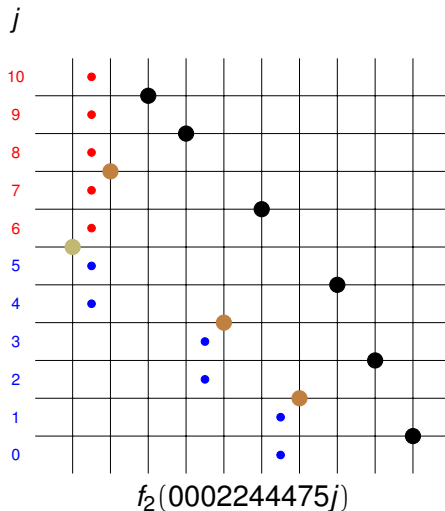
j



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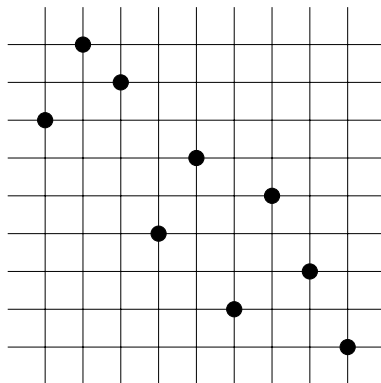


The bijection f_3

A step in the f_3 algorithm

Inserting an entry into a permutation avoiding 123

j

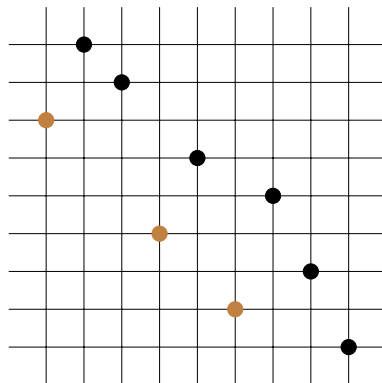


$f_3(000224447j)$

A step in the f_3 algorithm

Inserting an entry into a permutation avoiding 123

j

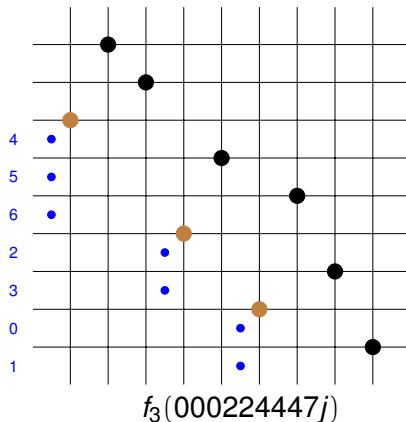


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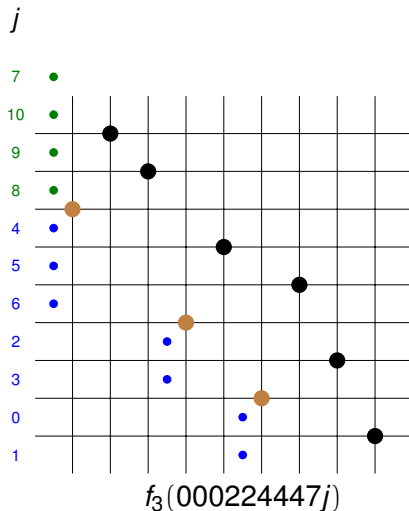
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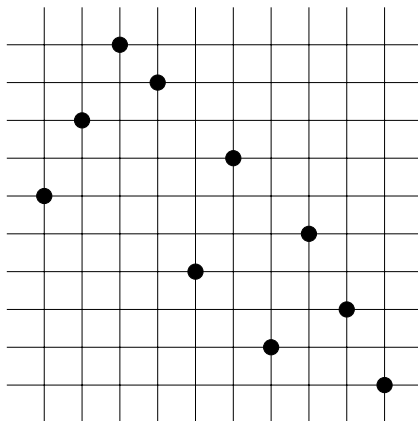
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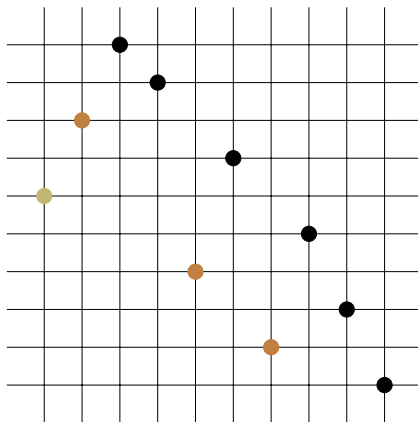


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Inflations of T_i 's

Let σ and τ be shape-Wilf-equivalent on top-right-justified Ferrers boards. Recall: in this case, $\sigma \stackrel{s}{\sim} \tau \implies 1 \oplus \sigma \stackrel{s}{\sim} 1 \oplus \tau$.

Theorem

The following patterns are Wilf-equivalent:

$$\begin{array}{ccccc}
 T_1(\sigma) = \begin{array}{|c|c|c|} \hline \text{■} & & \boxed{\sigma} \\ \hline \bullet & \bullet & \\ \hline \end{array} \sim & T_2(\sigma) = \begin{array}{|c|c|c|} \hline & \text{■} & \boxed{\sigma} \\ \hline \bullet & \bullet & \\ \hline \end{array} \sim & T_3(\sigma) = \begin{array}{|c|c|c|} \hline & & \boxed{\sigma} \\ \hline \bullet & \bullet & \\ \hline \end{array} \\
 \} & \} & \} \\
 T_1(\tau) = \begin{array}{|c|c|c|} \hline \text{■} & & \boxed{\tau} \\ \hline \bullet & \bullet & \\ \hline \end{array} \sim & T_2(\tau) = \begin{array}{|c|c|c|} \hline & \text{■} & \boxed{\tau} \\ \hline \bullet & \bullet & \\ \hline \end{array} \sim & T_3(\tau) = \begin{array}{|c|c|c|} \hline & & \boxed{\tau} \\ \hline \bullet & \bullet & \\ \hline \end{array}
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Inflations of T_i 's

Conjecture

The following patterns are Wilf-equivalent: $T_1(\sigma)$, $T_2(\sigma)$, $T_3(\sigma)$, and

$$\dots \sim T_4(\sigma) = \begin{array}{c} \begin{array}{|c|c|c|} \hline \cdot & \cdot & \cdot \\ \hline \cdot & \cdot & \cdot \\ \hline \cdot & \cdot & \cdot \\ \hline \end{array} \begin{array}{c} \sigma \\ \tau \end{array} \sim \} \\ \vdots \end{array}$$

$$T_5(\sigma) = \begin{array}{c} \begin{array}{|c|c|c|} \hline \cdot & \cdot & \cdot \\ \hline \cdot & \cdot & \cdot \\ \hline \cdot & \cdot & \cdot \\ \hline \end{array} \begin{array}{c} \sigma \\ \tau \end{array} \sim \} \\ \vdots \end{array}$$

$$\dots \sim T_4(\tau) = \begin{array}{c} \begin{array}{|c|c|c|} \hline \cdot & \cdot & \cdot \\ \hline \cdot & \cdot & \cdot \\ \hline \cdot & \cdot & \cdot \\ \hline \end{array} \begin{array}{c} \tau \\ \sigma \end{array} \sim \} \\ \vdots \end{array}$$

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Conjecture

The following patterns are Wilf-equivalent:

$$T_7(\rho, \sigma) = \begin{array}{c} \begin{array}{|c|c|c|} \hline \cdot & \cdot & \cdot \\ \hline \cdot & \cdot & \cdot \\ \hline \cdot & \cdot & \cdot \\ \hline \end{array} \begin{array}{c} \rho \\ \sigma \end{array} \sim \} \\ \vdots \end{array}$$

$$T_8(\rho, \sigma) = \begin{array}{c} \begin{array}{|c|c|c|} \hline \cdot & \cdot & \cdot \\ \hline \cdot & \cdot & \cdot \\ \hline \cdot & \cdot & \cdot \\ \hline \end{array} \begin{array}{c} \rho \\ \sigma \end{array} \sim \} \\ \vdots \end{array}$$

Inflations of T_i 's

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The following patterns are Wilf-equivalent: $T_1(\sigma)$, $T_2(\sigma)$, $T_3(\sigma)$, and

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
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Inflations of T_i 's

Conjecture

All patterns $T_i(\sigma)$ ($i = 1, \dots, 5$), $T_6(\sigma) =$ , as well as $T_7(1, \sigma)$, $T_8(1, \sigma)$ are Wilf-equivalent for $\sigma = r(\text{id})$.

Questions

- Are there other Wilf-equivalent inflations?
- Are all these Wilf-equivalences instances of more general theorem(s) about mesh patterns?
- f_i^{-1} 's encode permutations as subexcedant sequences. Sum of their entries is a Mahonian statistic fstat_i . For a given $i = 1, \dots, 9$, what does it count?
 - E.g. $f_8^{-1} = \text{invcode}$ and $\text{fstat}_8 = \text{inv}$ (obvious).
- Does fstat_i have a nice Eulerian partner?

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Thank you!

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