

INVERSIONS IN RANDOMLY LABELLED TREES

Xing Shi Cai, Cecilia Holmgren, Svante Janson,
Tony Johansson and Fiona Skerman

OUTLINE

- Inversions in labelled rooted trees;
definitions, pictures
- Random labelling
basic properties
tree parameters, total path length
results: cumulants, Bernoulli numbers
- Random labelling of random trees

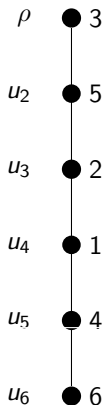
INVERSIONS IN A PERMUTATION/LABELLED TREE

$$\textit{inv}(352146)$$

INVERSIONS IN A PERMUTATION/LABELLED TREE

$$\textit{inv}(3\textcolor{red}{5}2146)$$

INVERSIONS IN A PERMUTATION/LABELLED TREE

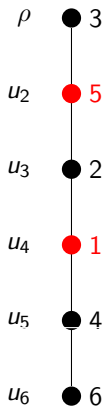


$$\text{inv}(352146) = 6$$

$$\pi \in S_n$$

$$\text{inv}(\pi) = \# \text{inversions in } \pi$$

INVERSIONS IN A PERMUTATION/LABELLED TREE

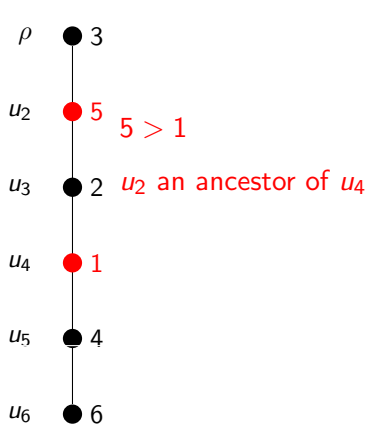


$$\text{inv}(352146) = 6$$

$$\pi \in S_n$$

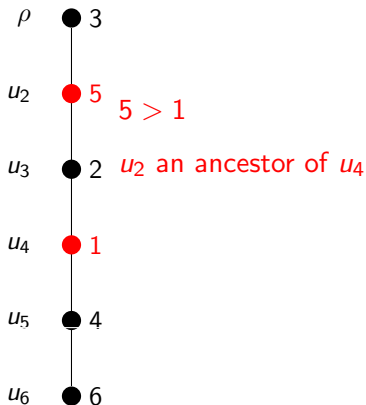
$$\text{inv}(\pi) = \# \text{inversions in } \pi$$

INVERSIONS IN A PERMUTATION/LABELLED TREE



$$\text{inv}(3\color{red}{5}2146) = 6$$

INVERSIONS IN A PERMUTATION/LABELLED TREE



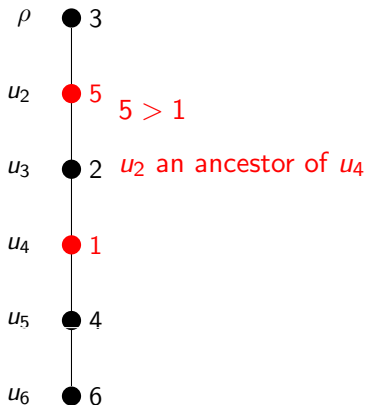
$$\text{inv}(3\mathbf{5}2\mathbf{1}46) = 6$$

T rooted tree with n nodes,

write $u < v$ if u is an ancestor of v

$$\pi : V(T) \rightarrow [n]$$

INVERSIONS IN A PERMUTATION/LABELLED TREE



$$\text{inv}(3\mathbf{5}2\mathbf{1}46) = 6$$

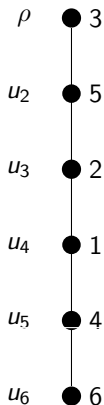
T rooted tree with n nodes,

write $u < v$ if u is an ancestor of v

$$\pi : V(T) \rightarrow [n]$$

$$\text{inv}(T, \pi) = \sum_{u < v} \mathbf{1}[\pi(u) > \pi(v)]$$

INVERSIONS IN A PERMUTATION/LABELLED TREE



$$\text{inv}(352146) = 6$$

T rooted tree with n nodes,

write $u < v$ if u is an ancestor of v

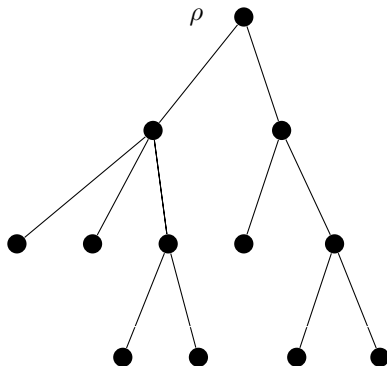
$$\pi : V(T) \rightarrow [n]$$

$$\text{inv}(T, \pi) = \sum_{u < v} \mathbf{1}[\pi(u) > \pi(v)]$$

P_n path with n vertices,

$$\text{inv}(P_n, \pi) = \text{inv}(\pi)$$

INVERSIONS IN A PERMUTATION/LABELLED TREE



$$\text{inv}(352146) = 6$$

T rooted tree with n nodes,

write $u < v$ if u is an ancestor of v

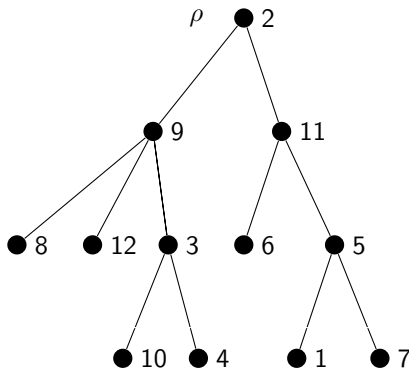
$$\pi : V(T) \rightarrow [n]$$

$$\text{inv}(T, \pi) = \sum_{u < v} \mathbf{1}[\pi(u) > \pi(v)]$$

P_n path with n vertices,

$$\text{inv}(P_n, \pi) = \text{inv}(\pi)$$

INVERSIONS IN A PERMUTATION/LABELLED TREE



$$\text{inv}(352146) = 6$$

T rooted tree with n nodes,

write $u < v$ if u is an ancestor of v

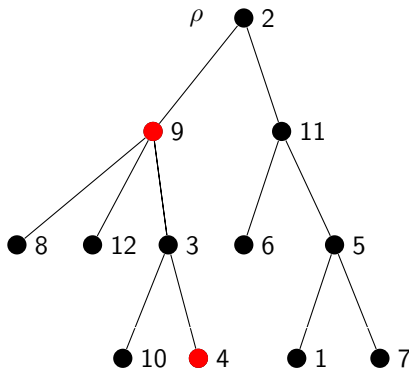
$$\pi : V(T) \rightarrow [n]$$

$$\text{inv}(T, \pi) = \sum_{u < v} \mathbf{1}[\pi(u) > \pi(v)]$$

P_n path with n vertices,

$$\text{inv}(P_n, \pi) = \text{inv}(\pi)$$

INVERSIONS IN A PERMUTATION/LABELLED TREE



$$\text{inv}(352146) = 6$$

T rooted tree with n nodes,

write $u < v$ if u is an ancestor of v

$$\pi : V(T) \rightarrow [n]$$

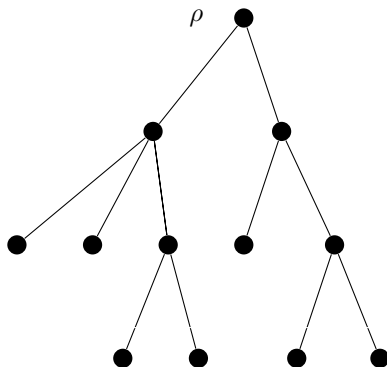
$$\text{inv}(T, \pi) = \sum_{u < v} \mathbf{1}[\pi(u) > \pi(v)]$$

P_n path with n vertices,

$$\text{inv}(P_n, \pi) = \text{inv}(\pi)$$

inversions = 8

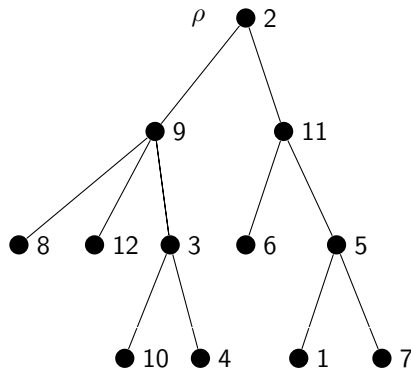
RANDOM NODE LABELS: EXPECTATION



Start with fixed tree T , $|T| = n$

Choose $\pi : V(T) \rightarrow [n]$ uniformly

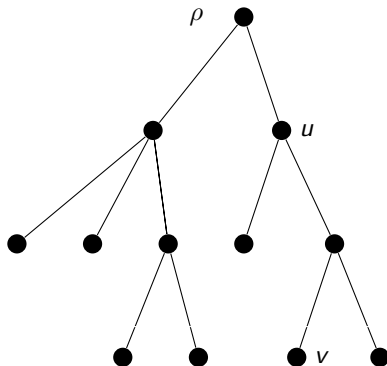
RANDOM NODE LABELS: EXPECTATION



Start with fixed tree T , $|T| = n$

Choose $\pi : V(T) \rightarrow [n]$ uniformly

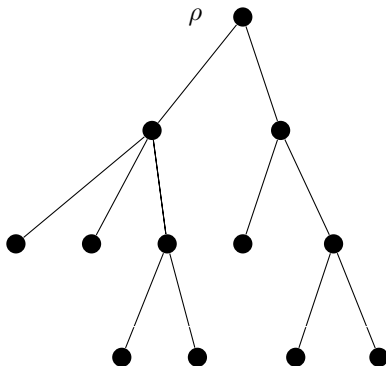
RANDOM NODE LABELS: EXPECTATION



Start with fixed tree T , $|T| = n$

Choose $\pi : V(T) \rightarrow [n]$ uniformly

$$\text{Inv}(T, \pi) = \sum_{u < v} \mathbf{1}[\pi(u) > \pi(v)]$$



Choose $\pi : V(T) \rightarrow [n]$ uniformly

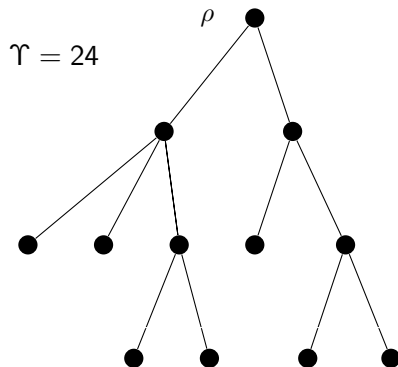
Choose $\pi : V(T) \rightarrow [n]$ uniformly

$$Inv(T, \pi) = \sum_{u \leq v} \mathbf{1}[\pi(u) > \pi(v)]$$

$$\mathbb{E}[Inv(T)] = \sum_{u \leq v} \frac{1}{2} = \frac{1}{2} \gamma(T)$$

 $\Upsilon(T)$ is the **total path length**
$$\Upsilon(T) = \sum_v h(v), \text{ height of } v \text{ is distance from } \rho$$

RANDOM NODE LABELS: EXPECTATION



Start with fixed tree T , $|T| = n$

Choose $\pi : V(T) \rightarrow [n]$ uniformly

$$\text{Inv}(T, \pi) = \sum_{u < v} \mathbf{1}[\pi(u) > \pi(v)]$$

$$\mathbb{E}[\text{Inv}(T)] = \sum_{u < v} \frac{1}{2} = \frac{1}{2} \Upsilon(T)$$

$\Upsilon(T)$ is the **total path length**

$\Upsilon(T) = \sum_v h(v)$, height of v is distance from ρ

RESULTS ON FIXED TREES

For a path P_n , asymptotic normality.

THEOREM FELLER '68,

Let π be uniformly random permutation. Moment generating function

$$\mathbb{E}[e^{t \text{Inv}(\pi)}] = \prod_{j=1}^n \frac{e^{jt} - 1}{j(e^t - 1)},$$

and

$$\frac{\text{Inv}(\pi) - \mathbb{E}(\text{Inv}(\pi))}{\sqrt{\text{Var}(\text{Inv}(\pi))}} \rightarrow N(0, 1).$$

Cumulant moments of r.v. X , $\kappa_k(X)$: $\ln \mathbb{E}[e^{tX}] = \sum_{k=0}^{\infty} \kappa_k \frac{t^k}{k!}$

For node u , let z_u denote the number of nodes in the tree rooted at u .

B_k denotes the k -th Bernoulli number.

RESULTS ON FIXED TREES

THEOREM CHJJS '17+

Let T be a fixed tree. Write $X = \text{Inv}(T, \pi)$. Let $\kappa_k(X)$ be the k -th cumulant of X . Then

$$\mathbb{E}[X] = \frac{1}{2} \sum_{v \in V} (z_v - 1)$$

$$\mathbb{V}[X] = \sum_{v \in V} (z_v^2 - 1)$$

and more generally, $\kappa_k(X) = \frac{B_k}{k} (-1)^k \sum_{v \in V} (z_v^k - 1)$

RESULTS ON FIXED TREES

THEOREM CHJJS '17+

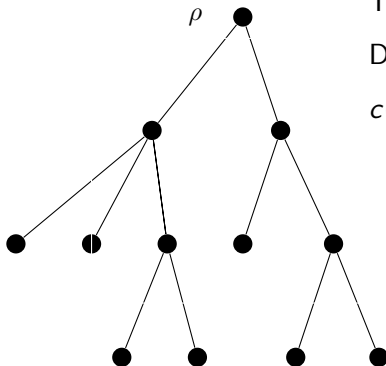
Let T be a fixed tree. Write $X = \text{Inv}(T, \pi)$. Let $\kappa_k(X)$ be the k -th cumulant of X . Then

$$\mathbb{E}[X] = \frac{1}{2} \sum_{v \in V} (z_v - 1)$$

$$\mathbb{V}[X] = \sum_{v \in V} (z_v^2 - 1)$$

and more generally, $\kappa_k(X) = \frac{B_k}{k} (-1)^k \sum_{v \in V} (z_v^k - 1)$

$$\mathbb{E}[e^{tX}] = \prod_{v \in V} \frac{e^{z_v t} - 1}{z_v (e^t - 1)}.$$

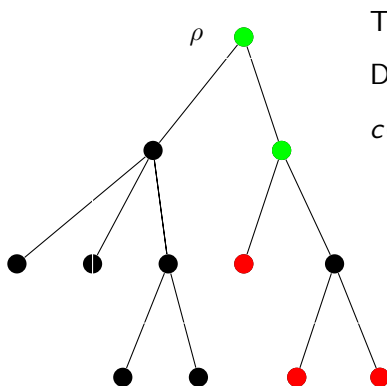


To express the sum $\sum_v z_v^k$.

Denote number of common ancestors

$$c(v_1, \dots, v_k) = |\{u : u \leq v_i, \forall i\}|$$

RESULTS: COMBINATORIAL INTERPRETATION

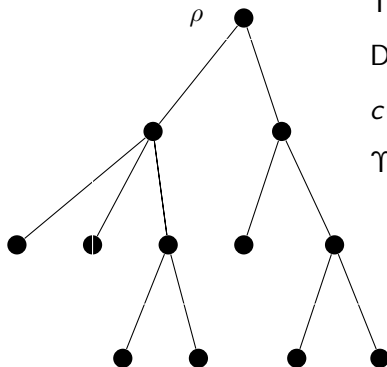


To express the sum $\sum_v z_v^k$.

Denote number of common ancestors

$$c(v_1, \dots, v_k) = |\{u : u \leq v_i, \forall i\}|$$

RESULTS: COMBINATORIAL INTERPRETATION

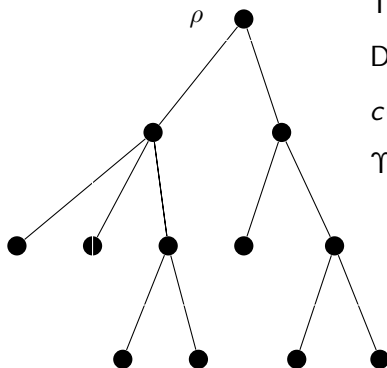


To express the sum $\sum_v z_v^k$.

Denote number of common ancestors

$$c(v_1, \dots, v_k) = |\{u : u \leq v_i, \forall i\}|$$

$$\Upsilon_k(T) := \sum_{v_1, \dots, v_k} c(v_1, \dots, v_k)$$



To express the sum $\sum_v z_v^k$.

Denote number of common ancestors

$$c(v_1, \dots, v_k) = |\{u : u \leq v_i, \forall i\}|$$

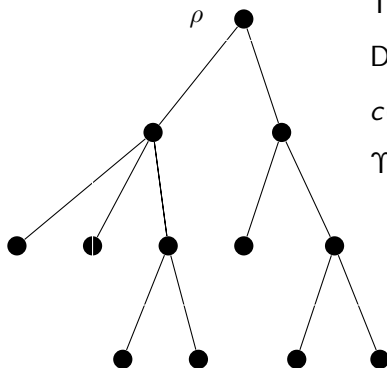
$$\Upsilon_k(T) := \sum_{v_1, \dots, v_k} c(v_1, \dots, v_k) = \sum_v z_v^k$$

For one vertex $c(u) = h(u) + 1$,

So $\Upsilon_1(T) = \Upsilon(T) + n$.

$\Upsilon(T)$ is the **total path length**

$$\Upsilon(T) = \sum_v h(v), \text{ height of } v \text{ is distance from } \rho$$



To express the sum $\sum_v z_v^k$.

Denote number of common ancestors

$$c(v_1, \dots, v_k) = |\{u : u \leq v_i, \forall i\}|$$

$$\Upsilon_k(T) := \sum_{v_1, \dots, v_k} c(v_1, \dots, v_k) = \sum_v z_v^k$$

For one vertex $c(u) = h(u) + 1$,

So $\Upsilon_1(T) = \Upsilon(T) + n$.

$\Upsilon(T)$ is the **total path length**

$$\Upsilon(T) = \sum_v h(v), \text{ height of } v \text{ is distance from } \rho$$

RESULTS: COMBINATORIAL INTERPRETATION

THEOREM CHJJS '17+

Let T be a fixed tree with n vertices. Write $X = \text{Inv}(T, \pi)$. Let $\kappa_k(X)$ be the k -th cumulant of X . Then

$$\mathbb{E}[X] = \frac{1}{2} \sum_{v \in V} (z_v - 1) = \frac{1}{2} \Upsilon(T)$$

$$\mathbb{V}[X] = \sum_{v \in V} (z_v^2 - 1) = \frac{1}{12} (\Upsilon_2(T) - n)$$

and more generally,

$$\kappa_k(X) = \frac{B_k}{k} (-1)^k (\Upsilon_k(T) - n).$$

GALTON WATSON TREES

Begin with one node.

Recursively, each node has a random number of children.

Number of children drawn independently from offspring distribution ξ .

GALTON WATSON TREES

For a unit Brownian excursion $e(u)$, $\eta = \int_{[0,1]^2} \min_{s \leq u \leq t} e(u)$.

THEOREM CHJS '17+

Suppose T_n is a conditional Galton-Watson tree with offspring distribution ξ such that $\mathbb{E}[\xi] = 1$ and $\mathbb{V}[\xi] = \sigma^2 \in (0, \infty)$, and define

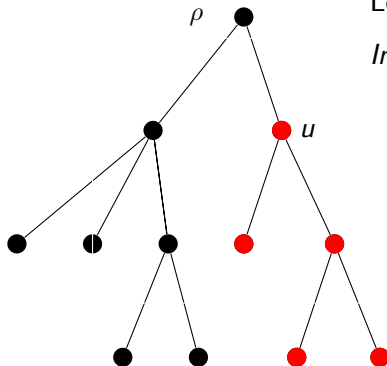
$$X_n = \frac{\text{Inv}(T_n, \pi) - \Upsilon(T_n)/2}{n^{5/4}}.$$

Then we have

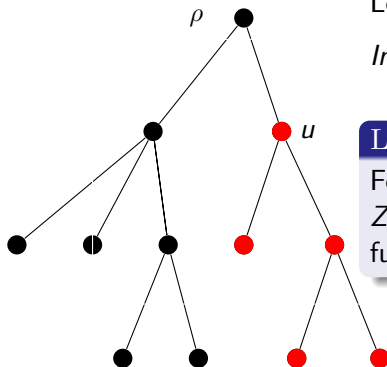
$$X_n \rightarrow^d X \sim 12\sigma^{1/2}\sqrt{\eta} \mathcal{N},$$

where \mathcal{N} is a standard normal random variable, independent from the random variable η .

This strengthen results of Panholtzer and Seitz 2012.


$$Inv(T, \pi) = \sum_u Z_u.$$

KEY LEMMA



Let $Z_u = \sum_{v>u} \mathbf{1}[\pi(u) > \pi(v)]$.

$$\text{Inv}(T, \pi) = \sum_u Z_u.$$

LEMMA

For each node u ,
 $Z_u \sim \text{Unif}\{0, 1, \dots, z_u - 1\}$ and
 furthermore the Z_u 's are independent.