

Stacks & Queues

- Understanding ADTs
- Stacks
- Queues
- Implementations of Stacks and Queues

Part 1: Abstract Data Types (ADTs)

ADT: Abstract Data Type

- abstraction of a data structure
- defines operations
- separate from implementation
- may have an “ideal” runtime for operations, but the actual runtimes will be determined by the implementation

The Value of Defining a (narrowly defined) ADT

- HOW an operation is implemented matters.
- HOW an operation is implemented depends on how the data structure is implemented.
- Defining a data structure to do precisely what it needs to do and no more encourages discipline in programming, making code easier to understand.
- It forces a developer to think about which operations are ABSOLUTELY ESSENTIAL and which are not.
- Many algorithms depend on specific ADTs, so understanding the nature of an ADT can help with understanding the algorithm.

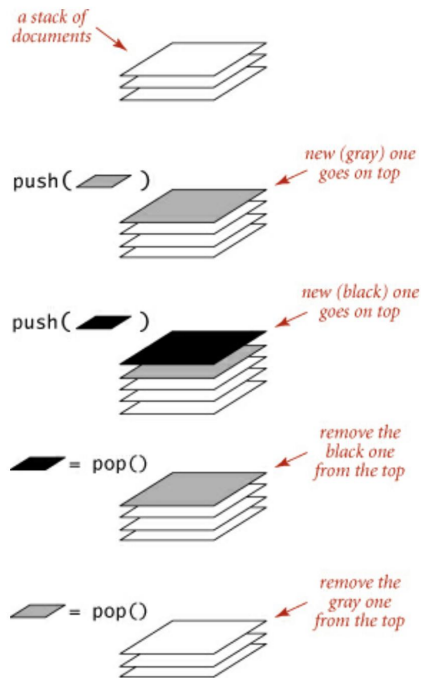
Part 2: Stacks

Last in, first out (LIFO)

Stacks



Typical Stack Operations



- *push*: adds an item to the top of the Stack
- *pop*: removes an item from the top of the Stack
- *isEmpty*: checks if the Stack is empty
- *size*: returns the number of elements in the Stack
- *peek* (or *top*): returns but does not remove the top element in the Stack

How would you implement
a Stack?

Stacks: What are they good for?

Stack Application:

Dijkstra's Two-Stack Algorithm for Expression Evaluation

EX:

$(8 * ((7 + 3) - ((4 + 2) * (3 - 1))))$

let **S1** and **S2** be empty stacks

for each character **c** in the expression do:

 if **c** is an operand

 then push it onto **S1**

 else if **c** is an operator

 then push it onto **S2**

 else if **c** is a right parenthesis

 then pop an operator **o** from **S2**

 pop the requisite number of operands from **S1**

 calculate the result of applying **o** to the operands and

 push the result onto **S1**

end for

return the last value on **S1**

Stack Application:

Memory Management

```
void foo(char *ptr) {  
    char buf[16];  
    strcpy(buf, ptr);  
}
```

```
int main(int argh, char **argv) {  
    foo(argv[1]);  
    return 0;  
}
```

If a program uses a Stack, what does that tell us?

- We are dealing with data that needs to be stored and processed, and...
- we want to process it in LIFO order.

Part 3: Queues

First in, first out...

Typical Queue Operations



- *enqueue*: adds an item to the back of the Queue
- *dequeue*: removes an item from the front of the Queue
- *peek*: returns but does not remove the item from the front of the Queue
- *isEmpty*: determines if the Queue is empty or not
- *size*: returns the number of items in the Queue

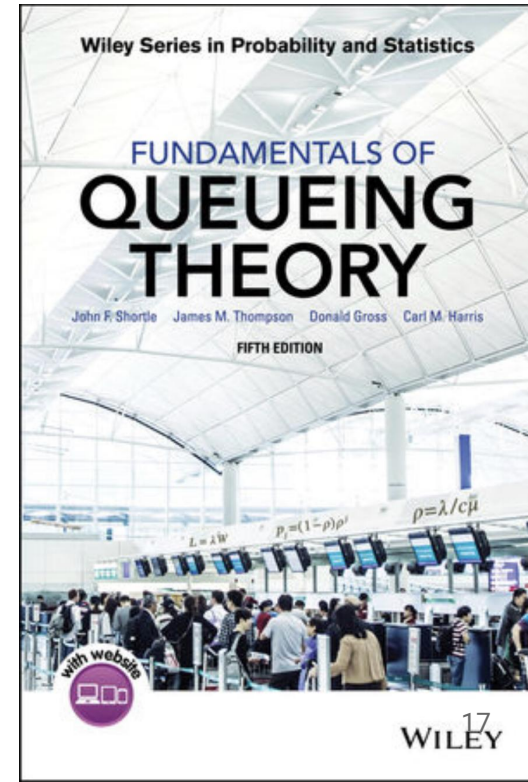
How would you implement
a Queue?

Queues: What are they
good for?

Queue Application:

Networks and Data Communication

- Sending
- Routing
- Receiving
- Processing



If a program uses a Queue, what does that tell us?

- We are dealing with data that needs to be stored and processed, and...
- we want to process it in FIFO order.

Part 4: Double-ended Queues (Dequeues)

Combines the functionality of a Stack and a Queue.

Typical Deque Operations

- *addToFront*: (like *push*)
- *addToBack*: (like *enqueue*)
- *getFront*: (like *pop*)
- *getBack*: remove from the back
- *peekFront*
- *peekBack*
- *isEmpty*
- *size*

Part 5: Implementations of Stacks and Queues

- Array-based Stack
- Array-based Queue
- Amortized Analysis
- Linked List Implementations

1. How much space should a Stack/Queue take if we have N elements?
2. How much time should it take to *push/pop* or *enqueue/dequeue* a single item?

The Ideal Implementation...

- ...total memory required is proportional to the collection size N
- ...basic operations are independent of the collection size (i.e. can perform basic operations in $O(1)$ time)

NOTE: Ideally, the size of a stack or queue should be dynamic—you shouldn't need to specify the size when you create it.

Arrays

- Built in to Java
- Size is fixed and specified when array is created
- So what can be done if you end up with more elements than you expected?
- If we wanted to implement a Stack with an array, what are some of the challenges and how might we handle them?

Implementing a Stack with an array

- instance variables: array, integer for size
- handling errors: e.g. popping from an empty stack
- How do you implement:
 - *size*
 - *push*
 - *pop*
 - *isEmpty*
 - *peek*

Implementing a Stack with an array

- instance variables: array, integer for size
- handling errors: e.g. popping from an empty stack
- How do you implement:
 - *size*: keep track of it with a variable
 - *push*: use the size variable to indicate the next open index
 - *pop*: use the size variable to remove “top” element, reduce size
 - *isEmpty*: size is 0
 - *peek*: use the size variable to get the “top” element

Dynamic Resizing

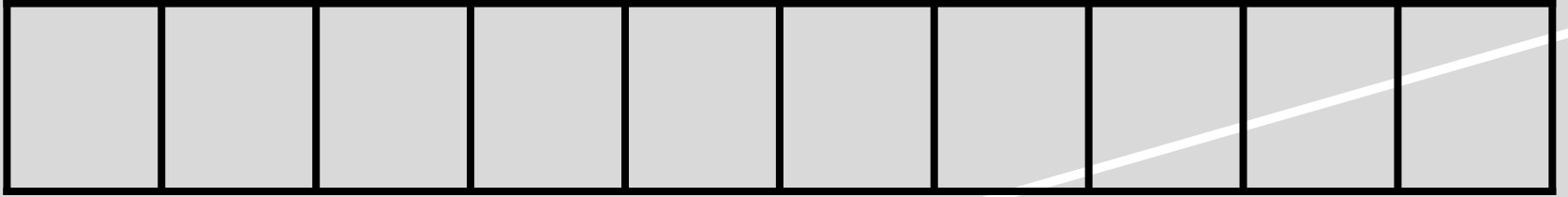
- Start with an array of size X .
- When the stack is full, make a new array of size $2X$ and copy the items over.
- If the size of the stack falls below a threshold (around $\frac{1}{4}$ of X), make a new array of size $X/2$ and copy the items over (keeps the size of the stack between $\frac{1}{2}$ full and full.)

Empty Stack

index 0 = the bottom of the stack

Capacity (N) = 10

Size = 0

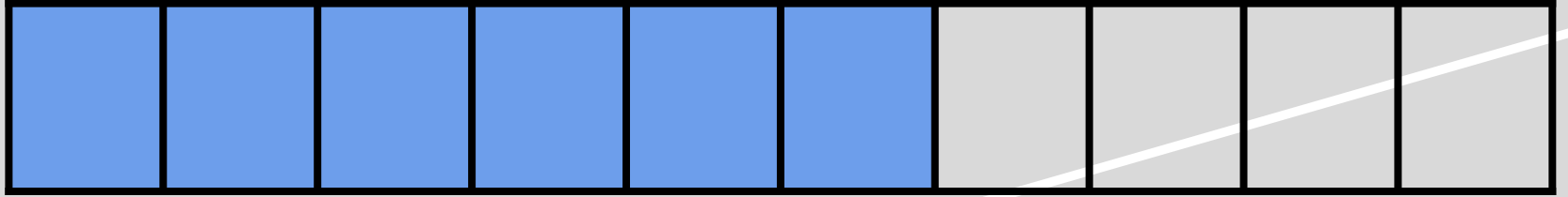


index 0 = the bottom of the stack

index 5 = the top of the stack

Capacity (N) = 10

Size = 6



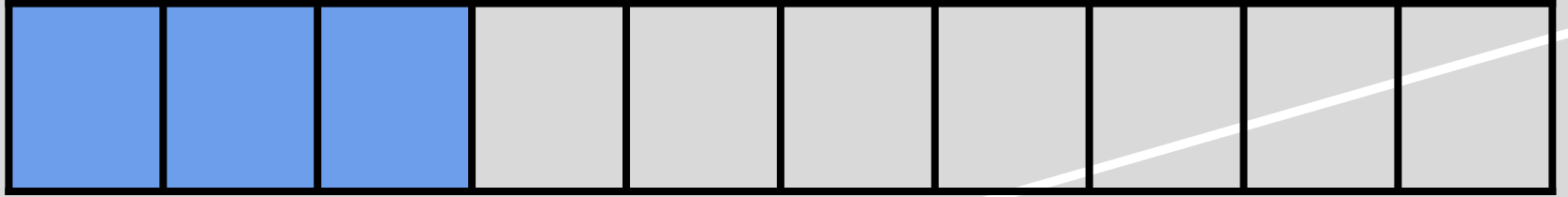
after *pushing* 6 items

index 0 = the bottom of the stack

index 2 = the top of the stack

Capacity (N) = 10

Size = 3



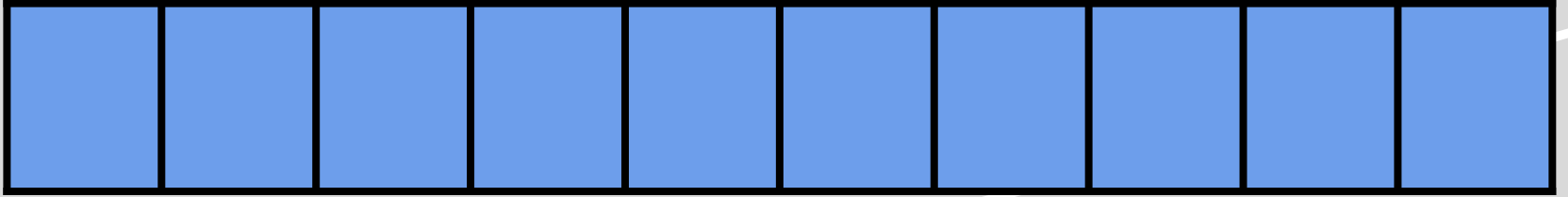
after *popping* 3 items

index 0 = the bottom of the stack

index 9 = the top of the stack

Capacity (N) = 10

Size = 10



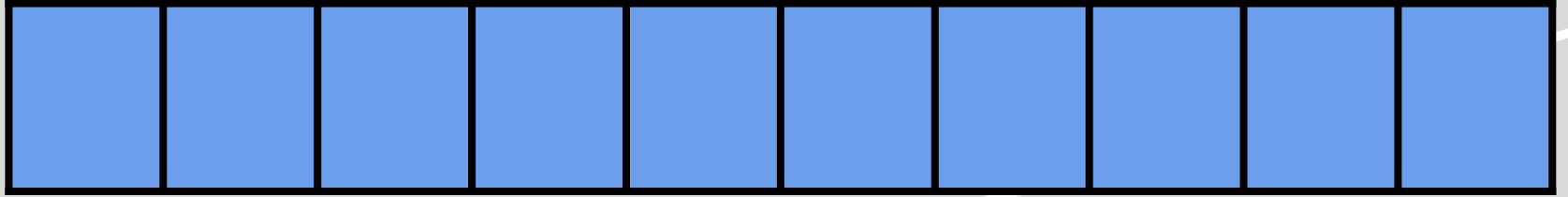
after pushing 7 items

index 0 = the bottom of the stack

index 9 = the top of the stack

Capacity (N) = 10

Size = 10



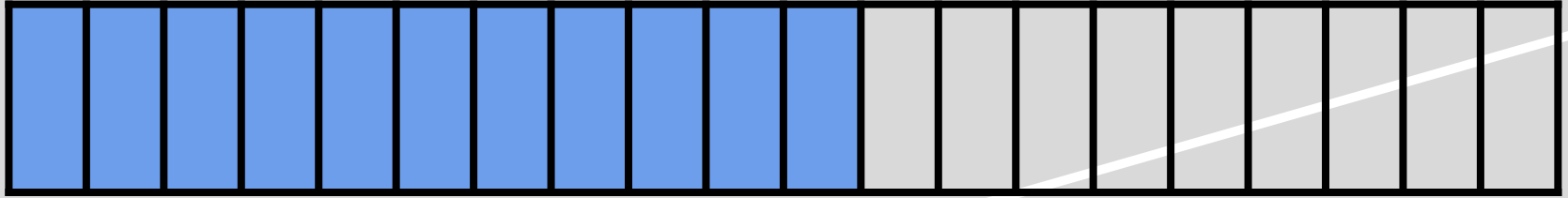
What do we do if we want to *push* one more item?

index 0 = the bottom of the stack

index 10 = the top of the stack

Capacity (N) = 20

Size = 11



Create a new array that is twice as large and copy the items over...but how much time does that take?

Queue implementation with array

- Dynamically resize the same as the Stack
- Differences with Stack
 - Names of operations
 - Operations and indexes
 - Need to keep track of front and back
 - Need to allow for adding to back and removing from front
 - How to keep track of size
 - Wrap around to utilize full capacity

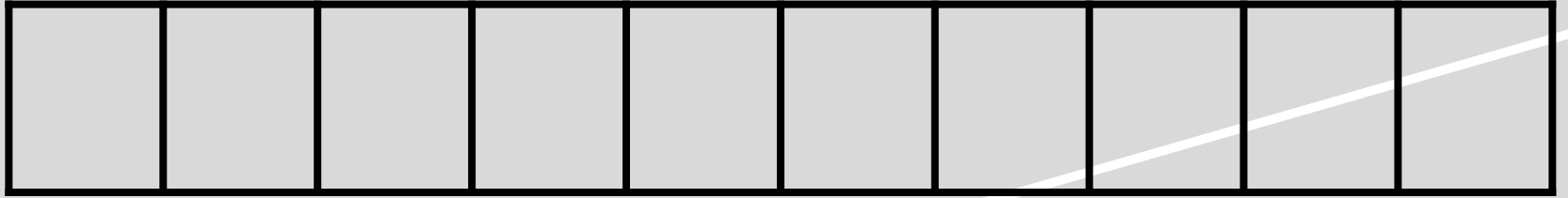
Empty Queue

F = index of the front

B = index of the back

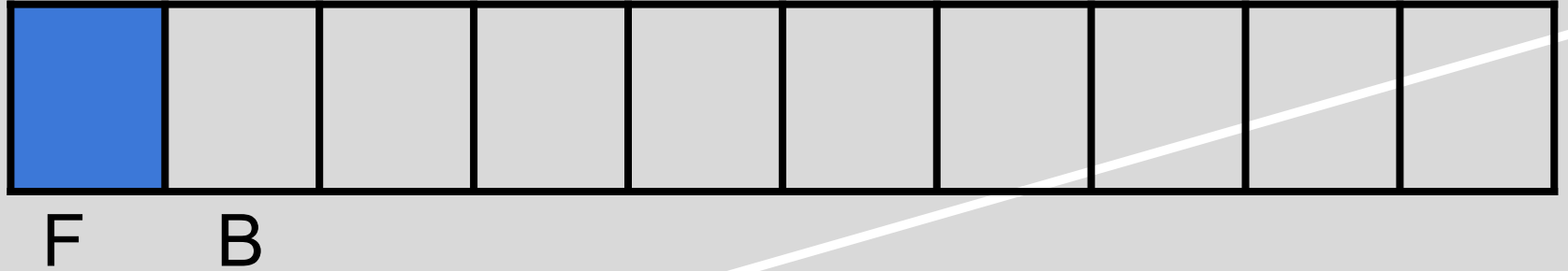
Capacity (N) = 10

Size = 0

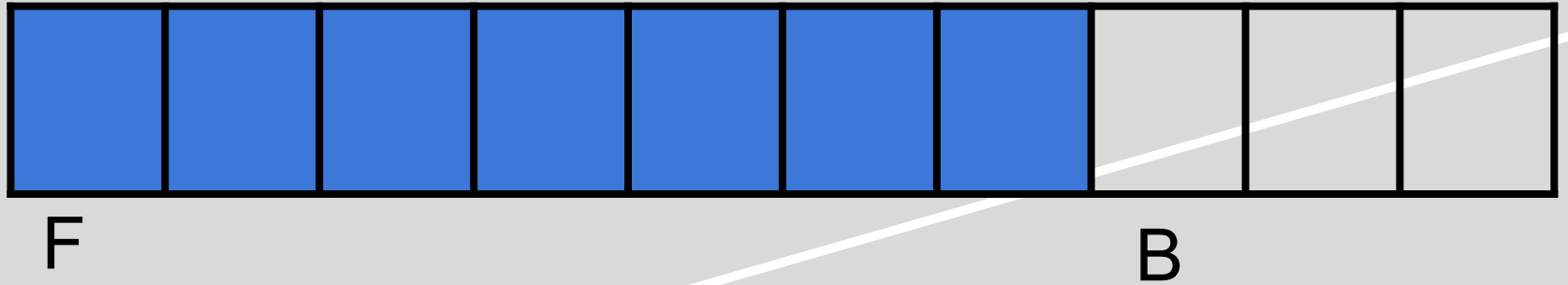


F B

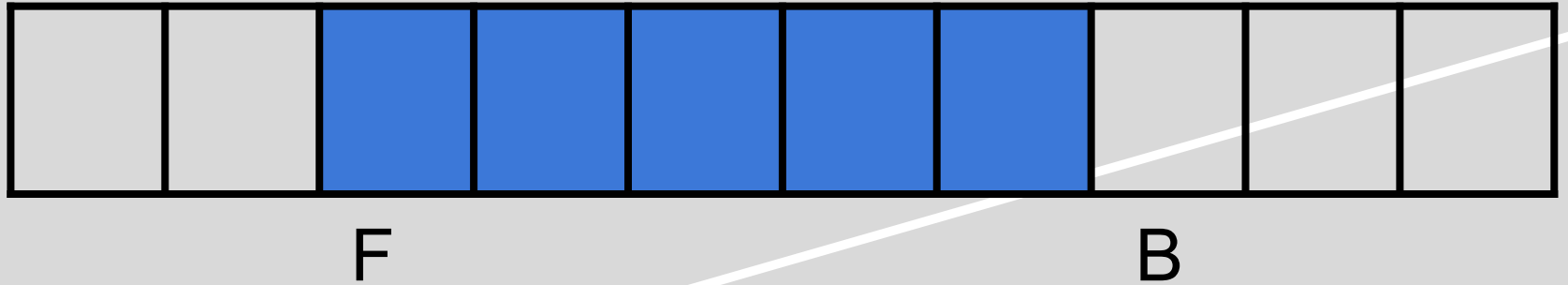
Enqueue 1 item
Size = 1



Enqueue 6 more items
Size = 7



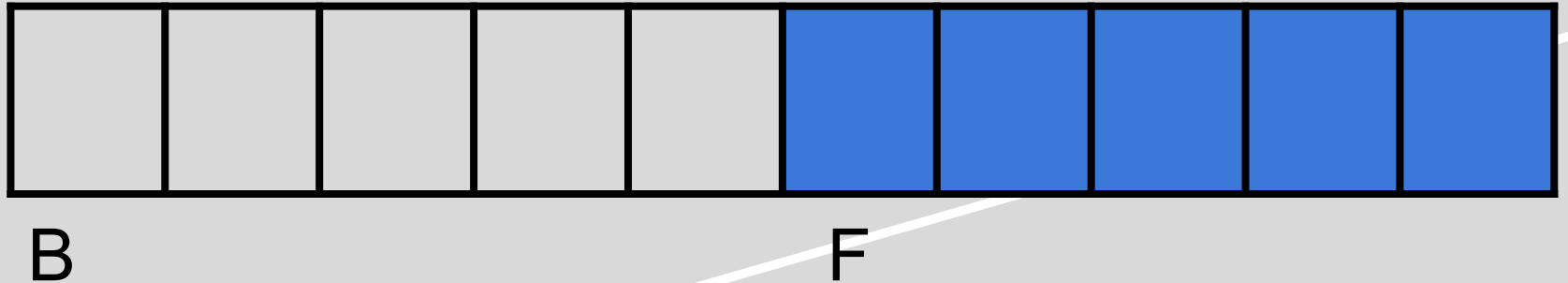
Dequeue 2 items
Size = 5



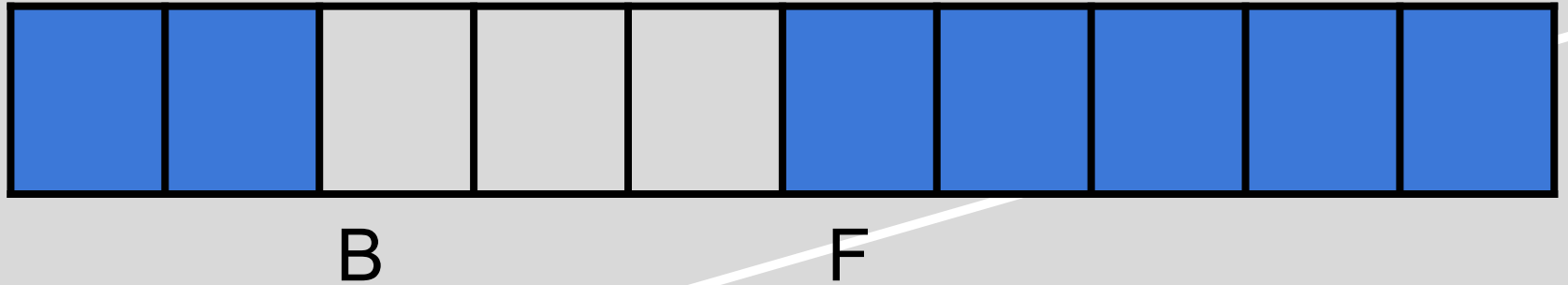
Dequeue 3 items and Enqueue 3 items

Size = 5

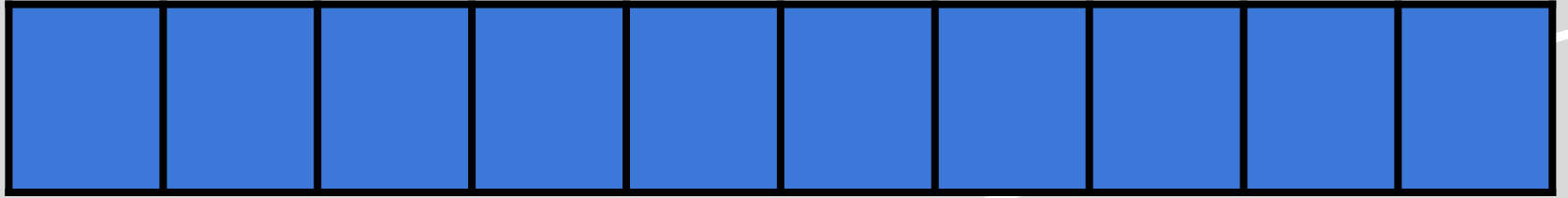
Index for Back wraps around



Enqueue 2 more items
Size = 7

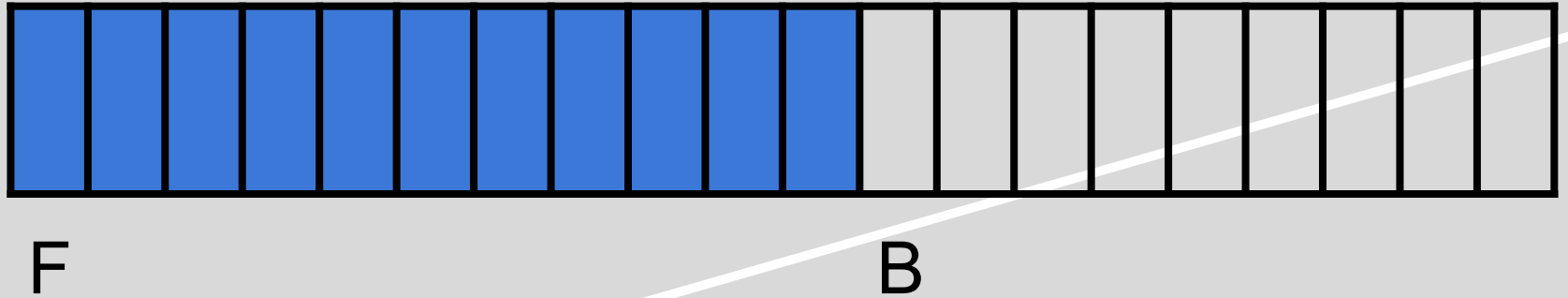


Enqueue 3 more items
Size = 10



BF

Enqueue 1 more item
Size = 11



Double the size of the array, copy the items over, and reset the pointers for front and back.

Remember: The Ideal Implementation...

- ...total memory required is proportional to the collection size N
- ...basic operations are independent of the collection size (i.e. can perform basic operations in $O(1)$ time)
- ...the structure is dynamically resizable

Analysis of Operations

Array-based Stack/Queue with dynamic size

- isEmpty
- size
- push/enqueue
- pop/dequeue

Analysis of Operations

Array-based Stack/Queue with dynamic size

- isEmpty: $O(1)$
- size: $O(1)$
- push/enqueue: best- $O(1)$; worst- $O(N)$
- pop/dequeue: best- $O(1)$; worst- $O(N)$

This should look familiar, though, if you've started Project 2. The two things we need to make sure of in order to guarantee good *amortized* time are:

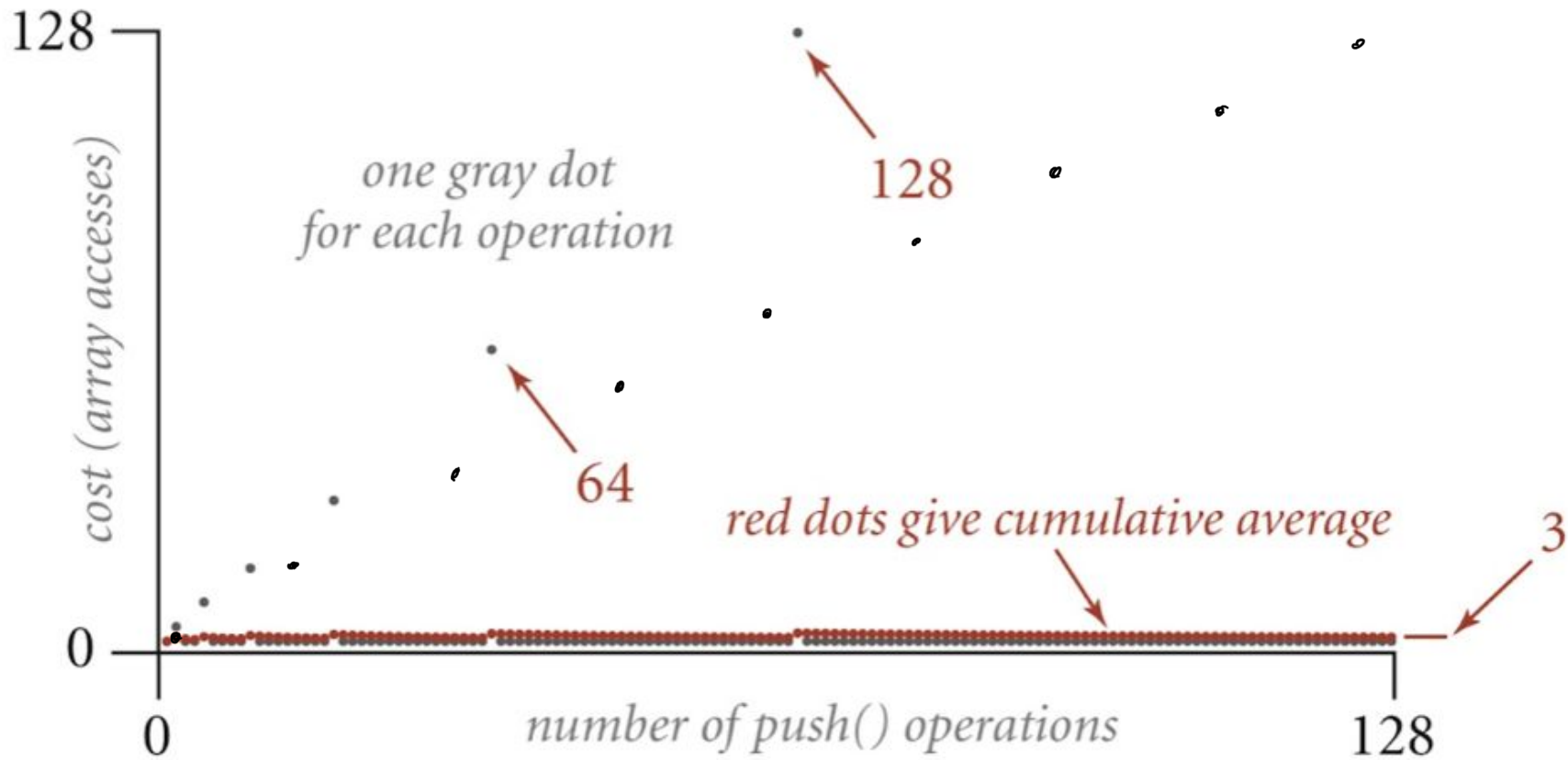
- the worst-case runtime should only happen when the array is resized
- the resizing should be done by doubling (or something similar to that)

Amortized Runtime Analysis

- used when analyzing the runtime of a single operation on a data structure
- may be useful when the best-case and worst-case runtimes vary quite a bit *and* the worst-case doesn't happen very often
- calculated by:
 - considering a worst-case string of N operations
 - adding up the total cost
 - dividing by N

Amortized Analysis for *push*

- A good cost model will be to count the *array accesses* (i.e. the number of times the array is accessed.)
- A worst-case scenario for N pushes is to just do N pushes in a row without any *pops*.
- Each one of these *pushes* will access the array 1 time.
- Plus, some of them will require resizing which will require $2M$ array accesses, where M is the number of elements in the array when the array is resized.
- We will add up this total and then divide it by N to get the average cost of each *push*.



Amortized cost of push where the cost model is the number of array accesses and we resize by doubling. Assume: ① the array capacity starts at 1
 ② When we have N elements, the array is full.

$$\# \text{ of array accesses} = \underbrace{N}_1 + \underbrace{(2 + 4 + 8 + \dots + N)}_{\# \text{ for resizing}}$$

$$= N + 2(1 + 2 + 4 + \dots + N/2)$$

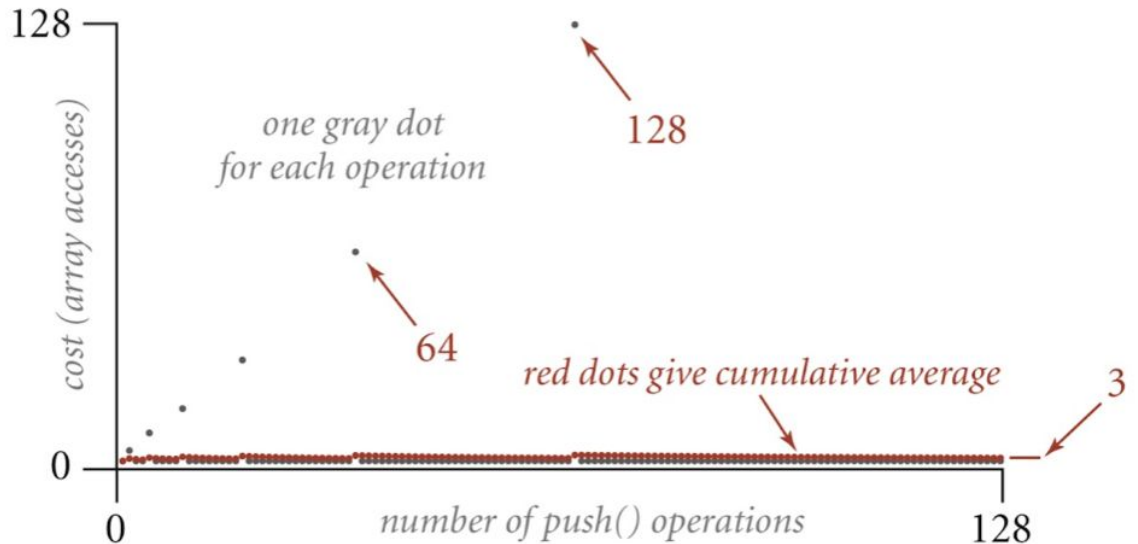
$$= N + 2 \sum_{k=0}^{\log_2 N/2} 2^k = N + 2 \left[\frac{2^{\log_2 N/2 + 1} - 1}{1} \right] = N + 2[N - 1]$$

$$= N + 2N - 2 = 3N - 2$$

Dividing by N pushes: $\frac{3N-2}{N} = \textcircled{3} + \frac{2}{N} \sim 3 \Rightarrow O(1)$

Amortized Analysis for *push*: why the resizing strategy matters

- Notice how the dots for when the array is resized get further apart as N gets larger.
- This would not be the case if we resized by adding a constant amount to the array size.



Amortized cost of push where the cost model is the number of array accesses and we resize by adding 100 to the array capacity.

Assume : array cap starts at 1 and that the array is full after N pushes

$$\# \text{ of array accesses} = N + 2(1 + 101 + 201 + \dots + N - 100)$$

$$= N + 2 \sum_{k=0}^{\frac{N-101}{100}} (100k + 1) = N + 2 \left[100 \sum_{k=0}^{\frac{N-101}{100}} k + \sum_{k=0}^{\frac{N-101}{100}} 1 \right]$$

$$= N + 200 \left[\frac{\left(\frac{N-101}{100}\right) \left(\frac{N-101}{100} + 1\right)}{2} \right] + 2 \left[\frac{N-101}{100} \right] + 2$$

$O(N^2)$

amortized cost of push : $O(N)$

Summary of Analysis for array-based Stacks and Queues

Operation	Best Case	Worst Case	Amortized
push/enqueue	$O(1)$	$O(N)$	$O(1)$
pop/dequeue	$O(1)$	$O(N)$	$O(1)$
isEmpty	$O(1)$	$O(1)$	$O(1)$
peek	$O(1)$	$O(1)$	$O(1)$
size	$O(1)$	$O(1)$	$O(1)$

Linked List Implementation of Stacks and Queues

- As we discussed before, it takes $O(1)$ time to add or remove elements from the front or the back of a linked list.
- This means that implementing a Stack or a Queue with a linked list is straightforward and will guarantee $O(1)$ time for the basic operations.
- However, we should also consider the disadvantages of linked lists...
 - space requirements for the pointers
 - the fact that the nodes are not stored sequentially in memory, which affects the runtime

What's better:
implementing a Stack with
an array or a linked list?

References

[1] *Algorithms, Fourth Edition*; Robert Sedgewick and Kevin Wayne (and associated slides)