# SML

CSE 216 - PROGRAMMING ABSTRACTIONS

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### Functional Programming

Function evaluation is the basic concept for a programming paradigm that has been implemented in **functional programming languages**.

The language ML ("Meta Language") was originally introduced in the 1970's as part of a theorem proving system, and was intended for describing and implementing proof strategies in the Logic for Computable Functions (LCF) theorem prover.

Standard ML of New Jersey (SML) is an implementation of ML.

The basic mode of computation in SML is the use of the definition and application of functions.

### Interacting with SML

SML has a number of built-in operators and data types.

it provides the standard arithmetic operators

```
- 3+2;
val it = 5 : int
```

The Boolean values true and false are available, as are logical operators such as **not** (negation), **andalso** (conjunction), and **orelse** (disjunction).

```
- not(true);
val it = false : bool
- true andalso false;
val it = false : bool
```

### Types in SML

As part of the evaluation process, SML determines the type of the output value using methods of type inference.

Simple types include int, real, bool, and string.

One can also associate identifiers with values

```
- val five = 3+2;
val five = 5 : int
```

and thereby establish a new value binding

```
- five;
val it = 5 : int
```

#### Function Definitions in SML

#### Function Definitions in SML

```
The user may also explicitly indicate types:
```

```
- fun max(x:int,y:int,z:int) =
   if ((x>y) andalso (x>z)) then x
   else (if (y>z) then y else z);
val max = fn : int * int * int -> int
- max(3,2,2);
val it = 3 : int
```

#### Recursive Definitions

The use of <u>recursive</u> definitions is a main characteristic of functional programming languages, and these languages encourage the use of recursion over iterative constructs such as while loops:

```
- fun factorial(x) = if x=0 then 1
  else x*factorial(x-1);
val factorial = fn : int -> int
```

The definition is used by SML to evaluate applications of the function to specific arguments.

```
- factorial(5);
val it = 120 : int
- factorial(10);
val it = 3628800 : int
```

#### Example: Greatest Common Divisor

The greatest common divisor (gcd) of two positive integers can defined recursively based on the following observations:

```
gcd(m, n) = gcd(n,m), if m < n, and
gcd(m, n) = gcd(m - n, n), if m > n.
These identities suggest the following recursive definition:
- fun gcd(m,n):int = if m=n then n
        else if m>n then gcd(m-n,n)
        else gcd(m,n-m);
val gcd = fn : int * int -> int
- gcd(12,30); - gcd(1,20); - gcd(125,56345);
val it = 6 : int        val it = 1 : int        val it = 5 : int
```

gcd(n, n) = n,

#### More recursive functions

```
- fun exp(b,n) = if n=0 then 1.0
    else b * exp(b,n-1);
val exp = fn : real * int -> real
- exp(2.0,10);
val it = 1024.0 : real
```

### Tuples in SML

In SML tuples are finite sequences of arbitrary but fixed length, where different components need not be of the same type.

```
- (1, "two");
val it = (1,"two") : int * string
- val t1 = (1,2,3);
val t1 = (1,2,3) : int * int * int
- val t2 = (4,(5.0,6));
val t2 = (4,(5.0,6)) : int * (real * int)
```

The components of a tuple can be accessed by applying the built-in functions #i, where i is a positive number.

```
- #1(t1);
val it = 1 : int
- #2(t2);
val it = (5.0,6) : real * int
```

If a function #i is applied to a tuple with fewer than i components, an error results.

### Polymorphic functions

```
- fun id x = x;
val id = fn : 'a -> 'a
- (id 1, id "two");
val it = (1,"two") : int * string
- fun fst(x,y) = x;
val fst = fn : 'a * 'b -> 'a
- fun snd(x,y) = y;
val snd = fn : 'a * 'b -> 'b
- fun switch (x,y) = (y,x);
val switch = fn : 'a * 'b -> 'b * 'a
```

### Polymorphic functions

'a means "any type", while ' 'a means "any type that can be compared for equality" (see the concat function later which compares a polymorphic variable list with []).

There will be a "Warning: calling polyEqual" that means that you're comparing two values with polymorphic type for equality.

- Why does this produce a warning? Because it's less efficient than comparing two values of known types for equality.
- How do you get rid of the warning? By changing your function to only work with a specific type instead of any type.

#### Lists in SML

A list in SML is a finite sequence of objects, all of the <u>same type</u>:

The last example is a list of lists of integers.

#### Lists in SML

All objects in a list must be of the same type: - [1, [2]]; Error: operator and operand don't agree An empty list is denoted by one of the following expressions: - []; val it = [] : 'a list - nil: val it = [] : 'a list Note that the type is described in terms of a type variable 'a. Instantiating the type variable, by types such as int, results in (different) empty lists of corresponding types.

### Operations on Lists

SML provides various functions for manipulating lists.

• The function hd returns the first element of its argument list.

```
- hd[1,2,3];
val it = 1 : int
- hd[[1,2],[3]];
val it = [1,2] : int list
```

Applying this function to the empty list will result in an error.

 The function tl removes the first element of its argument lists, and returns the remaining list.

```
- tl[1,2,3];
val it = [2,3] : int list
- tl[[1,2],[3]];
val it = [[3]] : int list list
```

The application of this function to the empty list will also result in an error.

### Operations on Lists

Lists can be constructed by the (binary) function :: (read cons) that adds its first argument to the front of the second argument.

```
- 5::[];
val it = [5] : int list
- 1::[2,3];
val it = [1,2,3] : int list
- [1,2]::[[3],[4,5,6,7]];
val it = [[1,2],[3],[4,5,6,7]] : int list list
The arguments must be of the right type (such that the result is a list of elements of the same type):
- [1]::[2,3];
Error: operator and operand don't agree
```

### Operations on Lists

#### Lists can also be compared for equality:

```
- [1,2,3]=[1,2,3];
val it = true : bool

- [1,2]=[2,1];
val it = false : bool

- tl[1] = [];
val it = true : bool
```

# Defining List Functions

Recursion is particularly useful for defining functions that process lists.

 For example, consider the problem of defining an SML function that takes as arguments two lists of the same type and returns the concatenated list.

In defining such list functions, it is helpful to keep in mind that a list is either

- an empty list [] or
- of the form x: y

#### Concatenation

In designing a function for concatenating two lists  $\mathbf{x}$  and  $\mathbf{y}$  we thus distinguish two cases, depending on the form of  $\mathbf{x}$ :

- If x is an empty list [], then concatenating x with y yields just y.
- If x is of the form x1::x2, then concatenating x with y is a list of the form x1::z, where z is the result of concatenating x2 with y.
  - We can be more specific by observing that

```
x = hd(x) :: tl(x)
```

#### Concatenation

```
- fun concat(x,y) = if x=[] then y
  else hd(x)::concat(tl(x),y);

val concat = fn : ''a list * ''a list -> ''a list
  Applying the function yields the expected results:
- concat([1,2],[3,4,5]);

val it = [1,2,3,4,5] : int list
- concat([],[1,2]);

val it = [1,2] : int list
- concat([1,2],[]);

val it = [1,2] : int list
```

## Length

#### doubleall

The following function doubles all the elements in its argument list (of integers):

### Reversing a List

Concatenation of lists, for which we gave a recursive definition, is actually a built-in operator in SML, denoted by the symbol @.

We use this operator in the following recursive definition of a function that reverse a list.

```
- fun reverse(L) =
    if L = nil then nil
    else reverse(tl(L)) @ [hd(L)];
val reverse = fn : ''a list -> ''a list
- reverse [1,2,3];
val it = [3,2,1] : int list
This method is not efficient: O(n²)
```

### Reversing a List

```
This way (using an accumulator) is better: O(n)
- fun reverse_helper(L,L2) =
if L = nil then L2
  else reverse_helper(tl(L),hd(L)::L2);
- fun reverse(L) = reverse_helper(L,[]);
```

### Removing List Elements

The following function removes all occurrences of its first argument from its second argument list.

```
- fun remove(x,L) = if (L=[]) then []
        else if x=hd(L) then remove(x,tl(L))
        else hd(L)::remove(x,tl(L));
val remove = fn : ''a * ''a list -> ''a list
- remove(1,[5,3,1]);
val it = [5,3] : int list
- remove(2,[4,2,4,2,4,2,2]);
val it = [4,4,4] : int list
```

### Removing Duplicates

The remove function can be used in the definition of another function that removes all duplicate occurrences of elements from its argument list:

```
- fun removedupl(L) =
  if (L=[]) then []
  else hd(L)::removedupl(remove(hd(L),tl(L)));
val removedupl = fn : ''a list -> ''a list
- removedupl([3,2,4,6,4,3,2,3,4,3,2,1]);
val it = [3,2,4,6,1] : int list
```

### Definition by Patterns

```
In SML functions can also be defined via patterns.
The general form of such definitions is:
fun <identifier>(<pattern1>) = <expression1>
| <identifier>(<pattern2>) = <expression2>
| <identifier>(<patternK>) = <expressionK>;
where the identifiers, which name the function, are all the same, all patterns
 are of the same type, and all expressions are of the same type.
                               The patterns are inspected in order and the first
 Example:
                               match determines the value of the function.
- fun reverse(nil) = nil
   reverse(x::xs) = reverse(xs) @ [x];
val reverse = fn : 'a list -> 'a list
```

#### Sets with lists in SML

```
fun member(X,L) =
       if L=[] then false
       else if X=hd(L) then true
       else member(X,tl(L));
                     OR with patterns:
fun member(X,[]) = false
       | member(X,Y::Ys) =
              if (X=Y) then true
              else member(X,Ys);
member(1,[1,2]); (* true *)
member(1,[2,1]); (* true *)
member(1,[2,3]); (* false *)
```

#### Sets - UNION

```
fun union (L1, L2) =
      if L1=[] then L2
      else if member(hd(L1),L2)
            then union(tl(L1),L2)
            else hd(L1)::union(tl(L1),L2);
union([1,5,7,9],[2,3,5,10]);
       (* [1,7,9,2,3,5,10] *)
union([],[1,2]);
       (* [1,2] *)
union([1,2],[]);
       (* [1,2] *)
```

### Sets - UNION patterns

```
fun union([],L2) = L2
      | union(X::Xs,L2) =
         if member (X,L2) then union (Xs,L2)
        else X::union(Xs,L2);
union([1,5,7,9],[2,3,5,10]);
       (* [1,7,9,2,3,5,10] *)
union([],[1,2]);
       (* [1,2] *)
union([1,2],[]);
       (*[1,2]*)
```

#### Sets - Intersection \(\Omega\)

```
fun intersection(L1,L2) =
   if L1=[] then []
   else if member(hd(L1),L2)
   then hd(L1)::intersection(t1(L1),L2)
   else intersection(t1(L1),L2);

intersection([1,5,7,9],[2,3,5,10]);
   (* [5] *)
```

## Sets - N patterns

```
fun intersection([],L2) = []
      intersection(L1,[]) = []
      intersection(X::Xs,L2) =
         if member(X,L2)
         then X::intersection(Xs,L2)
         else intersection(Xs,L2);
intersection([1,5,7,9],[2,3,5,10]);
     (* [5] *)
```

### Sets – subset

```
fun subset(L1,L2) = if L1=[] then true
    else if L2=[] then false
    else if member (hd(L1),L2)
         then subset(tl(L1),L2)
         else false;
subset([1,5,7,9],[2,3,5,10]);
     (* false *)
subset([5],[2,3,5,10]);
     (* true *)
```

## Sets – subset patterns

```
fun subset([],L2) = true
        | subset(L1,[]) = if(L1=[])
                then true
                else false
        | subset(X::Xs,L2) =
                if member(X,L2)
                         then subset (Xs,L2)
                         else false;
subset([1,5,7,9],[2,3,5,10]);
        (* false *)
subset([5],[2,3,5,10]);
        (* true *)
```

## Sets – equals

```
fun setEqual(L1,L2) =
    subset(L1,L2) andalso
subset(L2,L1);
setEqual([1,5,7],[7,5,1,2]);
    (* false *)
setEqual([1,5,7],[7,5,1]);
    (* true *)
```

### Sets – minus patterns

```
fun minus([],L2) = []
     | minus(X::Xs,L2) =
         if member (X,L2)
             then minus (Xs, L2)
             else X::minus(Xs,L2);
minus([1,5,7,9],[2,3,5,10]);
     (*[1,7,9]*)
```

## Sets - Cartesian product

```
fun product one (X,[]) = []
        | product one(X,Y::Ys) =
                (X,Y)::product one(X,Ys);
product one(1,[2,3]);
        (* [(1,2),(1,3)] *)
fun product([],L2) = []
        | product(X::Xs,L2) =
               union(product one(X,L2),
                         product(Xs,L2));
product([1,5,7,9],[2,3,5,10]);
        (* [(1,2),(1,3),(1,5),(1,10),(5,2),
   (5,3), (5,5), (5,10), (7,2), (7,3), ...] *)
```

### Sets – Powerset

```
fun insert all(E,L) =
        if L=[] then []
        else (E::hd(L)) :: insert all(E,tl(L));
insert all(1,[[],[2],[3],[2,3]]);
 (* [[1], [1,2], [1,3], [1,2,3]] *)
fun powerSet(L) =
        if L=[] then [[]]
        else powerSet(tl(L)) @
                insert all(hd(L),powerSet(tl(L)));
powerSet([]);
powerSet([1,2,3]);
powerSet([2,3]);
```

#### Records

Records are structured data types of heterogeneous elements that are labeled

```
- {x=2, y=3};
The order does not matter:
- {make="Toyota", model="Corolla", year=2017, color="silver"}
= {model="Corolla", make="Toyota", color="silver", year=2017};
val it = true : bool
- fun full name{first:string,last:string,
    age:int,balance:real}:string =
    first ^ " " ^ last;
        (* ^ is the string concatenation operator *)
val full name=fn:{age:int, balance:real, first:string,
    last:string} -> string
```

### Higher-Order Functions

In functional programming languages functions can be used in definitions of other, so-called higher-order, functions.

• The following function, map, applies its first argument (a function) to all elements in its second argument (a list of suitable type):

### Higher-Order Functions

### More map examples • Anonymous functions: - map(fn x=>x+1, [1,2,3,4,5]); val it = [2,3,4,5,6] : int list - fun incr(list) = map (fn x=>x+1, list); val incr = fn : int list -> int list - incr[1,2,3,4,5]; val it = [2,3,4,5,6] : int list

# McCarthy's 91 function

```
McCarthy's 91 function:
- fun mc91(n) = if n>100 then n-10
  else mc91 (mc91 (n+11));
val mc91 = fn : int -> int
- map mc91 [101, 100, 99, 98, 97, 96];
val it = [91,91,91,91,91,91] : int list
```

### Filter

Filter: keep in a list only the values that satisfy some logical condition/boolean function

```
- fun filter(f,l) =
   if l=[] then []
     else if f(hd l)
        then (hd l)::(filter (f, tl l))
        else filter(f, tl l);

val filter = fn : ('a -> bool) * 'a list -> 'a list
- filter((fn x => x>0), [~1,0,1]);

val it = [1] : int list
```

# Currying

```
- fun f(a)(b)(c) = a+b+c;
val f = fn : int -> int -> int -> int
val f = fn : int \rightarrow (int \rightarrow int))
OR
- fun f a b c = a+b+c;
- val inc1 = f(1);
val inc1 = fn : int -> int -> int
val inc1 = fn : int -> (int -> int)
- val inc12 = inc1(2);
val inc12 = fn : int -> int
- inc12(3);
val it = 6 : int
```

### Composition

Composition is another example of a higher-order function:

#### Mutually recursive function definitions

```
- fun odd(n) = if n=0 then false
                 else even(n-1)
 and
        even(n) = if n=0 then true
                 else odd(n-1);
val odd = fn : int -> bool
val even = fn : int -> bool
- even(1);
val it = false : bool
- odd(1);
val it = true : bool
```

### string and char

```
- "a";
val it = "a" : string
- #"a";
val it = #"a" : char
- explode("ab");
val it = [#"a",#"b"] : char list
- implode([#"a",#"b"]);
val it = "ab" : string
- "abc" ^ "def" = "abcdef";
val it = true : bool
- size ("abcd");
val it = 4 : int
```

### string and char

```
- String.sub("abcde",2);
val it = #"c" : char
- substring("abcdefghij",3,4);
val it = "defg" : string
- concat ["AB"," ","CD"];
val it = "AB CD" : string
- str(#"x");
val it = "x" : string
```

#### Mutually recursive function definitions

```
- fun odd(n) = if n=0 then false
                 else even(n-1)
 and
        even(n) = if n=0 then true
                 else odd(n-1);
val odd = fn : int -> bool
val even = fn : int -> bool
- even(1);
val it = false : bool
- odd(1);
val it = true : bool
```

# Sorting

We next design a function for sorting a list of integers:

- The function is recursive and based on a method known as Merge-Sort.
- To sort a list L:
  - first split L into two disjoint sublists (of about equal size),
  - then (recursively) sort the sublists, and
  - finally merge the (now sorted) sublists.
- This recursive method is known as Merge-Sort
- It requires suitable functions for
  - splitting a list into two sublists AND
  - merging two sorted lists into one sorted list

# Splitting

- We split a list by applying two functions, take and skip, which extract alternate elements; respectively, the elements at odd-numbered positions and the elements at even-numbered positions (if any).
- The definitions of the two functions mutually depend on each other, and hence provide an example of mutual recursion, as indicated by the SML-keyword and:

### Merging

#### Merge pattern definition:

```
- fun merge([],M) = M
 | merge(L,[]) = L
 | merge(x::x1,y::y1) =
         if (x:int)<y then x::merge(x1,y::y1)</pre>
         else y::merge(x::x1,y1);
val merge = fn : int list * int list -> int list
- merge([1,5,7,9],[2,3,5,5,10]);
val it = [1,2,3,5,5,5,7,9,10] : int list
- merge([],[1,2]);
val it = [1,2] : int list
- merge([1,2],[]);
val it = [1,2] : int list
```

### Merge Sort

```
- fun sort(L) =
  if L=[] then []
  else if tl(L)=[] then L
  else merge(sort(take(L)),sort(skip(L)));
val sort = fn : int list -> int list
```

### The program of Young McML