

# XCS231N Assignment 2

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**Due Sunday, November 9 at 11:59pm PT.**

## Guidelines

1. If you have a question about this homework, we encourage you to post your question on our Slack channel, at <http://xcs231n-scpd.slack.com/>
2. Familiarize yourself with the collaboration and honor code policy before starting work.
3. For the coding problems, you must use the packages specified in the provided environment description. Since the autograder uses this environment, we will not be able to grade any submissions which import unexpected libraries.

## Submission Instructions

**Notebook Submission:** Some questions in this assignment require notebook and Python code responses. For these questions, if you use Colab, you should run the `collect_submission.ipynb` notebook to generate a zip file of your code. If you use a local or Azure platform, you should run the `collect_submission.sh` script to generate a zip file of your code. When the zip file is generated, you should submit it to the Gradescope Coding submission for the corresponding assignment.

**Inline Submission:** Some questions in the notebook require you to provide inline answers. You should first write your answers in the notebook, and then submit them to the Gradescope Written Submission for the corresponding assignment.

## Honor code

We strongly encourage students to form study groups. Students may discuss and work on homework problems in groups. However, each student must write down the solutions independently, and without referring to written notes from the joint session. In other words, each student must understand the solution well enough in order to reconstruct it by him/herself. In addition, each student should write on the problem set the set of people with whom s/he collaborated. Further, because we occasionally reuse problem set questions from previous years, we expect students not to copy, refer to, or look at the solutions in preparing their answers. It is an honor code violation to intentionally refer to a previous year's solutions. For SCPD classes, it is also important that students avoid opening pull requests containing their solution code on the shared assignment repositories. More information regarding the Stanford honor code can be found at <https://communitystandards.stanford.edu/policies-and-guidance/honor-code>.

## Writing Code and Running the Autograder

All your code should be entered into `src/submission.py`. When editing these files, please only make changes between the lines containing `### START_CODE_HERE ###` and `### END_CODE_HERE ###`. Do NOT make changes to files other than `src/submission.py`.

The unit tests in `src/grader.py` (the autograder) will be used to verify a correct submission. Run the autograder locally using the following terminal command within the `src/` subdirectory:

```
$ python grader.py
```

There are two types of unit tests used by the autograder:

- **basic:** These tests are provided to make sure that your inputs and outputs are on the right track, and can be run locally.
- **hidden:** These unit tests are NOT visible locally. These hidden tests will be run when you submit your code to the Gradescope autograder via the online student portal, and will provide feedback on how many points you have earned. These tests will evaluate elements of the assignment, and run your code with more complex inputs and corner cases. Just because your code passed the basic local tests does not necessarily mean that they will pass all of the hidden tests.

For debugging purposes, you can run a single unit test locally. For example, you can run the test case `3a-0-basic` using the following terminal command within the `src/` subdirectory:

```
$ python grader.py 3a-0-basic
```

Before beginning this course, please walk through the [Anaconda Setup for XCS Courses](#) to familiarize yourself with the coding environment. Use the env defined in `src/environment.yml` to run your code. This is the same environment used by the online autograder.

## Test Cases

The autograder is a thin wrapper over the python `unittest` framework. It can be run either locally (on your computer) or remotely (on SCPD servers). The following description demonstrates what test results will look like for both local and remote execution. For the sake of example, we will consider two generic tests: `1a-0-basic` and `1a-1-hidden`.

### Local Execution - Basic Tests

When a basic test like `1a-0-basic` passes locally, the autograder will indicate success:

```
----- START 1a-0-basic: Basic test case.
----- END 1a-0-basic [took 0:00:00.000062 (max allowed 1 seconds), 2/2 points]
```

When a basic test like `1a-0-basic` fails locally, the error is printed to the terminal, along with a stack trace indicating where the error occurred:

```
----- START 1a-0-basic: Basic test case.
<class 'AssertionError'> <-- This error caused the test to fail.
{'a': 2, 'b': 1} != None
  File "/Users/grinch/Local_Documents/Software/anaconda3/envs/XCS221/lib/python3.6/unittest/case.py", line 59, in testPartExecutor
    yield
  File "/Users/grinch/Local_Documents/Software/anaconda3/envs/XCS221/lib/python3.6/unittest/case.py", line 605, in run
    testMethod()
  File "/Users/grinch/Local_Documents/SCPD/XCS221/A1/src/graderUtil.py", line 54, in wrapper
    result = func(*args, **kwargs)
  File "/Users/grinch/Local_Documents/SCPD/XCS221/A1/src/graderUtil.py", line 83, in wrapper
    result = func(*args, **kwargs)
  File "/Users/grinch/Local_Documents/SCPD/XCS221/A1/src/grader.py", line 23, in test_0 <-- In this case, start your debugging
    submission.extractWordFeatures("a b a")
  File "/Users/grinch/Local_Documents/Software/anaconda3/envs/XCS221/lib/python3.6/unittest/case.py", line 829, in assertEqual
    assertion_func(first, second, msg=msg)
  File "/Users/grinch/Local_Documents/Software/anaconda3/envs/XCS221/lib/python3.6/unittest/case.py", line 822, in _baseAssertEqual
    raise self.failureException(msg)
----- END 1a-0-basic [took 0:00:00.003809 (max allowed 1 seconds), 0/2 points]
```

### Remote Execution

Basic and hidden tests are treated the same by the remote autograder, however the output of hidden tests will only appear once you upload your code to GradeScope. Here are screenshots of failed basic and hidden tests. Notice that the same information (error and stack trace) is provided as the in local autograder, now for both basic and hidden tests.

#### 1a-0-basic) Basic test case. (0.0/2.0)

```
<class 'AssertionError'>: {'a': 2, 'b': 1} != None <-- Just like in the local autograder, this error caused the test to fail.
  File "/autograder/source/miniconda/envs/XCS221/lib/python3.6/unittest/case.py", line 59, in testPartExecutor
    yield
  File "/autograder/source/miniconda/envs/XCS221/lib/python3.6/unittest/case.py", line 605, in run
    testMethod()
  File "/autograder/source/graderUtil.py", line 54, in wrapper
    result = func(*args, **kwargs)
  File "/autograder/source/graderUtil.py", line 83, in wrapper
    result = func(*args, **kwargs)
  File "/autograder/source/grader.py", line 23, in test_0 <-- Just like in the local autograder, start your
    submission.extractWordFeatures("a b a")
  File "/autograder/source/miniconda/envs/XCS221/lib/python3.6/unittest/case.py", line 829, in assertEqual
    assertion_func(first, second, msg=msg)
  File "/autograder/source/miniconda/envs/XCS221/lib/python3.6/unittest/case.py", line 822, in _baseAssertEqual
    raise self.failureException(msg)
```

**1a-1-hidden) Test multiple instances of the same word in a sentence. (0.0/3.0)**

```
<class 'AssertionError'>: {'a': 23, 'ab': 22, 'aa': 24, 'c': 16, 'b': 15} != None
  File "/autograder/source/miniconda/envs/XCS221/lib/python3.6/unittest/case.py", line 59, in testPartExecutor
    yield
  File "/autograder/source/miniconda/envs/XCS221/lib/python3.6/unittest/case.py", line 605, in run
    testMethod()
  File "/autograder/source/graderUtil.py", line 54, in wrapper
    result = func(*args, **kwargs)
  File "/autograder/source/graderUtil.py", line 83, in wrapper
    result = func(*args, **kwargs)
  File "/autograder/source/grader.py", line 31, in test_1
    self.compare_with_solution_or_wait(submission, 'extractWordFeatures', lambda f: f(sentence))
  File "/autograder/source/graderUtil.py", line 183, in compare_with_solution_or_wait
    self.assertEqual(ans1, ans2)
  File "/autograder/source/miniconda/envs/XCS221/lib/python3.6/unittest/case.py", line 829, in assertEquals
    assertion_func(first, second, msg=msg)
  File "/autograder/source/miniconda/envs/XCS221/lib/python3.6/unittest/case.py", line 822, in _baseAssertEqual
    raise self.failureException(msg)
```

This error caused the test to fail.

Start your debugging in line 31 of grader.py.

Finally, here is what it looks like when basic and hidden tests pass in the remote autograder.

**1a-0-basic) Basic test case. (2.0/2.0)****1a-1-hidden) Test multiple instances of the same word in a sentence. (3.0/3.0)**

## 0 Goals

In this assignment you will practice writing backpropagation code, and training Neural Networks and Convolutional Neural Networks. The goals of this assignment are as follows:

- Implement **Batch Normalization** and **Layer Normalization** for training deep networks.
- Implement **Dropout** to regularize networks.
- Understand the architecture of **Convolutional Neural Networks** and get practice with training them.
- Gain experience with a major deep learning framework, **PyTorch**.
- Understand and implement RNN networks. Combine them with CNN networks for image captioning.

## 1 Batch Normalization

In notebook **BatchNormalization.ipynb** you will implement batch normalization, and use it to train deep fully connected networks.

## 2 Dropout

The notebook **Dropout.ipynb** will help you implement dropout and explore its effects on model generalization.

## 3 Convolutional Neural Networks

In the notebook **ConvolutionalNetworks.ipynb** you will implement several new layers that are commonly used in convolutional networks.

## 4 PyTorch on CIFAR-10

For this part, you will be working with PyTorch, a popular and powerful deep learning framework.

Open up **PyTorch.ipynb**. There, you will learn how the framework works, culminating in training a convolutional network of your own design on CIFAR-10 to get the best performance you can.

## 5 Image Captioning with Vanilla RNNs

The notebook **RNN\_Captioning\_pytorch.ipynb** will walk you through the implementation of vanilla recurrent neural networks and apply them to image captioning on COCO.

## 6 Submitting your work

**Important.** Please make sure that the submitted notebooks have been run and the cell outputs are visible.

Once you have completed all notebooks and filled out the necessary code, you need to follow the below instructions to submit your work:

Refer to the **Submission Instructions** section in the assignment for more details.

This handout includes space for every question that requires a written response. Please feel free to use it to handwrite your solutions (legibly, please). If you choose to typeset your solutions, the —README.md— for this assignment includes instructions to regenerate this handout with your typeset L<sup>A</sup>T<sub>E</sub>X solutions.

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