

# Voting

MATH1210

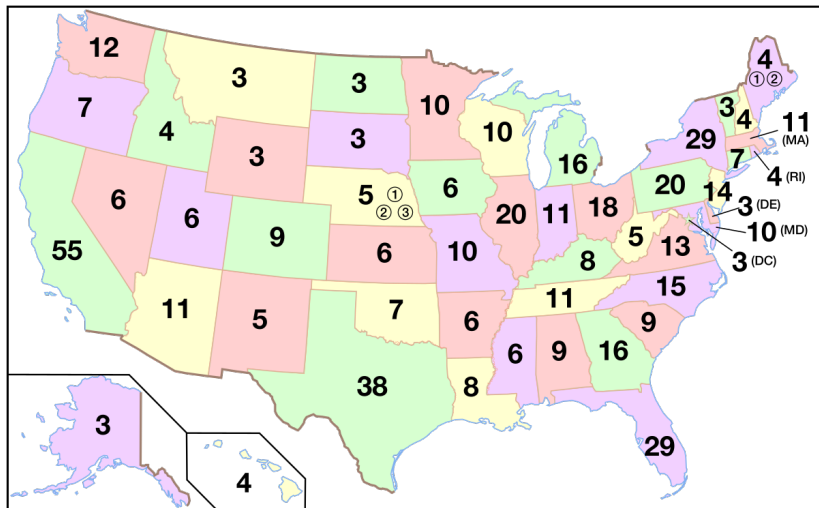
16 April 2018

Around 138.8 millions votes were cast in November 2016.

- Hilary Clinton (D) got 65,853,516 votes (48.18%)
- Donald Trump (R) got 62,984,825 votes (46.09%)

Donald Trump was elected, with 304 electoral college votes, to Hilary Clinton's 227.

# US electoral votes



538 electors in total — you need 270 to become president

# US electoral votes

		Hillary Clinton Democratic			Donald Trump Republican		
State or district	Electoral method	#	%	Electoral votes	#	%	Electoral votes
Alabama	WTA	729,547	34.36%	—	1,318,255	62.08%	9
Alaska	WTA	116,454	36.55%	—	163,387	51.28%	3
Arizona	WTA	1,161,167	45.13%	—	1,252,401	48.67%	11
Arkansas	WTA	380,494	33.65%	—	684,872	60.57%	6
California	WTA	8,753,788	61.73%	55	4,483,810	31.62%	—
Colorado	WTA	1,338,870	48.16%	9	1,202,484	43.25%	—
Connecticut	WTA	897,572	54.57%	7	673,215	40.93%	—
Delaware	WTA	235,603	53.18%	3	185,127	41.79%	—
District of Columbia	WTA	282,830	90.48%	3	12,723	4.07%	—

# US electoral votes

		Clinton		Trump		Votes needed
<a href="#">California</a>	55	8,753,788	61.73%	4,483,810	31.62%	6,618,799
<a href="#">Texas</a>	38	3,877,868	43.24%	4,685,047	52.23%	4,281,458
<a href="#">Florida</a>	29	4,504,975	47.82%	4,617,886	49.02%	4,561,431
<a href="#">New York</a>	29	4,547,562	58.40%	2,814,589	36.15%	3,681,076
<a href="#">Illinois</a>	20	3,090,729	55.83%	2,146,015	38.76%	2,618,372
<a href="#">Pennsylvania</a>	20	2,926,441	47.85%	2,970,733	48.58%	2,948,587
<a href="#">Ohio</a>	18	2,394,164	43.56%	2,841,005	51.69%	2,617,585
<a href="#">Georgia</a>	16	1,877,963	45.64%	2,089,104	50.77%	1,983,534
<a href="#">Michigan</a>	16	2,268,839	47.27%	2,279,543	47.50%	2,274,191
<a href="#">North Carolina</a>	15	2,189,316	46.17%	2,362,631	49.83%	2,275,974
<a href="#">New Jersey</a>	14	2,148,278	54.99%	1,601,933	41.00%	1,875,106
	270					35,736,110
Could win with	25.74648	percent of the popular vote!				

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*"The United States is the only country that elects a politically powerful president via an electoral college and the only one in which a candidate can become president without having obtained the highest number of votes in the sole or final round of popular voting." – George C. Edwards, 2011"*

# A toy election

We have 3 magnificent candidates. They will tell you in one sentence why they should win. Now rank the three candidates in one of the six possible orders:

*A, B, C*

*A, C, B*

*B, A, C*

*B, C, A*

*C, A, B*

*C, B, A*

Who should win?

# Different voting systems

- First-past-the-post (plurality)
  - candidate with most #1 votes wins



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- Single transferable vote
  - candidates ranked in order of preference
  - candidate with fewest first choices eliminated
  - transfer votes of eliminated to next choice; continue

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  - $n$  points for first,  $n - 1$  for second,  $\dots$ , 1 point for last
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  - most points wins
- Condorcet
  - winner is one who beats all others in head-to-heads

## A particular example

Suppose there are 30 voters, choosing between candidates  $A$ ,  $B$  and  $C$ . They vote:

12:  $A$ ,  $B$ ,  $C$

10:  $C$ ,  $B$ ,  $A$

8:  $B$ ,  $C$ ,  $A$

- FPTP:  $A$  wins, with 12 votes. But  $\frac{18}{30} = 60\%$  wanted  $A$  least of all!

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- STV:  $B$  is eliminated. Then  $A$  has 12, and  $C$  gains  $B$ 's votes, so has 18.  $C$  wins! But  $\frac{20}{30} = 66\%$  preferred  $B$  to  $C$ .

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- Borda:  $A$  has  $12 \times 3 + 10 \times 1 + 8 \times 1 = 54$   
 $B$  has  $12 \times 2 + 10 \times 2 + 8 \times 3 = 68$   
 $C$  has  $12 \times 1 + 10 \times 3 + 8 \times 2 = 58$   
so  $B$  wins! But only  $\frac{8}{30} = 27\%$  voted  $B$  top.

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- Condorcet: Ignore  $C$ :  $AvB \rightarrow A = 12, B = 18$   
Ignore  $A$ :  $BvC \rightarrow B = 20, C = 10$   
Ignore  $B$ :  $AvC \rightarrow A = 12, C = 18$   
So  $B$  wins!

# Arrow's theorem

These apparent contradictions are actually quite typical.



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A reasonable system in which voters rank all candidates would require:

- 1 *No dictators*: no single person should determine the outcome
- 2 *Unanimity*: if everyone prefers  $A$  to  $B$ , then the outcome should rank  $A$  above  $B$
- 3 *Independence of Irrelevant Alternatives*: outcome's relative ranking of  $A$  and  $B$  shouldn't change if voters change ranking of other candidates, but not  $A$  and  $B$ .

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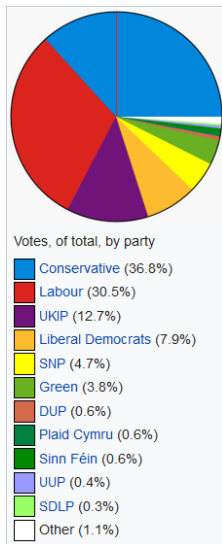
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## Theorem (Arrow's Impossibility Theorem)

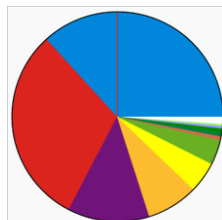
*If there are three or more candidates, there is no voting system that satisfies these requirements.*

⇒ 1972 Nobel for Economics.

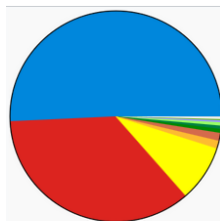
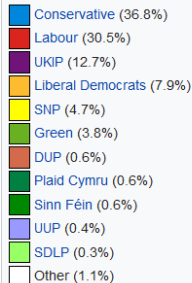
# Problems — FPTP 1



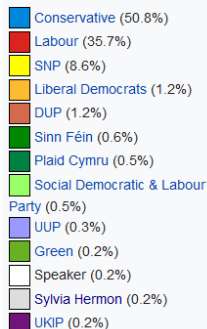
# Problems — FPTP 1



Votes, of total, by party



MPs, of total, by party



## Splitting the vote

Suppose we have 3 candidates. Two,  $A$  and  $B$ , are moderates and share many common values, and the third  $C$  is an extremist who alienates supporters of the other two.

Suppose votes are cast as:

30% :  $A$

30% :  $B$

40% :  $C$

Then  $C$  wins, in spite of the fact that over half the voters hate this choice. Should  $A$  and  $B$  have joined forces? Should they have had to?

# Problems — Condorcet 1

Suppose 30 voters, choosing between  $A$ ,  $B$  and  $C$ .

10:  $A, B, C$

10:  $B, C, A$

10:  $C, A, B$

Then in  $AvB$  we have  $A = 20$ ,  $B = 10$ . In  $BvC$  we have  $B = 20$ ,  $C = 10$ , and in  $AvC$  we have  $A = 10$ ,  $C = 20$ . We have no winner!

## Problems — Condorcet 2

Suppose there are 3 candidates:  $A$  is strongly liberal,  $C$  is strongly conservative, and  $B$  is moderate. The electorate are very polarised, and 45% support  $A$ , and 45% support  $C$ . We will likely have votes:

45:  $A, B, C$

10:  $B, A, C$  or  $B, C, A$

45:  $C, B, A$

Then  $B$  will win the Condorcet vote by virtue of the second places. (It makes no difference which order the  $B$  supporters put  $A$  and  $C$ .) Condorcet favours moderates, but when the electorate clearly favours something away from the centre, should the centrist win? Here the existence of  $B$  gives both  $A$  and  $C$  a zero chance of winning!

Very susceptible to tactical voting. Suppose we have 5 voters, voting for  $A$ ,  $B$ ,  $C$ , who vote

3:  $A$ ,  $B$ ,  $C$

2:  $B$ ,  $C$ ,  $A$

Then  $B$  wins.



# Problems — Borda

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Then  $B$  wins.

But if  $A$  voters recognise the danger, and change to

3:  $A$ ,  $C$ ,  $B$

2:  $B$ ,  $C$ ,  $A$

then  $A$  wins. Does this disenfranchise  $B$ 's supporters?

## Problems — Borda 2

Suppose we have 7 voters, voting for  $A$ ,  $B$ ,  $C$ , who vote

3:  $C$ ,  $B$ ,  $A$

2:  $A$ ,  $C$ ,  $B$

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Then  $C$  wins (with  $B$  second and  $A$  last).

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But if new candidate  $X$  enters the race, and we have

3:  $C$ ,  $B$ ,  $A$ ,  $X$

2:  $A$ ,  $X$ ,  $C$ ,  $B$

2:  $B$ ,  $A$ ,  $X$ ,  $C$

then even though  $X$  was useless and came last, now  $A$  wins, with  $B$  second and  $C$  third. So the entrance of a hopeless candidate reversed the result.

# Problems — Single transferable vote

Suppose there are 21 voters, voting for  $A$ ,  $B$ ,  $C$ ,  $D$ , who rank:

7:  $A$ ,  $B$ ,  $C$ ,  $D$

6:  $B$ ,  $A$ ,  $C$ ,  $D$

5:  $C$ ,  $B$ ,  $A$ ,  $D$

3:  $D$ ,  $C$ ,  $B$ ,  $A$

$A$  wins.

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But now suppose the three voters who favoured  $D$  change their mind and opt for  $A$  (nothing else changes):

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3:  $A$ ,  $D$ ,  $C$ ,  $B$

Now  $B$  wins! So  $A$  has lost out by attracting more votes. Should  $A$  stop canvassing in some areas?

## Problems — Single transferable vote 2

Suppose there are 26 voters, voting for  $A$ ,  $B$ ,  $C$ , who rank:

9:  $A$ ,  $B$ ,  $C$

8:  $B$ ,  $C$ ,  $A$

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$C$  wins.

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$C$  wins.

But now suppose we split the constituency into two parts, who vote:

6:  $A$ ,  $B$ ,  $C$

4:  $B$ ,  $C$ ,  $A$

3:  $C$ ,  $B$ ,  $A$

and

3:  $A$ ,  $B$ ,  $C$

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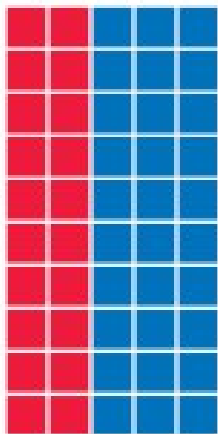
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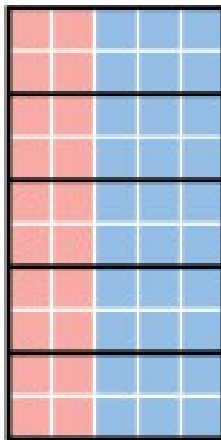
This is exactly the same distribution of votes, but in each part,  $B$  wins!

So by dividing up the voters, we change the winner.

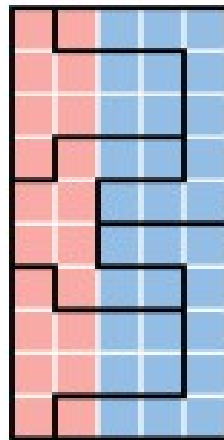
# Gerrymandering



50 PRECINCTS  
60% BLUE  
40% RED



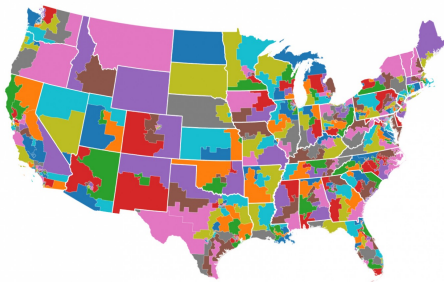
5 DISTRICTS  
5 BLUE  
0 RED  
**BLUE WINS**



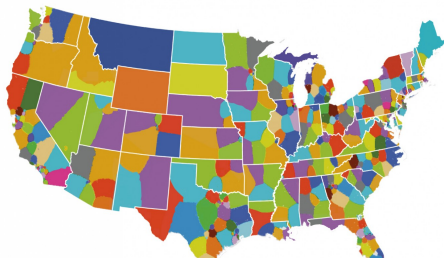
5 DISTRICTS  
3 RED  
2 BLUE  
**RED WINS**

# Gerrymandering in US elections

**Current congressional district map**



**Computer-drawn map to optimize compactness**



# The Prisoner's dilemma

Two prisoners,  $A$  and  $B$  are being questioned about a serious crime.

- If both stay silent, both will get convicted of a lesser crime (1 year in jail).
- If  $A$  blames  $B$ , and  $B$  remains silent,  $A$  will be rewarded by being set free, and  $B$  will be jailed for 3 years (and vice versa).
- If both blame each other, both get 2 years.

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	$B$ says nothing	$B$ blames $A$
$A$ says nothing	$A$ & $B$ both get 1 year	$A$ gets 3 years; $B$ is free
$A$ blames $B$	$A$ is free; $B$ gets 3 years	$A$ & $B$ both get 2 years

*"Mutual cooperation is better than mutual defection but is not rational"*

# The Prisoner's dilemma in the EU referendum

Basic principle of the Prisoner's dilemma:

- Everyone has a choice of  $C$  or  $D$
- It's better for everyone if everyone chooses  $C$
- Every individual gains by switching from  $C$  to  $D$  if nobody else switches

What does this have to do with the EU referendum?