# Notes in representation theory

Paul Martin

Dec 11, 2008 (printed: May 15, 2013)

# Contents

1	Inti	roduction	L
	1.1	Represen	tation theory preamble
		1.1.1 M	fatrices and groups
		1.1.2 G	roup representations
		1.1.3 U	nitary and normal representations
		1.1.4 G	roup algebras, rings and algebras
	1.2	Modules	and representations $\ldots \ldots \ldots \ldots \ldots \ldots \ldots$
		1.2.1 M	Iodules, simple modules and Jordan–Holder Theorem
		1.2.2 Id	leals, radicals, semisimplicities, and Artinian rings
		1.2.3 A	rtin–Wedderburn Theorem
		1.2.4 P	rojective modules over arbitrary rings
		1.2.5 St	tructure of Artinian rings
	1.3	Partition	algebras — a quick example
		1.3.1 D	efining an algebra: by structure constants
		1.3.2 D	efining an algebra: as a subalgebra
		1.3.3 E	xercises
	1.4	Small cat	tegories and categories
		1.4.1 Fr	unctors
			pecial objects and arrows
		1.4.3 Id	lempotents, Morita,
		1.4.4 A	side: tensor products
			unctor examples for module categories: globalisation
	1.5		representation theory
	1.6	Examples	S
			Indules and ideals for the partition algebra $P_n$
			Indules and ideals for $T_n$
		1.6.3 A	side on Res-functors (exactness etc)
			unctor examples for module categories: induction
			ack to $P_n$
			ack to $T_n^n$
			The decomposition matrices
			dds and ends
	1.7		pras
	1.8		ue problems

	1.9	Notes and references				44		
	1.10	Exercises				44		
		1.10.1 Radicals				45		
		1.10.2 What is categorical?				45		
<b>2</b>	Bas	sic definitions, notations and examples				47		
	2.1					47		
		2.1.1 Definition summary				47		
		2.1.2 Glossary				49		
	2.2	Elementary set theory notations and constructions				49		
		2.2.1 Functions				50		
		2.2.2 Composition of functions				50		
		2.2.3 Set partitions				51		
		2.2.4 Exercises				53		
	2.3	Basic tools: topology				53		
	2.4	Partial orders, lattices and graphs				54		
		2.4.1 Posets and lattices				54		
		2.4.2 Digraphs and graphs				55		
		2.4.3 Aside on quiver algebra characterisations of algebras				57		
		2.4.4 Aside on centraliser algebras				59		
		2.4.5 Notes and references				60		
3		Initial examples in representation theory 61						
	3.1	Initial examples in representation theory				61		
		3.1.1 The monoid $hom(\underline{2},\underline{2})$				61		
		3.1.2 The monoid $hom(\underline{3},\underline{3})$ and beyond				64		
		3.1.3 Quaternions				65		
4	D - 4	3-4:				c <del>z</del>		
4		dection groups and geometry				<b>67</b> 67		
	4.1	Some basic geometry						
		4.1.1 Affine spaces and simplicial complexes				67		
	4.0	4.1.2 Hyperplane geometry, polytopes etc				68		
	4.2	Reflections, hyperplanes and reflection groups				70		
		O The state of the				72		
		4.2.2 Coxeter systems and reflection groups by presentation				73		
	4.9	4.2.3 Some finite and hyperfinite examples and exercises				74		
	4.3	Reflection group chamber geometry				75 70		
		4.3.1 $S_n$ as a reflection group, permutahedra, etc				78		
	4.4	4.3.2 Cayley and dual graphs, Bruhat order				78		
	4.4	Coxeter/Parabolic systems $(W', W)$ and alcove geometry						
	4.5	Exercises and examples				80		
		4.5.1 Constructing $G_a(\mathcal{D}^-, \mathcal{D}_+)$ and $G_a(\mathcal{D}, \mathcal{D}_+)$ , and beyond				80		
		4.5.2 Right cosets of $\mathcal{D}_+$ in $\mathcal{D}^-$				82		
		4.5.3 On connections of reflection groups with representation theory				83		
	4.6	Combinatorics of Kazhdan–Lusztig polynomials				83		
		4.6.1 The recursion for polynomial array $P(W'/W)$				83		

		4.6.2 Example	85
		4.6.3 Alternative constructions: wall-alcove	89
	4.7	Young graph combinatorics	89
		4.7.1 Young diagrams and the Young lattice	89
	4.8	Young graph via alcove geometry on $\mathbb{Z}^{\mathbb{N}}$	91
		4.8.1 Nearest-neighbour graphs on $\mathbb{Z}^n$	92
			93
5	Bas	c Category Theory	97
Ū	5.1		97
	0.1		99
			01
		· - /	$01 \\ 01$
	5.2		$01 \\ 04$
	5.2	8	
	۲.0		05
	5.3		05
	٠.	v	06
	5.4		07
		5.4.1 Tensor/monoidal categories	07
6	Rin	gs in representation theory 10	)9
	6.1	Rings I	09
		6.1.1 Examples	09
		6.1.2 Properties of elements of a ring	10
	6.2	Ideals and homomorphisms $\dots \dots \dots$	12
		6.2.1 Ring homomorphisms	12
		6.2.2 Posets revisited	13
			13
			13
	6.3	-	14
		<u> </u>	$\frac{14}{14}$
			16
			18
		6.3.4 Idempotents over the p-adics	
7	D:n	g–modules	71
1		Ring-modules	
	1.1	<u> </u>	
	7.0		$\frac{21}{2}$
	7.2		$\frac{22}{2}$
		•	$\frac{22}{2}$
		±	$\frac{23}{2}$
			24
		9	25
	7.3		25
		1 0	26
		7.3.2 Composition series	28

		7.3.3 More on chains of modules and composition series
	7.4	Tensor product of ring-modules
		7.4.1 Examples
		7.4.2 <i>R</i> -lattices etc
	7.5	Functors on categories of modules
		7.5.1 Hom functors
		7.5.2 Tensor functors and tensor-hom adjointness
		7.5.3 Exact functors
	7.6	Simple modules, idempotents and projective modules
		7.6.1 Idempotents
		7.6.2 Projective modules
		7.6.3 Idempotent refinement
	7.7	Structure of an Artinian ring
	7.8	Homology, complexes and derived functors
	7.9	More on tensor products
	• • •	7.9.1 Induction and restriction functors
		7.9.2 Globalisation and localisation functors
	7 10	Morita equivalence
	0	none equivalence
8	Alge	ebras 145
	8.1	Algebras and A-modules
	8.2	Finite dimensional algebras over fields
		8.2.1 Dependence on the field
		8.2.2 Representation theory preliminaries
		8.2.3 Structure of a finite dimensional algebra over a field
	8.3	Cartan invariants (Draft)
		8.3.1 Examples
		8.3.2 Idempotent lifting revisited
		8.3.3 Brauer reciprocity
	8.4	Globalisation functors
		8.4.1 Globalisation functors and projective modules
		8.4.2 Brauer-modules in a Brauer-modular-system for $A \ldots 154$
	8.5	On Quasi-heredity — an axiomatic framework
		8.5.1 Definitions
		8.5.2 Consequences for $A - \text{mod}$
		8.5.3 Examples
	8.6	Notes and References
	8.7	More axiomatic frameworks
		8.7.1 Summary of Donkin on finite dimensional algebras
		8.7.2 Quasi-hereditary algebras
		8.7.3 Cellular algebras
	8.8	Forms, module morphisms and Gram matrices (Draft)
		8.8.1 Examples: contravariant forms

9	Basi	ic representation theory of the symmetric group	163
	9.1	Introduction	163
		9.1.1 Integer partitions, Young diagrams and the Young lattice	164
		9.1.2 Realisation of $S_n$ as a reflection group	164
	9.2	Representations of $S_n$ from the category <b>Set</b>	166
		9.2.1 Connection with Schur's work and Schur functors	167
		9.2.2 Idempotents and other elements in $\mathbb{Z}S_n$	169
		9.2.3 Young modules	170
		9.2.4 Specht modules	172
	9.3	Characteristic $p$ , Nakayama and the James abacus	173
	9.4	James–Murphy theory	174
		9.4.1 Murphy elements	176
	9.5	Young forms for $S_n$ irreducible representations	177
		9.5.1 Hooks, diamond pairs and the Young Forms for $S_n  cdots$	177
		9.5.2 Asides on geometry	179
	9.6	Outer product and related representations of $S_n$	179
	0.0	9.6.1 Multipartitions and their tableaux	179
		9.6.2 Actions of $S_n$ on tableaux	180
		9.6.3 Generalised hook lengths and geometry	182
		9.6.4 Connections to Lie theory and Yang–Baxter	182
	9.7	Outer products continued — classical cases	182
	0	9.7.1 Outer products over Young subgroups	182
		9.7.2 Outer products over wreath subgroups	183
		9.7.3 The Leduc–Ram–Wenzl representations	183
	9.8	Finite group generalities	183
	<i>3</i> .0	9.8.1 Characters	183
		J.O.1 Characters	100
10	The	Temperley–Lieb algebra	185
		Ordinary Hecke algebras in brief	185
		10.1.1 Geometric Braid groups	185
		10.1.2 Artin braid groups	186
		10.1.3 Braid group algebra quotients	187
		10.1.4 Bourbaki generic algebras	188
	10.2	Duality of Hecke algebras with quantum groups	188
	10.3	Representations of Hecke algebras	
		Temperley–Lieb algebras from Hecke algebras	
	_	10.4.1 Presentation of Temperley–Lieb algebras as Hecke quotients	
		10.4.2 Tensor space representations	
	10.5	Diagram categories	192
		10.5.1 Relation to quantum groups	192
	10.6	Temperley–Lieb diagram algebras	193
	_0.0	10.6.1 TL diagram notations and definitions	194
		10.6.2 Isomorphism with Temperley–Lieb algebras	195
		10.6.3 TL diagram counting	195
		10.6.4 Back to the TL isomorphism theorem	195
	10.7	Representations of Temperley–Lieb diagram algebras	195

		10.7.1 Tower approach: Preparation of small examples	197
	10.8	Idempotent subalgebras, $F$ and $G$ functors	199
			200
			201
			202
	10.9		203
			203
	10.1		$\frac{200}{204}$
		10.10.11 distoit of Tempericy Lieb discords	203
11	On	representations of the partition algebra	209
		-	209
			210
			210
		· · · · · · · · · · · · · · · · · · ·	211
			212
	11.2		213
		-	215
			215
	11.1	-	215
			216
			218
			218
			$\frac{210}{222}$
			$\frac{222}{223}$
	11 5		$\frac{220}{224}$
	11.0		$\frac{224}{224}$
		11.5.1 Notes on the Tale papers on the partition algebra	449
<b>12</b>	On	representations of the Brauer algebra	<b>22</b> 5
			225
			225
			226
			227
			228
	12.3		230
			232
	12.4		233
	12.1		233
			233
	12.5		234
			238
	12.0		238
		· ·	239 239
			239 239
			238 240
	19.7		$240 \\ 241$
	12.1	· ·	$\frac{241}{242}$
		14.7.1 DIOUND AND THE DIOUN GLAPIN $G_{\lambda}(\Lambda)$	444

		12.7.2 Embedding the vertex set of $G_{\delta}(\lambda)$ in $\mathbb{R}^{\mathbb{N}}$	242
		12.7.3 Reflection group action on $\mathbb{R}^{\mathbb{N}}$	243
		12.7.4 Decomposition data: Hypercubical decomposition graphs	
<b>13</b>		eral representation theory of the Brauer algebra	247
		Initial filtration of the left regular module	247
	13.2	Brauer $\Delta$ -modules	249
		13.2.1 Symmetric group Specht modules (a quick reminder)	249
		13.2.2 Brauer $\Delta$ -module constructions	249
		13.2.3 Brauer $\Delta$ -module examples	251
		13.2.4 Simple head conditions for $\Delta$ -modules	251
		13.2.5 Brauer algebra representations: The base cases	253
		13.2.6 The case $k \supseteq \mathbb{Q}$	253
	13.3	$\Delta$ -Filtration of projective modules	253
		13.3.1 Some character formulae	253
		13.3.2 General preliminaries	253
		13.3.3 A $\Delta$ -filtration theorem	254
		13.3.4 On simple modules, labelling and Brauer reciprocity	255
	13.4	Globalisation functors	256
		13.4.1 Preliminaries: $\otimes$ versus category composition	257
		13.4.2 <i>G</i> -functors	258
		13.4.3 Idempotent globalisation	260
		13.4.4 Simple head( $\Delta$ ) conditions revisited using G-functors	262
		13.4.5 Simple modules revisited using $G$ -functors	263
	13.5	Induction and restriction	264
	13.6	Characters and $\Delta$ -filtration factors over $\mathbb C$	265
		13.6.1 Aside on case $\delta = 0$	265
		13.6.2 The main case	266
		13.6.3 The <i>n</i> -independence of $(P(\lambda):\Delta(\mu))$	269
1 4	<b>a</b>		071
14		aplex representation theory of the Brauer algebra	271
	14.1	Blocks of $B_n(\delta)$	
		14.1.1 Blocks I: actions of central elements on modules	
	140	14.1.2 Easy Lemmas and the DWH Lemma	
	14.2	Blocks II: $\delta$ -balanced pairs of Young diagrams	
		14.2.1 Towards a constructive treatment: $\delta$ -charge and $\delta$ -skew	
		14.2.2 Sections and rims of Young diagrams	
		14.2.3 A constructive treatment: $\pi$ -rotations and $\delta$ -pairs	
		14.2.4 Conditions for a width-1 $\delta$ -skew to have a section	279
		14.2.5 Connections and properties of $\delta$ -skews and $\delta$ -pairs	281
	1.4.0	14.2.6 The graph $G_{\delta}(\lambda)$	283
		Brauer algebra $\Delta$ -module maps and block relations	284
	14.4	On the block graph $G_{\delta}(\lambda)$	284
		14.4.1 Embedding the vertex set of $G_{\delta}(\lambda)$ in $\mathbb{R}^{\mathbb{N}}$	285
		14.4.2 Reflection group $\mathcal{D}$ acting on $\mathbb{R}^{\mathbb{N}}$	286
		14.4.3 Constructing graph morphisms for $G_{\delta}(\lambda)$ : combinatorial approach	287

		14.4.4 The graph $G_{even}$	90
	14.5	Graph isomorphisms, via geometrical considerations	
		14.5.1 Dual graphs and alcove geometry	
		14.5.2 Group $\mathcal{D}$ action on $e_{\delta}(\Lambda)$	
		14.5.3 The graph isomorphism	
		14.5.4 Aside on alternative reflection group actions on $\Lambda$	
	14 6	The decomposition matrix theorem	
	11.0	14.6.1 Decomposition data: Hypercubical decomposition graphs	
		14.6.2 The main Theorem	
		14.6.3 Hypercubical decomposition graphs: examples	
		14.6.4 Hypercubical decomposition graphs: tools	
	14 7	Embedding properties of $\delta$ -blocks in $\Lambda$	
	11.,	14.7.1 The Relatively–regular–step Lemma	
		14.7.2 The Reflection Lemmas	
		14.7.3 The Embedding Theorem and the $\text{Proj}_{\lambda}\text{Ind}$ —functor	
		14.7.4 The generic projective lemma $\dots \dots \dots$	
		14.7.5 Properties of $\delta$ -pairs and rim-end removable boxes	
		14.7.6 The singularity lemma	
	14.8	Proof of The Decomposition Matrix Theorem	
	14.0	14.8.1 The generic inductive-step lemma	
		14.8.2 The rank-2 inductive-step lemma	
		14.8.3 Example for the rank-2 inductive step	
	14 9	Some remarks on the block graph	
	14.5	14.9.1 Yet more	
		14.0.1 100 more	10
<b>15</b>	Pro	perties of Brauer block graphs 32	27
		Kazhdan-Lusztig polynomials revisited	27
		15.1.1 Overview	27
		15.1.2 The recursion for $P(W'/W)$	27
	15.2	The reflection group action $\mathcal{D}$ on $\mathbb{R}^{\mathbb{N}}$	28
	15.3	Solving the polynomial recursion for $P(\mathcal{D}/\mathcal{D}_+)$	
		15.3.1 Hypercubes $h^a$ revisited	
		15.3.2 Kazhdan–Lusztig polynomials for $\mathcal{D}/\mathcal{D}_+$	30
	15.4	Related notes and open problems	33
		Block labelling weights	
		Changing $\delta$	35
16		re Brauer algebra modules 33	
		King's polynomials and other results	
		Connection between King polynomials and $D/A$ alcove geometry	
	16.3	Leduc–Ram representations of Brauer algebras and other results	
		16.3.1 Brauer diamonds	
		16.3.2 Leduc–Ram representations	
	10 1	16.3.3 Geometrical realisation	
		On 'untruncating' Leduc–Ram representations	
	16.5	Truncating Leduc–Ram representations (old version!)	<b>э</b> 4

CONTENTS	11

16.6 JOBS	355
17 Example: the Temperley–Lieb algebra again	357
17.1 More on categories of modules	357
17.1.1 More fun with $F$ and $G$ functors	357
17.1.2 Saturated towers	359
17.1.3 Quasi-heredity of planar diagram algebras	359
18 Lie groups	361
18.1 Introduction (to algebraic groups etc)	361
18.2 Preliminaries	
18.3 Lie group	364
18.3.1 Example: $SU(2)$ 'polynomial' representations	365
18.3.2 Lie algebra	

# Chapter 1

# Introduction

ch:basic

Chapters 1 and 2 give a brief introduction to representation theory, and a review of some of the basic algebra required in later Chapters. A more thorough grounding may be achieved by reading the works listed in §1.9: Notes and References.

Section 1.1 (upon which later chapters do not depend) attempts to provide a sketch overview of topics in the representation theory of finite dimensional algebras. In order to bootstrap this process, we use some terms without prior definition. We assume you know what a vector space is, and what a ring is (else see Section 2.1.1). For the rest, either you know them already, or you must intuit their meaning and wait for precise definitions until after the overview.

#### 1.1 Representation theory preamble

ss:matrices1

1.1.1

Matrices and groups

Let  $M_{m,n}(R)$  denote the additive group of  $m \times n$  matrices over a ring R, with additive identity  $0_{m,n}$ . Let  $M_n(R)$  denote the ring of  $n \times n$  matrices over R. Define a block diagonal composition (matrix direct sum)

(sometimes we write  $\oplus$  for matrix/exterior  $\oplus$  for disambiguation). Define Kronecker product

$$\otimes: M_{a,b}(R) \times M_{m,n}(R) \longrightarrow M_{am,bn}(R) \tag{1.1} \quad \boxed{ eq:kronecker12}$$
 
$$(A,B) \mapsto \begin{pmatrix} a_{11}B & a_{12}B & \dots \\ a_{21}B & a_{22}B & \dots \\ \vdots & & \end{pmatrix} \tag{1.2}$$

In general  $A \otimes B \neq B \otimes A$ , but (if R is commutative then) for each pair A, B there exists a pair of permutation matrices S, T such that  $S(A \otimes B) = (B \otimes A)T$  (if A, B square then T = S — the intertwiner of  $A \otimes B$  and  $B \otimes A$ ).

de:solvableg

(1.1.1) A group G is solvable if there is a chain of subgroups ...  $G_i \subset G_{i+1}$ ... such that  $G_i \leq G_{i+1}$ (normal subgroup) and  $G_{i+1}/G_i$  is abelian.

(1.1.2) EXAMPLE.  $(\mathbb{Z}, +)$  and  $S_3$  are solvable;  $S_5$  is not.

#### 1.1.2Group representations

de:rep

(1.1.3) A matrix representation of a group G over a commutative ring R is a map

$$\rho: G \to M_n(R) \tag{1.3}$$

such that  $\rho(g_1g_2) = \rho(g_1)\rho(g_2)$ . In other words it is a map from the group to a different system, which nonetheless respects the extra structure (of multiplication) in some way. The study of representations — models of the group and its structure — is a way to study the group itself.

(1.1.4) The map  $\rho$  above is an example of the notion of representation that generalises greatly. A mild generalisation is the representation theory of R-algebras that we shall discuss, but one could go further. Physics consists in various attempts to model or represent the observable world. In a model, Physical entities are abstracted, and their behaviour has an image in the behaviour of the model. We say we understand something when we have a model or representation of it mapping to something we understand (better), which does not wash out too much of the detailed behaviour.

de:repIII

(1.1.5) Representation theory itself seeks to classify and construct representations (of groups, or other systems). Let us try to be more explicit about this.

(I) Suppose  $\rho$  is as above, and let S be an arbitrary invertible element of  $M_n(R)$ . Then one immediately verifies that

$$\rho_S: G \to M_n(R)$$
 (1.4) [aaas] 
$$g \mapsto S\rho(g)S^{-1}$$
 (1.5)

$$g \mapsto S\rho(g)S^{-1} \tag{1.5}$$

is again a representation.

(II) If  $\rho'$  is another representation (by  $m \times m$  matrices, say) then

$$\rho \oplus \rho' : G \longrightarrow M_{m+n}(R) \tag{1.6}$$

$$q \mapsto \rho(q) \oplus \rho'(q)$$
 (1.7)

is yet another representation.

(III) For a finite group G let  $\{g_i : i = 1, ..., |G|\}$  be an ordering of the group elements. Each element g acts on G, written out as this list  $\{g_i\}$ , by multiplication from the left (say), to permute the list. That is, there is a permutation  $\sigma(g)$  such that  $gg_i = g_{\sigma(g)(i)}$ . This permutation can be recorded as a matrix,

$$\rho_{Reg}(g) = \sum_{i=1}^{|G|} \epsilon_{i \ \sigma(g)(i)}$$

(where  $\epsilon_{ij} \in M_{|G|}(R)$  is the i, j-elementary matrix) and one can check that these matrices form a representation, called the regular representation.

Clearly, then, there are unboundedly many representations of any group. However, these constructions also carry the seeds for an organisational scheme...

(1.1.6) Firstly, in light of the  $\rho_S$  construction, we only seek to classify representations up to isomorphism (i.e. up to equivalences of the form  $\rho \leftrightarrow \rho_S$ ).

Secondly, we can go further (in the same general direction), and give a cruder classification, by character. (While cruder, this classification is still organisationally very useful.) We can briefly explain this as follows.

Let  $c_G$  denote the set of classes of group G. A class function on G is a function that factors through the natural set map from G to the set  $c_G$ . Thus an R-valued class function is completely specified by a  $c_G$ -tuple of elements of R (that is, an element of the set of maps from  $c_G$  to R, denoted  $R^{c_G}$ ). For each representation  $\rho$  define a character map from G to R

$$\chi_{\rho}: G \rightarrow R$$
 (1.8)   
  $g \mapsto \operatorname{Tr}(\rho(g))$  (1.9)

eq:ch1

$$g \mapsto \operatorname{Tr}(\rho(g))$$
 (1.9)

(matrix trace). Note that this map is fixed up to isomorphism. Note also that this map is a class function. Fixing G and varying  $\rho$ , therefore, we may regard the character map instead as a map  $\chi_{-}$  from the collection of representations to the set of  $c_{G}$ -tuples of elements of R.

Note that pointwise addition equips  $R^{c_G}$  with the structure of abelian group. Thus, for example, the character of a sum of representations isomorphic to  $\rho$  lies in the subgroup generated by the character of  $\rho$ ; and  $\chi_{\rho \oplus \rho'} = \chi_{\rho} + \chi_{\rho'}$  and so on.

We can ask if there is a small set of representations whose characters 'N<sub>0</sub>-span' the image of the collection of representations in  $R^{c_G}$ . (We could even ask if such a set provides an R-basis for  $R^{c_G}$  (in case R a field, or in a suitably corresponding sense — see later). Note that  $|c_G|$  provides an upper bound on the size of such a set.)

(1.1.7) Next, conversely to the direct sum result, suppose  $R_1: G \to M_m(R), R_2: G \to M_n(R),$ and  $V: G \to M_{m,n}(R)$  are set maps, and that a set map  $\rho_{12}: G \to M_{m+n}(R)$  takes the form

$$\rho_{12}(g) = \begin{pmatrix} R_1(g) & V(g) \\ 0 & R_2(g) \end{pmatrix}$$
 (1.10) eq:plus

(a matrix of matrices). Then  $\rho_{12}$  a representation of G implies that both  $R_1$  and  $R_2$  are representations. Further,  $\chi_{\rho_{12}} = \chi_{R_1} + \chi_{R_2}$  (i.e. the character of  $\rho_{12}$  lies in the span of the characters of the smaller representations). Accordingly, if the isomorphism class of a representation contains an element that can be written in this way, we call the representation reducible.

(1.1.8) For a finite group over  $R = \mathbb{C}$  (say) we shall see later that there are only a finite set of 'irreducible' representations needed (up to equivalences of the form  $\rho \leftrightarrow \rho_S$ ) such that every representation can be built (again up to equivalence) as a direct sum of these; and that all of these irreducible representations appear as direct summands in the regular representation.

We have done a couple of things to simplify here. Passing to a field means that we can think of our matrices as recording linear transformations on a space with respect to some basis. To say that  $\rho$  is equivalent to a representation of the form  $\rho_{12}$  above is to say that this space has a G-subspace  $(R_1)$  is the representation associated to the subspace). A representation is irreducible if there is no such proper decomposition (up to equivalence). A representation is completely reducible if for every decomposition  $\rho_{12}(g)$  there is an equivalent identical to it except that V(g) = 0 — the direct

**Theorem** [Mashke] Let  $\rho$  be a representation of a finite group G over a field K. If the characteristic of K does not divide the order of G, then  $\rho$  is completely reducible.

Corollary Every complex irreducible representation of G is a direct summand of the regular representation.

Representation theory is more complicated in general than it is in the cases to which Mashke's Theorem applies, but the notion of irreducible representations as fundamental building blocks survives in a fair degree of generality. Thus the question arises:

Over a given R, what are the irreducible representations of G (up to  $\rho \leftrightarrow \rho_S$  equivalence)? There are other questions, but as far as physical applications (for example) are concerned, this is arguably the main interesting question.

(1.1.9) Examples: In this sense, of constructing irreducible representations, the representation theory of the symmetric groups  $S_n$  over  $\mathbb{C}$  is completely understood! (We shall review it.) On the other hand, over other fields we do not have even so much as a conjecture as to how to organise the statement of a conjecture! So there is work to be done.

#### 1.1.3 Unitary and normal representations

A complex representation  $\rho$  of a group G in which every  $\rho(g)$  is unitary is a unitary representation (see e.g. Boerner [11, III§6]). A representation equivalent to a unitary representation is normal.

(1.1.10) Theorem. Let G be a finite group. Every complex representation of G is normal. Every real representation of G is equivalent to a real orthogonal representation.

#### 1.1.4 Group algebras, rings and algebras

(1.1.11) For a set S, a map  $\psi: G \times S \to S$  (written  $\psi(g, s) = gs$  where no ambiguity arises) such that (gg')s = g(g's), equips S with the property of left G-set.

(1.1.12) For example, for a group (G, \*), then G itself is a left G-set by left multiplication:  $\psi(g, s) = g * s$ . (Cf. (1.1.5)(III).)

On the other hand, consider the map  $\psi_r: G \times G \to G$  given by  $\psi_r(g,s) = s * g$ . This obeys  $\psi_r(g*g',s) = s * (g*g') = (s*g) * g' = \psi_r(g',\psi_r(g,s))$ . This  $\psi_r$  makes G a right G-set.

The map 
$$\psi_-: G \times G \to G$$
 given by  $\psi_r(g,s) = g^{-1} * s$  obeys  $\psi_r(g * g',s) = (g * g')^{-1} * s = (g'^{-1} * g^{-1}) * s = g'^{-1} * (g^{-1} * s) = \psi_-(g',\psi_-(g,s))$ . This  $\psi_-$  makes  $G$  a right  $G$ -set.

(1.1.13) Remark: When working with R a field it is natural to view the matrix ring  $M_n(R)$  as the ring of linear transformations of vector space  $R^n$  expressed with respect to a given ordered basis. The equivalence  $\rho \leftrightarrow \rho_S$  corresponds to a change of basis, and so working up to equivalence corresponds to demoting the matrices themselves in favour of the underlying linear transformations (on  $R^n$ ). In this setting it is common to refer to the linear transformations by which G acts on  $R^n$  as the representation (and to spell out that the matrices are a matrix representation, regarded as arising from a choice of ordered basis).

Such an action of a group G on a set makes the set a G-set. However, given that  $\mathbb{R}^n$  is a set with extra structure (in this case, a vector space), it is a small step to want to try to take advantage of the extra structure.

(1.1.14) For example, continuing for the moment with R a field, we can define RG to be the R-vector space with basis G (see Exercise 1.10.1), and define a multiplication on RG by

$$\left(\sum_{i} r_{i} g_{i}\right) \left(\sum_{j} r'_{j} g_{j}\right) = \sum_{ij} (r_{i} r'_{j}) (g_{i} g_{j}) \tag{1.11} \quad \boxed{\text{groupalgmult}}$$

which makes RG a ring (see Exercise 1.10.2). One can quickly check that

$$\rho: RG \to M_n(R) \tag{1.12}$$

$$\sum_{i} r_{i} g_{i} \quad \mapsto \quad \sum_{i} r_{i} \rho(g_{i}) \tag{1.13}$$

extends a representation  $\rho$  of G to a representation of RG in the obvious sense. Superficially this construction is extending the use we already made of the multiplicative structure on  $M_n(R)$ , to make use not only of the additive structure, but also of the particular structure of 'scalar' multiplication (multiplication by an element of the centre), which plays no role in representing the group multiplication per se. The construction also makes sense at the G-set/vector space level, since linear transformations support the same extra structure.

de:RG-module

(1.1.15) The same formal construction of RG works when R is an arbitrary commutative ring (called the *ground ring*), except that RG is not then a vector space. Instead it is called (in respect of the vector-space-like aspect of its structure) a *free* R-module with basis G. The idea of matrix representation goes through unchanged. If one wants a generalisation of the notion of G-set for RG to act on, the additive structure is forced from the outset. This is called a (left) RG-module. This is, then, an abelian group (M, +) with a suitable action of RG defined on it: r(x + y) = rx + ry, (r + s)x = rx + sx, (rs)x = r(sx), 1x = x  $(r, s \in RG, x, y \in M)$ , just as the original vector space  $R^n$  was.

What is new at this level is that such a structure may not have a basis (a *free* module has a basis), and so may not correspond to any class of matrix representations.

(1.1.16) Exercise. Construct an RG-module without basis.

(Possible hints: 1. Consider  $R = \mathbb{Z}$ , G trivial, and look at §7.3. 2. Consider the ideal  $\langle 2, x \rangle$  in  $\mathbb{Z}[x]$ .

From this point the study of representation theory may be considered to include the study of both matrix representations and modules.

(1.1.17) What other kinds of systems can we consider representation theory for?

A natural place to start studying representation theory is in Physical modeling. Unfortunately we don't have scope for this in the present work, but we will generalise from groups at least as far as rings and algebras.

The generalisation from groups to group algebras RG over a commutative ring R is quite natural as we have seen. The most general setting within the ring-theory context would be the study of arbitrary ring homomorphisms from a given ring. However, if one wants to study this ring by studying its modules (the obvious generalisation of the RG-modules introduced above) then the parallel of the matrix representation theory above is the study of modules that are also free modules over the centre, or some subring of the centre. (For many rings this accesses only a very small part of their structure, but for many others it captures the main features. The property that every

module over a commutative ring is free holds if and only if the ring is a field, so this is our most accessible case. We shall motivate the restriction shortly.) This leads us to the study of *algebras*.

To introduce the general notion of an algebra, we first write cen(A) for the centre of a ring A

$$cen A = \{ a \in A \mid ab = ba \ \forall b \in A \}$$

de: alg1

(1.1.18) An algebra A (over a commutative ring R), or an R-algebra, is a ring A together with a homomorphism  $\psi: R \to \text{cen } (A)$ , such that  $\psi(1_R) = 1_A$ .

Examples: Any ring is a  $\mathbb{Z}$ -algebra. Any ring is an algebra over its centre. The group ring RG is an R-algebra by  $r \mapsto r1_G$ . The ring  $M_n(R)$  is an R-algebra.

Let  $\psi: R \to \text{cen}(A)$  be a homomorphism as above. We have a composition  $R \times A \to A$ :

$$(r,a) = ra = \psi(r)a$$

so that A is a left R-module with

$$r(ab) = (ra)b = a(rb) \tag{1.14}$$
 eq: alg12

Conversely any ring which is a left R-module with this property is an R-algebra.

(1.1.19) An R-representation of A is a homomorphism of R-algebras

$$\rho: A \to M_n(R)$$

(1.1.20) The study of RG depends heavily on R as well as G. The study of such R-algebras takes a relatively simple form when R is an algebraically closed field; and particularly so when that field is  $\mathbb{C}$ . We shall aim to focus on these cases. However there are significant technical advantages, even for such cases, in starting by considering the more general situation. Accordingly we shall need to know a little ring theory, even though general ring theory is not the object of our study.

Further, as we have said, neither applications nor aesthetics restrict attention to the study of representations of groups and their algebras. One is also interested in the representation theory of more general algebras.

## 1.2 Modules and representations

The study of algebra-modules and representations for an algebra over a field has some special features, but we start with some general properties of modules over an arbitrary ring R. (NB, this topic is covered in more detail in Chapter 7, and in our reference list  $\S 1.9$ .)

A module over an arbitrary ring R is defined exactly as for a module over a group ring — (1.1.15) (NB our ring R here has taken over from RG not the ground ring, so there is no requirement of commutativity).

We assume familiarity with exact sequences of modules. See Chapter 7, or say [74], for details.

#### 1.2.1 Modules, simple modules and Jordan–Holder Theorem

ex:ring001

(1.2.1) EXAMPLE. Consider the ring  $R = M_n(\mathbb{C})$ . This acts on the space  $M = M_{n,1}(\mathbb{C})$  of n-component column matrices by multiplication from the left. Thus M is a left R-module.

ex:ring01

(1.2.2) EXAMPLE. Consider the ring  $R = M_2(\mathbb{C}) \oplus M_3(\mathbb{C})$ . A general element in R takes the form

$$r = r_1 \oplus r_2 = \left( egin{array}{cc} a & b \\ c & d \end{array} 
ight) \oplus \left( egin{array}{cc} e & f & g \\ h & i & j \\ k & l & m \end{array} 
ight) \in R$$

For example,  $M = \mathbb{C}\{(1,0)^T, (0,1)^T\} = \{(x,y)^T \mid x,y \in \mathbb{C}\}$  is a left R-module with r acting by left-multiplication by  $r_1 = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ ;  $M'' = M_2(\mathbb{C})$  is a left module with r acting in the same way;  $M' = \{(s,t,u)^T \mid s,t,u \in \mathbb{C}\}$  is a left module with r acting by  $r_2$ ; and M'' is also a right module by right-multiplication by  $r_1$ .

Note that the subset of M'' of form  $\begin{pmatrix} x & 0 \\ y & 0 \end{pmatrix}$  is a left submodule.

(1.2.3) A left R-module (for R an arbitrary ring) is simple if it has no non-trivial submodules. (See §7.2 for more details.)

In Example 1.2.2 both M and M' are simple; while R is a left-module for itself which is not simple, and M'' is also not simple.

(1.2.4) Let M be a left R-module. A composition series for M is a sequence of submodules  $M = M_0 \supset M_1 \supset M_2 \supset ... \supset M_l = 0$  such that the section  $M_i/M_{i+1}$  is simple. The sections of a composition series for M (if such exists) are composition factors. Their multiplicities up to isomorphism are called composition multiplicities. Write (M : L) for the multiplicity of simple L.

th:JH

- (1.2.5) **Theorem.** (Jordan–Holder) Let M be a left R-module. (A) All composition series for M (if such exist) have the same factors up to permutation; and (B) the following are equivalent:
- (I) M has a composition series;
- (II) every ascending and descending chain of submodules of M stops (these two stopping conditions separately are known as ACC and DCC);
- (III) every sequence of submodules of M can be refined to a composition series.

Proof. See §7.3.2.

## 1.2.2 Ideals, radicals, semisimplicities, and Artinian rings

 ${\tt de:semisim}$ 

(1.2.6) A module M is semisimple if equal to the sum of its simple submodules.

de:ideal0

(1.2.7) A left ideal of R is a submodule of R regarded as a left-module for itself. A subset  $I \subset R$  that is both a left and right ideal is a (two-sided) ideal of R. A nil ideal of R is a (left/right/two-sided) ideal in which every element r is nilpotent (there is an  $n \in \mathbb{N}$  such that  $r^n = 0$ ). A nilpotent ideal of R is an ideal I for which there is an  $n \in \mathbb{N}$  such that  $I^n = 0$ . (So I nilpotent implies I nil.)

de:JacRad0

- (1.2.8) The Jacobsen radical of ring R is the intersection of its maximal left ideals.
- (1.2.9) Ring R itself is a semisimple ring if its Jacobsen radical vanishes.

Remark: This term is sometimes used for a ring that is semisimple as a left-module for itself. The two definitions coincide under certain conditions (but not always). See later.

de:lss

(1.2.10) For the moment we shall say that a ring R is *left-semisimple* if it is semisimple as a left-module  $_RR$  (cf. e.g. Adamson [2, §22]). There is then a corresponding notion of *right-semisimple*, however: Theorem. A ring is right-semisimple if and only if left-semisimple.

The next Theorem is not trivial to show:

THEOREM. The following are equivalent:

- (I) ring R is left-semisimple.
- (II) every module is semisimple (as in (1.2.6)).
- (III) every module is projective (every short exact sequence splits see also 1.2.29).
- (1.2.11) Theorem. The Jacobsen radical of ring R contains every nil ideal of R.

Remark: In general the Jacobsen radical is not necessarily a nil ideal. (But see Theorem 1.2.17.)

- (1.2.12) An element  $r \in R$  is quasiregular if  $1_R + r$  is a unit. The element  $r' = (1_R + r)^{-1} 1$  is then the quasiinverse of r. (See e.g. Faith [?].)
- (1.2.13) Theorem. If J is the Jacobsen radical of ring R and  $r \in J$  then r is quasiregular.
- (1.2.14) Ring R is Artinian (resp. Noetherian) if it has the DCC (resp. ACC, as in (1.2.5)) as a left and as a right module for itself.

th:fdalgebraa

(1.2.15) Example: Theorem. A finite dimensional algebra over a field is Artinian.

*Proof.* A left- (or right-)ideal here is a finite dimensional vector space. A proper subideal necessarily has lower dimension, so any sequence of strict inclusions terminates.  $\Box$ 

de:funny ring

th:nilrad0

(1.2.16) Aside: We say more about chain conditions in §7.3. Here we briefly show by an example that the left/right distinction is not vacuous (although, as the contrived nature of the example perhaps suggests, it will be largely irrelevant for us in practice). Consider the ring  $R_{\chi}$  of matrices of form  $\begin{pmatrix} q & 0 \\ x & y \end{pmatrix} \in \begin{pmatrix} \mathbb{Q} & 0 \\ \mathbb{R} & \mathbb{R} \end{pmatrix}$ . (Note that this is not an algebra over  $\mathbb{R}$  and is not a finite-dimensional algebra over  $\mathbb{Q}$ .) We claim that  $R_{\chi}$  is Artinian and Noetherian as a left module for itself. However we claim that there are an infinite chain of right-submodules of R as a right-module for itself between  $\begin{pmatrix} 0 & 0 \\ \mathbb{Q} & 0 \end{pmatrix}$  and  $\begin{pmatrix} 0 & 0 \\ \mathbb{R} & 0 \end{pmatrix}$ . Thus  $R_{\chi}$  is left Artinian but not right Artinian.

To prove the left-module claims one can show that all possible candidates are  $\mathbb{R}$ -vector spaces, and finite dimensional. To prove the infinite chain claim, recall that one can form a set of infinitely many  $\mathbb{Q}$ -linearly-independent elements in  $\mathbb{R}$  (else  $\mathbb{R}$  is countable!). Order the beginning of this set as  $B_n = \{1, b_1, b_2, ..., b_n\}$  (we have taken the first element as 1 WLOG), for n = 0, 1, 2, ... We have  $\mathbb{Q}B_0 = \mathbb{Q}$  and  $\mathbb{Q}B_n \subset \mathbb{Q}B_{n+1}$  for all n, thus an infinite ascending chain. On the other hand there is an inverse limit B of the sequence  $B_n$  contained in  $\mathbb{R}$  (perhaps this requires Zorn's Lemma/the axiom of choice!), so we can define a sequence  $B^n$  by eliminating 1 then  $b_1$  and so on from  $B = B^0$ , giving an infinite descending chain  $\mathbb{Q}B^n \supset \mathbb{Q}B^{n+1}$ .

(1.2.17) THEOREM. If ring R Artinian then the Jacobsen radical is the maximal two-sided nilpotent ideal of R (i.e. it is nilpotent and contains all other nilpotent ideals).

(1.2.18) THEOREM. If ring R Artinian then ideal I nil implies I nilpotent.  $\blacksquare$ 

(1.2.19) THEOREM. If a ring is left-semisimple (as in 1.2.10) then it is (left and right) Artinian and left Noetherian, and is semisimple (i.e. has radical zero). ■(See e.g. [2, Th.22.2].)

 $<sup>^1\</sup>mathrm{We}$  shall use  $\blacksquare$  to mean that the proof is left as an exercise.

#### 1.2.3 Artin-Wedderburn Theorem

lem:Schur

(1.2.20) **Theorem.** (Schur's Lemma) Suppose M, M' are nonisomorphic simple R modules. Then the ring  $hom_R(M, M)$  of R-module homomorphisms from M to itself is a division ring; and  $hom_R(M, M') = 0$ .

*Proof.* (See also 7.2.11.) Let  $f \in \text{hom}_R(M, M)$ . M simple implies  $\ker f = 0$  and  $\operatorname{im} f = M$  or 0, so f nonzero is a bijection and hence has an inverse. Now let  $g \in \text{hom}_R(M, M')$ . M simple implies  $\ker g = 0$  and M' simple implies  $\operatorname{im} g = M = M'$  or zero, so g = 0.  $\square$ 

ex:ring01a

(1.2.21) EXAMPLE. Let us return to ring R and module M from Example 1.2.2. In this case  $\hom_R(M,M) \subset \hom_{\mathbb{C}}(M,M)$ , and  $\hom_{\mathbb{C}}(M,M)$  is all  $\mathbb{C}$ -linear transformations, so realised by  $M_2(\mathbb{C})$  in the given basis. We see that  $\hom_R(M,M)$  is the subset that commute with the action of R. This is the centre of  $M_2(\mathbb{C})$ , which is  $\mathbb{C}1_2$ , which is isomorphic to  $\mathbb{C}$ .

On the other hand, hom(M, M') is realised by matrices  $\tau \in M_{3,2}(\mathbb{C})$ :

$$\left(\begin{array}{cc} \cdot & \cdot \\ \cdot & \cdot \\ \cdot & \cdot \end{array}\right) \left(\begin{array}{c} x \\ y \end{array}\right) = \left(\begin{array}{c} \cdot \\ \cdot \\ \cdot \end{array}\right)$$

Here in  $hom_R(M, M')$  we look for matrices  $\tau$  such that

$$\left(\begin{array}{cc} \cdot & \cdot \\ \cdot & \cdot \\ \cdot & \cdot \end{array}\right) r \left(\begin{array}{c} x \\ y \end{array}\right) = r \left(\begin{array}{cc} \cdot & \cdot \\ \cdot & \cdot \\ \cdot & \cdot \end{array}\right) \left(\begin{array}{c} x \\ y \end{array}\right)$$

for all r, that is

$$\left(\begin{array}{cc} \cdot & \cdot \\ \cdot & \cdot \\ \cdot & \cdot \\ \cdot & \cdot \end{array}\right) \left(\begin{array}{cc} a & b \\ c & d \end{array}\right) \left(\begin{array}{c} x \\ y \end{array}\right) = \left(\begin{array}{cc} e & f & g \\ \cdots & & \\ & m \end{array}\right) \left(\begin{array}{cc} \cdot & \cdot \\ \cdot & \cdot \\ \cdot & \cdot \end{array}\right) \left(\begin{array}{c} x \\ y \end{array}\right)$$

but since a, b, c, d, e, ..., m may be varied independently we must have  $\tau = 0$ .

(1.2.22) REMARK. Cf. the occurrence of the division ring in the general proof with the details in our example. We can consider the occurrence of the division ring in Schur's Lemma as one of the main reasons for studying division rings alongside fields.

de:ringdirectsum

(1.2.23) Suppose that ring R has a decomposition of 1 into orthogonal central idempotents:  $1 = \sum_{i} e_{i}$ . Then each  $R_{i} = Re_{i}$  is an ideal of R and a ring with identity  $e_{i}$ . In this case we say that R is a ring direct sum of the rings  $R_{i}$ , and write  $R = \bigoplus_{i} R_{i}$ . (Note that this is consistent with Example (1.2.2).)

th:AWI

(1.2.24) **Theorem.** (Artin-Wedderburn) Suppose R is semisimple and Artinian. Then R is a direct sum of rings of form  $M_{n_i}(R_i)$  (i = 1, 2, ..., l, some l) where each  $R_i$  is a division ring.

*Proof.* Exercise. (See also  $\S7.3$  or e.g. Benson [7, Th.1.3.5].)

(1.2.25) Suppose M', M'' submodules of R-module M. They span M if M' + M'' = M; and are independent if  $M' \cap M'' = 0$ . If they are both independent and spanning we write

$$M = M' \oplus M''$$

((module) direct sum). A module is indecomposable if it has no proper direct sum decomposition.

(1.2.26) EXAMPLE. Suppose  $e^2 = e \in R$ , then

$$Re \oplus R(1-e) = R$$
 (1.15) eq:projid1

as left-module.

*Proof.* For  $r \in R$ , r = re + r(1 - e) so Re + R(1 - e) = R; and  $re \in R(1 - e)$  implies re = re(1 - e) = 0.

Krull

(1.2.27) **Theorem.** (Krull–Schmidt) If R is Artinian then as a left-module for itself it is a finite direct sum of indecomposable modules; and any two such decompositions may be ordered so that the i-th summands are isomorphic.

Proof. Exercise. (See also §7.3.2.)

#### 1.2.4 Projective modules over arbitrary rings

ss:proj0001

(1.2.28) If  $x: M \to M'$ ,  $x': M' \to M$  are R-module homomorphisms such that  $x \circ x' = 1_{M'}$  then x is a split surjection (and x' a split injection).

de:iproj

(1.2.29) An *R*-module is *projective* if it is a direct summand of a free module (an *R*-module with a linearly independent generating set).

(1.2.30) Example.  $e^2 = e \in R$  implies left-module Re projective, since it is a direct summand of free module R, by (1.15).

th:proj intro

#### (1.2.31) **Theorem.** TFAE

- (I) R-module P is projective;
- (II) whenever there is an R-module surjection  $x: M \to M'$  and a map  $y: P \to M'$  then there is a map  $z: P \to M$  such that  $x \circ z = y$ ;
- (III) every R-module surjection  $t: M \to P$  splits.

*Proof.* Exercise. (See also §7.6.)

#### 1.2.5 Structure of Artinian rings

structArtinian1

th:ASTI

(1.2.32) If R is Artinian and  $J_R$  its radical then  $R/J_R$  is semisimple so by (1.2.24):

$$R/J_R = \bigoplus_{i \in l(R)} M_{n_i}(R_i)$$

for some set l(R), numbers  $n_i$  and division rings  $R_i$ . There is a simple  $R/J_R$ -module  $(L_i \text{ say})$  for each factor, so that as a left module

$$R/J_R \cong \bigoplus_i n_i L_i$$

(i.e.  $n_i$  copies of  $L_i$ ). There is a corresponding decomposition of 1 in  $R/J_R$ :

$$1 = \sum_{i} e_i$$

into orthogonal idempotents. One may find corresponding idempotents in R itself (see later) so that  $1 = \sum_i e'_i$  there. This gives left module decomposition

$$R = \bigoplus_{i} n_i P_i$$

where (by (1.2.27)) the  $P_i$ s are a complete set of indecomposable projective modules up to isomorphism.

(See also §7.7.)

th:ASTIcaveat

(1.2.33) Caveat: Note that the above does not say, for an k-algebra over a field, that dim  $L_i = n_i$ . For example, the  $\mathbb{Q}$ -algebra  $A = \mathbb{Q}\{1, x\}/(x^2 - 2)$  is a simple module for itself of dimension 2. That is, Artin–Wedderburn here is rather trivial:  $A = M_1(A)$ . A sufficient condition for dim  $L_i = n_i$  is that k is algebraically closed.

de:fund inv

(1.2.34) Given an Artinian algebra R (let us say specifically a finite dimensional algebra over an algebraically closed field k, so that each  $R_i = k$ ), we are called on

(A0) to determine a suitable indexing set l(R),

(AI) to compute the fundamental invariants  $\{n_i : i \in l(R)\},\$ 

(AII) to give a construction of the modules  $L_i$ ,

(AIII) to compute composition multiplicities for the modules  $P_i$ ,

(AIV) to compute Jordan-Holder series for the modules  $P_i$ .

## 1.3 Partition algebras — a quick example

ss:pa0001

Just so that we can have a glimpse of what is coming up, we use the partition algebra to generate some examples. The objective can be considered to be determining the data (A0-III) from (1.2.34) for various Artinian algebras. (The aim is to illustrate various tools for doing this kind of thing.) We follow directly the argument in [86].

We start by very briefly recalling the partition algebra construction but, essentially, we assume for now that you know the definition and some notations for the partition algebras (else see §2.2.3 and §11, or [86]).

Implicit in this section are a number of exercises, requiring the proof of the various claims.

#### 1.3.1 Defining an algebra: by structure constants

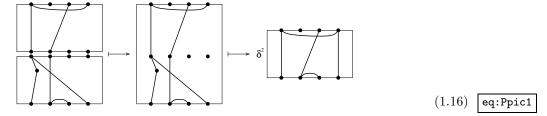
Given a commutative ring k, how do we define an algebra over k? One way is to give a basis and the 'structure constants' — the multiplication rule on this basis (a group algebra for a given group is a very simple example of this).

Fix a commutative ring k, and  $\delta \in k$ . For S a set,  $\mathsf{P}_S$  is the set of partitions of S. Let  $n, m \in \mathbb{N}$ . Define  $N(n,m) = \{1,2,...,n,1',2',...,m'\}$ . Recall that the partition algebra  $P_n = P_n(\delta)$  over k is an algebra with a basis  $\mathsf{P}_{N(n,n)}$ . Thus the rank as a free k-module is the Bell number  $B_{2n}$ . In particular if k is a field then  $P_n$  is Artinian.

We may draw a partition of N(n,m) as an (n,m)-graph. An (n,m)-graph is a drawing of a graph in a box with vertex set including N(n,m) on the frame — unprimed 1,2,...,n left-to-right on the northern edge; primed 1',2',...,m' on the southern. That is, if d is such a graph, then  $\pi_{n,m}(d) \in P_{N(n,m)}$  is the partition with  $i,j \in N(n,m)$  in the same part if they are in the same

connected component in d. Any d such that  $\pi_{n,n}(d) = p$ , and such that every vertex is in a connected component with an element of N(n,n), serves as a picture of p.

A one-picture summary (!) of the  $P_n$  diagram calculus (composition of partitions defined via concatenating diagrams) is:



Note that a connected component in such a graph is *internal* if it has vertices on neither external edge; and that a graph d with l internal components denotes an element  $\delta^l \pi_{n,n}(d)$  of  $P_n$ .

de:sideby

(1.3.1) Given a partition p of some subset of N(n,n), take  $p^*$  to be the image under toggling the prime. Thus, setting  $\mathbf{v} = \{\{1\}\}$  we have  $\mathbf{v}^* = \{\{1'\}\}$ . Set  $1 = \{\{1,1'\}\}$ ,  $\mathbf{u} = \{\{1\},\{1'\}\}$ ,  $\cup = \{\{1,2\}\}$ ,  $\Gamma = \{\{1,2,1'\}\}$ ,  $\square = \{\{1,2,1',2'\}\}$ , and  $\sigma = \{\{1,2'\},\{2,1'\}\}$ . Define partition  $p_1 \otimes p_2$  by side-by-side concatenation of diagrams (and hence renumbering the  $p_2$  factor as appropriate). We have

$$u = v \otimes v^*$$

For given n we define  $u_i \in P_{N(n,n)}$  by

$$\mathsf{u}_1 := \mathsf{u} \otimes 1 \otimes 1 \otimes \ldots \otimes 1, \qquad \mathsf{u}_2 := 1 \otimes \mathsf{u} \otimes 1 \otimes \ldots \otimes 1, \qquad \text{ and so on.}$$

de:pnotations

(1.3.2) Let  $P_{n,m} := P_{N(n,m)}$ . We say a part in  $p \in P_{n,m}$  is *propagating* if it contains both primed and unprimed elements. Write  $P_{n,l,m}$  for the subset of  $P_{n,m}$  with l propagating parts; and  $P_{n,m}^l$  for the subset of  $P_{n,m}$  with at most l propagating parts. Thus

$$\mathsf{P}_{n,m}^l = \sqcup_{l=0}^l \mathsf{P}_{n,l,m}$$
 and  $\mathsf{P}_{n,m} = \sqcup_{l=0}^n \mathsf{P}_{n,l,m}$ .

E.g. 
$$P_{2,2,2} = \{1 \otimes 1, \sigma\}, P_{2,1,1} = \{v \otimes 1, 1 \otimes v, \Gamma\}, P_{2,0,0} = \{v \otimes v, \cup\} \text{ and } \{v \otimes v, \cup\}$$

$$P_{2,1,2} = P_{2,1,1}P_{1,1,2} = \{u \otimes 1, 1 \otimes u, v \otimes 1 \otimes v^*, v^* \otimes 1 \otimes v, \Gamma\Gamma^*, \dots \}.$$

Note that  $P_{n,n,n}$  spans a multiplicative subgroup:

$$\mathsf{P}_{n,n,n} \cong S_n \tag{1.17}$$

(1.3.3) We have  $P_0 \cong k$ ,  $P_1 = k\{1, u\}$  and

$$P_2 = k(\mathsf{P}_{2,2,2} \cup \mathsf{P}_{2,1,2} \cup \mathsf{P}_{2,0,2}) = k(\mathsf{P}_{2,2,2} \cup \mathsf{P}_{2,1,2} \cup \{ \cup \otimes \cup^*, (\mathsf{v} \otimes \mathsf{v}) \otimes \cup^*, (\mathsf{v} \otimes \mathsf{v})^* \otimes \cup, \mathsf{u} \otimes \mathsf{u} \}).$$

We have  $u^2 = \delta u$  (but see Ch.11 for the definition of the algebra/category composition) and  $v^*v = \delta\emptyset$  and  $vv^* = u$ .

### 1.3.2 Defining an algebra: as a subalgebra

(1.3.4) We will also use the subalgebra  $T_n = T_n(\delta)$  of  $P_n$  with basis  $\mathsf{T}_{n,n} \subset \mathsf{P}_{n,n}$  of non-crossing pair partitions, such as  $\mathsf{e} := \cup \otimes \cup^*$  (following [83, §9.5]).

(1.3.5) EXERCISE. Show that there is such a subalgebra. And also a subalgebra with a basis of arbitrary pair-partitions.

(1.3.6) REMARK. Historically the subalgebra of  $P_n$  with basis of pair-partitions comes first [13] — the Brauer algebra  $B_n$ . We look at this in §?? et seq.

#### 1.3.3 Exercises

(1.3.7) Proposition. Assuming  $\delta$  a unit,

$$P_{n-1} \cong \mathsf{u}_1 P_n \mathsf{u}_1 \tag{1.18}$$

 $P_n/P_n\mathsf{u}_1P_n\cong kS_n. \tag{1.19} \quad \boxed{\text{eq:PPUPx}}$ 

Our idea is to determine the representation theory of  $P_n$  (over a suitable algebraically closed field k) inductively from that of  $P_m$  for m < n, using (1.18). To this end we need to connect the two algebras.

(1.3.8) Proposition. Assuming  $\delta$  a unit,

$$T_{n-2} \cong \mathsf{e}_1 T_n \mathsf{e}_1$$
 (1.20)  $\mathsf{eq:UTU2}$ 

$$T_n/T_n \mathbf{e}_1 T_n \cong k$$
 (1.21) eq:TTeT1

## 1.4 Small categories and categories

ss:cat0001

See §5.1 for more details. Categories are useful from at least two different perspectives in representation theory. One is in the idea of de-emphasising modules in favour of the (existence of) morphisms between them. Another is in embedding our algebraic structures (our objects of study) in yet more general settings.

A small category is a triple  $(A, A(-, -), \circ)$  consisting of a set A (of 'objects'); and for each element  $(a, b) \in A \times A$  a set A(a, b) (of 'arrows'); and for each element  $(a, b, c) \in A^{\times 3}$  a composition:  $A(a, b) \times A(b, c) \to A(a, c)$ , satisfying associativity and identity conditions (for each a there is a  $1_a$  in A(a, a) such that  $1_a \circ f = f = f \circ 1_b$  whenever these make sense).

(A category is a similar structure allowing larger classes of objects and arrows.)

- (1.4.1) Example: A monoid is a category with one object.
- (1.4.2) Example:  $A = \mathbb{N}$  and A(m, n) is  $m \times n$  matrices over a ring R.
- (1.4.3) Example: A is a set of R-modules and A(M, N) is the set of R-module homomorphisms from M to N. (The category R-mod is the category of all R-modules.)
- (1.4.4) The product in (1.16) generalises to a category P in an obvious way, with object set  $\mathbb{N}_0$ . There is a corresponding T subcategory.

#### 1.4.1 Functors

(1.4.5) A functor is a map between (small) categories that preserves composition and identities.

de:functoreg0001

(1.4.6) Example: (I) If R is a ring and  $e^2 = e \in R$  then there is a map  $F_e : R - \text{mod} \to eRe - \text{mod}$  given by  $M \mapsto eM$  that extends to a functor.

de:homfunctintro

(1.4.7) (II) If R is a ring and N a left R-module then there is a map  $\operatorname{Hom}(N,-): R$ -mod  $\to \mathbb{Z}$ -mod given by  $M \mapsto \operatorname{Hom}(N,M)$ . This extends to a functor by  $L \xrightarrow{f} M \mapsto (N \xrightarrow{g} L \mapsto N \xrightarrow{f \circ g} M)$ .

de:homfunctproj

(1.4.8) The functor  $\operatorname{Hom}(N,-)$  has some nice properties. Consider a not-necessarily short-exact sequence  $0 \longrightarrow M' \stackrel{\mu}{\longrightarrow} M \stackrel{\nu}{\longrightarrow} M'' \longrightarrow 0$  and its not-necessarily exact image

$$0 \longrightarrow \operatorname{Hom}(N, M') \stackrel{\mu_N = \operatorname{Hom}(N, \mu)}{\longrightarrow} \operatorname{Hom}(N, M) \stackrel{\nu_N = \operatorname{Hom}(N, \nu)}{\longrightarrow} \operatorname{Hom}(N, M'') \longrightarrow 0.$$

$$N \stackrel{f}{\longrightarrow} M' \longrightarrow N \stackrel{\mu \circ f}{\longrightarrow} M$$

We can ask (i) if exactness at M' implies  $\ker \mu_N = 0$ ; (ii) if exactness at M implies  $\operatorname{im} \mu_N = \ker \nu_N$ ; (ii') if  $\nu \circ \mu = 0$  implies  $\nu_N \circ \mu_N = 0$ ; (iii) if exactness at M'' implies  $\operatorname{im} \nu_N = \operatorname{Hom}(N, M'')$ ?

- (i) Since  $\mu$  injective,  $\mu \circ f = \mu \circ g$  implies f = g. But then  $\mu \circ f = 0$  implies f = 0, so  $\ker \mu_N = 0$ .
- (ii) See (7.5.6). (The answer if yes if exact at M' and M.)
- (ii')  $\operatorname{Hom}(N, \nu) \circ \operatorname{Hom}(N, \mu) = \operatorname{Hom}(N, \nu \circ \mu) = 0.$
- (iii) This does not hold in general. However if N is projective then by Th.1.2.31(II), given exactness at M'', every  $\gamma \in \operatorname{Hom}(N, M'')$  can be expressed  $\nu \circ g$  for some  $g \in \operatorname{Hom}(P, M)$ , so then (iii) holds.

We will give some more examples shortly — see e.g. (1.4.9).

ex:functy

(1.4.9) Let  $\psi: A \to B$  be an map of algebras over k. We define functor

$$\operatorname{Res}_{\psi}: B - \operatorname{mod} \to A - \operatorname{mod}$$

by  $\operatorname{Res}_{\psi} M = M$ , with action of  $a \in A$  given by  $am = \psi(a)m$  for  $m \in M$ ; and by  $\operatorname{Res}_{\psi} f = f$  for  $f: M \to N$ .

We need to check that  $\operatorname{Res}_{\psi}$  extends to a well-defined functor, i.e. that every B-module map  $f:M\to N$  is also an A-module map. We have bf(m)=f(bm) for  $b\in B$  and  $m\in M$ . Consider  $af(m)=\psi(a)f(m)=f(\psi(a)m)$ , where the second identity holds since  $\psi(a)\in B$ . Finally  $f(\psi(a)m)=f(am)$  and we are done.

See §1.6.3 for properties of  $\operatorname{Res}_{\psi}$ .

### 1.4.2 Special objects and arrows

(1.4.10) An arrow f is epi if gf = g'f implies g = g' (see e.g. Mitchell [?]).

Given a category  $\mathcal{A}$  we write  $A \stackrel{f}{\twoheadrightarrow} B$  if f is epi.

(1.4.11) An arrow f is mono if fg = fg' implies g = g'.

Given a category  $\mathcal{A}$  we write  $A \stackrel{f}{\hookrightarrow} B$  if f is mono.

If  $A \stackrel{f}{\hookrightarrow} B$  then we say A is a *subobject* of B.

(1.4.12) Next we should define the notions of isomorphism; isomorphic subobject; and balanced category.

de:projincat1

(1.4.13) An object P is projective if for every  $P \xrightarrow{h} B$  and  $A \xrightarrow{f} B$  then h = ff' for some  $P \xrightarrow{f'} A$ . (Cf. (1.2.31)(II).)

(1.4.14) A category  $\mathcal{A}$  has enough projectives if there is an  $P \stackrel{f}{\twoheadrightarrow} A$ , with P projective, for each object A.

de:zeroobject

(1.4.15) An object O in category  $\mathcal{A}$  is a zero object if every  $\mathcal{A}(M,O)$  and  $\mathcal{A}(O,M)$  contains a single element.

If there is a unique zero object we denote it 0. In this case we also write  $M \xrightarrow{0} 0$  and  $0 \xrightarrow{0} M$  for all the 'zero-arrows' (even though they are distinct); and  $M \xrightarrow{0} N$  for the arrow that factors through 0.

de:kernelI

 $({\bf 1.4.16})$  Here we suppose that  ${\mathcal A}$  has a unique zero-object.

A prekernel of  $A \xrightarrow{f} B$  is any pair  $(K, K \xrightarrow{k} A)$  such that fk = 0.

A kernel of  $A \xrightarrow{f} B$  is a prekernel  $(K, K \xrightarrow{k} A)$  such that if  $(K', K' \xrightarrow{k'} A)$  is another prekernel then there is a unique  $K' \xrightarrow{g} K$  such that kg = k'.

(1.4.17) Note that if  $(K, K \xrightarrow{k} A)$  is a kernel of f then k is mono, and K is an isomorphic suboject of A to every other kernel object of f (see later).

Exercise: consider the existence and uniqueness of kernels.

(1.4.18) Next we should define normal categories and exact categories; define exact sequences.
—FINISH THIS SECTION!!!—

(1.4.19) A category of modules has a lot of extra structure and special properties compared to a generic category (see Freyd [45] or §?? for details). For example: (EI) The arrow set  $A(M,N) = \operatorname{Hom}(M,N)$  is an abelian group; composition of arrows is bilinear. (An additive functor between such categories respects this extra structure.) (SII) There is a unique object 0 such that  $\operatorname{Hom}(M,0) \cong \operatorname{Hom}(0,M) \cong \{0\}$  for all M (by  $0:M \to 0$  we mean this zero-arrow — an abuse of notation!). (SIII) Given objects M,N there is a categorical notion of an object  $M \oplus N$ , and these objects exist. (SIV) There is a function  $\ker$  associating to each arrow  $f \in \operatorname{Hom}(M,N)$  an object  $K_f$  and an arrow  $k_f \in \operatorname{Hom}(K_f,A)$  such that  $f \circ k_f = 0$  (in the sense above), and  $(K_f, k_f)$  is in a suitable sense universal (see later).

This extra structure is useful, and warrants the treatment of module categories almost separately from generic categories. This raises the question of what aspects of representation theory are 'categorical' — i.e. detectable from looking at the category alone, without probing the objects and arrows as modules and module morphisms per se.

For example, the property of projectivity is categorical. (Exercise. Hint: consider  $\operatorname{Hom}(P,-)$  and short exact sequences.) The property of an object being a set is not categorical (although this concreteness is a safe working assumption for module categories, fine details of the nature of this set are certainly not categorical).

(1.4.20) Two categories are equivalent if there are functors between them whose composite is in a suitable sense isomorphic to the identity functor. We talk about making this precise later. For now we will rather aim to build some illustrative examples.

de:adjointI

(1.4.21) Consider functors  $C =_G^F C'$ . Then (F, G) is an *adjoint pair* if for each suitable object pair M, N there are natural bijections  $\text{Hom}(FM, N) \mapsto \text{Hom}(M, GN)$ .

## ss:xxid

#### 1.4.3 Idempotents, Morita, ...

We started by thinking about matrix representations of groups, and this has led us naturally to consider modules over algebras. Two components of this progression have been (i) the passage to natural new algebraic structures (from groups to rings to algebras) on which to study representation theory; and (ii) the organisation of representations into equivalence classes (de-emphasising the basis). Representation theory studies algebras by studying the structure preserving maps between algebras (a map from the algebra under study to a known algebra gives us the modules for the known algebra as modules for the new algebra). We could go further and de-emphasise the modules in favour of the maps between them. This is one route into using 'category theory'.

(1.4.22) Let A be an algebra over k and  $e^2 = e \in A$ . The Peirce decomposition (or Pierce decomposition! [30, 32, §6]) of A is

$$A = eAe \oplus (1 - e)Ae \oplus eA(1 - e) \oplus (1 - e)A(1 - e)$$

or

$$A = \bigoplus_{i,j} e_i A e_j$$

where  $e_1 = e$  and  $e_2 = 1 - e$ . (Question: What algebraic structures are being identified here? This is an identification of vector spaces; but the algebra multiplication is also respected. On the other hand not every summand on the right is unital.)

This decomposition is non-trivial if 1 = e + (1 - e) is a non-trivial decomposition. Set  $A(i,j) = e_i A e_j$ . These components are not-necessarily-unital 'algebras', and non-unit-preserving subalgebras of A. The cases A(i,i) are unital, with identity  $e_i$ .

Can we study A by studying the algebras A(i, i)?

(1.4.23) EXAMPLE. Consider  $M_3(\mathbb{C})$  and the idempotent  $e_{11} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$ . We have the corresponding vector space decomposition (not confusing  $\oplus$  with  $\oplus$ )

$$\begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix} = \begin{pmatrix} a_{11} & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \oplus \begin{pmatrix} 0 & a_{12} & a_{13} \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \oplus \begin{pmatrix} 0 & 0 & 0 \\ a_{21} & 0 & 0 \\ a_{31} & 0 & 0 \end{pmatrix} \oplus \begin{pmatrix} 0 & 0 & 0 \\ 0 & a_{22} & a_{23} \\ 0 & a_{32} & a_{33} \end{pmatrix}$$

(which is not necessarily a particularly interesting decomposition, but see later).

(1.4.24) If we can further decompose e into orthogonal idempotents then there is a corresponding further Peirce decomposition. This decomposition process terminates when some  $e = e_{\pi}$  has no decomposition in A (it is 'primitive'). What special properties does  $e_{\pi}Ae_{\pi}$  have then?

(1.4.25) An orthogonal decomposition of 1 into primitive idempotents is called a 'complete' orthogonal decomposition.

For examples see §8.3.1.

(1.4.26) Aside: Let  $1 = \sum_{i \in H} e_i$  be an orthogonal idempotent decomposition, and extend the definition of A(i,j) to this case. Note that we have a composition  $A(i,j) \times A(k,l) \to A(i,l)$  given

by  $a \circ b = ab$  in A. But in particular ab = 0 unless j = k. Thinking along these lines we see that the orthogonal idempotent decomposition of  $1 \in A$  gives rise to a category (see §1.4,§5.1) 'hiding' in A. The category is  $A_H = (H, A(i, j), \circ)$ .

th:eRe-Re1

(1.4.27) THEOREM. If a ring R is left or right Artinian then it has a complete orthogonal idempotent decomposition of 1,  $1 = \sum_i e_i$  say, with  $e_i R e_i$  a local ring.

If  $e_iRe_i$  is local then  $e_i$  is primitive and  $Re_i$  is indecomposable projective.

pr:eMsimple

(1.4.28) PROPOSITION. If M is a simple A-module; and  $e^2 = e \in A$ . Then eM is a simple eAe-module or zero.  $\blacksquare$  (See e.g. §10.8.2.)

pr:eMJH

(1.4.29) PROPOSITION. Let  $M \supset M_1 \supset ...$  be a Jordan-Holder series for A-module M, with simple factors  $L_i = M_i/M_{i+1}$ ; and  $e^2 = e \in A$ . Then  $eM \supseteq eM_1 \supseteq ...$  becomes a JH series for eM on deleting the terms for which  $eM_i/eM_{i+1} = eL_i = 0$ .

Thus in particular, if eAeeM is simple then the composition factors of M are a simple head factor appearing once, and any other factors L obey eL = 0.  $\blacksquare$  (See e.g. (10.15).)

(1.4.30) Later we will provide detailed answers to the questions above. For now, our next step will be to construct some interesting algebras to play with, and hence some examples. We return to this discussion in (7.6.13) and  $\S 8.4.1$  and  $\S 10.8.2$ .

### 1.4.4 Aside: tensor products

e:tensorprod0001

(1.4.31) Let R be a ring and  $M=M_R$  and  $N={}_RN$  right and left R-modules respectively. Then there is a tensor product — an abelian group denoted  $M\otimes_RN$  constructed as follows. Consider the formal additive group  $\mathbb{Z}(M\times N)$ , and the subgroup  $S_{MN}$  generated by elements of form (m+m',n)-(m,n)-(m',n), (m,n+n')-(m,n)-(m,n') and (mr,n)-(m,rn) (all  $r\in R$ ). We set  $M\otimes_RN=\mathbb{Z}(M\times N)/S_{MN}$ . (In essence  $M\otimes_RN$  is equivalence classes of  $M\times N$  under the relation (mr,n)=(m,rn). See §7.4 for details.)

This construction is useful because it gives us, for each  $M_R$ , a functor  $M_R \otimes -$  from R-mod to the category  $\mathbb{Z}$ -mod (of abelian groups). This has many useful generalisations.

#### 1.4.5 Functor examples for module categories: globalisation

de:GF1

(1.4.32) Let A be an algebra over k and  $e^2 = e \in A$  as in §1.4.3 above. We define functor  $G = G_e$ 

$$G_e: eAe - \text{mod} \rightarrow A - \text{mod}$$

by  $G_eM = Ae \otimes_{eAe} M$  (as defined in §7.4) and  $F_e: A - \text{mod} \to eAe - \text{mod}$  by  $F_eN = eN$ . (Exercise: check that there are suitable mappings of module maps.)

ex:GF1

- (1.4.33) EXERCISE. Show the following.
- (I) Pair  $(G_e, F_e)$  is an adjunction (as in (5.3.7)).
- (II) Functor  $F_e$  is exact.
- (III) Functor  $G_e$  is right exact, takes projectives to projectives and indecomposables to indecomposables. (See Th.7.5.19 et seq.)
- (IV) The composite  $F_e \circ G_e : eAe \text{mod} \rightarrow eAe \text{mod}$  is a category isomorphism.

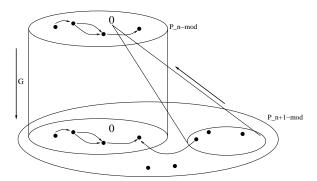


Figure 1.1: Schematic for the G-functor. fig:Pnmodembed1

Note from these facts that there is an embedded image of eAe-mod in A-mod (the functorial version of an inclusion). Cf. Fig.1.1. Functor  $G_e$  does not take simples to simples in general. (One can see this either from the construction or 'categorically'.) However since simples and indecomposable projectives are in bijective correspondence, we can effectively 'count' simples in A-mod by counting those in eAe-mod and then adding those which this count does not include. It is easy to see the following.

Proposition. Functor  $F_e$  takes a simple module to a simple module or zero.

th:simp0001

(1.4.34) THEOREM. Let us write  $\Lambda(A)$  for some index set for simple A-modules; and  $\Lambda_e(A)$  for the subset on which e acts as zero. It follows from (1.4.33) that

$$\Lambda(A) = \Lambda(eAe) \sqcup \Lambda_e(A).$$

Of course simples on which e acts as zero are also the simples of the quotient algebra A/AeA, so  $\Lambda_e(A) = \Lambda(A/AeA).$ 

pr:lams

(1.4.35) PROPOSITION. For  $\delta \in k$  a unit, we may take  $\Lambda(P_n) = \Lambda(P_{n-1}) \sqcup \Lambda(kS_n)$ . Thus

$$\Lambda(P_n) = \sqcup_{i=0,1,\dots,n} \Lambda(kS_i).$$

Similarly  $\Lambda(T_n) = \Lambda(T_{n-2}) \sqcup \Lambda(k)$ . Thus

$$\Lambda(T_n) = \sqcup_{i=n, n-2, \dots, 1/0} \Lambda(k).$$

*Proof.* (1.18) and (1.4.34).  $\Box$ 

(1.4.36) Note that every simple module of  $P_n$  is associated to a symmetric group  $S_i$  irreducible for some  $i \leq n$ . Symmetric group irreducibles can be found in the heads of symmetric group Specht modules  $\Delta_{\lambda}^{S} := kS_{i}v_{\lambda}$  (suitable  $v_{\lambda} \in S_{i}$ ; these are classical constructions for irreducible modules over  $\mathbb{C}$  that are well defined over any ground ring). Accordingly we define  $P_n$ -module  $\Delta(\lambda)$  by applying G-functors to  $\Delta_{\lambda}^{S}$  as many times as necessary:

$$\Delta(\lambda) = G^{n-i} \Delta_{\lambda}^{S}$$

If  $k \supset \mathbb{Q}$  then  $v_{\lambda}$  can be chosen idempotent (indeed primitive). It follows that  $\Delta(\lambda)$  is indecomposable projective in a suitable quotient algebra of  $P_n$ . Thus it has simple head. It follows that every module's structure can be investigated by investigating morphisms from these modules.

(1.4.37) Remark. The preceding example will be very useful for analysing  $P_n$  – mod by induction on n. But first we think about some other examples, and how module categories and functors work with representation theory in general.

## 1.5 Modular representation theory

ss:mod0001

Sometimes an algebra is defined over an arbitrary commutative ring k. We may focus on the representation theory over the cases of k a field in particular. But the idea of considering all cases together provides us with some useful tools (following ideas of Brauer [14]).

Let R be a commutative ring with a field of fractions  $(R_0)$  and quotient field k (quotient by some given maximal ideal). (Ring R a complete rank one discrete valuation ring would be sufficient to have such endowments.) Let A be an R-algebra that is a free R-module of finite rank. Let  $A_0 = R_0 \otimes_R A$  and  $A_k = k \otimes_R A$  (we call these constructions 'base changes' from R to  $R_0$  and to k respectively).

The working assumption here is that  $A_0$  is relatively easy to analyse. (The standard example would be a group algebra over a sufficiently large field of characteristic zero; which is semisimple by Mashke's Theorem.) And that  $A_k$  is the primary object of study.

In particular, suppose that we have a complete set of simple modules for  $A_0$ . One can see (e.g. in (??)) that:

LEMMA. For every  $A_0$ -module M there is a finitely generated A-module (that is a free R-module) that passes to M by base change.

Note that there can be multiple non-isomorphic A-modules all passing to M. (We will give examples shortly.)

(1.5.1) Write

$$\mathcal{D} = \{ D^R(l) : (l = 1, 2, ..., m) \}$$

for a set of A-modules that passes by base change to a complete set of m simple  $A_0$ -modules.

Write  $D^k(l) = k \otimes D^R(l)$ . Write  $L^k_{\lambda}$  ( $\lambda \in \Lambda^k$ ) for a complete set of simple  $A_k$ -modules. Fixing k, this gives us a  $\mathcal{D}$ -decomposition matrix

$$D_{i\lambda} = [D^k(i) : L^k_{\lambda}]$$

(note that the index sets 1, 2, ..., m and  $\Lambda^k$  are not the same).

Write  $P_{\lambda}^{k}$  for the projective cover of  $L_{\lambda}^{k}$  (the indecomposable projective with head  $L_{\lambda}^{k}$ ), and  $e_{\lambda}^{k}$  for a corresponding primitive idempotent. One can show that there is a primitive idempotent in A that passes to  $e_{\lambda}^{k}$ , and an indecomposable projective A-module,  $P_{\lambda}^{k,R}$  say, that passes to  $P_{\lambda}^{k}$  by base change (caveat: A is not Artinian in general).

(1.5.2) Since  $P_{\lambda}^k$  is projective,  $D_{i\lambda}=\dim \hom(P_{\lambda}^k,D^k(i))$ . (Proof: For any indecomposable projective  $P_{\lambda}^k$  we have  $\dim \hom(P_{\lambda}^k,M)=[M:L_{\lambda}^k]$  by the exactness property (as in (1.4.8)) of the functor  $\operatorname{Hom}(P_{\lambda}^k,-)$ . For example one can use exactness and an induction on the length of composition series.)

On the other hand the free R-module  $\hom(P^{k,R}_{\lambda},D^R(i))$  has a basis which passes to a basis of  $\hom(P^k_{\lambda},D^k(i))$ ; and to a basis of  $\hom(A_0\otimes P^{k,R}_{\lambda},A_0\otimes D^R(i))$ . A basis of the latter is the collection of maps, one for each simple factor of the direct sum  $A_0\otimes P^{k,R}_{\lambda}$  isomorphic to the simple module  $A_0 \otimes D^R(i)$ . That is, the dimension is the multiplicity of the  $A_0$ -simple module in  $A_0 \otimes P_{\lambda}^{k,R}$ . We have the following.

pr:mod recip

(1.5.3) Proposition. (Modular reciprocity)

$$[D^k(i):L^k_{\lambda}] = [A_0 \otimes P^{k,R}_{\lambda}: A_0 \otimes D^R(i)].$$

(1.5.4) For given k this says in particular that the Cartan decomposition matrix (with rows and columns indexed by  $\Lambda^k$ ) is

$$C = D^T D (1.22) eq:Cart$$

eq:Cartan0001

See e.g. §1.6.7.

#### 1.6 Examples

#### Modules and ideals for the partition algebra $P_n$ 1.6.1

We continue to use the notations as in (1.3.2) and so on.

(1.6.1) Note that the number of propagating components cannot increase in the composition of partitions in  $P_n$  (the 'bottleneck principle'). Hence  $k \mathsf{P}_{n,n}^m$  is an ideal of  $P_n$  for each  $m \leq n$ . Write

$$P_n^{/m} := P_n/kP_{n,n}^m$$

for the quotient algebra by this ideal.

(1.6.2) Note the natural injection

$$P_{n,l,m} \otimes v^* \hookrightarrow P_{n,l,m+1}$$

lem:natdecomp

(1.6.3) Lemma. For any  $l \leq n$  there is a natural bijection

$$P_{n,l,n} \xrightarrow{\sim} P_{n,l,l} \times P_{l,l,l} \times P_{l,l,n}$$

(the inverse map is essentially category composition).

(1.6.4) LEMMA. If  $\delta \in k^*$  then (I)  $k \mathsf{P}_{n,n}^m = P_n \mathsf{u}^{\otimes (n-m)} P_n$  and

(II) 
$$k\mathsf{P}_{n,m} = k\mathsf{P}_{n,m}^m \cong P_n\mathsf{u}^{\otimes(n-m)}$$
 as a left  $P_n$ -module.

(1.6.5) Note that  $k\mathsf{P}_{n,l}^m$  is a left  $P_n$ -module (indeed a  $P_n-P_l$ -bimodule) for each l,m, and  $k\mathsf{P}_{n,l}^{m-1}\subset$  $k\mathsf{P}^m_{n,l}$  (assuming  $n\geq l\geq m$ ). Hence there is a quotient module  $k\mathsf{P}^l_{n,l}/k\mathsf{P}^{l-1}_{n,l}$  with basis  $\mathsf{P}_{n,l,l}$ .

There is a natural right action of the symmetric group  $S_l$  on this module (NB  $S_l \subset P_l$ ), which we can use. Let  $v_{\lambda} \in kS_l$  be such that  $kS_lv_{\lambda}$  is a Specht  $S_l$ -module (an irreducible  $S_l$ -module over  $\mathbb{C}$ ). Then define left  $P_n$ -module

$$D_{\lambda} = k \mathsf{P}_{n,l,l} \, v_{\lambda}.$$

1.6. EXAMPLES 33

(1.6.6) If  $k \supset \mathbb{Q}$  then  $v_{\lambda}$  can be chosen idempotent, and this module  $D_{\lambda}$  is a quotient of an indecomposable projective module, and hence has simple head. It follows that if  $P_n$  is semisimple then the modules of this form are a complete set of simple modules.

(1.6.7) EXERCISE. What can we say about  $\operatorname{End}_{P_n}(D_{\lambda})$ ?

(1.6.8) Exercise. Construct some examples. What about contravariant duals?

(1.6.9) The case n = 1,  $k = \mathbb{C}$ . Fix  $\delta$ . Artinian algebra  $P_1$  has dimension 2. By (1.2.32) and (1.2.33) this tells us that either it is semisimple with two simple modules, or else it has one simple module.

Unless  $\delta = 0$  then  $\mathbf{u}/\delta$  is idempotent so there are two simples. If  $\delta = 0$  then  $\mathbf{u}$  lies in the radical  $J(P_1)$ , and  $P_1/J(P_1)$  is one-dimensional (semi)simple.

(1.6.10) The case  $n=2, k=\mathbb{C}$ . Fix  $\delta$ . Artinian algebra  $P_2$  has dimension 15. As we shall see, for most values of  $\delta$  we have  $P_2 \cong M_1(\mathbb{C}) \oplus M_1(\mathbb{C}) \oplus M_3(\mathbb{C}) \oplus M_2(\mathbb{C})$ .

(1.6.11) We have  $P_n \subset P_{n+1}$  via the injection given, say, by  $p \mapsto p \cup \{\{n+1, (n+1)'\}\}$ , which it will be convenient to regard as an inclusion.

#### 1.6.2 Modules and ideals for $T_n$

(1.6.12) Set  $e_1^{2l-1} = e_1e_3...e_{2l-1}$  and (if  $\delta \in k^*$ )  $\bar{e}_1^{2l-1} = \delta^{-l}e_1e_3...e_{2l-1}$ . Then the ideal  $T_ne_1e_3...e_{2l-1}T_n$  has basis  $\mathsf{T}_{n,n}^{n-2l}$  (n-2l or fewer propagating parts, as before). Write  $T_n^{/n-2l}$  for the quotient algebra by this ideal (with a basis of diagrams with more that n-2l propagating lines). In particular, (1.21) becomes  $T_n^{/n-2} \cong k$ .

lines). In particular, (1.21) becomes  $T_n^{/n-2} \cong k$ .

Note that  $\mathbf{e}_1 T_n^{/n-4} \mathbf{e}_1 \cong T_{n-2}^{/n-4} \cong k$  and  $\mathbf{e}_1 \mathbf{e}_3 T_n^{/n-6} \mathbf{e}_1 \mathbf{e}_3 \cong T_{n-4}^{/n-6} \cong k$  and so on. By 1.4.27 this says that  $\frac{1}{\delta} \mathbf{e}_1$  is a primitive idempotent in  $T_n^{/n-4}$  and  $\bar{\mathbf{e}}_1^3$  is primitive in  $T_n^{/n-6}$  and so on. Hence the  $T_n^{/n-4}$ -module  $T_n^{/n-4} \mathbf{e}_1$  is indecomposable projective (we assume  $\delta \in k^*$  for now); and hence also indecomposable with simple head as a  $T_n$ -module.

Generalising, define

$$D_n^{TL}(l) := T_n^{/n-2l-2} \mathbf{e}_1^{2l-1}$$

We have:

pr:DTL1

pr:basisDTL

(1.6.13) PROPOSITION.  $D_n^{TL}(l)$  is indecomposable with simple head as a  $T_n$ -module. Furthermore, by Prop.1.4.29 all the factors below the head obey  $e_1^{2l-1}L=0$ .

It follows from (1.6.13) and Schur's Lemma 1.2.20, and the opposite isomorphism of  $T_n$  given by  $t\mapsto t^*$ , that  $D_n^{TL}(l)$  has a contravariant form (a bilinear form such that  $< x, ay> = < a^*x, y>$ , as in (??)) defined on it that is unique up to scalars. (Because there is a unique map from  $D_n^{TL}(l)$  to its contravariant dual taking the simple head to the simple socle. In theory the socle, which is the dual of the head, might not be isomorphic to it. But we will construct a suitable form explicitly.)

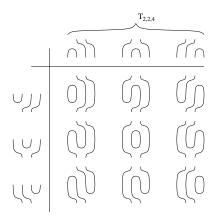
In fact we can construct such a form here for all  $\delta$  simultaneously (over a ring with  $\delta$  indeterminate, as it were), and use this to determine the structure of the module.

(1.6.14) PROPOSITION.  $\mathsf{T}_{n,l,l}$  is a basis for  $D_n^{TL}(l)$ .

(1.6.15) For  $a, b \in \mathsf{T}_{n,l,l}$  then define  $\alpha(a,b) \in k$  as follows. Note that  $a^*b \in \mathsf{T}_{l,l}$  (up to a scalar), thus either  $a^*b = \alpha(a,b)c$  with  $c \in \mathsf{T}_{l,l,l}$  (indeed  $c = 1_l$ ) for some  $\alpha(a,b) \in k$ ; or  $a^*b \in k\mathsf{T}_{l,l}^{l-2}$ , in which case set  $\alpha(a,b) = 0$ . Define an inner product on  $k\mathsf{T}_{n,l,l}$  by  $\langle a,b \rangle = \alpha(a,b)$  and extending linearly.

ex:gramTL1

Example:



The corresponding matrix of scalars is called the *gram matrix* with respect to this basis. From our example we have (in the handy alternative parameterisation  $\delta = q + q^{-1}$ ):

$$\operatorname{Gram}_{n}(n-2) = \begin{pmatrix} [2] & 1 & 0 & & \\ 1 & [2] & 1 & 0 & & \\ 0 & 1 & [2] & 1 & & \\ & & & \ddots & \\ 0 & \dots & 0 & 1 & [2] \end{pmatrix}$$
so  $|\operatorname{Gram}_{n}(n-2)| = [n] = \frac{q^{n} - q^{-n}}{q - q^{-1}}$  (1.23) eq:TLgram0001

pr:innprodcov1

(1.6.16) Proposition. The inner product defined by <-,-> is a contravariant form on  $D_n^{TL}(l)$ .

de:gramdetzero

(1.6.17) Prop.1.6.16 tells us that there is a  $T_n$ -module homomorphism (unique up to scalars) from  $D_n^{TL}(l)$  to its contravariant dual. The contravariant dual must have the simple head of  $D_n^{TL}(l)$  as its simple socle. Thus a head-to-socle map is the only possibility. If  $D_n^{TL}(l)$  is in fact simple then this is an isomorphism and the contravariant form is non-degenerate. Otherwise the form is degenerate. In linear algebra terms we have

$$\phi_{<>}: m \mapsto \phi_{<>}(m)$$

where  $\phi_{<>}(m) \in \text{hom}(D_n^{TL}(l), k)$  is given by  $\phi_{<>}(m)(m') = < m|m'>$ .

It will be clear from our example that if the determinant of the gram matrix is non-zero then  $D_n^{TL}(l)$  is simple; and otherwise it is not. (Note that the case  $\delta=0$  is excluded here, for brevity. It is easy to include it if desired, via a minor modification.) In particular if the determinant is zero then  $D_n^{TL}(n-2)$  has composition length 2; and the other composition factor is the simple module  $D_n^{TL}(n)$ .

(1.6.18) Proposition. Given a c-v form (with respect to involutive antiautomorphism \*) on A-module M and  $Rad_{<>}M = \{x \in M : < y, x> = 0 \ \forall y\}$  then

1.6. EXAMPLES 35

(I)  $Rad_{<>}M$  is a submodule, since  $x \in Rad_{<>}M$  implies  $< y, ax > = < a^*y, x > = 0$ . (II) Thus  $\dim Rad_{<>}M = corank Gram_{<>}M$ .

(1.6.19) In our example rows 2 to (n-1) of the  $(n-1) \times (n-1)$  matrix  $Gram_n(n-2)$  are clearly independent, while replacing  $| \cup | | ... |$  (the basis element in the first row) by

$$w = \boxed{ \cup ||\ldots| - [2] \boxed{ |\cup|\ldots|} + [3] \boxed{ ||\cup\ldots|} - \ldots }$$

(a sequence of elementary row operations adding to the first row multiples of each of the subsequent rows) replaces the first row of  $\operatorname{Gram}_n(n-2)$  with (0,0,...,0,[n]). That is,  $\operatorname{Rad}_{<>}D_n^{TL}(n-2)=0$  unless [n]=0. If [n]=0 then w spans the Rad.

Explicit check in case 
$$n = 4$$
:  $U_1 w = ([2] - [2] + 0) \cup || = 0$ ;  $U_2 w = (1 - [2]^2 + [3]) || \cup || = 0$ ;  $U_3 w = (0 - [2] + [2][3]) || \cup || = 0$ .

(1.6.20) It is easy to write down the form explicitly, particularly for l = n - 2, and compute the determinant. We can use this to determine the structure of the algebra. First we will need a couple of functors.

(1.6.21) REMARK. In case M is a matrix over a PID, the *Smith form* of M (see e.g. [5]) is a certain diagonal matrix equivalent to M under elementary operations.

One sees from the proposition and example that the rank, or indeed a Smith form, of Gram D is potentially more useful than the determinant. However note that working over  $\mathbb{Z}[\delta]$  as we partly are, a Smith form may not exist until we pass specifically to  $\mathbb{C}$ , say (or at least to a PID  $k[\delta]$  with k a field); and they are harder to compute when they do exist.

See §10.7 for more on this.

### 1.6.3 Aside on Res-functors (exactness etc)

ss:aside res

(1.6.22) Note the limits of what  $\operatorname{Res}_{\psi}$  (from (1.4.9)) says in practice. For each *B*-module there is an *A*-module identical to it as a *k*-space. And for each *B*-module homomorphism there is an *A*-module homomorphism. It does not say that if  $\operatorname{Hom}_{B}(M, N) = 0$  then so is  $\operatorname{Hom}_{A}(M, N) = 0$ .

In the particular case when  $\psi$  is surjective then M simple implies  $\operatorname{Res}_{\psi} M$  simple — i.e. M simple as an A-module (any A-submodule M' of M would also be a B-submodule, since in this case the B action is contained in the A action).

(1.6.23) We can also think about what happens to exact sequences under this functor  $\operatorname{Res}_{\psi}$ . Suppose  $M' \hookrightarrow M \longrightarrow M''$  is a short-exact sequence of B-module maps. As we have just seen, it is again a sequence of A-module maps. The sequence is of the form  $M' \hookrightarrow M \longrightarrow M''$  since injection and surjection are properties of the underlying k-modules; but such a sequence is short-exact if  $\dim(M') + \dim(M'') = \dim(M)$ —again a property of the underlying k-modules. In other words  $\operatorname{Res}_{\psi}$  is an  $\operatorname{exact}$  functor on finite dimensional modules.

We can also ask about split-ness. If the B-module sequence is split (i.e.  $M=M'\oplus M''$ ) then there is another SES reversing the arrows, which again passes to an A-module sequence. If the B-module sequence is non-split what happens? Suppose that the A sequence is split. This means that there is an A-submodule of M isomorphic to M'', i.e. (up to isomorphism)  $aM'' \in M''$  for all a. Note that if  $\psi$  is surjective  $^2$  then every B action can be expressed as an A action (via  $\psi$ ), so

<sup>&</sup>lt;sup>2</sup>needed?

M'' is also a B-submodule, contradicting non-splitness. That is,

LEMMA. If  $\psi$  surjective then Res<sub> $\psi$ </sub> takes a non-split extension to a non-split extension.  $\square$ 

#### 1.6.4 Functor examples for module categories: induction

(1.6.24) Functor  $\operatorname{Res}_{\psi}$  makes B a left-A right-B-bimodule; and there is a similar functor making B a left-B right-A-bimodule. Hence define

$$\operatorname{Ind}_{\psi}: A - \operatorname{mod} \to B - \operatorname{mod}$$

by Ind  $_{\psi}N = B \otimes_A N$  (cf. 1.4.32).

REMARK. This construction is typically used in case  $\psi: A \to B$  is an inclusion of a subalgebra (in which case Res is called restriction).

(1.6.25) Exercise. Investigate these functors for possible adjunctions. Hints: Consider the map

$$a: \operatorname{Hom}_B(\operatorname{Ind}_{\psi}M, N) \to \operatorname{Hom}_A(M, \operatorname{Res}_{\psi}N)$$

given as follows. For  $f \in \operatorname{Hom}_B(\operatorname{Ind}_{\psi}M, N)$  we define  $a(f) \in \operatorname{Hom}_A(M, \operatorname{Res}_{\psi}N)$  by  $a(f)(m) = f(1 \otimes m)$ . Given  $g \in \operatorname{Hom}_A(M, \operatorname{Res}_{\psi}N)$  we define  $b(g) \in \operatorname{Hom}_B(\operatorname{Ind}_{\psi}M, N)$  by  $b(g)(c \otimes m) = cg(m)$ . One checks that  $b = a^{-1}$ , since  $b(a(f)) = b(f(1 \otimes -)) = 1f = f$ .

(1.6.26) EXAMPLE. We have in (1.19) above a surjective algebra map  $\psi: P_n \to S_n$ . It follows that every  $S_n$ -module is also a  $P_n$ -module via  $\psi$ . Of course every  $S_n$ -module map is also a  $P_n$ -module map.

(1.6.27) Proposition. The functor  $Ind_{\psi}$  takes projectives to projectives.

#### 1.6.5 Back to $P_n$

(1.6.28) Fix n. It follows from the results assembled above that for each  $\lambda \vdash l \in \{n, n-1, ..., 0\}$  we have a  $P_n$ -module  $\Delta_{\lambda} = G^{n-l}S_{\lambda}$ , where  $S_{\lambda}$  is a symmetric group Specht module. (Note that this notation omits n, so care is needed. We can write  $\Delta_{\lambda}^n$  to emphasise n.)

Fix  $k = \mathbb{C}$ , so that every  $S_{\lambda}$  is simple. It follows that if  $P_n$  is semisimple for some given choice of  $\delta$  (and some given n) then the set of  $\Delta_{\lambda}$  modules is a complete set of simple modules for this algebra.

(1.6.29) More generally, if  $P_n$  is non-semisimple then at least one  $\Delta_{\lambda}$  is not simple. Further, if  $\Delta_{\lambda}^n$  is not simple, then  $\Delta_{\lambda}^{n+1}$  is not simple. Thus, for fixed  $\delta$ , we may think of the 'first' non-semisimple case (noting that  $P_0$  is always simple), and hence a 'first' (one or more) non-simple  $\Delta_{\lambda}$  — at level n say. We note that this first non-simple case is manifested by a homomorphism from some  $\Delta_{\nu}$  with  $\nu \vdash n$ .

There are a number of ways we can 'detect' these homomorphisms. One approach starts by noting another adjunction: the (ind,res) adjunction corresponding to the inclusion  $P_n \hookrightarrow P_{n+1}$ . One can work out  $\operatorname{Res}\Delta_{\lambda}$  by constructing an explicit basis for each  $\Delta_{\lambda}$ . One can work out  $\operatorname{Ind}\Delta_{\lambda}$  by using the formula  $\operatorname{Ind} = \operatorname{Res}G$ . It then follows from the (ind,res) adjoint isomorphism that any such homomorphism implies a homomorphism to  $\Delta_{\lambda}$  with  $|\lambda|$  'close' to n. These modules

1.6. EXAMPLES 37

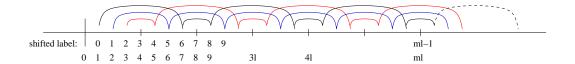


Figure 1.2: Orbits of an affine reflection group on  $\mathbb{Z}$  giving blocks for  $T_n$  with l=4. fig:TLalcoves1

take a relatively simple form, and it is possible to detect morphisms to them explicitly by direct calculation.

Let D be the decomposition matrix for the  $\Delta$ -modules (ordered in any way consistent with  $\lambda > \mu$  if  $|\lambda| > |\mu|$ ). It follows that D is upper unitriangular. It also follows that the Cartan decomposition matrix C is  $C = DD^T$ .

#### 1.6.6 Back to $T_n$

To explain the  $P_n$  results it will be simpler to begin with  $T_n$ .

(1.6.30) Set  $\Delta_l^T(l) = k$  (the trivial  $T_l$ -module) and

$$\Delta_n^T(l) = G_{\mathrm{e}_1} \Delta_{n-2}^T(l)$$

(1.6.31) EXAMPLE.  $G_{e_1}\Delta_{n-2}^T(n-2)=T_ne_1\otimes_{T_{n-2}}\Delta_{n-2}^T(n-2)$  (using the isomorphism to confuse  $T_{n-2}\cong e_1T_ne_1$ ). Noting  $T_ne_1=k\mathsf{T}_{n,n-2}\otimes\cap$  (as in (1.3.1)); this is spanned by  $\mathsf{T}_{n,n-2}\otimes_{T_{n-2}}1_{n-2}$ , where  $\{1_{n-2}\}$  is acting as a basis for  $\Delta_{n-2}^T(n-2)$ . Note that  $\mathsf{T}_{n,n-4,n-2}\otimes_{T_{n-2}}1_{n-2}=0$ , so a basis is  $\mathsf{T}_{n,n-2,n-2}\otimes_{T_{n-2}}1_{n-2}$ .

(1.6.32) LEMMA.

$$\Delta_n^T(l) \cong D_n^{TL}(l)$$

*Proof.* As above, a basis of  $\Delta_n^T(l)$  is  $\mathsf{T}_{n,l,l}\otimes_{T_l}1_l$ . Now cf. (1.6.14) and consider the obvious bijection between bases. The actions of  $a\in T_n$  are the same — if (in the T category)  $a*b\in k\mathsf{T}_{n,l,l}$  then ab=a\*b in both cases; otherwise ab=0 in  $\Delta_n^T(l)$  by the balanced map, and in  $D_n^{TL}(l)$  by the quotient.  $\square$ 

...See §?? for more details and treatment of the  $\delta = 0$  case.

(1.6.33) THEOREM. [83, §7.3 Th.2] (Structure Theorem for  $T_n$  over  $\mathbb{C}$ .) Set  $k = \mathbb{C}$ . Fix  $r \in \mathbb{N}$  (here we take  $r \geq 3$  for now) and hence  $q \in \mathbb{C}$  a primitive r-th root of unity. Fix  $\delta = q + q^{-1}$ . The simple content of  $\Delta_n^T(\lambda)$  is given as follows.

Consider Fig.1.2. We give the positive real line two labellings for integral points: the natural labelling (with the origin labelled 0); and the *shifted* labelling. Points of form mr in the natural labelling (mr-1 in the shifted labelling) are called *walls*. For given  $\lambda \in \mathbb{N}_0$  determine m and b in  $\mathbb{N}_0$  by  $\lambda+1=mr+b$  with  $0 \leq b < m$  (so b is the position of  $\lambda+1$  in the alcove above mr). For b>0 set  $\sigma_{m+1}.\lambda=\lambda+2m-2b$ — the image of  $\lambda$  under reflection in the wall above.

1) If b = 0 then  $\Delta_n^T(\lambda) = L_n(\lambda)$ .

2) Otherwise

$$0 \longrightarrow L_n(\lambda + 2m - 2b) \longrightarrow \Delta_n^T(\lambda) \longrightarrow L_n(\lambda) \longrightarrow 0$$

Here  $L_n(\lambda + 2m - 2b)$  is to be understood as 0 if n is too small.

In particular the orbits of the reflection action describe the regular blocks (blocks of points not fixed by any non-trivial reflection); while the singular blocks (of points fixed by a non-trivial reflection) are singletons.

Proof.:

(1.6.34) By induction. We assume level n=mr-1 and below. (And will work through a 'cycle' n=mr, mr+1, ..., mr+r-1.) Thus, if  $n'\equiv mr-1 \mod 2$ , we have  $\Delta_{n'}^T(mr-1)=L_{n'}(mr-1)=P_{n'}(mr-1)$ .

Why? Firstly, We have ...

(1.6.35) Lemma. (1) Projective modules have filtrations by  $\Delta$  modules; and the corresponding composition multiplicities are well defined.

(2) Once  $n \geq \lambda$ , so  $P_n(\lambda)$  is defined, then the multiplicity  $(P_n(\lambda) : \Delta_n^T(\lambda)) = 1$ ;  $(P_n(\lambda) : \Delta_n^T(\mu)) = 0$  if  $|\mu| \geq |\lambda|$  ( $\mu \neq \lambda$ ); and otherwise  $(P_n(\lambda) : \Delta_n^T(\mu))$  does not depend on n.

*Proof.* (1) We can see this in various different ways. For now we note from  $\S 1.5$  that both kinds of modules have lifts to the integral case, and hence corresponding modules in the ordinary case. But in the ordinary case the  $\Delta$ -modules are simple.

(2) By 
$$(1.5.3)$$
 and  $(1.4.29)$ .

pr:resDeTL

(1.6.36) We have

$$0 \longrightarrow \Delta_{n-1}(l-1) \longrightarrow \operatorname{Res}\Delta_n(l) \longrightarrow \Delta_{n-1}(l+1) \longrightarrow 0$$

pr:indresG

(1.6.37) Proposition. The functors  $Ind_{\psi}$  and  $Res_{\psi}G$  are naturally isomorphic.

(1.6.38) By 1.6.36 and 1.6.37 we have

Ind 
$$P(mr-1) = \Delta^T(mr) + \Delta^T(mr-2)$$
.

On the other hand

lem:Phwt1

(1.6.39) Lemma. Any projective is a direct sum of indecomposable projectives including those with the highest shifted label among those appearing in its  $\Delta^T$  factors.

(1.6.40) Hence Ind P(mr-1) contains P(mr) as a direct summand.

Suppose that  $P(mr) = \Delta^T(mr)$ . Then the remaining factor is also projective, so (again by 1.6.39)  $P(mr-2) = \Delta^T(mr-2)$  — but this would imply  $\Delta^T(mr-2) = L(mr-2)$  by reciprocity; and this contradicts the fact  $||\Delta^T_{ml}(ml-2)|| = 0$  from 1.6.15 (and an easy calculation).

Thus Ind P(mr-1) = P(mr).

(1.6.41) Next we have

Ind 
$$P(mr) = \Delta^{T}(mr+1) + \Delta^{T}(mr-1) + \Delta^{T}(mr-1) + \Delta^{T}(mr-3)$$

Again this contains P(mr+1) and the game is to determine which of the factors are in P(mr+1).

1.6. EXAMPLES 39

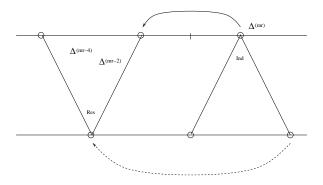


Figure 1.3: fig:FRTL1

If  $\Delta^T(mr-1)$  is in P(mr+1) then L(mr+1) is in  $\Delta^T(mr-1)$  by modular reciprocity (necessarily in the socle) which would imply  $||\Delta^T_{ml+1}(ml-1)|| = 0$ — a contradiction by (1.23).

de:TL901

(1.6.42) Next we will show by a contradiction that  $P(mr+1) = \Delta^T(mr+1) + \Delta^T(mr-3)$ . Suppose this sum splits. Then this would imply  $P(mr-3) = \Delta^T(mr-3)$  and hence  $L(mr-3) = \Delta^T(mr-3)$ . However, consider the following.

de:TL902

(1.6.43) By Frobenius reciprocity we have

$$\operatorname{Hom}(\operatorname{Ind} A, B) \cong \operatorname{Hom}(A, \operatorname{Res} B)$$

in particular in the case in Fig.1.3: <sup>3</sup>

$$\operatorname{Hom}(\operatorname{Ind}\Delta_{ml}^T(ml),\Delta_{ml+1}^T(ml-3)) \cong \operatorname{Hom}(\Delta_{ml}^T(ml),\operatorname{Res}\Delta_{ml+1}^T(ml-3))$$

Note that  $\operatorname{Res}\Delta^T_{ml+1}(ml-3) = \Delta^T_{ml}(ml-2) \oplus \Delta^T_{ml}(ml-4)$  (a direct sum by the block assumption), so that the RHS is nonzero by assumption, noting  $\ref{normal}$ ?? Thus the LHS is nonzero. There is no map from  $\Delta^T(ml+1)$  to  $\Delta^T(ml-1)$ , so there is a map from  $\Delta^T(ml+1)$  to  $\Delta^T(ml-3)$ . This demonstrates the contradiction needed in 1.6.42. Thus

$$P(mr+1) = \Delta^T(mr+1) + \Delta^T(mr-3)$$

(1.6.44) Next we have

Ind 
$$P(mr + 1) = \Delta^{T}(mr + 2) + \Delta^{T}(mr) + \Delta^{T}(mr - 2) + \Delta^{T}(mr - 4)$$

We have  $P(mr+2) = \Delta^T(mr+2) + \dots$  The question is, which of the factors above should be included? If we include  $\Delta^T(mr)$  then L(mr+2) is in  $\Delta^T(mr)$  by modular reciprocity. We can eliminate this possibility in a couple of ways. For example, we can compute a central element of  $T_n$  and show using this that the two shifted labels are in different blocks. Alternatively we can compute  $||\Delta^T_{mr+2}(mr)||$  and check that it is nonzero in this case.

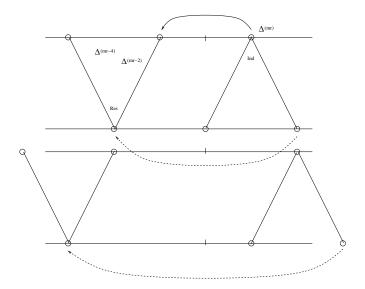


Figure 1.4: fig:FRTL3

So far, then, we have that Ind  $P(mr+1) = P(mr+2) \oplus P(mr) \oplus \dots$  However since  $P(mr) = \Delta^T(mr) + \Delta^T(mr-2)$  we have  $P(mr+2) = \Delta^T(mr+2) + X$  where  $X = \Delta^T(mr-4)$  or zero.

In the latter case we would have  $P(mr-4) = \Delta^T(mr-4)$ . This contradicts the inductive assumption for every m value except m=1. For m=1 (or in general) we note instead that

$$\operatorname{Hom}(\operatorname{Ind}\Delta^T_{mr+1}(mr+1),\Delta^T_{mr+2}(mr-4)) \cong \operatorname{Hom}(\Delta^T_{mr+1}(mr+1),\operatorname{Res}\Delta^T_{mr+2}(mr-4))$$

and that the RHS is nonzero (for r > 3) by the inductive assumption (indeed we just showed this in 1.6.43 above). Thus the LHS is nonzero. But there is no map  $\Delta^T(mr) \to \Delta^T(mr-4)$  by the inductive assumption, so there is a map  $\Delta^T(mr+2) \to \Delta^T(mr-4)$ . This provides the required contradiction. That is

$$P(mr+2) = \Delta^T(mr+2) + \Delta^T(mr-4)$$

(1.6.45) We may continue in the same way until P(mr+(r-2)). At this point  $\operatorname{Res}\Delta^T(mr-r-1)$  is not a direct sum (indeed it is indecomposable projective) and the argument for a nonzero RHS in Frobenius reciprocity fails. This tells us that there is no map on the LHS, so  $P(mr+(r-2)) = \Delta^T(mr+(r-2))$  and we have completed the main inductive step.  $\square$ 

<sup>&</sup>lt;sup>3</sup>caveat: l = r!!!

1.7. LIE ALGEBRAS 41

ss:decompmatex1

### 1.6.7 The decomposition matrices

Note that the decomposition matrices (from  $\S1.5$  and (1.22)) are determined by the structure Theorem. The matrix for a single block (starting from the low-numbered weight) is of form

(this should be thought of as the *n*-dependent truncation of a semiinfinite matrix continuing down to the right), that is  $\Delta^{T}(0)$  (say, from the first row) contains L(0) and the next simple in the block, and so on; giving

$$C_{block} = D^T D = \begin{pmatrix} 1 & 1 & & & \\ 1 & 2 & 1 & & & \\ & 1 & 2 & 1 & & \\ & & & \ddots & & \\ & & & 1 & 2 & 1 \\ & & & & 1 & 2 \end{pmatrix}$$

...

#### 1.6.8 Odds and ends

(1.6.46) By 1.4.29 and 1.5.3 the  $\Delta_n(l)$  content of  $P_n(m)$  does not depend on n (once n is big enough for these modules to make sense). Thus  $P_n(0) = \Delta_n(0)$ ;  $P_n(1) = \Delta_n(1)$ .

For  $P_n(2)$  we have  $\operatorname{Ind} P_n(1) = \Delta_n(0) + \Delta_n(2)$ ; and  $\operatorname{Ind} P_n(1)$  contains  $P_n(2)$  as a direct summand. If this is a proper direct sum then this is true in particular at n=2 and there is a primitive idempotent decomposition of 1 in  $T_2$ . It is easy to see that this depends on  $\delta$ , but it true unless  $\delta = 0$ . (We shall assume for now that  $k = \mathbb{C}$  for definiteness.)

Another way to look at the decomposition of  $\operatorname{Ind} P_n(1)$  is as follows. If it does not decompose then by ?? there is a homomorphism  $\Delta(2) \to \Delta(0)$ , so that the gram matrix of  $\Delta(0)$  must be singular.

Let us assume  $\delta \neq 0$ . Proceeding to  $P_n(3)$  we have  $\operatorname{Ind} P_n(2) = \Delta_n(1) + \Delta_n(3)$ . Again this splits if and only if the gram matrix for  $\Delta(1)$  is singular.

(1.6.47) TO DO:

Grothendieck group

## 1.7 Lie algebras

ss:Liealg0

We include a brief discussion of Lie algebras here,

(a) to provide some contrast with and hence context for our 'associative' algebras; and

(b) as a certain partner notion to the special case of (associative) finite group algebras. See 18.3.1 for a more detailed exposition. Here k is a field.

(1.7.1) A Lie algebra A over field k is a k-vector space and a bilinear operation  $A \times A \to A$  denoted [a,b] such that [a,a]=0 and

$$[a, [b, c]] + [b, [c, a]] + [c, [a, b]] = 0$$
 ('Jacobi identity')

(1.7.2) From an associative algebra T we obtain a Lie algebra Lie(T) by [a,b]=ab-ba.

(1.7.3) In particular, for V a vector space, the space of endomorphisms, sometimes denoted gl(V), is a Lie algebra with [a, b] = ab - ba (where ab is the composition of endomorphisms).

A representation of a Lie algebra A over k is a Lie algebra morphism  $\rho:A\to gl(V)$  for some V.

An A-module is a space V and a map  $A \times V \to V$  with

$$[a, b]v = a(bv) - b(av).$$

(1.7.4) Let V, V' be A-modules. Then the tensor product  $V \otimes_k V'$  has a 'diagonal' action of A:

$$a(v \otimes v') = av \otimes v' + v \otimes av'$$

that makes  $V \otimes_k V'$  an A-module.

Check: 
$$[a,b](v \otimes v') = [a,b]v \otimes v' + v \otimes [a,b]v' = (a(bv) - b(av)) \otimes v' + v \otimes (a(bv') - b(av')) = \dots$$

(1.7.5) The tensor algebra of Lie algebra A is the vector space

$$\tau = \bigoplus_{n \geq 0} A^{\otimes n}$$

with multiplication given by  $(a \otimes b)(c \otimes d) = a \otimes b \otimes c \otimes d$  and so on. Set H to be the ideal in  $\tau$  generated by the elements of form  $a \otimes b - b \otimes a - [a, b]$ , with  $a, b \in A$ . Define

$$U_A = \tau/H$$

- (1.7.6) A universal enveloping algebra (UEA) of Lie algebra A is an associative algebra U together with a Lie algebra homomorphism  $I: A \to Lie(U)$  such that every Lie algebra homomorphism of form  $h: A \to Lie(B)$  has a unique 'factorisation through Lie(U)', that is, a unique morphism of associative (unital) algebras  $f: U \to B$  such that  $h = f \circ I$ .
- (1.7.7)  $U_A$  is a UEA for A, with the homomorphism  $I: A \to Lie(U_A)$  given by  $a \mapsto a + H$ . It is unique as such up to isomorphism.
- (1.7.8) There is a vector space bijection

$$Hom_{Lie}(A, Lie(B)) \cong Hom(U, B).$$

(1.7.9) Let V be an A-module and  $\rho: A \to gl(V)$  the corresponding representation. Then  $\rho$  extends to a representation of a UEA U. This lifts to an 'isomorphism' of the categories of A-modules and U-modules (as subcategories of the category of vector spaces).

(1.7.10) THEOREM. (Poincare-Birkoff-Witt) Let  $J = \{j_1, j_2, ...\}$  be an ordered basis of A. Then the monomials of form  $I(j_{i_1})I(j_{i_2})...I(j_{i_n})$  with  $i_1 \leq i_2 \leq ...$  and  $n \geq 0$  are a basis for  $U_A$ .

(1.7.11) Recalling that k is fixed here, write  $sl_n$  for the Lie algebra of traceless  $n \times n$  matrices. For example,  $sl_2$  has k-basis:

$$x^+ = \left( \begin{array}{cc} 0 & 1 \\ 0 & 0 \end{array} \right), \qquad x^- = \left( \begin{array}{cc} 0 & 0 \\ 1 & 0 \end{array} \right), \qquad h = \left( \begin{array}{cc} 1 & 0 \\ 0 & -1 \end{array} \right).$$

These obey  $[x^+, x^-] = h$ ,  $[h, x^+] = 2x^+$ ,  $[h, x^-] = -2x^-$ .

## 1.8 Eigenvalue problems

#### (1.8.1) Operators acting on a space; their eigenvectors and eigenvalues.

Here we remark very briefly and generally on the kind of Physical problem that can lead us into representation theory.

A typical Physical problem has a linear operator  $\Omega$  acting on a space H, with that action given by the action of the operator on a (spanning) subset of the space. One wants to find the eigenvalues of  $\Omega$ .

The eigenvalue problem may be thought of as the problem of finding the one-dimensional subspaces of H as an  $\langle \Omega \rangle$ -module, where  $\langle \Omega \rangle$  is the (complex) algebra generated by  $\Omega$ . That is, we want to find elements  $h_i$  in H such that:

$$\Omega h_i = \lambda_i h_i$$

— noting only that, usually, the object of primary physical interest is  $\lambda_i$  rather than  $h_i$ . If H is finite dimensional then (the complex algebra generated by)  $\Omega$  will obey a relation of the form

$$\prod_{i} (\Omega - \lambda_i)^{m_i} = 0$$

Of course the details of this form are ab initio unknown to us. But, proceeding formally for a moment, if any  $m_i > 1$  (necessarily) here, so that  $S = \prod_i (\Omega - \lambda_i) \neq 0$ , then S generates a non-vanishing nilpotent ideal (we say, the algebra has a radical). Obviously any such nilpotent object has 0-spectrum, so two operators differing by such an object have the same spectrum. In other words, the image of  $\Omega$  in the quotient algebra by the radical has the same spectrum  $\{\lambda_i\}$ . An algebra with vanishing radical (such as the quotient of a complex algebra by its radical) has a particularly simple structural form, so this is a potentially useful step.

However, gaining *access* to this form may require enormously greater arithmetic complexity than the original algebra. In practice, a balance of techniques is most effective, even when motivated by physical ends. This balance can often be made by analysing the regular module (in which every eigenvalue is manifested), and thus subquotients of projective modules, but not more exotic modules. (Of course Mathematically other modules may well also be interesting — but this is a matter of aesthetic judgement rather than application.)

It may also be necessary to find the subspaces of H as a module for an algebra generated by a set of operators  $\langle \Omega_i \rangle$ . A similar analysis pertains.

A particularly nice (and Physically manifested) situation is one in which the operators  $\Omega_i$  (whose unknown spectrum we seek to determine) are known to take the form of the representation matrices of elements of an abstract algebra A in some representation:

$$\Omega_i = \rho(\omega_i)$$

Of course any reduction of  $\Omega_i$  in the form of (1.10) reduces the problem to finding the spectrum of  $R_1(\omega_i)$  and  $R_2(\omega_i)$ . Thus the reduction of  $\rho$  to a (not necessarily direct) sum of irreducibles:

$$\rho(\omega_i) \cong +_{\alpha} \rho_{\alpha}(\omega_i)$$

reduces the spectrum problem in kind. In this way, Physics drives us to study the representation theory of the abstract algebra A.

### 1.9 Notes and references

ss:refs

The following texts are recommended reading: Jacobson[60, 61], Bass[6], Maclane and Birkoff[78], Green[51], Curtis and Reiner[30, 32], Cohn[24], Anderson and Fuller[3], Benson[7], Adamson[2], Cassels[20], Magnus, Karrass and Solitar[79], Lang[74], and references therein.

#### 1.10 Exercises

exe:gr01

(1.10.1) Let R be a commutative ring and S a set. Then RS denotes the 'free R-module with basis S', the R-module of formal finite sums  $\sum_{i} r_{i} s_{i}$  with the obvious addition and R action. Show that this is indeed an R-module.

exe:gr1

(1.10.2) Let R be a commutative ring and G a finite group. Show that the multiplication in (1.11) makes RG a ring.

Hints: We need to show associativity. We have

$$\left(\left(\sum_{i} r_{i} g_{i}\right) \left(\sum_{j} r'_{j} g_{j}\right)\right) \left(\sum_{k} r''_{k} g_{k}\right) = \left(\sum_{ij} (r_{i} r'_{j}) (g_{i} g_{j})\right) \left(\sum_{k} r''_{k} g_{k}\right) = \sum_{ijk} ((r_{i} r'_{j}) r''_{k}) ((g_{i} g_{j}) g_{k})$$

$$(1.24) \quad \boxed{\text{groupalgmult2}}$$

and

$$\left(\sum_{i} r_{i} g_{i}\right) \left(\left(\sum_{j} r'_{j} g_{j}\right) \left(\sum_{k} r''_{k} g_{k}\right)\right) = \left(\sum_{i} r_{i} g_{i}\right) \left(\sum_{j k} (r'_{j} r''_{k}) (g_{j} g_{k})\right) = \sum_{i j k} (r_{i} (r'_{j} r''_{k})) (g_{i} (g_{j} g_{k}))$$

$$(1.25) \quad \boxed{\text{groupalgmult3}}$$

These are equal by associativity of multiplication in R and G separately.

(1.10.3) Show that RG is still a ring as above if G is a not-necessarily finite monoid and RG means the free module of finite support as above.

Hints: Multiplication in monoid G is also associative.

1.10. EXERCISES 45

ss:radical0001

#### 1.10.1 Radicals

Write  $J_R$  for the radical of ring R.

(1.10.4) A ring is *semiprime* if it has no nilpotent ideal.

(1.10.5) Theorem. A ring is left-semisimple if and only if every left ideal is a direct summand of the left regular module. ■

Show:

(1.10.6) THEOREM. If S a subring of ring R such that, regarded as an S-bimodule, R contains S as a direct summand, then R left-semisimple implies S is left-semisimple.

Hint:

Let S' be an S-bimodule complement of S in R: that is,  $R = S \oplus S'$  as an S-bimodule. (For example if  $R = \mathbb{C}$  and  $S = \mathbb{R}$  then we can take  $S' = \mathbb{R}z$  for any  $z \in \mathbb{C} \setminus \mathbb{R}$ .) If I is any left ideal of S then it is in particular a subset of R and RI makes sense as a left R-module, and hence as a left S-module by restriction. We claim  $RI = (S \oplus S')I = SI \oplus S'I = S \oplus S'I$  as a left S-module. Now S is a direct summand of S by left-semisimplicity, so S is a direct summand of S is a direct summand of S.

(1.10.7) Let G be a finite group of automorphisms of ring R. Write  $r^g$  for the image of  $r \in R$  under  $g \in G$ . Show that

$$R^G := \{ r \in R \mid r^g = r \ \forall \ g \in G \}$$

is a subring of R.

Show:

(1.10.8) THEOREM. Suppose that |G| is invertible in R. If R is semisimple Artinian (e.g. a semisimple algebra over a field) then  $R^G$  is semisimple Artinian.

Hints:

Show that  $J_R \cap R^G \subseteq J_{R^G}$ .

ss:whatcat

#### 1.10.2 What is categorical?

(1.10.9) Prove: Theorem. Let A be an Artinian algebra and I an ideal. Then A/I non-semisimple implies A non-semisimple.  $\blacksquare$ 

Solution: (There are many ways to prove this. Here is one close to the idea of indecomposable matrix representations.) If A/I non-semisimple then not every module is a direct sum of simple modules (by definition), so there are a pair of modules with a non-split extension between them. That is, there is a short exact sequence

$$0 \longrightarrow M' \stackrel{i}{\longrightarrow} M \stackrel{p}{\longrightarrow} M'' \longrightarrow 0$$

such that there is no sequence with the arrows reversed. This sequence, indeed any sequence involving these modules, is also 'in' A-mod via  $\psi:A\to A/I$ . Now suppose (for a contradiction) that there is a sequence in A-mod involving the images of these modules but with the arrows reversed. This means that some  $N\subset M$  obeys  $N\cong M''$  as an A-submodule of M, i.e. AN=N (keep in mind that the action of A on M and hence N comes by  $am=\psi(a)m$ , and the A/I-module property of M). But  $\psi$  is surjective, so every  $x\in A/I$  is  $\psi(a)$  for some a, so (A/I)N=AN=N

so N is also an A/I-submodule. This is a contradiction. Thus the original sequence is non-split in A-mod.

(1.10.10) Write  $\operatorname{Res}_{\psi}: A/I - \operatorname{mod} \to A - \operatorname{mod}$  for the functor associated to  $\psi: A \to A/I$ . Let B be any algebra. Note that given a sequence of B-module maps

$$L \xrightarrow{f} M \xrightarrow{g} N$$

there is, trivially, an underlying sequence of maps of these objects as abelian groups. The exactness property at M, im(f) = ker(g), is defined at the level of abelian groups. Thus the sequence is exact for any B if and only if it is exact at the level of abelian groups.

Use this to show that  $\mathrm{Res}_{\psi}$  is exact.