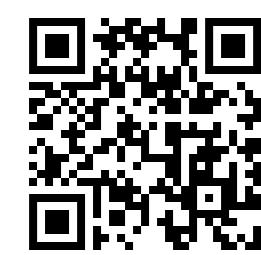


# THE PARAMETERIZED COMPLEXITY OF COMPUTING THE VC-DIMENSION



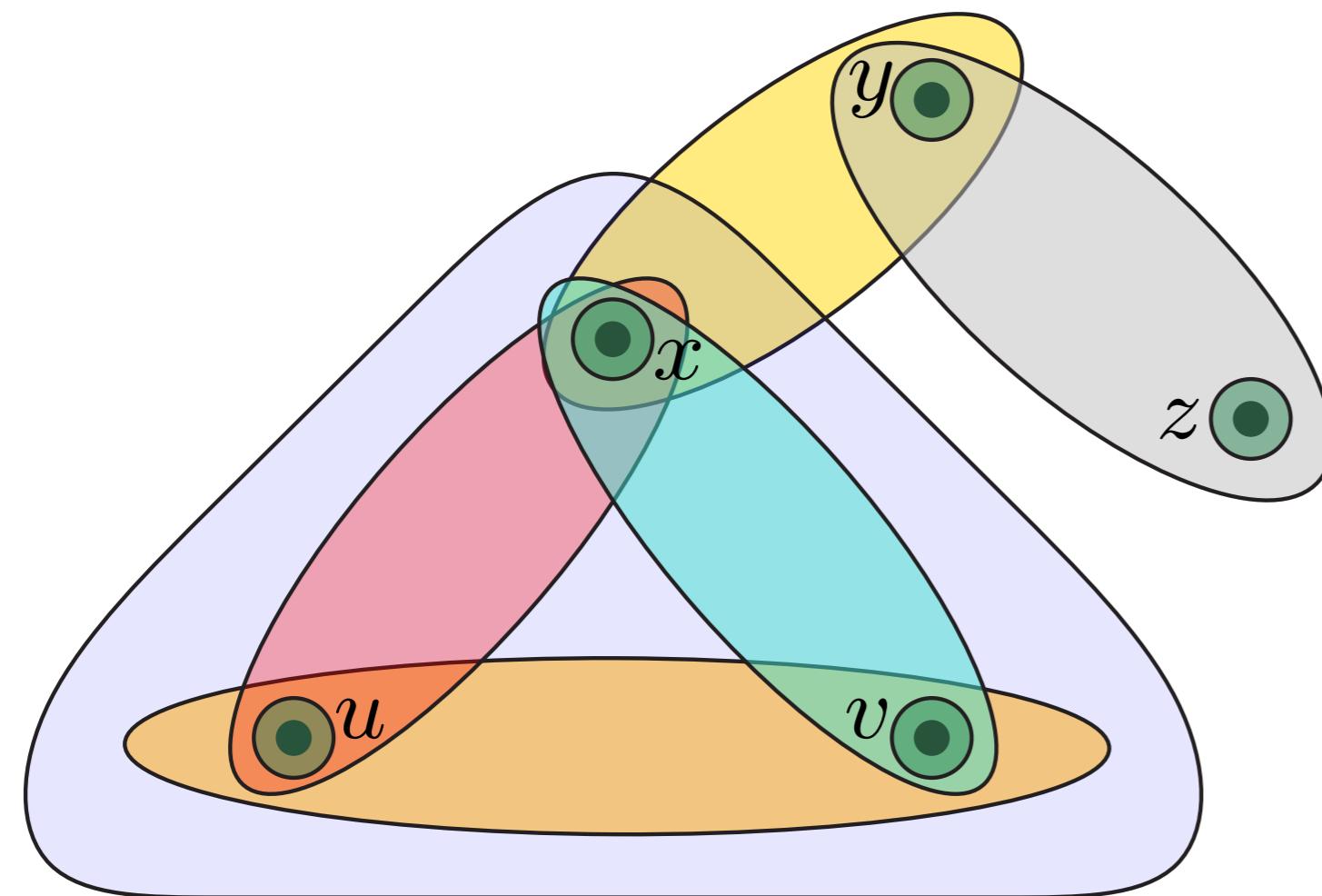
Florent Foucaud<sup>1</sup>, Harmender Gahlawat<sup>1</sup>, Fionn Mc Inerney<sup>2</sup>, and Prafullkumar Tale<sup>3</sup>

<sup>1</sup>Université Clermont Auvergne (France), <sup>2</sup>Telefónica Scientific Research (Spain), <sup>3</sup>IISER Pune (India)

## VC-DIMENSION

Given a non-empty finite set  $\mathcal{V}$  and a set system  $\mathcal{C} \subseteq 2^{\mathcal{V}}$ , the **VC-dimension** of  $\mathcal{C}$  is the size of a **largest** subset  $S \subseteq \mathcal{V}$  that is **shattered** by  $\mathcal{C}$ , i.e., such that  $\{C \cap S : C \in \mathcal{C}\} = 2^S$ .

Can be represented by a **hypergraph**  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$ :



The VC-dimension of  $\mathcal{H}$  is 3 and  $S = \{u, v, x\}$  is a shattered set:

$$\begin{array}{lll} \{y, z\} \cap S = \emptyset & \{u, v, x\} \cap S = \{u, v, x\} \\ \{u\} \cap S = \{u\} & \{v\} \cap S = \{v\} & \{x\} \cap S = \{x\} \\ \{u, v\} \cap S = \{u, v\} & \{u, x\} \cap S = \{u, x\} & \{x, v\} \cap S = \{x, v\} \end{array}$$

The VC-dimension is a fundamental complexity measure of set systems that is central to many areas of machine learning such as  **$\epsilon$ -nets**, **sample compression schemes**, and **machine teaching**.

Known results for VC-DIMENSION:

- LogNP-hard and, assuming the Exponential Time Hypothesis (ETH), cannot be solved in  $|\mathcal{H}|^{o(\log |\mathcal{H}|)}$  time (and this is tight) [Papadimitriou, Yannakakis, 1996];
- assuming the Gap-ETH, cannot be  $o(\log |\mathcal{H}|)$ -approximated in polynomial time [Manurangsi, 2023];
- W[1]-hard parameterized by  $k$  [Downey et al., 1993] or the degeneracy of  $\mathcal{H}$  [Drange et al., 2023].

## PROBLEM STATEMENTS

### VC-DIMENSION

**Input:** A hypergraph  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$  and  $k \in \mathbb{N}$ .

**Question:** Does there exist a subset  $S \subseteq \mathcal{V}$  such that  $|S| \geq k$  and  $\{S \cap e : e \in \mathcal{E}\} = 2^S$ ?

### GRAPH VC-DIMENSION

**Input:** A graph  $G = (V, E)$  and  $k \in \mathbb{N}$ .

**Question:** Does there exist a subset  $S \subseteq V$  such that  $|S| \geq k$  and  $\{S \cap N(v) : v \in V\} = 2^S$ ?

### GENERALIZED VC-DIMENSION (GEN-VC-DIM)

**Input:** A graph  $G = (V, E)$ , two subsets  $X, Y \subseteq V$ , and  $k \in \mathbb{N}$ .

**Question:** Does there exist a subset  $S \subseteq X$  such that  $|S| \geq k$  and  $\{S \cap N(y) : y \in Y\} = 2^S$ ?

## ACKNOWLEDGEMENTS

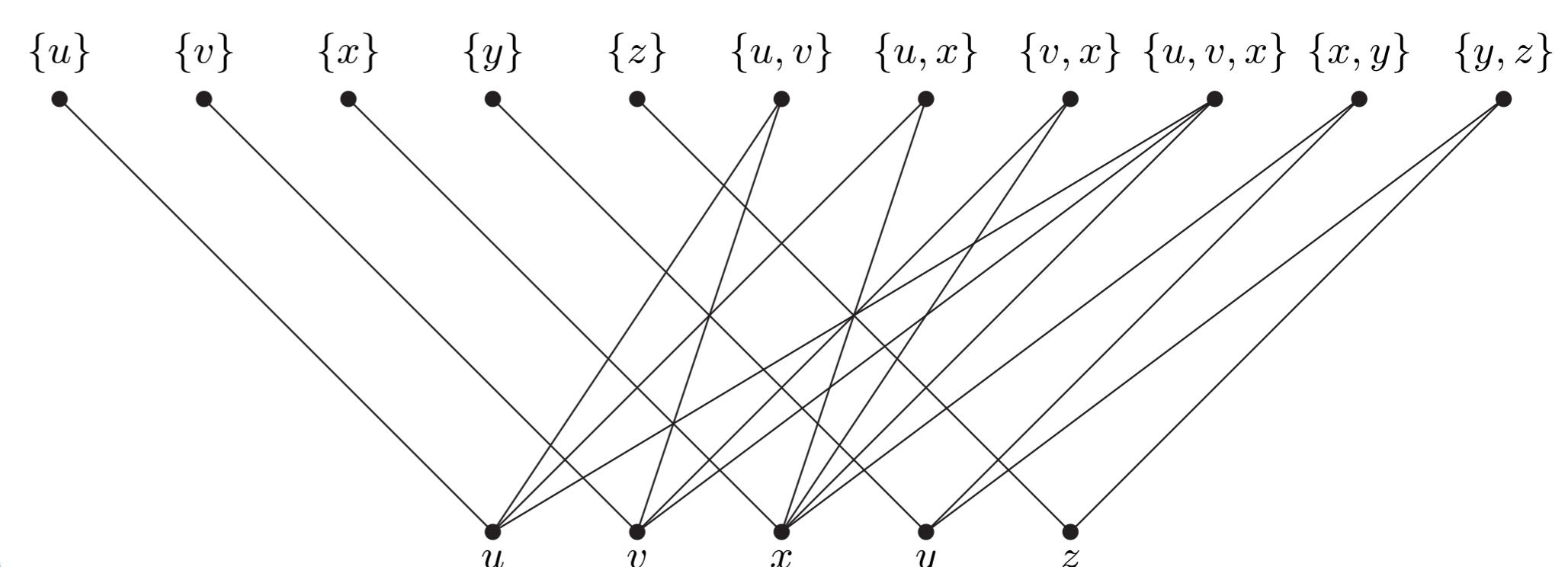


## VC-DIMENSION IN GRAPHS

Any finite set system or hypergraph  $\mathcal{H} = (\mathcal{V}, \mathcal{E})$  can be equivalently represented by a set of **open neighborhoods** in a **graph**  $G$ :

- for all  $e \in \mathcal{E}$ , there is a vertex  $x_e$
- for all  $v \in \mathcal{V}$ , there is a vertex  $v$
- $x_e$  is adjacent to  $v$  if and only if  $v \in e$
- the set of open neighborhoods is  $\{N(x_e) : e \in \mathcal{E}\}$

For example, the hypergraph on the left can be equivalently represented by the open neighborhoods of the vertices in the top part of the following bipartite graph:



## RESULTS

- Assuming the ETH, VC-DIMENSION does not admit an algorithm running in  $2^{o(|\mathcal{V}|)} \cdot |\mathcal{H}|^{\mathcal{O}(1)}$  time (and this is tight).
- $2^{\mathcal{O}(\Delta \log \Delta)} \cdot |\mathcal{H}|^{\mathcal{O}(1)}$  time 1-additive FPT approximation algorithm for VC-DIMENSION.
- $2^D \cdot |\mathcal{H}|^{\mathcal{O}(1)}$  time FPT algorithm for VC-DIMENSION.
- VC-DIMENSION is LogNP-hard, even if  $\mathcal{H}$  is a hypertree with transversal number 1.
- $2^{\mathcal{O}(\text{tw} \log \text{tw})} \cdot |\mathcal{V}|$  time FPT algorithm for GEN-VC-DIM.
- Assuming the ETH, GRAPH VC-DIMENSION does not admit an algorithm running in  $2^{o(\text{vcn}+k)} \cdot |\mathcal{V}|^{\mathcal{O}(1)}$  time.

ETH: Exponential Time Hypothesis

$|\mathcal{H}| := |\mathcal{V}| + |\mathcal{E}|$

$\Delta$ : maximum degree of  $\mathcal{H}$

$D$ : dimension of  $\mathcal{H}$

$\text{tw}$ : treewidth of  $G$

$\text{vcn}$ : vertex cover number of  $G$

## FUTURE DIRECTIONS

- Improve the FPT 1-additive approximation algorithm to an FPT algorithm for VC-DIMENSION parameterized by  $\Delta$ ?
- Close the gap between the  $2^{\mathcal{O}(\text{tw} \log \text{tw})} \cdot |\mathcal{V}|$  time FPT algorithm and the  $2^{o(\text{vcn}+k)} \cdot |\mathcal{V}|^{\mathcal{O}(1)}$  ETH-based lower bound for GEN-VC-DIM.
- Consider the setting in which the set system is defined by a circuit, which allows the input size to be dependent only on the size of the domain in some cases.