

## THEOREM

$$P \subseteq NP \subseteq EXP$$

### PROOF

#### $P \subseteq NP$

Proving that  $P \subseteq NP$  means showing that if  $L \in P$ , then  $L$  can be written as follows

$$L = \{x \in \{0,1\}^* \mid \exists y \in \{0,1\}^{p(|x|)}. M(x,y) = 1\}$$

where  $p$  is a polynomial and  $M$  is polytime computable. We can indeed choose  $p(n) = n$  and  $M$  as a polytime (deterministic) machine deciding  $L$ , which exists by hypothesis. This way, indeed

$$\begin{aligned} L &= \{x \in \{0,1\}^* \mid M(x) = 1\} = \\ &= \{x \in \{0,1\}^* \mid \exists y \in \{0,1\}^*. M(x,y) = 1\} \end{aligned}$$

#### $NP \subseteq EXP$

Suppose  $L \in NP$ . We need to prove that  $L \in EXP$ . Since  $L \in NP$ , there are a machine  $M$  and a polynomial  $p$  such that

$$L = \{x \in \{0,1\}^* \mid \exists y \in \{0,1\}^{p(|x|)}. M(x,y) = 1\}$$

We can decide  $L$  in exponential time as follows

INPUT:  $x \in \{0, 1\}^*$

FOREACH  $y \in \{0, 1\}^{P(|x|)}$  DO

- SIMULATE  $M(x, y)$  UNTIL IT PRODUCES A RESULT  $b$
- IF  $b = 1$  THEN RETURN 1.

RETURN 0

The fact that this algorithm is correct is self-evident. But how about its complexity? We need to be sure that is exponential.

- The FOR loop is executed at most  $e$  number of times equal  $2^{P(|x|)}$  [THIS IS THE CARDINALITY OF  $\{0, 1\}^{P(|x|)}$ ]
- Each iteration of the for loop takes time  $q(|x|)$  where  $q$  is a polynomial because  $M$  works in polynomial time and both its inputs are polynomially bounded. The runtime of the algorithm is bounded by

$$q(|x|) \cdot 2^{P(|x|)} \leq \underbrace{2^{\lg(q(|x|))}}_{\begin{cases} 2 \\ 2^{q(|x|)+P(|x|)} \end{cases}} \cdot 2^{P(|x|)}$$
$$\leq \underbrace{2^{\lg(q(|x|))+P(|x|))}}_{2^{q(|x|)+P(|x|)}} \cdot 2^{P(|x|)}$$

THIS EXPRESSION  
IS UPPER BOUNDED  
BY  $2^{hc}$  WHERE  
C IS "BIG ENOUGH"

THEOREM

$$NP = \bigcup_{c \in \mathbb{N}} \text{NTIME}(n^c)$$

DTM PROOF

The main idea is that nondeterministic choices can be seen or anticipated.

?) Suppose that  $L \in \bigcup_{c \in \mathbb{N}} \text{NTIME}(n^c)$ .

There are a NTM  $N$  and a polynomial  $p$  such that  $N$  decides  $L$  and  $N$  needs at most  $p(|x|)$  steps to handle any input  $x \in \{0, 1\}^*$ . We want to prove that  $L \in NP$  and thus we need to come up with a verifier and a length for certificates. The latter is just the  $p$  above! The certificate if for any  $x$ , in other words is the  $p(|x|)$ -long binary strings

mode of the sequence of choices  
N performs on input  $x$ .

The fact that this can be verified in polynomial time, comes from the fact that  $N$  can be simulated by a DETERMINISTIC Turing machine if the latter means how to resolve the nondeterministic choices:

$M(x, y)$  = SIMULATION OF  $N(x)$   
WHERE THE NONDET.  
CHOICES ARE RESOLVED  
AS FOR  $y$ .

$M$  is nothing more than the verifier you need, i.e.

$$L = \{x \in \{0,1\}^* \mid \exists y \in \{0,1\}^{P(|x|)} . M(x, y) = 1\}$$

$\Leftarrow$ ) Suppose now that  $L \in NP$ .  
This means that

$$L = \{x \in \{0,1\}^* \mid \exists y \in \{0,1\}^{P(|x|)} . M(x, y) = 1\}$$

where  $M$  is polytime. Then we can construct a NDTM  $N$  which decides  $L$ :

- First of all,  $N$  on input  $x$ , takes advantage of its nondeterministic features and build any possible  $y \in \{0, 1\}^{P(|x|)}$  nondeterministically. It can obs that in time  $P(|x|)$
- this way, all possible values of  $y$  are produced, each of them in a distinct nondeterministic branch
- $N$ , then, having both  $x$  and a candidate certificate  $y$ , it can simulate  $M$  on input  $(x, y)$ , without using its nondeterministic features, and if  $M$  returns 1,  $N$  halts in  $q_{\text{ACCEPT}}$ , otherwise it rejects the input  $x$ .
- The fact that  $N$ , designed this way, is correct, comes easily from the correctness of  $M$ .

The overall runtime of  $N$  is at most  $p(|x|) + q(|x|)$ , where  $q$  is a polynomial bounding the runtime of  $M$

IT IS STILL A POLYNOMIAL, SO  $N$  WORKS IN POLYNOMIAL TIME, TOO!

### THEOREM

1.  $\leq_P$  IS A PRE-ORDER
2. If a language  $L$  is NP-HARD and  $L \in P$ , then  $P=NP$
3. If a language  $L$  is NP-COMPLETE, then  $L \in P$  iff  $P=NP$ .

### PROOF.

#### 1 → EXERCISE

2. Suppose  $L$  is NP-HARD and in  $P$ . Let us prove that  $P=NP$ . The only thing we need to prove is that  $NP \subseteq P$ . Let  $H$  be any language in NP. Since  $L$  is NP-HARD, then by definition

$H \leq_P L$ . We can then thus solve any instance of  $H$  in polynomial time simply by going through  $L$ .  $\Rightarrow H \in P$  ✓

3. Suppose  $L$  is NP-complete.  
We want to prove that  $L \in P$  iff  
 $P = NP$ .

$\Rightarrow)$  If  $L \in P$  and  $L$  is NP-complete, then any language  $H$  in NP is such that  $H \leq_p L$  and since  $L \in P$ ,  $H$  is itself in P. Or a consequence,  $P = NP$

$\Leftarrow)$  Suppose that  $P = NP$ . We want to prove that  $L$  is in P. But  $L$  by hypothesis is NP-complete, thus in  $NP = P$ , then  $L \in P$ .  $\checkmark$