

## Representation Classes

- ▶ In our definition of efficient PAC learning, the algorithm  $\mathcal{A}$ , having no access to the target concept  $c \in \mathcal{C}$ , must work in polynomial **time independently on  $c$** .

# Representation Classes

- ▶ In our definition of efficient PAC learning, the algorithm  $\mathcal{A}$ , having no access to the target concept  $c \in \mathcal{C}$ , must work in polynomial time **independently** on  $c$ .
  - ▶ We assume concepts in  $\mathcal{C}$  can be represented by way of binary strings, and each concept  $e \in \mathcal{C}$  requires  $size(e)$  bits. We talk of a **representation class**.

# Representation Classes

- ▶ In our definition of efficient PAC learning, the algorithm  $\mathcal{A}$ , having no access to the target concept  $c \in \mathcal{C}$ , must work in polynomial time **independently** on  $c$ .
  - ▶ We assume concepts in  $\mathcal{C}$  can be represented by way of binary strings, and each concept  $e \in \mathcal{C}$  requires  $size(e)$  bits. We talk of a **representation class**.
- ▶ Examples
  - ▶  $X_n$  could be  $\{0, 1\}^n$ , the set of **boolean vectors** of (fixed!) length  $n$ , and  $\mathcal{C}_n$  is the set of all subsets of  $\{0, 1\}^n$  **represented by CNFs**.
  - ▶  $X_n$  could rather be  $\mathbb{R}^n$ , the set of **vectors of real numbers** of length  $n$ , while  $\mathcal{C}_n$  are say, the subsets of  $\mathbb{R}^n$  *represented by some form of neural network* with  $n$  inputs and 1 output.

# Representation Classes

- ▶ In our definition of efficient PAC learning, the algorithm  $\mathcal{A}$ , having no access to the target concept  $c \in \mathcal{C}$ , must work in polynomial time **independently** on  $c$ .
  - ▶ We assume concepts in  $\mathcal{C}$  can be represented by way of binary strings, and each concept  $e \in \mathcal{C}$  requires  $size(e)$  bits. We talk of a **representation class**.
- ▶ Examples
  - ▶  $X_n$  could be  $\{0, 1\}^n$ , the set of **boolean vectors** of (fixed!) length  $n$ , and  $\mathcal{C}_n$  is the set of all subsets of  $\{0, 1\}^n$  *represented by CNFs*.
  - ▶  $X_n$  could rather be  $\mathbb{R}^n$ , the set of **vectors of real numbers** of length  $n$ , while  $\mathcal{C}_n$  are say, the subsets of  $\mathbb{R}^n$  *represented by some form of neural network* with  $n$  inputs and 1 output.
- ▶ In many cases (e.g. SGD), one has a *single* learning algorithm that work for every value of  $n$ . In that case, we allow (in the definition of efficient PAC learning) the algorithm  $\mathcal{A}$  to take time polynomial in  $n$ ,  $size(c)$ ,  $\frac{1}{\epsilon}$  and  $\frac{1}{\delta}$ .

## Boolean Functions as a Representation Class

- ▶ Suppose your instance class is  $X = \cup_{n \in \mathbb{N}} X_n$  where  $X_n = \{0, 1\}^n$ .

# Boolean Functions as a Representation Class

- ▶ Suppose your instance class is  $X = \cup_{n \in \mathbb{N}} X_n$  where  $X_n = \{0, 1\}^n$ .
- ▶ One **first example** of a representation class for  $X_n$  is the class  $\mathbf{CL}_n$  of all *conjunctions of literals* on the variables  $x_1, \dots, x_n$ .
  - ▶ As an example, the conjunction

$$x_1 \wedge \neg x_2 \wedge x_4,$$

defines a subset of  $\{0, 1\}^4$ .

- ▶ *Not all* subsets of  $\{0, 1\}^n$  can be captured.

# Boolean Functions as a Representation Class

- ▶ Suppose your instance class is  $X = \cup_{n \in \mathbb{N}} X_n$  where  $X_n = \{0, 1\}^n$ .
- ▶ One **first example** of a representation class for  $X_n$  is the class  $\mathbf{CL}_n$  of all *conjunctions of literals* on the variables  $x_1, \dots, x_n$ .
  - ▶ As an example, the conjunction

$$x_1 \wedge \neg x_2 \wedge x_4,$$

defines a subset of  $\{0, 1\}^4$ .

- ▶ *Not all* subsets of  $\{0, 1\}^n$  can be captured.
- ▶ A **second example** of a representation class for  $X$  is a class we know, namely the class  $\mathbf{CNF}_n$  of CNFs over  $x_1, \dots, x_n$ , which are conjunction *of disjunctions* of literals.
  - ▶ CNFs are normal forms of any boolean functions.
  - ▶ *All* subsets of  $\{0, 1\}^*$  can be captured this way.
  - ▶ We could even consider  $k\mathbf{CNF}_n$  rather than arbitrary one, but this way we would lose universality.

## Learning Conjunctions of Literals

- ▶ Suppose your target concept is a conjunction of literals  $c$  on  $n$  variables  $x_1, \dots, x_n$ . How could a learning algorithm proceed?



## Learning Conjunctions of Literals

- ▶ Suppose your target concept is a conjunction of literals  $c$  on  $n$  variables  $x_1, \dots, x_n$ . How could a learning algorithm proceed?
- ▶ Data are in the form  $(\mathbf{s}, \mathbf{b})$  where  $s \in \{0, 1\}^n$  and  $b \in \{0, 1\}$ . The latter is a label telling us whether  $s \in c$  or  $s \notin c$ .
- ▶ A learning algorithm could proceed by keeping a conjunction of literals  $h$  as its state, initially set to

$$x_1 \wedge \neg x_1 \wedge x_2 \wedge \neg x_2 \wedge \dots \wedge x_n \wedge \neg x_n.$$

and updating it according to positive data (while negative data are discarded).

- ▶ If  $n = 3$ , the current state of  $h$  is  $x_1 \wedge x_2 \wedge \neg x_2 \wedge \neg x_3$  and we receive  $(101, 1)$ , the hypothesis  $h$  is updated as  $x_1 \wedge \neg x_2$ .

# Learning Conjunctions of Literals

- ▶ Suppose your target concept is a conjunction of literals  $c$  on  $n$  variables  $x_1, \dots, x_n$ . How could a learning algorithm proceed?
- ▶ Data are in the form  $(s, b)$  where  $s \in \{0, 1\}^n$  and  $b \in \{0, 1\}$ . The latter is a label telling us whether  $s \in c$  or  $s \notin c$ .
- ▶ A learning algorithm could proceed by keeping a conjunction of literals  $h$  as its state, initially set to

$$x_1 \wedge \neg x_1 \wedge x_2 \wedge \neg x_2 \wedge \dots \wedge x_n \wedge \neg x_n.$$

and updating it according to positive data (while negative data are discarded).

- ▶ If  $n = 3$ , the current state of  $h$  is  $x_1 \wedge x_2 \wedge \neg x_2 \wedge \neg x_3$  and we receive  $(101, 1)$ , the hypothesis  $h$  is updated as  $x_1 \wedge \neg x_2$ .

## Theorem

*The representation class of boolean conjunctions of literals is efficiently PAC-learnable.*

# Intractability of Learning DNFs

- ▶ We know that conjunctions of literals are efficiently learnable. But they are highly incomplete as a way to represent boolean functions.

# Intractability of Learning DNFs

- ▶ We know that conjunctions of literals are efficiently learnable. But they are highly incomplete as a way to represent boolean functions.
- ▶ Let us take a look at a *slight generalization* of conjunctions of literals as a representation class.
  - ▶ A **3-term DNF formula** over  $n$  bits is a propositional formula in the form  $T_1 \vee T_2 \vee T_3$ , where each  $T_i$  is a conjunction of literals over  $x_1, \dots, x_n$ .
  - ▶ In a sense, this class is the *dual* to 3CNFs!
  - ▶ As such, it is more expressive than conjunctions of literals, but still not universal.

# Intractability of Learning DNFs

- ▶ We know that conjunctions of literals are efficiently learnable. But they are highly incomplete as a way to represent boolean functions.
- ▶ Let us take a look at a *slight generalization* of conjunctions of literals as a representation class.
  - ▶ A **3-term DNF formula** over  $n$  bits is a propositional formula in the form  $T_1 \vee T_2 \vee T_3$ , where each  $T_i$  is a conjunction of literals over  $x_1, \dots, x_n$ .
  - ▶ In a sense, this class is the *dual* to 3CNFs!
  - ▶ As such, it is more expressive than conjunctions of literals, but still not universal.

## Theorem

If  $\mathbf{NP} \neq \mathbf{RP}$ , then the representation class of 3-term DNF formulas is not efficiently PAC learnable.

Is This the End of the Story?

## Is This the End of the Story?

- ▶ **Definitely No!** Actually, we have just *scratched the surface* of computational learning theory.

# Is This the End of the Story?

- ▶ **Definitely No!** Actually, we have just *scratched the surface* of computational learning theory.
- ▶ Models and results we did not have time to talk about include:
  - ▶ The VC Dimension.
  - ▶ The Fundamental Theorem of Learning.
  - ▶ The No-Free-Lunch Theorem.
  - ▶ Occam's Razor.
  - ▶ Positive and negative results about neural networks.
  - ▶ ...
- ▶ More information can be found in of the many excellent books on CLT, e.g.
  - ▶ Mehryar Mohri, Afshin Rostamizadeh, and Ameet Talwalkar. *Foundations of Machine Learning* Second Edition. The MIT Press. 2018
  - ▶ Shai Shalev-Shwartz and Shai Ben-David. *Understanding Machine Learning: from Theory to Algorithms* Cambridge University Press. 2014.
  - ▶ Michael Kearns and Umesh Vazirani. *An Introduction to Computational Learning Theory* The MIT Press. 1994.



## Example Results about Neural Networks (from Kearns and Vazirani's Book)

**Theorem 3.7** *Let  $G$  be any directed acyclic graph, and let  $C_G$  be the class of neural networks on an architecture  $G$  with indegree  $r$  and  $s$  internal nodes. Then the number of examples required to learn  $C_G$  is*

$$O\left(\frac{1}{\epsilon} \log \frac{1}{\delta} + \frac{(rs + s) \log s}{\epsilon} \log \frac{1}{\epsilon}\right).$$

## Example Results about Neural Networks (from Kearns and Vazirani's Book)

**Theorem 3.7** *Let  $G$  be any directed acyclic graph, and let  $\mathcal{C}_G$  be the class of neural networks on an architecture  $G$  with indegree  $r$  and  $s$  internal nodes. Then the number of examples required to learn  $\mathcal{C}_G$  is*

$$O\left(\frac{1}{\epsilon} \log \frac{1}{\delta} + \frac{(rs + s) \log s}{\epsilon} \log \frac{1}{\epsilon}\right).$$

**Theorem 6.6** *Under the Discrete Cube Root Assumption, there is fixed polynomial  $p(\cdot)$  and an infinite family of directed acyclic graphs (architectures)  $G = \{G_{n^2}\}_{n \geq 1}$  such that each  $G_{n^2}$  has  $n^2$  boolean inputs and at most  $p(n)$  nodes, the depth of  $G_{n^2}$  is a fixed constant independent of  $n$ , but the representation class  $\mathcal{C}_G = \cup_{n \geq 1} \mathcal{C}_{G_{n^2}}$  (where  $\mathcal{C}_{G_{n^2}}$  is the class of all neural networks over  $\mathbb{R}^{n^2}$  with underlying architecture  $G_{n^2}$ ) is not efficiently PAC learnable (using any polynomially evaluable hypothesis class). This holds even if we restrict the networks in  $\mathcal{C}_{G_{n^2}}$  to have only binary weights.*

Thank You!

Questions?