Transformer-based Ranking Approaches for Keyword Queries over Relational Databases

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Abstract

Relational Keyword Search (R-KwS) systems enable naive/informal users to explore and retrieve information from relational databases without requiring schema knowledge or query-language proficiency. Although numerous R-KwS methods have been proposed, most still focus on queries referring only to attribute values or primarily address performance enhancements, providing limited support for queries referencing schema elements. We previously introduced Lathe, a system that accommodates schema-based keyword queries and employs an eager CJN evaluation strategy to filter out spurious Candidate Joining Networks (CJNs). However, Lathe still faces challenges in accurately ranking CJNs when queries are ambiguous. In this work, we propose a new transformer-based ranking approach that provides a more contextaware evaluation of Query Matches (QMs) and CJNs. Our solution introduces a linearization process to convert relational structures into textual sequences suitable for transformer models. It also includes a data augmentation strategy aimed at handling diverse and ambiguous queries more effectively. Experimental results, comparing our transformer-based ranking to Lathe's original Bayesian-based method, show significant improvements in recall and R@k, demonstrating the effectiveness of our neural approach in delivering the most relevant query results.

1 Introduction

Keyword Search over Relational Databases (R-KwS) enables naive/informal users to retrieve information from relational databases (DBs) without any knowledge about schema details or query languages. The success of search engines shows that untrained users are at ease using keyword search to find information of interest. However, enabling users to search relational DBs using keyword queries is a challenging task, because the information sought frequently spans multiple relations and attributes, depending on the schema design of the underlying DB. As a result, R-KwS systems must determine which pieces of information to retrieve from the database. They must also figure out how to connect these pieces to provide a relevant answer to the user.

Over the last two decades, the R-KwS was extensively studied in academia, which lead to several improvements in performance and effectiveness. A wellknown approach for R-KwS is to generate Candidate Joining Networks (CJNs), which are networks of joined database relations that are translated into SQL queries whose results provide an answer to the input keyword query. The first algorithm for CJN generation is CNGen, which was first presented in the DIS-COVER system [1], but was later adopted by most R-KwS systems [2-5]. Despite the possible large number of CJNs, most works in the literature focused on improving CJN evaluation and ranking the returned results from the database, which can be seen as Joining Networks of Tuples (JNTs), instead. Specifically, DIS-COVER II [3], SPARK [4], and CD [5] used information retrieval (IR) style score functions to rank the top-K JNTs. KwS-F [6] imposed a time limit for the evaluation of CINs, returning potentially partial results, as well as a summary of CINs that have yet to be evaluated. Later, CNRank [7] introduced a CJN ranking, requiring only the top-ranked CJNs to be evaluated. MatCNGen [8, 9] proposed a novel method for generating CJNs that efficiently enumerated the possible matches for the query in the DB. These Query Matches (QMs) are then used to guide the CJN generation process, greatly decreasing the number of CJNs generated and improving the performance of the CIN evaluation.

Building on these foundations, we previously proposed *Lathe* [10, 11], a state-of-the-art R-KwS system. Lathe expanded the scope of keyword queries by allowing them to reference both attribute values and schema elements, such as, relation and attribute names. It also introduced an *eager CJN evaluation* mechanism, ensuring that all generated CJNs yield non-empty results, thereby improving the relevance and efficiency of query processing. Despite these advancements, Lathe still struggles to consistently place the most relevant CJNs at the top of the ranking, especially when queries are ambiguous. This limitation highlights the need for more robust and context-aware ranking techniques.

Recent breakthroughs in Natural Language Processing (NLP), particularly transformer-based models, have demonstrated remarkable success in capturing nuanced semantic relationships and contextual cues across various ranking and retrieval tasks. Their adaptability suggests that these models could similarly

improve R-KwS systems, where complexity and ambiguity pose persistent challenges. However, integrating transformer-based techniques requires bridging the gap between textual language models and the structured, tabular representations of QMs and CJNs. To address this issue, we propose converting QMs and CJNs into text-like representations through a linearization process, making them suitable inputs for sentence transformers. Moreover, to supply the required training data in a suitable way, we propose a data augmentation strategy for fine-tuning, enabling the model to generalize more effectively and handle diverse, ambiguous queries.

Our approach transforms Lathe's ranking pipeline, moving beyond heuristic or Bayesian methods. By leveraging transformer-based models, we introduce a richer, more context-sensitive mechanism for identifying the most relevant QMs and CJNs. This approach enhances the overall ranking quality, improves key metrics such as Recall and R@k, and ultimately helps overcome Lathe's prior limitations.

In summary, our main contributions are: (i) the introduction of a transformer-based ranking approach that enriches Lathe, a state-of-the-art R-KwS system, with a more context-aware understanding of QMs and CJNs; (ii) the development of a linearization strategy to represent QMs and CJNs as textual sequences suitable for input to sentence transformers; (iii) the implementation of a data augmentation strategy that improves model fine-tuning and enables better handling of ambiguous queries; and (iv) empirical evidence showing that our transformer-based ranking significantly outperforms the original Lathe and other advanced R-KwS methods, leading to improved effectiveness in surfacing the most relevant results.

This paper is organized as follows. Section 2 surveys related work on relational keyword search systems and transformer-based approaches for tabular data. Section 3 provides an overview of the Lathe framework, including keyword matching, query matching, and CJN generation and ranking steps. In Section 4, we present our transformer-based approach for Query Match (QM) ranking, and in Section 5, we detail how transformers are employed to improve Candidate Joining Network (CJN) ranking. Section 6 introduces our data augmentation strategies, designed to enhance the model's robustness and generalization capabilities. Next, Section 7 reports our experimental results and evaluates the effectiveness of our methods. Finally, Section 8 concludes by summarizing our contributions and discussing potential avenues for future research.

2 Background and Related Work

In this chapter, we discuss the background and related work on keyword search systems over relational databases, focusing on approaches based on Schema Graphs, and on deep neural networks (DNNs) applied to tabular data. For a more

comprehensive view of the state-of-the-art in keyword-based and natural language queries over databases, we refer the interested reader to a survey on this matter [12].

2.1 Relational Keyword Search Systems

Current Relational Keyword Search (R-KwS) systems generally fall into two distinct categories: those based on *Schema Graphs* and those based on *Instance Graphs*.

Instance Graph-based Systems.

Systems like BANKS [13], BANKS-II [14], BLINKS [15], and Effective [16] model the database as an *Instance Graph*, where nodes represent tuples and edges connect tuples related by foreign keys. These systems answer keyword queries by finding small subgraphs (or subtrees) that contain all the query keywords, minimizing some distance measure. They typically merge the tuple-retrieval and answer-structure steps, returning results in one go. While this approach can be intuitive, it often ignores the explicit structural information provided by the database schema and can incur higher computational costs due to materialization or graph-based indexing overheads.

Schema Graph-based Systems.

Systems in this category rely on the database schema to guide the search process. They generate *Candidate Joining Networks* (CJNs), which are networks of relations connected by join conditions, and translate these CJNs into SQL queries. The execution of these queries returns *Joining Networks of Tuples* (JNTs), which constitute the final results delivered to the user. This approach was initially proposed by DISCOVER [1] and DBXplorer [2] and was subsequently adopted by DISCOVER-II [3], SPARK [4], CD [5], KwS-F [6], CNRank [7], MatCNGen [8, 9], and Lathe.

The best-known algorithm for CJN generation, CNGen, was introduced in DIS-COVER [1] and later adopted as default in most R-KwS systems. Although CNGen guarantees a complete, non-redundant set of CJNs, it often produces a large number of them, leading to high computational costs in generation and evaluation. Subsequent works addressed these challenges in various ways. DISCOVER-II [3], SPARK [4], and CD [5] introduced IR-style scoring functions to rank JNTs, improving the relevance of top-K results. KwS-F [6] tackled scalability by imposing time limits and allowing partial results. CNRank [7] reduced evaluation costs by ranking CJNs themselves, thus evaluating only the most promising candidates. MatCNGen [8, 9] further optimized CJN generation by considering QMs, significantly decreasing the total number of CJNs and improving performance.

Lathe [10, 11], building upon these advances, offered a more efficient CJN generation process and introduced an eager CJN evaluation mechanism, ensuring that all evaluated CJNs return non-empty results. Although Lathe represents the state-of-the-art in this lineage, it still encounters difficulties in consistently identifying and ranking the most relevant CJNs at the top—especially in ambiguous query scenarios. This limitation highlights the need for context-aware ranking methods that capture subtle relationships in the data and query structures.

Coffman & Weaver [17] proposed a framework for evaluating and comparing R-KwS systems, providing standardized datasets (MONDIAL, IMDb, Wikipedia) and query workloads. This framework has been widely adopted in subsequent studies on R-KwS [4, 7–9, 18], including those evaluating Lathe and its predecessors.

2.2 Deep Neural Networks and Tabular Data

Deep neural networks (DNNs) have revolutionized the field of machine learning, achieving remarkable success in various domains such as images, audio, and text [19]. However, their application to tabular data, which is characterized by a mix of numerical and categorical features, presents unique challenges. The heterogeneous nature of tabular data complicates the direct application of DNNs, prompting the development of specialized approaches to better handle this data type [20].

Recent advancements in DNNs for tabular data can be categorized into three main groups: data transformations, specialized architectures, and regularization models [21]. Data transformation techniques convert tabular data into formats more suitable for neural networks, often employing sophisticated encoding strategies for categorical variables. Specialized architectures, including hybrid models and transformer-based approaches, are designed to leverage the unique characteristics of tabular data. Regularization models focus on preventing overfitting and enhancing the generalization capabilities of DNNs.

Among these, specialized architectures form the largest group of approaches. Transformer-based approaches [22] have been adapted for tabular data, using attention mechanisms to handle diverse features [23].

An important problem in this domain is table retrieval, which involves identifying the most relevant table from a set of tables given a specific query [24, 25]. This task is crucial for finding tables that can answer a given question or provide relevant information. Considering that Candidate Joining Networks (CJNs) can be interpreted as database views, the ranking of CJNs can be seen as a variant of the table retrieval problem. Both tasks require understanding and matching the structure and content of tables or views to a given query or information need.

Several transformer-based systems have been developed to handle table retrieval effectively [26]. TaBERT [27] integrates natural language text with structured tabular data by linearizing table structures and introducing content

snapshots. Linearization is necessary because transformer models are traditionally designed to process unstructured data like text, so the structured rows and columns of a table must be converted into a serialized sequence format. Stru-BERT [28] builds on TaBERT by incorporating horizontal self-attention, leading to improvements in table retrieval and similarity tasks. TAPAS [29] extends the BERT model to jointly encode table structures and questions, facilitating various operations directly within the table context.

While table retrieval systems like TaBERT, StruBERT, and TAPAS primarily handle single tables, CJNs span different database tables, making the retrieval and ranking tasks more complex. This complexity highlights the need for specialized approaches to manage multi-table scenarios effectively, pushing the boundaries of what current transformer-based systems can achieve.

More recently, models like Table-GPT, TableLlama, and TableLLM have been proposed to advance the state of tabular data processing by leveraging large language models (LLMs). Table-GPT [30] adapts the GPT architecture specifically for table tasks, demonstrating significant improvements in tasks such as table question answering, table-to-text generation, and table-based data augmentation. TableLlama [31] focuses on creating open, large generalist models that handle a wide variety of table-related tasks. TableLLM [32] targets real office usage scenarios, enabling LLMs to perform complex tabular data manipulations, including table joining, filtering, and aggregation.

Despite these advancements, LLMs like Table-GPT, TableLlama, and TableLLM face limitations. One significant drawback is their computational complexity and resource requirements, often requiring substantial data and computational power for training and inference, which can be impractical for many real-world applications. Additionally, while LLMs excel at handling specific tasks they are fine-tuned for, they may struggle with tasks involving intricate relationships across multiple tables, such as those required for CJN ranking. The integration of multiple data sources and the complexity of understanding the combined semantics of these sources remain challenging for current LLMs, highlighting the need for further advancements in this area.

3 Lathe Overview

This section provides an overview of the Lathe system, which was previously presented elsewhere [10, 11]. Here, we outline the existing challenges in the original version of Lathe and introduce the solutions we propose in this paper to address these issues. These enhancements aim to improve both the accuracy and efficiency of the system, advancing its utility for relational keyword search.

To guide our discussion, we illustrate in Figure ${\bf 1}$ a simplified excerpt from the well-known IMDB $^{\bf 1}$.

¹Internet Movie Database https://www.imdb.com/interfaces/

PERSON

ID	Name	
1	Will Smith	
2	Will Theakston	
3	Maggie Smith	
4	Sean Bean	
5	Elijah Wood	
6	Angelina Jolie	

MOVIE

ID	Title	Year
7	Men in Black	1997
8	I am Legend	2007
9	Harry Potter and the Sorcerer's Stone	2001
10	The Lord of the Rings: The Fellowship of the Ring	2001
11	The Lord of the Rings: The Return of the King	2003
12	Mr. & Mrs. Smith	2005

CHARACTER

ID	Name
13	Agent J
14	Robert Neville
15	Marcus Flint
16	Minerva McGonagall
17	Boromir
18	Frodo Baggins
19	Jane Smith
	•

ROLE		
ID	Name	
20	Actor	
21	Actress	
22	Producer	
23	Writer	

Director

Editor

24

25

CASTING

CASTING				
ID	PID	MID	ChID	RID
26	1	7	13	20
27	1	8	14	20
28	2	9	15	20
29	3	9	16	21
30	4	10	17	20
31	4	11	17	20
32	5	10	18	20
33	5	11	18	20
34	6	12	19	21

 $\textbf{Fig. 1} \ \ \textbf{A} \ \text{simplified excerpt from IMDB}$

Consider a user keyword query Q= "will smith films" to list the movies in which Will Smith appears. Intuitively, the terms "will" and "smith" are likely to match the contents of a relation from the DB, whereas "films" may match the name of a relation or attribute.

As other previous methods in the literature (e.g., CNGen [1] and MatCNGen [8, 9]), the main goal of Lathe is, given a query such as Q, generating a SQL query that, when executed, fulfills the information needed for the user. The difference between Lathe and these previous methods is that they are not able to handle references to schema elements, such as "films" in Q.

For query Q, two of the possible SQL queries that would be generated are presented in Figures 2 (a) (S_1) and (b) (S_2) , whose respective results for the database of Figure 1 are presented in Figures 2(c) and (d). In the query S_1 , the keywords "will" and "smith" match the value of a single tuple of relation PERSON, while the keyword "films" matches the name of the relation MOVIE. As a result, S_1 retrieves the movies which the person Will Smith was in, and thus, satisfies the original user intent. As for query S_2 , the keywords "will" and "smith" match values of two different tuples in relation PERSON, that is, they refer to two different persons. The keyword "films" matches the name of the relation MOVIE again. Therefore, S_2 retrieves movies in which two different persons, whose names respectively include the terms "will" and "smith", participated in. In these case, the persons are Will Theakston and Maggie Smith.

As this example indicates, there may be several plausible SQL queries related to a given keyword query. Therefore, it is necessary to decide which alternative is more likely to fulfill the user intent. This task is also carried out by Lathe.

SELECT m.title, p.name
FROM person p
JOIN casting c ON p.id=c.person_id
JOIN movie m ON m.id = c.movie_id
WHERE p.name ILIKE '%will%'
AND p.name ILIKE '%smith%';

FROM person p1
JOIN casting c1 ON p1.id=c1.person_id
JOIN movie m ON m.id = c1.movie_id
JOIN casting c2 ON m.id = c2.movie_id
JOIN person p2 ON p2.id=c2.person_id
WHERE p1.name ILIKE '%will%'
AND p2.name ILIKE '%smith%'
AND p1.id<>p2.id;
(b)

SELECT m.title, p1.name, p2.name

m.title	p.name	
Men in Black	Will Smith	
I am Legend	Will Smith	
(c)		

(a)

m.title	p1.name	p2.name
Harry Potter and the	Will Theaskton	Maggie Smith
Sorcerer's Stone		
	(d)	•

Fig. 2 SQL queries generated for the keyword query "will smith movies" and their returned results.

Next, we present an overview of the components and the functioning of Lathe.

3.1 System Architecture

Figure 3 illustrates the main phases that comprise the operation of Lathe. The process begins with an input keyword query posed by the user and includes the steps described in the following.

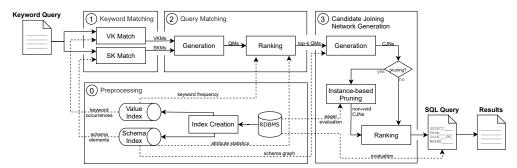


Fig. 3 Main phases and architecture of Lathe

Preprocessing (0)

Prior to the execution of the keyword queries, Lathe performs a setup step, in which the system creates two data structures: the *Value Index* and the *Schema Index*, which respectively, store information about the values of the attributes and the database schema elements. These indexes are used to retrieve keyword matches, score QMs and CJNs, and build the graph of the database schema. Typically, the indexes do not need to be updated often.

Keyword Matching (1)

In this step, the system first performs a data cleansing on the query, removing stopwords, punctuation, and changing capitalization. Then, it attempts to associate each of the keywords from the query with a database schema element based on the names of relations and attributes, or the values of the attributes. The system then generates sets of $Value-Keyword\ Matches\ (VKMs)$, which associate keywords with sets of tuples whose attribute values contain these keywords, and $Schema-Keyword\ Matches\ (SKMs)$, which associate keywords with names of relations or attributes based on similarity functions from the lexical database WordNet [33]. Using the previously constructed $Value\ Index\ and\ Schema\ Index\ these\ matches\ are\ efficiently\ retrieved\ allowing\ the\ system\ to\ map\ keywords to\ relevant\ tuples\ and\ schema\ elements\ quickly\ without\ further\ interaction\ with\ the\ DBMS. We\ represent\ a\ keyword\ match\ using\ the\ following\ notation: <math>R^X[A_1^{K_1},\ldots,A_n^{K_n}]$, where R is a database relation, A_i is an attribute from R, K_i is the set of keywords associated with A_i , and $X \in \{X,V\}$ indicates if the association targets schema\ elements\ or\ attributes\ values\ respectively.

In Table 1 we show possible matches between keywords in the input query and the database elements. For example, the keywords "will smith" are found together in the values of the attribute name of the PERSON relation. The keyword "will" is also found alone in the values of PERSON.name, which is the case of the person Will Theakston present in instance shown in Figure 1. The term "smith" can refer to either the name of a person, the name of a character or even the title of a movie, in this case "Mr. & Mrs. Smith". Since these keywords are part of attribute values, these matches are considered VKMs. In the case of the keyword "films", it actually matches the name of the Movie relation, which is why in Table 1 the keyword "films" matches MOVIE.self. Thus, this match is considered an SKM.

 $\textbf{Table 1} \ \ \textbf{Keyword matched for the query "will smith films"}$

Keywords	Туре	Database Element	Relational Algebra	Keyword Match
will smith	value	PERSON.name	$\sigma_{name \supseteq \{will, smith\}}(PERSON)$	$PERSON^{V}[name^{\{will,smith\}}]$
will	value	PERSON.name	$\sigma_{name \ni will}(PERSON)$	$PERSON^{V}[name^{\{will\}}]$
	value	PERSON.name	$\sigma_{name \ni smith}(PERSON)$	$PERSON^{V}[name^{\{smith\}}]$
smith	value	CHARACTER.name	$\sigma_{ extsf{name} ightarrow smith}(extsf{CHARACTER})$	$CHARACTER^{V}[name^{\{smith\}}]$
	value	MOVIE.title	$\sigma_{\texttt{title} \ni smith}(\texttt{MOVIE})$	$MOVIE^{V}[title^{\{smith\}}]$
films	schema	MOVIE.self	MOVIE	$MOVIE^{S}[self^{\{films\}}]$

Query Matching (2)

Second, Lathe combines keyword matches (VKMs and SKMs) so that they form a *total* and *minimal* cover for the keyword query. That is, the keyword matches

comprise all the keywords from the query and no keyword match is redundant. These keyword match combinations are referred to as *Query Matches* (QMs).

All possible QMs of the KMs illustrated in Table 1 are listed below:

```
\begin{split} M_1 &= \{PERSON^V[name^{\{will,smith\}}], MOVIE^S[self^{\{films\}}]\} \\ M_2 &= \{PERSON^V[name^{\{will\}}], PERSON^V[name^{\{smith\}}], MOVIE^S[self^{\{films\}}]\} \\ M_3 &= \{PERSON^V[name^{\{will\}}], CHARACTER^V[name^{\{smith\}}], MOVIE^S[self^{\{films\}}]\} \\ M_4 &= \{PERSON^V[name^{\{will\}}], MOVIE^V[title^{\{smith\}}], MOVIE^S[self^{\{films\}}]\} \end{split}
```

Although, in general, there may be a large number of QMs due to their combinatorial nature, only a few are useful in producing plausible answers that align with the user's intention. In our example, the query match M_1 above would best represent the intention of the user.

To efficiently handle this, Lathe employs a ranking algorithm to prioritize only the top-ranked QMs for further processing. In previous work, this algorithm relied on classic features commonly used in Information Retrieval, combined using a Bayesian Network [8–11, 34], which proved effective in selecting relevant QMs within the top-ranking positions [9, 11]. However, the transformer-based approach introduced in this paper further improves the ranking quality and alignment with user intent, leveraging the transformer models capability in exploring the implicit semantics in relational data [27, 28]. Details of this approach are provided in Section 4.

Candidate Joining Network Generation (3)

Lastly, the system generates possible interpretations for the keyword query. That is, the system tries to connect all the keyword matches from the QMs through CJNs, which are based on the graph of referential integrity constraints (PK/FK) of the target database schema.

CJNs can be thought of as relational algebra joining expressions that translate directly into SQL queries. For instance, both QMs M_1 and M_2 defined above can be connected using the CASTING relation, resulting in CJNs whose SQL translations are presented in Figures 2 (a) and (b), respectively.

Currently, Lathe relies on the ranking of QMs as a foundational step in determining the most relevant CJNs, and consequently on the Bayesian network model. Previous studies [9, 11] have demonstrated that this algorithm is highly effective in selecting the most relevant CJN within the top-5 ranking positions. However, it often struggles to identify the best CJN in the top-1 position.

To address this limitation, in this paper, we introduce a transformer-based ranking approach for CJNs that leverages transformer models to assess and rank CJNs based on their semantic relevance to the keyword query. This approach enables a deeper understanding of semantic relevance by allowing the model to

prioritize CJNs in a way that aligns more closely with the user's intended meaning. Recent advancements in applying transformer models to relational keyword search tasks have demonstrated the potential for improved relevance and accuracy in such applications [19, 27, 28, 35]. Building on these advancements, our transformer-based approach enhances CJN ranking by embedding relational data in a format that can be effectively processed by neural models, resulting in more accurate and relevant search outcomes. The details of our proposed ranking approach are presented in Section 7.3.

Once we have identified the most likely CJNs, they can be evaluated as SQL queries executed by a relational database management system (RDBMS) to present the results to the user. Additionally, to prune spurious CJNs (those yielding empty results), Lathe performs a preliminary evaluation phase, using an instance-based pruning strategy that assesses these CJNs early and excludes those that lack query relevance.

In the following sections, we present in detail our main technical contributions in this paper. Section 4 presents the Transformer-based Query Match (QM) ranking, while Section 5 describes the Transformer-based Candidate Joining Network (CJN) ranking. We then outline our empirical assessment in Section 7, followed by the Conclusion and insights for future work in Section 8.

4 Transformer-based Query Match Ranking

Our newly proposed algorithm ranks the Query Matches (QMs) for a given keyword query using transformer-based models that assess relevance through semantic similarity. This approach ensures that the most relevant QMs are prioritized, enhancing the accuracy and relevance of search results.

4.1 QM Linearization

To enable compatibility with transformer-based models, we propose a strategy to linearize Query Matches (QMs) from their original tabular format into structured sentences. This transformation allows the QMs, which contain relational data, to be encoded effectively by sentence-transformer models, facilitating semantic similarity comparisons essential for ranking. This is in line with previous work on representing relational data within neural language models[27, 28].

The linearization process begins with the keyword query itself, represented as a sentence in the format "query: Q", where Q is the keyword query. This sentence is then encoded using a sentence-transformer model to generate an embedding for the query. Figure 4 provides an illustration of this process using the example query "Will Smith films" and the corresponding QMs.

In this figure, M_1 and M_2 represent query matches for the example query, which are translated into standardized structured sentences. Each keyword mapping follows the format "table.attribute.type: keywords", with individual components separated by a pipe symbol to ensure consistency with transformer

models. This structure enhances the compatibility of QMs with the model, as shown in Example 1.

Example 1. Considering the query matches M_1 and M_2 generated previously for the query "Will Smith films", their sentences are represented as follows in Figure 4:

Q	Will Smith films
sentence(Q)	query: Will Smith films
M_1	$\{PERSON^{V}[name^{\{will,smith\}}], MOVIE^{S}[self^{\{films\}}]\}$
$sentence(M_1)$	answer: person.name.value: will smith movie.self.schema: films
M_2	$\{PERSON^{V}[name^{\{will\}}], PERSON^{V}[name^{\{smith\}}], MOVIE^{S}[self^{\{films\}}]\}$
$sentence(M_2)$	answer: person.name.value: will person.name.value: smith movie.self.schema: films

Fig. 4 Sentence translation of query matches.

This linearization of QMs ensures that the structured relational data is represented in a format that can be processed by transformer models, enabling accurate and efficient similarity-based ranking.

4.2 QM Ranking Algorithm

The QM ranking process utilizes sentence-transformer models to rank QMs for a given keyword query. This systematic process is detailed in Algorithm 1, and it involves linearization, embedding generation, and similarity-based ranking.

```
Algorithm 1: NeuralQMRank(Q,QM)

Input: A keyword query Q
A set of query matches QM

Output: The set of ranked query matches RQM

1 RQM \leftarrow [\ ]
2 let Model be the sentence-transformer model
3 S_Q \leftarrow sentence(Q)
4 E_Q \leftarrow Model.encode(S_Q)
5 for M_i \in QM do
6 S_{M_i} \leftarrow sentence(M_i)
7 E_{M_i} \leftarrow Model.encode(S_{M_i})
8 score \leftarrow sim(E_Q, E_{M_i})
9 RQM.append(\langle score, M_i \rangle)
10 Sort RQM in descending order
11 return RQM
```

First, the algorithm linearizes both the keyword query and the QMs into structured sentences (Lines 3 and 6), as described in Section 4.1. This step ensures that the information contained within the keyword query and the QMs is properly structured and represented in a textual format compatible with subsequent analysis.

Next, the algorithm utilizes a sentence-transformer model to generate embeddings for both the linearized keyword query and the linearized QMs (Lines 4 and 7). These embeddings encapsulate the semantic representations of the sentences, facilitating meaningful comparison and analysis. The subsequent step involves computing the similarity between the embedding representing the keyword query and the embeddings representing the QMs to determine the relevance of each QM to the keyword query (Lines 8-9). The similarity measure can be either cosine similarity or dot product similarity, depending on the specific sentence-transformer model used. Finally, the algorithm sorts the QMs in descending order based on their similarity (Line 10). This ranking ensures that the most semantically aligned QMs with the keyword query are prioritized, thus improving the relevance of the search results returned to the user.

Initially trained on extensive general corpora, sentence-transformer models are effective at discerning semantic similarities. However, due to their generalized nature, these models benefit from further adaptation to specific tasks, such as QM ranking. Research has shown that fine-tuning on domain-specific data can significantly improve model performance for targeted applications [19, 35]. Therefore, in the next section, we describe our approach to fine-tuning these models for QM ranking, allowing them to better capture the relevant patterns and relationships in the linearized QM data and enhancing their ranking accuracy.

4.3 QM Ranking Fine-tuning

In this section, we present our approach to fine-tuning sentence-transformer models for effective QM ranking. In our fine-tuning process, we create training examples composed of tuples that include the keyword query representation, the QM representation, and a similarity score between them. We determine the relevance score of each QM using a Bayesian model, as detailed in previous work [7, 10, 11]. QMs deemed relevant to the keyword query are assigned a score of 1, while other QMs receive a score calculated by applying a sigmoid function to the Bayesian score, with an additional weight of 0.4 to account for negative examples. The similarity score is computed as follows:

$$Sim(Q,M) = \begin{cases} 1, & \text{if } M \text{ is relevant for } Q \\ \frac{1}{1 + e^{-0.4 \times bayesian_score(Q,M)}}, & \text{otherwise} \end{cases}$$

where $bayesian_score(Q, M)$ represents the Bayesian model score for the query match M given the query Q.

Example 2. Considering the sentences for the query matches M_1 and M_2 , as shown in Figure 4, we generate the following training examples, displayed in Figure 5:

Positive Example	("query: Will Smith films", "answer: person.name.value: will smith movie.self.schema: films", score=1.0)		
Negative Example	("query: Will Smith films", "answer: person.name.value: will person.name.value: smith movie.self.schema: films", score=0.22)		

Fig. 5 Training examples for the QM ranking fine-tuning

Through this fine-tuning process, the model learns to assign higher relevance scores to QMs that closely match the keyword query, thereby improving the quality and relevance of ranked results.

5 Transformer-based Candidate Joining Network (CJN) Ranking

While traditional approaches to CJN processing have focused on generation and pruning, we now introduce a neural-based ranking system for CJNs that leverages transformer-based models to assess and rank CJNs based on their semantic relevance to a keyword query. This approach builds upon recent advancements in applying neural language models to relational keyword search tasks [19, 27, 28, 35].

Our system ranks Candidate Joining Networks (CJNs) for a given keyword query using transformer-based models, employing a process similar to QM ranking. However, due to the tabular and often multi-row nature of CJNs, the linearization and aggregation steps differ to effectively capture the complex relational information within CJNs.

Since CJNs are generated from relational database tables, a key challenge is to linearize these structured tabular data into text-compatible representations that can be processed by neural language models. This linearization step, detailed in the next section, is crucial for effective CJN ranking.

5.1 CJN Linearization

Since the results of CJNs, when executed against a database, can be interpreted as database views, we explore techniques designed to encode tabular data. Specifically, we translate each row from the resulting view into a structured sentence and then employ methods to aggregate these sentences into a single

representation for the CJN. These aggregation methods capture essential relational nuances embedded within CJNs by consolidating the row-level information retrieved from the database.

To efficiently handle large database views, we adopt a snapshot approach similar to that used in TaBERT [27] and StruBERT [28]. This technique selects a subset of rows from the database view, ensuring that the data remain within the token capacity constraints of transformer-based models.

Once the snapshot is obtained, we apply one of two primary aggregation techniques to generate a single embedding of the CJN: mean and combination.

Mean Approach

In the mean approach, we encode the sentence for each row individually and then compute the average embedding of all the row embeddings, resulting in a single embedding that represents the entire CJN Figure 6 presents the steps for the mean approach. The sentences generated for CJNs using this approach are shown in Example 3.

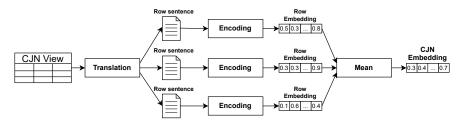


Fig. 6 Mean Approach for CJN Linearization

Combination Approach

The combination approach involves aggregating the information from multiple rows in the snapshot into a single comprehensive sentence, then encoding this aggregated sentence to represent the CJN. Figure 7 presents the steps for the combination approach. This approach aggregates the rows using the Multivalue technique, which concatenates the values of each attribute across all rows within the snapshot, then we generate a single comprehensive sentence.

The sentences generated for CJNs using this approach are also shown in the Example 3.

Example 3. Considering the query matches M_1 and M_2 encoded in Example 1, we can generate the candidate joining networks CJN_1 and CJN_2 , shown with their respective results in Figure 8. Based on a snapshot, with the three first rows of the results, we are able to generate the sentences shown in Figure 9.

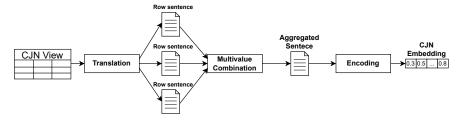
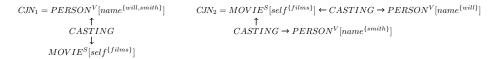


Fig. 7 Combination Approach for CJN Linearization



Results for CJN_1		
t3.title	t1.name	
Bad Boys	Smith, Will	
Enemy of the State	Smith, Will	
Free Enterprise	Smith, Will	
Ali	Smith, Will	
A Closer Walk	Smith, Will	

Results for CJN ₂				
t3.year	t3.title	t1.name	t5.name	
1944	The Last Horseman	Wills, Luke	Smith, Tom	
1977	Looking Up	Hussing, Will	Smith, Andrew	
1977	Who Has Seen the Wind	Woods, Will	Smith, Cedric	
1981	Urgh! A Music War	Sergeant, Will	Smith, Barry	
1999	The Lost Son	Welch, Will	Smith, Rachel Quigley	

Fig. 8 CJNs and their results returned from the database.

Aggr. Approach	Sentences for CJN ₁	Sentences for CJN ₂		
Mean	answer: person.name: Smith, Will movie: films	answer: person.name: Wills, Luke movie: films person.name: Smith, Tom		
	answer: person.name: Smith, Will movie: films	answer: person.name: Hussing, Will movie: films person.name: Smith, Andrew		
	answer: person.name: Smith, Will movie: films	answer: person.name: Woods, Will movie: films person.name: Smith, Cedric		
Combination answer: person.name: Smith, Will, Smith, Will, Smith, Will movie: films		answer: person.name: Wills, Luke, Hussing, Will, Woods, Will movie: films person.name: Smith, Tom, Smith, Andrew, Smith, Cedric		

Fig. 9 Sentence representations for the candidate joining networks CJN_1 and CJN_2 .

It is noteworthy that while the results for CJN_1 exhibit distinct rows, representing them as sentences reveals some duplicates. This occurrence arises because the keyword "films" refers to the table name rather than its values. This observation underscores the nuanced challenges in accurately representing CJN results through sentence encoding.

5.2 CJN Ranking Algorithm

The neural CJN ranking is carried out by the algorithm detailed in Algorithm 2. To rank the CJNs for a given keyword query, the algorithm first executes queries generated from the CJNs against the database to obtain the database views they return. It then linearizes the rows of these views and generates embeddings, applying aggregation techniques to create a single representation for the CJN.

Algorithm 2: NeuralCJNRank(Q,CJN)

```
Input: A keyword query Q
            A set of candidate joining networks CJN
            A literal variable indicating the aggregation approach agg
   Output: The set of ranked candidate joining networks RCJN
1 RCJN \leftarrow []
2 let Model be the sentence-transformer model
S_Q \leftarrow sentence(Q)
4 E_Q \leftarrow Model.encode(S_Q)
5 for C \in CJN do
       let view be the resulting view when running C against the database.
       if agg = "mean" then
8
           E_{view} \leftarrow \{ \}
           for row \in view do
 9
               S_{row} \leftarrow row \ sentence(row)
10
               E_{row} \leftarrow Model.encode(S)
11
             E_{view} \leftarrow E_{view} \cup E_{row}
12
           E_C \leftarrow mean(E_{view})
13
       else
14
           S_{view} \leftarrow multivalue\_sentence(view)
15
           E_C \leftarrow Model.encode(S_{view})
16
       score \leftarrow sim(E_Q, E_C)
17
       RCJN.append(\langle score, C \rangle)
18
19 Sort RCJN in descending order
20 return RCJN
```

Finally, the algorithm computes the similarity between the keyword query's embedding and the CJN's aggregated embedding to rank the CJNs based on relevance. The ranked CJNs are then returned as the final output.

5.3 CJN Ranking Fine-tuning

To effectively rank CJNs, we fine-tune sentence-transformer models to better capture the unique patterns in relational data. Due to the generalized nature of these pre-trained models, they often lack domain-specific weights needed for CJN ranking in relational data contexts. However, state-of-the-art research has demonstrated that fine-tuning significantly enhances the performance of language models on specialized tasks and domains [19, 35]. By fine-tuning pre-trained sentence-transformer models with our training set, we enable them to capture the unique relational nuances required for CJN ranking, thus aligning the model more closely with the complexities of our task.

In the fine-tuning process for CJN ranking, sentence-transformer models are adapted using specific training examples. As CJNs can generate several sentences, multiple training examples may be created for a single CJN. Each example consists of a tuple comprising the keyword query representation, the CJN representation, and the similarity score between them.

Following our approach in QM ranking, we use a Bayesian model, as detailed in previous work [7, 10, 11], to compute the relevance score of each CJN. This score forms the basis for our fine-tuning training set, allowing the model to learn patterns unique to CJN relevance and improve the accuracy of our transformer-based ranking. A score of 1 indicates high relevance to the keyword query, while other scores are computed using a sigmoid function of the Bayesian model score, with an added weight of 0.4 to ensure negative examples are appropriately accounted for. The similarity score calculation is expressed as follows:

$$Sim(Q,S) = \begin{cases} 1, & \text{if $C\!J\!N$ is relevant for Q} \\ \frac{1}{1 + e^{-0.4 \times bayesian_score(Q,C\!J\!N)}}, & \text{otherwise} \end{cases}$$

where S represents a sentence for the candidate joining network CJN, and $bayesian_score(Q,CJN)$ denotes the Bayesian model score for query Q in relation to CJN.

Example 4. For illustration, consider the sentences for the candidate joining networks CJN_1 and CJN_2 , shown in Figure 9. From these, we generate the training examples shown in Figure 10.

Aggregation Approach	Positive Examples	Negative Examples ("query: Will Smith films", "answer: person.name: Wills, Luke movie: films person.name: Smith, Tom", score=0.044)		
Mean	("query: Will Smith films", "answer: person.name: Smith, Will movie: films", score=1.0)			
	("query: Will Smith films", "answer: person.name: Smith, Will movie: films", score=1.0)	("query: Will Smith films", "answer: person.name: Hussing, Will movie: films person.name: Smith, Andrew", score=0.044)		
	("query: Will Smith films", "answer: person.name: Smith, Will movie: films", score=1.0)	("query: Will Smith films", "answer: person.name: Woods, Will movie: films person.name: Smith, Cedric", score=0.044)		
Multivalue	("query: Will Smith films", "answer: person.name: Smith, Will, Smith, Will, Smith, Will movie: films", score=1.0)	("query: Will Smith films", "answer: person.name: Wills, Luke, Hussing, Will, Woods, Will movie: films person.name: Smith, Tom, Smith, Andrew, Smith, Cedric", score=0.044)		

Fig. 10 Training examples for the CJN ranking fine-tuning

By adapting sentence-transformer models specifically for CJN ranking, we improve the model's ability to assign higher relevance scores to CJNs that align closely with the keyword query. This adaptation ensures that the model captures complex patterns unique to relational data, ultimately enhancing the relevance and accuracy of search results.

6 Data Augmentation

In order to facilitate the fine-tuning process, which necessitates a robust set of training examples comprising keyword queries, their respective relevant QMs, and CJNs, we employed a data augmentation strategy. Given the importance of having a diverse and comprehensive dataset for effective model training, data augmentation plays a pivotal role in enriching the available training examples. One of the challenges in this context is the lack of annotated data for training. Thus, one of our goals is to minimize the need for manually labeling data by leveraging data augmentation techniques to generate a wider variety of training examples.

The data augmentation process involve four main steps: (i) Extraction of CJN Templates; (ii) Generation of new keyword queries and CJNs; (iii) Run the keyword search for each query; (iv) Generate sentences for each QM or CJN generated by Lathe for that query.

Given a keyword query and its relevant CJN, we initiate the augmentation process by extracting CJN templates. A template denotes a CJN wherein all keywords are replaced by wildcards, represented by the symbol '?'. This step facilitates the creation of generalized structures that encapsulate the essence of query semantics without being bound to specific keywords.

Example 5. Considering the candidate joining networks CJN_1 , which was generated in Example 3 and it is the relevant CJN for the query "Will Smith films". We can extract the following template T_1 , from CJN_1 by replacing its keywords with a wildcard '?'. This template selects information on all the movies for all persons, and its results are shown in Figure 11.

$$CJN_1 = PERSON^V[name^{\{will,smith\}}] \qquad T_1 = PERSON^V[name^{\{?\}}]$$

$$\uparrow \qquad \qquad \uparrow \qquad \qquad \uparrow$$

$$CASTING \qquad \qquad CASTING$$

$$\downarrow \qquad \qquad \downarrow$$

$$MOVIE^S[self^{\{films\}}] \qquad MOVIE^S[self^{\{?\}}]$$

Results for T_1					
t1.name	t3.title	t3.year			
Hues, Jack	The Guardian	1990			
Coote, Robert	The House of Fear	1939			
O'Halloran, Jack	The Flintstones	1994			
Zorn, John	Notes on Marie Menken	2006			
Kern, Robert	Plymouth Adventure	1952			

Fig. 11 Results for the template T_1 .

Next, we run the SQL statement derived from T_1 against the database, which returns the rows shown in Figure 11. Then, for each row, we are able to generate a new keyword query, and its relevant CJN and QM. First, we use a subset of the row values to generate a keyword query. Second, we generate the relevant CJN

by filling the wildcards with keywords from the query. Third, the relevant QM is the set of non-free keyword matches from the CJN. Figure 12 shows five keyword queries and their answers, which were generated from template T_1 .

Ke	Keyword Queries and Answers generated for template \mathcal{T}_1					
	Query	Hues Jack films				
1	CJN	$PERSON^{V}[name^{\{hues,jack\}}] \leftarrow CASTING \rightarrow MOVIE^{S}[self^{\{films\}}]$				
	QM	$\{PERSON^{V}(name^{\{hues,jack\}}), MOVIE^{S}(self^{\{films\}})\}$				
	Query	Coot Robert films				
2	CJN	$PERSON^{V}[name^{\{coot, robert\}}] \leftarrow CASTING \rightarrow MOVIE^{S}[self^{\{films\}}]$				
	QM	$\{PERSON^{V}(name^{\{coot,robert\}}), MOVIE^{S}(self^{\{films\}})\}$				
	Query	Halloran Jack films				
3	CJN	$PERSON^{V}[name^{\{halloran, jack\}}] \leftarrow CASTING \rightarrow MOVIE^{S}[self^{\{films\}}]$				
	QM	$\{PERSON^{V}(name^{\{halloran, jack\}}), MOVIE^{S}(self^{\{films\}})\}$				
	Query	Zorn John films				
4	CJN	$PERSON^{V}[name^{\{zorn, john\}}] \leftarrow CASTING \rightarrow MOVIE^{S}[self^{\{films\}}]$				
	QM	$\{PERSON^{V}(name^{\{zorn,john\}}), MOVIE^{S}(self^{\{films\}})\}$				
	Query	Kern Robert films				
5	CJN	$PERSON^{V}[name^{\{kern,robert\}}] \leftarrow CASTING \rightarrow MOVIE^{S}[self^{\{films\}}]$				
	QM	$\{PERSON^{V}(name^{\{kern,robert\}}), MOVIE^{S}(self^{\{films\}})\}$				

Fig. 12 Keyword Queries and Answers for the template T_1 .

We use these augmented data to generate positive examples for the fine-tuning of the QM ranking and CJN ranking. Next, we perform the keyword search for the newly created queries, and use the non-relevant QMs and CJNs returned by Lathe as negative examples.

7 Experiments

In this section, we report a set of experiments performed using datasets and query sets previously used in similar experiments reported in the literature. Our goal is to evaluate the transformer-based QM Ranking and CJN Ranking proposed in this paper.

7.1 Experimental Setup

Datasets and Query Sets

For all the experiments, we used three datasets, *IMDb*, *MONDIAL*, and *Yelp*, which were used in the evaluation of previous R-KwS systems and methods [4, 7–9, 17, 18, 36]. The IMDb dataset is a subset of the well-known Internet Movie Database (IMDb)², containing information related to films, television shows, and home videos – including actors, characters, and more. The MONDIAL dataset [37] comprises geographical and demographic information from sources like the *CIA World Factbook*³, the International Atlas, the TERRA database, and other web sources. The Yelp dataset is a subset of Yelp⁴, containing information about businesses, reviews, and user data.

The three datasets have distinct characteristics. The IMDb dataset has a simple schema, but query keywords often occur in several relations. Although the MONDIAL dataset is smaller, its schema is more complex or dense, with more relations and relational integrity constraints (RICs). The Yelp dataset has the highest number of tuples but its schema is simple. Table 2 summarizes the details of each dataset and its respective query sets.

Table 2 Datasets we used in our experiments

Dataset	Size(MB)	Relations	Attributes	RIC	Tuples	Total Queries
IMDb	701	6	33	5	1,673,076	50
MONDIAL	14	28	48	38	17,115	50
Yelp	7898	7	24	5	12,856,448	28

Golden Standards

The benchmark by Coffman & Weaver [17] provided the relevant interpretation and its relevant SQL results for each query of the IMDb and MONDIAL datasets. In the case of the Yelp dataset, SQLizer [38] provided the relevant SQL queries from natural language queries. Since we derived keyword queries from the latter, we also adapted the SQL queries to reflect this change. We then manually generated the golden standards for CJNs and QMs using relevant SQL provided by Coffman & Weaver and in SQLizer. These golden standards are available at https://github.com/pr3martins/Lathe.

²https://www.imdb.com/

³https://www.cia.gov/library/publications/the-world-factbook/

⁴https://www.yelp.com/dataset

Metrics

We evaluate the ranking of CJNs and QMs using several metrics: Recall, Recall at ranking position K (R@K), Max Recall Position, and Mean Reciprocal Rank (MRR).

Recall is the ratio of relevant results retrieved to the total number of relevant results. Recall at K (R@K) is the mean recall across multiple queries considering only the first K results. If fewer than K results are retrieved by a system, we calculate the recall value at the last result. For instance, if the system returns the relevant CJN in at most position 3 of the ranking for 35 out of 50 queries, then the system would obtain an R@3 of 0.7.

Given that each keyword query has exactly one relevant QM and one relevant CJN, R@K effectively measures whether the relevant QM or CJN is among the top K results returned by the system. This metric is important for understanding the effectiveness of our retrieval approach in ensuring that the relevant results are generated and returned.

The Max Recall Position indicates the highest rank position K within which the relevant QM is found. A lower Max Recall Position implies that the relevant QM is found within the top K positions, reducing the need to generate CJNs for many QMs.

The Mean Reciprocal Rank (MRR) value indicates how close the correct CJN is to the first position of the ranking. Given a keyword query Q, the value of the reciprocal ranking for Q is given by $RR_Q=\frac{1}{K}$, where K is the rank position of the relevant result. Then, the MRR obtained for the queries in a query set is the average of RR_Q , for all Q in the query set. This metric is particularly useful in our context because it penalizes systems that place the relevant QM or CJN further down the ranking. It, thus, highlights models that not only retrieve the relevant item but do so with higher precision.

Lathe Setup

For the experiments we report here, we set a maximum size for QMs and CJNs of 3 and 5, respectively. Also, we consider three important parameters for running Lathe: N_{QM} , the maximum number of QMs considered from the QM ranking; N_{CJN} , the maximum number of CJNs considered from each QM; and P_{CJN} , the number of CJNs probed per QM by the eager evaluation. In this context, a setup for Lathe is a triple $N_{QM}/N_{CJN}/P_{CJN}$. The most common setup we used in our experiments is 8/1/9, in which we take the top-8 QMs in the ranking, generate and probe up to 9 CJNs for each QM, and take only the first non-empty CJN, if any, from each QM. We call this the $default\ setup$. Later in this section, we will discuss how these parameters affect the effectiveness and the performance of Lathe, as well as why we use the default configuration.

Fine-Tuning Setup

In our experiments, we used a set of parameters for configuring the transformer-based models we used as well as the data augmentation techniques we described in Section 6. We utilized a batch size of 128, which was chosen as it was the maximum that did not exceed the GPU memory capacity, ensuring efficient utilization of hardware resources without causing memory overflow. The model was trained over 2 epochs, allowing us to go over the dataset twice to balance between sufficient training time and computational efficiency. We incorporated 100 warmup steps to gradually increase the learning rate, aiding in stable model convergence. Evaluations were conducted every 500 steps to monitor performance and make necessary adjustments during training. We used the CosineSimilarityLoss function for training, ensuring that the model effectively learned to measure similarity between embeddings. This choice of loss function was crucial for our task, as it directly influenced the quality and accuracy of the learned embeddings.

For fine-tuning, we relied on the golden standards as the validation set, ensuring accurate performance evaluation against a trusted reference. The training and test sets were derived from data augmentation, with a generation ratio of 200. We split the data into 80% for training and 20% for testing, maintaining a balanced approach to model training and evaluation.

Additionally, we utilized view snapshots, comprising the first 3 rows from the database views, to generate sentences for the CJNs. This technique provided structured sentences that encapsulated essential information from the views, facilitating meaningful comparisons and relevance assessments.

System Details

We ran the experiments reported on a Linux machine Ubuntu 22.04.4 LTS (64-bit, 32GB RAM, Intel(R) Xeon(R) W-2225 CPU @ $4.10 \mathrm{GHz}$, 2 x 16GiB DIMM DDR4 Synchronous 3200 MHz, NVIDIA Quadro RTX 5000). We used PostgreSQL as the underlying RDBMS with a default configuration. All implementations were made in Python 3.

7.2 Neural QM Ranking

We evaluate the performance of different models on the task of QM ranking using the metrics MRR (Mean Reciprocal Rank), Recall, and Max Recall Position. Our goal is to assess the effectiveness of transformer-based models for ranking QMs and benchmark them against the Bayesian model, which serves as the ranking solution in our Bayesian approach.

A lower Max Recall Position (k) indicates that the relevant QM is found within the top k positions, requiring the CJN generation only for the first k QMs. Nevertheless, a high Max Recall Position generates a major amount of CJNs, this ensures that the relevant QM is generated for most keyword queries, thereby

increasing the likelihood of generating the most relevant CJN. Hence, a high MRR indicates good overall ranking performance.

Table 3 shows twenty models analyzed for this experiment, detailing their similarity function and acronym. The letters B, P and F in the acronym respectively identify bayesian, pre-trained and fine-tuned models. The subscript indicator identifies each model, and in the case of transformer-based models it also identifies their respective variations. The Bayesian model (B_{QM}) follows the Bayesian approach decribed in [7, 11] and serves as a baseline for comparison against the transformer-based models. The analysis includes both pre-trained and fine-tuned models.

Table 3 Neural QM Ranking Models

Model	Similarity	Abbreviation		
Bayesian	cos	B_{QM}		
Model	Similarity	Abbreviation		
Model	Similarity	Pre-Trained	Fine-tuned	
paraphrase-albert-small-v2	cos	P_{Albert}	F_{Albert}	
all-distilroberta-v1	cos	$P_{Distil1}$	$F_{Distil1}$	
distiluse-base-multilingual-cased-v1	cos	$P_{Distil2}$	$F_{Distil2}$	
distiluse-base-multilingual-cased-v1	dot	$P_{Distil3}$	$F_{Distil3}$	
distiluse-base-multilingual-cased-v2	cos	$P_{Distil4}$	$F_{Distil4}$	
distiluse-base-multilingual-cased-v2	dot	$P_{Distil5}$	$F_{Distil5}$	
multi-qa-distilbert-cos-v1	cos	$P_{Distil6}$	$F_{Distil6}$	
all-MiniLM-L12-v2	cos	$P_{MiniLM1}$	$F_{MiniLM1}$	
all-MiniLM-L6-v2	cos	$P_{MiniLM2}$	$F_{MiniLM2}$	
all-MiniLM-L6-v2	dot	$P_{MiniLM3}$	$F_{MiniLM3}$	
multi-qa-MiniLM-L6-cos-v1	cos	$P_{MiniLM4}$	$F_{MiniLM4}$	
multi-qa-MiniLM-L6-cos-v1	dot	$P_{MiniLM5}$	$F_{MiniLM5}$	
paraphrase-MiniLM-L3-v2	cos	$P_{MiniLM6}$	$F_{MiniLM6}$	
paraphrase-multilingual-MiniLM-L12-v2	dot	$P_{MiniLM7}$	$F_{MiniLM7}$	
paraphrase-multilingual-MiniLM-L12-v2	cos	$P_{MiniLM8}$	$F_{MiniLM8}$	
all-mpnet-base-v2	cos	P_{MPNET1}	F_{MPNET1}	
all-mpnet-base-v2	dot	P_{MPNET2}	F_{MPNET2}	
multi-qa-mpnet-base-dot-v1	dot	P_{MPNET3}	F_{MPNET3}	
paraphrase-multilingual-mpnet-base-v2	cos	P_{MPNET4}	F_{MPNET4}	

All Datasets Analysis

Figure 13 shows the average MRR and Recall across the IMDB, MONDIAL, and Yelp datasets, along with the maximum Max Recall Position for the same datasets.

The Bayesian model (B_{QM}) achieved an MRR of 0.847, Recall of 0.993, and a Max Recall Position of 8. Pre-trained models performed worse than the Bayesian

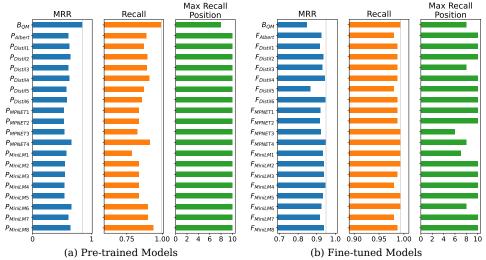


Fig. 13 Evaluation of the Neural QM ranking on all datasets (Average for MMR and Recall, and Max for Max Recall Position).

model, which indicates they struggled to accurately interpret QM linearization and ranking tasks. However, fine-tuning these models led to substantial improvements.

On the other hand, fine-tuned models like F_{MPNET4} , $F_{MiniLM4}$, and $F_{Distil6}$ achieved higher MRR scores than the baseline, indicating their superior capability in ranking relevant QMs higher on average. This highlights the impact of fine-tuning in enhancing the models' understanding and ranking abilities for QM tasks.

Regarding Recall, several fine-tuned models, including F_{MPNET3} , F_{MPNET4} , $F_{MiniLM1}$, $F_{MiniLM2}$, $F_{MiniLM5}$, and $F_{MiniLM6}$, achieved a Recall of 0.993. This performance is on par with the Bayesian baseline, showcasing these models' ability to retrieve all relevant QMs effectively. Such results underscore the models' comprehensive recall capabilities after fine-tuning.

In terms of Max Recall Position, the fine-tuned models also demonstrated improvements. For instance, F_{MPNET3} achieved the best performance by retrieving the relevant QM within the top 6 positions, outperforming the baseline's position 8. Similarly, $F_{MiniLM1}$ and F_{MPNET4} achieved positions 7 and 8, respectively. These results reflect the models' enhanced efficiency in identifying relevant QMs earlier in the ranking list, a crucial factor for user satisfaction in information retrieval tasks.

Among the evaluated models, $F_{MiniLM1}$, F_{MPNET3} , and F_{MPNET4} stand out as the best performers overall. These models consistently achieve high MRR, Recall, and low Max Recall Position across all datasets.

Individual Dataset Analysis

The results for individual datasets largely mirror the trends observed in the overall analysis, with fine-tuned models outperforming pre-trained ones and the Bayesian baseline.

Figure 14 shows the results for the IMDB dataset. The Bayesian model (B_{QM}) achieved an MRR of 0.77, Recall of 0.98, and a Max Recall Position of 5. Pre-trained models consistently performed worse than the baseline. Fine-tuned models like $F_{MiniLM8}$ and F_{MPNET4} achieved MRR scores of 0.91 and 0.9, respectively, indicating their superior capability in ranking relevant QMs higher on average. Several fine-tuned models, including F_{MPNET3} , F_{MPNET4} , $F_{MiniLM1}$, $F_{MiniLM2}$, $F_{MiniLM5}$, and $F_{MiniLM6}$, achieved a Recall of 0.98, on par with the Bayesian baseline, showcasing these models' ability to retrieve all relevant QMs effectively. In terms of Max Recall Position, F_{MPNET3} achieved the best performance by retrieving the relevant QM within the top 4 positions, outperforming the baseline's position 5. Similarly, $F_{MiniLM1}$ and F_{MPNET4} achieved positions 7 and 8, respectively, reflecting enhanced efficiency in identifying relevant QMs earlier.

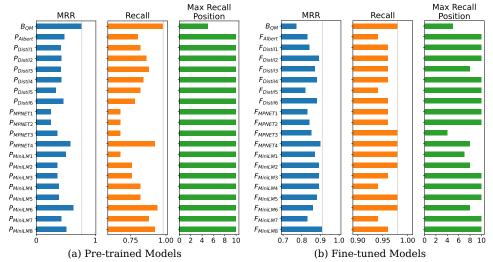


Fig. 14 Evaluation of the Neural QM ranking on the IMDb dataset.

Figure 15 shows the results for the MONDIAL dataset. The Bayesian model (B_{QM}) achieved an MRR of 0.94, Recall of 1.0, and a Max Recall Position of 2. Most sentence-transformer models achieved perfect Recall (1.0) and very low Max Recall Positions (2). The pre-trained model P_{MPNET4} obtained a slightly better MRR of 0.95. Fine-tuned models like F_{Albert} , $F_{Distil1}$, F_{MPNET4} , and $F_{MiniLM1}$ all achieved an MRR of 0.98, making them top performers for this dataset. Due to MONDIAL having fewer QMs on average, a pre-trained model achieved a better

MRR score than the baseline, and models based on the Albert and the distilled version of RoBERTa were among the top performers.

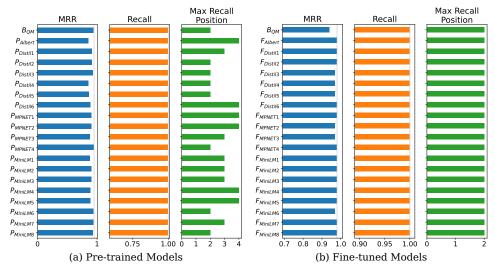


Fig. 15 Evaluation of the Neural QM ranking on the MONDIAL dataset.

Figure 16 shows the results for the Yelp dataset. The Bayesian model (B_{QM}) had an MRR of 0.83, Recall of 1.0, and a Max Recall Position of 8. Pre-trained models consistently performed worse than the baseline. Fine-tuned models like $F_{Distil4}$ and $F_{Distil6}$ achieved the highest MRR of 0.98 and Recall of 1.0, with a Max Recall Position of 2. However, F_{MPNET4} and $F_{MiniLM1}$ also performed well, obtaining MRR scores of 0.97 and 0.95 respectively, and Max Recall Positions of 4 and 6.

Discussion

The results indicate that sentence-transformer models significantly outperform the Bayesian baseline in terms of MRR, suggesting that these models provide better overall ranking of QMs. Fine-tuned models, in particular, demonstrate superior performance in the QM ranking.

Models based on MiniLM and MPNET were consistently among the top performers across all datasets, a trend also observed in the experiments shown on the Sentence-Transformers library website 5 . Specifically, the models $F_{MiniLM1}, F_{MPNET3}$, and F_{MPNET4} achieved high MRR, Recall, and low Max Recall Position consistently, making them ideal candidates for practical applications where efficiency in QM ranking is crucial. Consequently, these models were chosen as the best performers for the QM ranking task and were utilized in the Neural CJN ranking experiment.

⁵Sentence Transformers Pretrained Models https://sbert.net/docs/sentence transformer/pretrained models.html

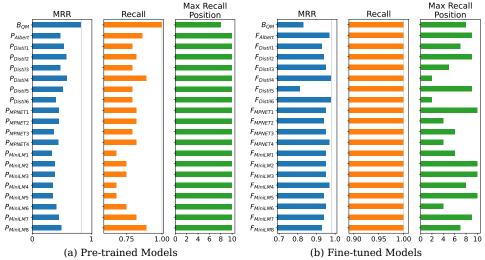


Fig. 16 Evaluation of the Neural QM ranking on the Yelp dataset.

7.3 Neural CJN Ranking

Table 4 shows twenty-four models analyzed for this experiment, detailing their similarity function and abbreviation. The letters B, P, and F in the abbreviations respectively identify Bayesian, pre-trained, and fine-tuned models. The subscript indicator identifies each model, and in the case of transformer-based models, it also identifies their respective variations. Each abbreviation consists of its QM model abbreviation followed by a dash, and then the CJN abbreviation. The CJN abbreviation may also have trailing characters indicating the aggregation approach used, which can be '*' for the multivalue approach, and '+' for the mean approach. The CJN abbreviation B_{CJN} indicates that a simple CJN ranking was applied, where the CJNs were ranked based on their QM score, divided by their size. The model $B_{QM} - B_{CJN}$ follows the Bayesian approach, and it serves as a baseline for comparison against the transformer-based models. These models were ranked in the top-10 in at least one of the datasets.

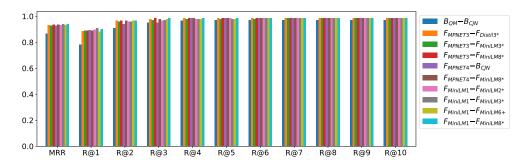
Evaluation on All Datasets

We begin by evaluating the performance of various CJN models on all available query sets. Figure 17 presents the Mean Reciprocal Rank (MRR) and Recall@k (R@k) scores for each model.

The baseline model, $B_{QM}-B_{CJN}$, achieves a decent performance with an MRR of 0.867 and high Recall scores, with R@1 at 0.7833, R@2 at 0.91, reaching a recall plateau of 0.9733 at R@6. However, most of the fine-tuned models show substantial improvements over the baseline, with MRR values ranging from 0.93 to 0.94. Recall metrics for these models also indicate enhanced performance,

Table 4 CJN Ranking Models

QM Model	CJN Model	Similarity	Agg. Approach	CJN Type	Abbreviation
B_{QM}	Bayesian	cos	_	bayesian	$B_{QM} - B_{CJN}$
B_{QM}	paraphrase-albert-small-v2	cos	Multivalue	fine-tuned	$B_{QM} - F_{Albert*}$
B_{QM}	all-distilroberta-v1	cos	Multivalue	fine-tuned	$B_{QM} - F_{Distil1*}$
B_{QM}	multi-qa-MiniLM-L6-cos-v1	dot	Mean	fine-tuned	$B_{QM} - F_{MiniLM5} +$
B_{QM}	paraphrase-multilingual-MiniLM-L12-v2	cos	Multivalue	fine-tuned	$B_{QM} - F_{MiniLM8*}$
$F_{MiniLM1}$	Bayesian	cos	_	bayesian	$F_{MiniLM1} - B_{CJN}$
$F_{MiniLM1}$	paraphrase-albert-small-v2	cos	Multivalue	fine-tuned	$F_{MiniLM1} - F_{Albert*}$
$F_{MiniLM1}$	all-distilroberta-v1	cos	Multivalue	fine-tuned	$F_{MiniLM1} - F_{Distil1*}$
$F_{MiniLM1}$	all-distilroberta-v1	cos	Mean	fine-tuned	$F_{MiniLM1} - F_{Distil1} +$
$F_{MiniLM1}$	distiluse-base-multilingual-cased-v1	dot	Multivalue	fine-tuned	$F_{MiniLM1} - F_{Distil3}*$
$F_{MiniLM1}$	all-MiniLM-L6-v2	cos	Multivalue	fine-tuned	$F_{MiniLM1} - F_{MiniLM2*}$
$F_{MiniLM1}$	all-MiniLM-L6-v2	dot	Multivalue	fine-tuned	$F_{MiniLM1} - F_{MiniLM3*}$
$F_{MiniLM1}$	paraphrase-MiniLM-L3-v2	cos	Mean	fine-tuned	$F_{MiniLM1} - F_{MiniLM6} +$
$F_{MiniLM1}$	paraphrase-multilingual-MiniLM-L12-v2	cos	Multivalue	fine-tuned	$F_{MiniLM1} - F_{MiniLM8*}$
F_{MPNET3}	Bayesian	cos	_	bayesian	$F_{MPNET3} - B_{CJN}$
F_{MPNET3}	paraphrase-albert-small-v2	cos	Multivalue	fine-tuned	$F_{MPNET3} - F_{Albert*}$
F_{MPNET3}	all-distilroberta-v1	cos	Multivalue	fine-tuned	$F_{MPNET3} - F_{Distil1*}$
F_{MPNET3}	distiluse-base-multilingual-cased-v1	dot	Multivalue	fine-tuned	$F_{MPNET3} - F_{Distil3*}$
F_{MPNET3}	all-MiniLM-L6-v2	dot	Multivalue	fine-tuned	$F_{MPNET3} - F_{MiniLM3*}$
F_{MPNET3}	paraphrase-MiniLM-L3-v2	cos	Mean	fine-tuned	$F_{MPNET3} - F_{MiniLM6} +$
F_{MPNET3}	paraphrase-multilingual-MiniLM-L12-v2	cos	Multivalue	fine-tuned	$F_{MPNET3} - F_{MiniLM8*}$
F_{MPNET4}	Bayesian	cos	_	bayesian	$F_{MPNET4} - B_{CJN}$
F_{MPNET4}	distiluse-base-multilingual-cased-v1	dot	Multivalue	fine-tuned	$F_{MPNET4} - F_{Distil3*}$
F_{MPNET4}	paraphrase-multilingual-MiniLM-L12-v2	cos	Multivalue	fine-tuned	$F_{MPNET4} - F_{MiniLM8*}$



 $\textbf{Fig. 17} \ \ \text{Neural CJN Ranking - All Datasets}$

often obtaining a R@1 above 0.883, R@2 above 0.94, and reaching or exceeding 0.98 by R@4.

Specifically, the models $F_{MPNET3}-F_{Distil3*}$, $F_{MPNET3}-F_{MiniLM8*}$, and $F_{MiniLM1}-F_{MiniLM8*}$ are the top performers, with MRR values of 0.9333, 0.9367, and 0.94, respectively. These models demonstrate superior recall performance compared to the baseline. For instance, $F_{MPNET3}-F_{Distil3*}$ achieves an R@1 of 0.8867 and an R@10 of 0.9867, showing consistent improvement over the baseline across all recall points.

Models involving fine-tuning on MiniLM and MPNET consistently outperform others, which aligns with trends observed in the pretraining experiments on the Sentence-Transformers library website 6 .

Among the top 10 models, one uses the mean aggregation approach, seven use the multivalue aggregation approach, and one model besides the baseline employs the simple ranking method. This distribution highlights the superiority of the multivalue aggregation approach for generating embeddings for CJNs. Additionally, the inclusion of the $F_{MPNET4}-B_{CJN}$ model among the top performers suggests that the F_{MPNET4} model is so effective in QM ranking that there is no need to rank CJNs using another neural model. Instead, we can simply divide the QM score by the size of the CJN to achieve competitive results.

Furthermore, the combination of models, such as $F_{MPNET3}-F_{MiniLM8*}$, indicates that pairing different fine-tuned models can leverage their strengths, leading to improved ranking accuracy.

Overall, the fine-tuned models, especially those based on MiniLM and MPNET, exhibit substantial improvements in MRR and recall metrics, demonstrating their effectiveness in the CJN ranking task. The consistent high performance across various recall points underscores their potential for practical applications in information retrieval systems.

Evaluation on Specific Datasets

We further evaluate the CJN models on specific datasets to assess their performance in domain-specific scenarios. The results for individual datasets largely mirror the trends observed in the overall analysis, with fine-tuned models outperforming pre-trained ones and the Bayesian baseline.

Figure 18 shows the results for the IMDb dataset. The baseline model $B_{QM}-B_{CJN}$ achieved an MRR of 0.78, highlighting a significant improvement with transformer-based models. Fine-tuned models demonstrate better performance at lower recall points (R@1 to R@4), indicating their effectiveness in ranking relevant CJNs higher. Most models achieve a high recall plateau at R@6. The fine-tuned models $B_{QM}-F_{MiniLM8*}$, $F_{MPNET3}-F_{MiniLM8*}$, $F_{MPNET4}-F_{MiniLM8*}$, $F_{MiniLM1}-F_{MiniLM8*}$ achieved the highest MRR of 0.9 and consistently high recall scores. This indicates that the $F_{MiniLM8*}$ model is highly effective for the CJN ranking, no independent of which model was used for the QM ranking. Furthermore, all the top 4 performer models used the multivalue (*) approach, indicating its superiority.

Figure 19 shows the results for the MONDIAL dataset. The baseline model $B_{QM}-B_{CJN}$ performs equally well compared to fine-tuned models. This performance equality might be attributed to the dataset's nature, where simpler models can achieve high performance due to less complexity in the data. All models achieved the same MRR of 0.97, and reached a recall plateau at R@2, indicating

 $^{^6} Sentence\ Transformers\ Pretrained\ Models\ https://sbert.net/docs/sentence_transformer/pretrained_models.html$

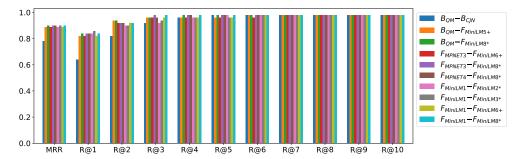


Fig. 18 Neural CJN Ranking - IMDb

that there is minimal variation in performance across different CJN models for this dataset.

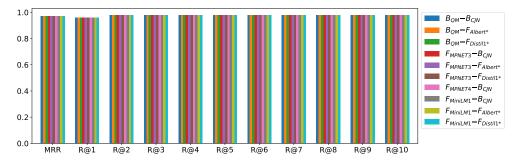


Fig. 19 Neural CJN Ranking - MONDIAL

Figure 20 shows the results for the Yelp dataset. The baseline model $B_{QM}-B_{CJN}$ had an MRR of 0.85, significantly lower than the top-performing fine-tuned models, highlighting the efficacy of transformer-based approaches. Several fine-tuned models achieve perfect recall from R@2 onwards, indicating these models are highly effective at ranking the most relevant CJNs at the top. Models like $F_{MPNET3}-F_{Distil3*}$, $F_{MPNET4}-F_{Distil3*}$, and $F_{MiniLM1}-F_{Distil3*}$ achieved an MRR of 0.98, with perfect recall from R@2 onwards, demonstrating outstanding performance in ranking relevant CJNs.

Discussion

The comprehensive evaluation of CJN models across different datasets highlights the superiority of fine-tuned transformer models over traditional Bayesian models. In the IMDB and Yelp datasets, fine-tuned models demonstrated substantial improvements in Mean Reciprocal Rank (MRR) and recall scores, particularly at lower recall points. This underscores the ability of transformer models to effectively rank the most relevant CJNs at the top positions, making them highly suitable for practical applications in information retrieval systems.

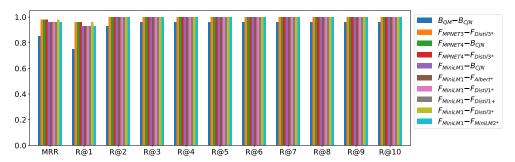


Fig. 20 Neural CJN Ranking - Yelp

In the IMDB dataset, models like $B_{QM}-F_{MiniLM8*}$ and $F_{MPNET3}-F_{MiniLM8*}$ achieved the highest MRR of 0.9, far surpassing the baseline's 0.78. The consistent high performance across various recall points further demonstrates their reliability in identifying relevant context join networks. The use of multivalue aggregation approaches played a crucial role in this success.

For the Yelp dataset, fine-tuned models reached near-perfect or perfect recall scores, with models such as $F_{MPNET3} - F_{Distil3*}$ achieving an MRR of 0.98. These models consistently ranked the most relevant CJNs at the top positions, showcasing the effectiveness of transformer-based approaches over traditional Bayesian methods for high-accuracy relevance ranking.

In contrast, the Mondial dataset revealed that both Bayesian and fine-tuned transformer models performed equally well, with all models achieving an MRR of 0.97. This suggests that for datasets with less complexity, simpler models can be as effective as more complex transformer-based approaches. The minimal performance variation across different CJN models for this dataset highlights the suitability of simpler models in certain contexts, where the added complexity of fine-tuning may not yield significant advantages.

Overall, the findings indicate that fine-tuned transformer models, particularly those involving MiniLM and MPNET, offer substantial improvements in MRR and recall metrics across various datasets. The consistent high performance underscores their potential for enhancing information retrieval systems. However, the effectiveness of simpler models in certain datasets suggests that the choice of model should be context-dependent, balancing complexity and performance based on the dataset characteristics.

7.4 Final Remarks

In the experiments reported, we compared the Bayesian and Neural Ranking approaches for CJN and QM ranking tasks. The Bayesian ranking method is a fully unsupervised approach, simplifying its implementation and deployment. On the other hand, the Neural Ranking approach has shown significant improvements in

metrics such as MRR and R@K for CJN ranking and has also enhanced the QM ranking, although it required fine-tuning to achieve these results.

Performance-wise, although we omit detailed results here for brevity, additional experiments revealed that the Bayesian approach consistently runs faster for both QM ranking and CJN ranking when compared to transformer-based models. Despite this speed advantage, neural models outperformed the Bayesian method in key effectiveness metrics such as MRR and recall. With the ongoing advancement of neural architectures and computational hardware, we anticipate that transformer-based models will become increasingly practical and may eventually surpass the Bayesian approach in both speed and quality.

8 Conclusion

This paper tackled the challenges of accurately ranking Query Matches (QMs) and Candidate Joining Networks (CJNs) in Relational Keyword Search (R-KwS) systems. Building on Lathe — a state-of-the-art method for generating CJNs capable of handling both schema and attribute-value references – we proposed a new transformer-based ranking approach.

Our main contributions can be summarized as follows:

We introduced novel transformer-based strategies for ranking QMs and CJNs that leverage sentence-transformer models to generate richer, context-aware embeddings for both QMs and CJNs. For this, we propose a linearization process that converts these structures into textual sequences, allowing us to effectively apply transformer models to these tasks.

We developed a fine-tuning strategy that adapts pre-trained transformer models to the relational keyword search context. This process not only enhanced the models' ability to rank QMs and CJNs accurately but also allowed them to generalize across different relational schemas and query types, contributing to their robustness in real-world scenarios. To address the scarcity of labeled training examples for fine-tuning, we devised a data augmentation pipeline that automatically generates a wide array of synthetic queries and their corresponding relevant CJNs and QMs.

We conducted an in-depth evaluation using well-known public datasets and their associated query workloads. Our results demonstrate that transformer-based models significantly improve ranking quality. Notably, the fine-tuned *MiniLM* and *MPNET*-based models consistently outperformed the baseline across datasets, achieving higher MRR, recall, and lower Max Recall Position. Moreover, we showed that employing a *multivalue* snapshot aggregation of CJN results can further boost ranking performance.

Future Work

The proposed transformer-based ranking approach for Relational Keyword Search (R-KwS) demonstrated significant improvements in semantic relevance

and ranking quality. However, there are several avenues for further exploration and enhancement.

Improving the efficiency of transformer-based models remains a priority. Future efforts could explore techniques such as knowledge distillation, model pruning, and quantization to reduce resource consumption without compromising accuracy. Adopting advanced transformer architectures such as Modern-BERT [39] or lightweight variants may also further improve efficiency.

Developing methods to dynamically adapt the ranking process based on user feedback during query execution could enhance the system's relevance. Real-time learning mechanisms that leverage historical query data and user preferences would enable personalized and iterative improvements in query ranking.

Exploring the use of prompt engineering with advanced language models (e.g., GPT-4, Llama, or Mistral) represents another promising avenue. This approach could enhance the system's ability to interpret and rank Query Matches (QMs) and Candidate Joining Networks (CJNs) by better capturing the semantic and contextual nuances of user queries.

Introducing active learning frameworks could reduce the reliance on large annotated datasets. By selectively querying users to label ambiguous QMs or CJNs, the system could achieve incremental and cost-effective improvements. Continuous learning mechanisms could further refine model performance over time without requiring complete retraining.

Acknowledgments

This work was supported by the National Council for Scientific and Technological Development – CNPq, with a postdoc grant to Paulo Martins (Proc. 151880/2024-7), individual grants to Altigran da Silva (Proc. 307248/2019-4), Edleno Moura (Proc. 310573/2023-8) and Project IAIA (Proc. 406417/2022-9); by FAPEAM under the POSGRAD 2022 Program and a posdoc grant to Johny Moreira in the context of the NeuralBond Project (UNIVERSAL 2023 Proc. 01.02.016301.04300/2023-04); and by the Coordination for the Improvement of Higher Education Personnel-Brazil (CAPES) financial code 001.

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