

# Tree

(Non-Linear Data Structure)

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# What is a tree?

- ▶ A tree is a finite nonempty set of elements.
- ▶ It is an abstract model of a hierarchical structure.
- ▶ consists of nodes with a parent-child relation.
- ▶ Applications:
  - Organization charts
  - File systems
  - Programming environments

# Tree

- ▶ In computer science , a tree is a widely used data structure that emulates a hierarchical tree structure with a set of linked nodes.

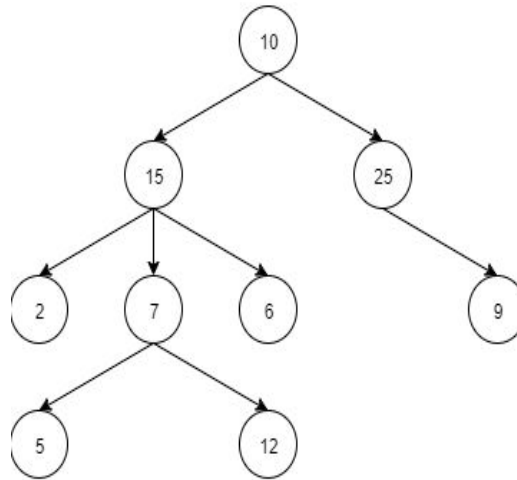
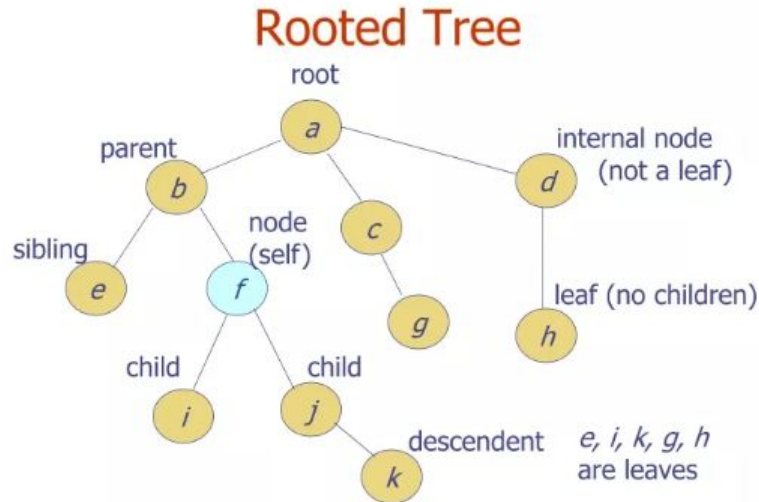


Fig: A tree strcuture

# Tree Representation:



## Contd..

- ▶ Root-The top most node
- ▶ Children
- ▶ Parent
- ▶ Sibling- Have same parent
- ▶ Leaf-Has no child

# Tree Structure

## Trees Data Structures

- ▶ Tree
  - Nodes
  - Each node can have 0 or more children
  - A node can have at most one parent
- ▶ Binary tree
  - Tree with 0-2 children per node

# Terminology Used In Tree:

- Root = no parent
- Leaf = no child
- Interior = non-leaf
- Height = distance from root to leaf
- Path = Source Node to destination node
- Edge = link between two node

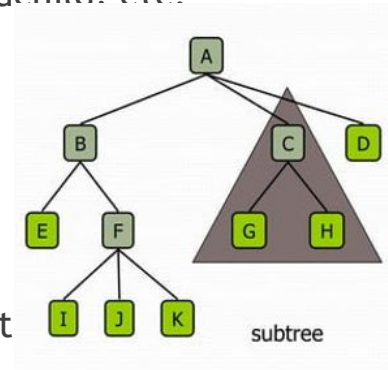
## Contd..

- ▶ Path: Traversal from node to node along the edges results in a sequence called path.
- ▶ Root: Node at the top of the tree.
- ▶ Parent: Any node, except root has exactly one edge running upward to another node. The node above it is called parent.
- ▶ Child: Any node may have one or more lines running downward to other nodes. Nodes below are children.
- ▶ Leaf: A node that has no children.
- ▶ Subtree: Any node can be considered to be the root of a subtree, which consists of its children and its children's children and so on.



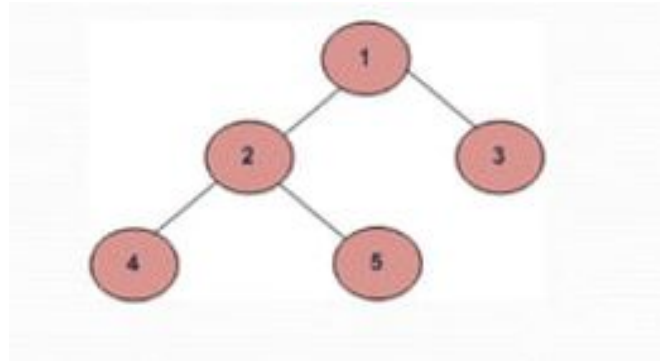
## Contd..

- ▶ Root: node without parent (A)
- ▶ Siblings: nodes share the same parent
- ▶ Internal node: node with at least one child (A, B, C, F)
- ▶ External node (leaf): node without children (E, I, J, K, G, H, D)
- ▶ Ancestors of a node: parent, grandparent, grand-grandparent, etc.
- ▶ Descendant of a node: child, grandchild, grand-grandchild, etc.
- ▶ Depth of a node: number of ancestors
- ▶ Height of a tree: maximum depth of any node(3)
- ▶ Degree of a node: the number of its children
- ▶ Degree of a tree the maximum number of its node.
- ▶ Subtree: tree consisting of a node and its descendant



# Tree Traversal

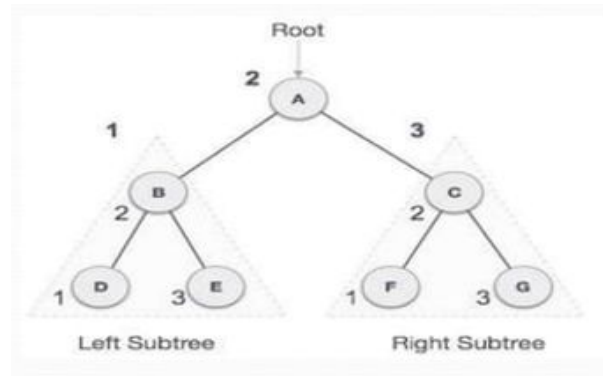
- ▶ Unlike linear data structures (Array, Linked List, Queues, Stacks, etc) which have only one logical way to traverse them, trees can be traversed in different ways.
- ▶ Traversal is a process to visit all the nodes of a tree and may print their values too. Because, all nodes are connected via edges (links) we always start from the root (head) node.
- ▶ That is, we cannot randomly access a node in a tree. There are three ways which we use to traverse a tree



- a) Inorder (Left, Root, Right): 42513
- b) Preorder (Root, Left, Right): 12453
- c) Postorder (Left, Right, Root): 45231

## Contd..

- ▶ In-order Traversal(Left, Root, Right)
- In this traversal method, the left subtree is visited first, then the root and later the right sub-tree. We should always remember that every node may represent a subtree itself.
- We start from A, and following in-order traversal, we move to its left subtree B. B is also traversed in-order. The process goes on until all the nodes are visited. The output of inorder traversal of this tree will be  $D \rightarrow B \rightarrow E \rightarrow A \rightarrow F \rightarrow C \rightarrow G$



# Pre-Order Traversal

- ▶ Preorder (Root, Left, Right)
- We start from A, and following pre-order traversal, we first visit A itself and then move to its left subtree B. B is also traversed pre-order. The process goes on until all the nodes are visited. The output of pre-order traversal of this tree will be.

$A \rightarrow B \rightarrow D \rightarrow E \rightarrow C \rightarrow F \rightarrow G$

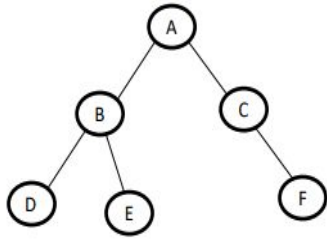
# Post-Order Traversal

- ▶ Post order (Left, Right, Root)
- We start from A, and following pre-order traversal, we first visit the left subtree B. B is also traversed post-order. The process goes on until all the nodes are visited. The output of post-order traversal of this tree will be.  
 $D \rightarrow E \rightarrow B \rightarrow F \rightarrow G \rightarrow C \rightarrow A$

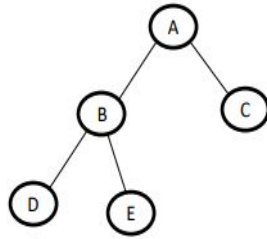
# Binary Tree

- Each node in the binary tree can have utmost two child nodes (left child and right child).
- Each node has both a left subtree and a right subtree (either of which may be empty). The node's left (right) subtree consists of the node's left (right) child together with that child's own children, grandchildren, etc.
- Strictly Binary Tree: If every non-leaf node in a binary tree has nonempty left and right subtrees, the tree is termed a strictly binary tree. A strictly binary tree with  $n$  leaves always contains  $2n - 1$  nodes.
- 
- Complete Binary Tree: A complete binary tree of depth  $d$  is a strictly binary tree all of whose leaves are at level  $d$ .

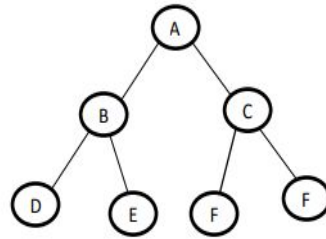
# Contd....



(a)



(b)



(c)

Fig: (a) Binary tree (b) Strictly binary tree (c) Complete binary tree

# Contd.....

- If a binary tree contains  $m$  nodes at level  $l$ , it contains at most  $2m$  nodes at level  $l+1$ .
- A binary tree can contain at most  $2^l$  nodes at level  $l$ .
- A complete binary tree of depth  $d$  is the binary tree of depth  $d$  that contains exactly  $2^l$  nodes at each level between  $0$  and  $d$ . That is, the binary tree of depth  $d$  contains exactly  $2^d$  nodes at level  $d$ .
- The total number of nodes in a complete binary tree of depth  $d$ ,  $tn$ , equals the sum of the number of nodes at each level between  $0$  and  $d$ . Thus

$$tn = 2^0 + 2^1 + \dots + 2^d = \sum_{j=0}^d 2^j = 2^{d+1} - 1$$



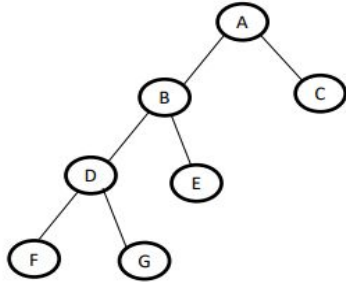
# Contd...

- Since, all leaves in a complete binary tree are at level  $d$ , the tree contains  $2^d$  leaves, and therefore,  $2^d - 1$  nonleaf nodes.
- If the number of nodes,  $tn$ , in a complete binary tree is known, we can compute its depth

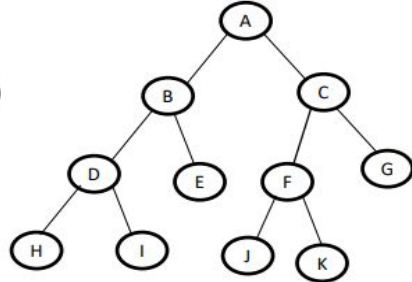
$$d = \log_2(tn + 1) - 1$$

- A **almost complete binary tree** is a binary tree in which  
(a) every level of the tree is completely filled except the last level. (b) Also, in the last level, nodes should be attached starting from left-most position.

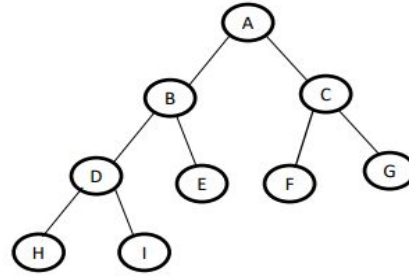
## Contd...



(a)



(b)



(c)

The strictly binary tree in figure (a) is not almost complete, since it violates condition a. The strictly binary tree of (b) is not almost complete since it satisfies condition a but violates condition b. The strictly binary tree of (c) satisfies both conditions a and b and is therefore an almost complete binary tree.

# Binary Search Tree

Prabhat Ale

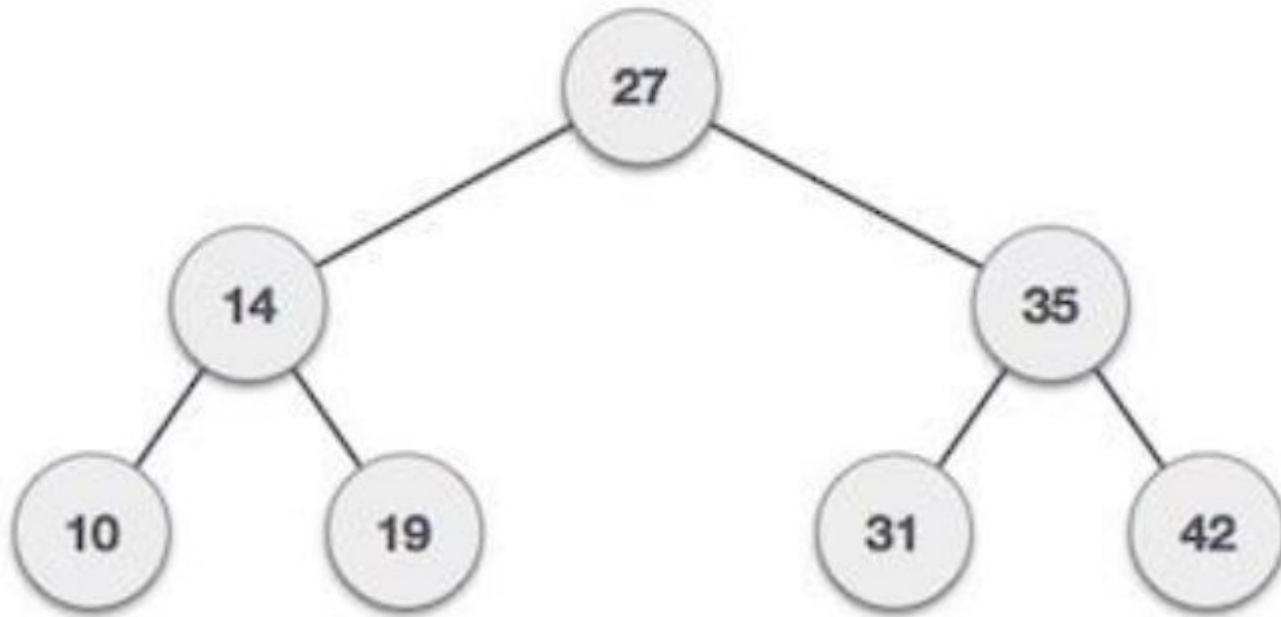
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# Binary Search Tree

A **binary search tree** (or **BST**) is a binary tree with the following property. For any node in the binary tree, if that node contains information *info*:

- ❖ Its left subtree (if nonempty) contains only nodes with information less than *info*.
- ❖ Its right subtree (if nonempty) contains only nodes with information greater than *info*.

# Examples Of Binary Search Tree



# Searching In Binary Search Tree

**Search:** To search for a given target value in a binary search tree

- ❖ Compare the target value with the element in the root node.
- ❖ If the target value is **equal**, the search is successful.
- ❖ If target value is **less**, search the left subtree.
- ❖ If target value is **greater**, search the right subtree.
- ❖ If the subtree is **empty**, the search is unsuccessful.

# Insertion In A Binary Search Tree

## 1. Start at the Root:

- Begin at the root of the tree.

## 2. Compare Value:

- Compare the value to be inserted with the value of the current node.

## 3. Move Left or Right:

- If the value is less than the current node's value, move to the left child.
- If the value is greater than the current node's value, move to the right child.

## 4. Find the Right Spot:

- Repeat steps 2 and 3 until you find an empty spot (null) where the new value can be inserted.

## 5. Insert the Value:

- Insert the new value at the found spot.



# Deletion In A Binary Search Tree

## 1. Start at the Root:

- Begin at the root of the tree.

## 2. Find the Node to Delete:

- Use the same method as insertion to find the node with the value to be deleted.

## 3. Case 1: Node has No Children (Leaf Node):

- Simply remove the node from the tree.



# Deletion In A Binary Search Tree

## 4. Case 2: Node has One Child:

- Remove the node and link its parent directly to its child.

## 5. Case 3: Node has Two Children:

- Find the node's in-order successor (the smallest value in the right subtree) or in-order predecessor (the largest value in the left subtree).
- Replace the node's value with the successor's (or predecessor's) value.
- Delete the successor (or predecessor) node, which will now be a simpler case (either a leaf or having one child).

## Example:

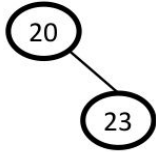
**Example:** Starting with empty BST, show the effect of successively adding the following numbers as keys: 20, 23, 10, 21, 30, 15, 5, 22 and 40. Also, show the effect of successively deleting 10, 30, 20 from the resulting BST.

# Example:

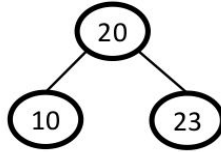
After successively adding 20, 23, 10, 21, 30, 15, 5, 22 and 40.



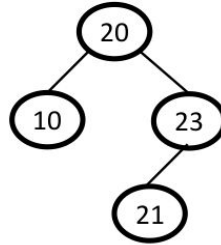
(a)



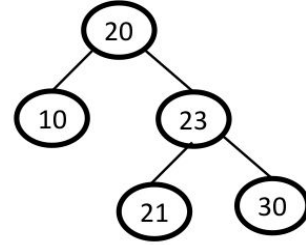
(b)



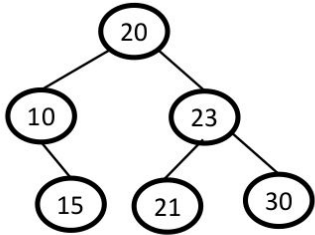
(c)



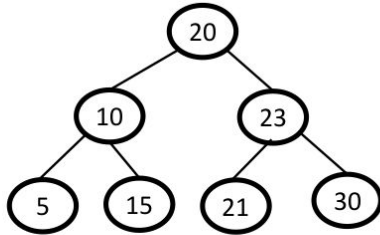
(d)



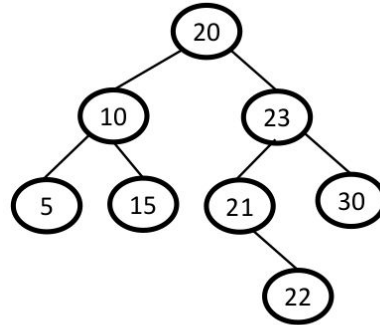
(e)



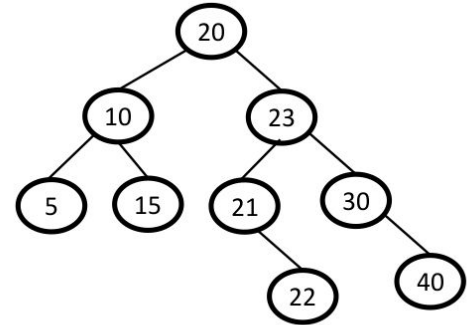
(f)



(g)

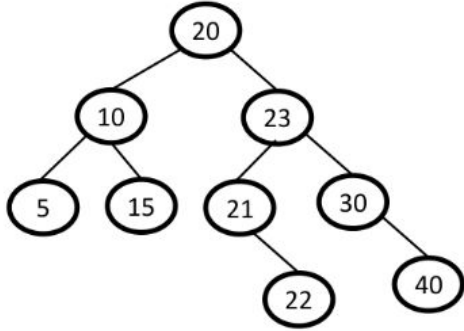


(h)

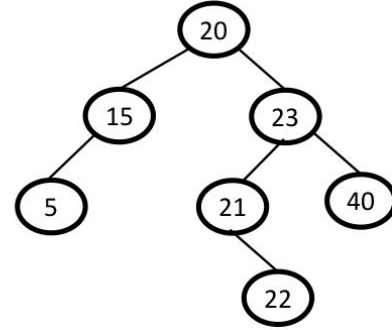


(h)

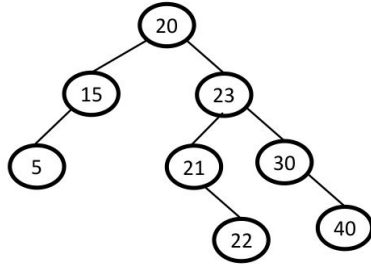
# Example:



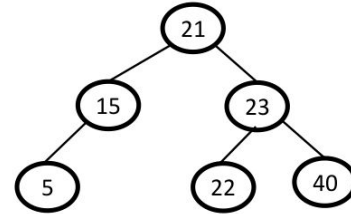
After deleting 30.



After deleting 10.



After deleting 20.



# Time Complexity Analysis:

- **Analysis:** Let number of nodes in the binary search tree be  $n$ .
  - ❖ If the tree is balanced, the maximum number of comparisons for search, insert, and delete operations is  $\lfloor \log_2 n \rfloor + 1$ . Hence, best-case time complexity is  $O(\log_2 n)$ .
  - ❖ If the tree is not balanced, maximum number of comparisons search, insert, and delete operations is  $n$ . Hence, worst-case time complexity is  $O(n)$ .

# Presentation on DSA

*MDS 1<sup>st</sup> Semester*

*Topic: (unit-8)*

**AVL Trees**

*Prabin Adhikari*

*Roll No : 23*

# Definition

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An AVL tree is a **binary search tree** with a **balance condition**.

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AVL is named for its inventors: **Adel'son-Vel'skii** and **Landis**

---

AVL tree *approximates* the ideal tree (**completely balanced tree**).

---

AVL tree maintains a height close to the **minimum**.

---

In AVL tree, **balance factor** of every node is **-1, 0 or +1**.

---

**Where, Balance factor = height of left sub tree – height of right sub tree.**

---

Every AVL tree is binary search tree, but every binary search tree need not to be AVL tree.

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Operation perform as search, insertion, deletion with  **$O(\log n)$  time complexity**.

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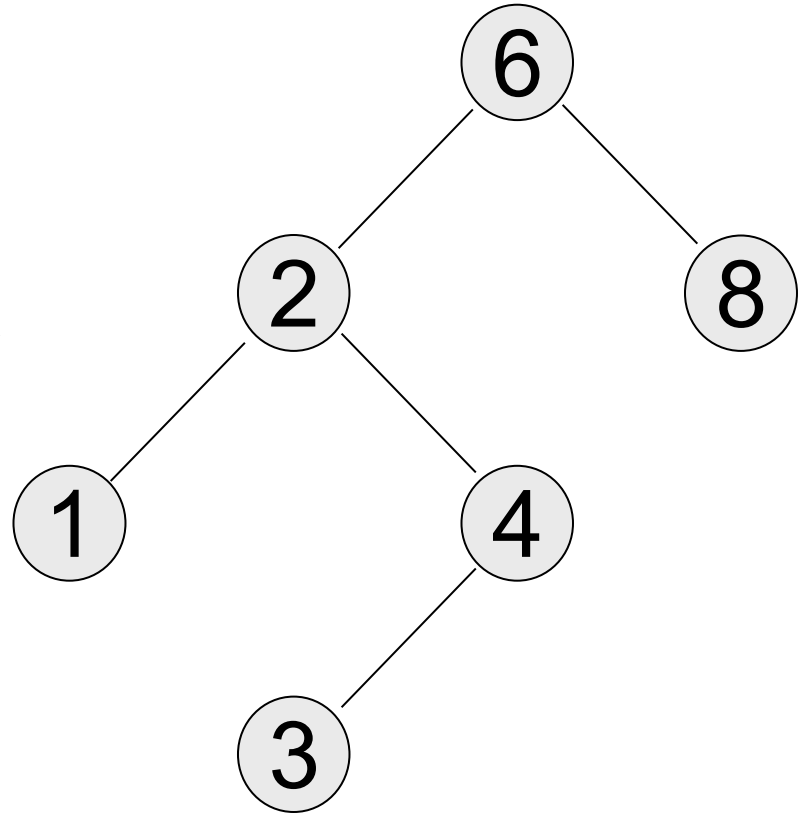
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# Balance condition ?

## Height ?

An AVL tree is a binary search tree such that for any node in the tree, the height of the left and right subtrees can differ by at most 1.

- Height is the length of the longest path from root to a leaf
- Here in the figure height of the tree is 3, subtree rooted by node 2 is 2, by 8 is 0. Tree is unbalanced



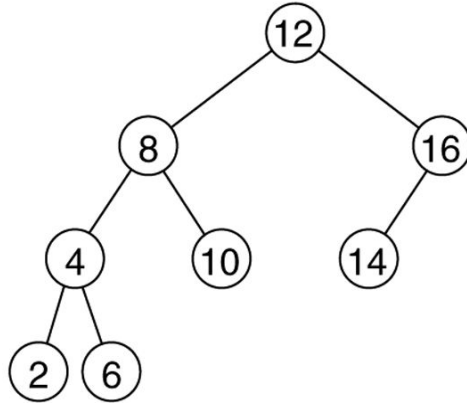


## Examples

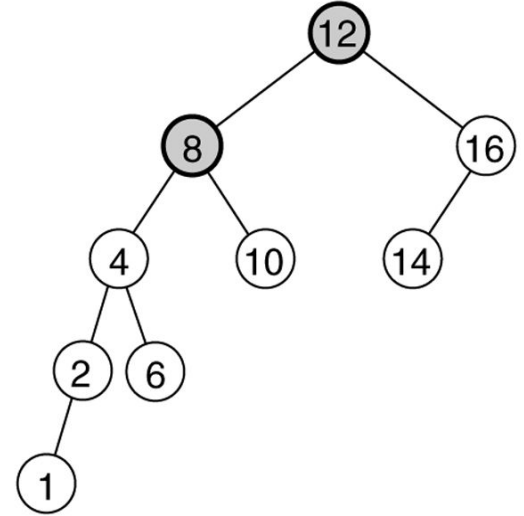
Two binary search  
trees:

(a) an AVL tree

(b) not an AVL tree  
(unbalanced nodes are  
darkened)



(a)



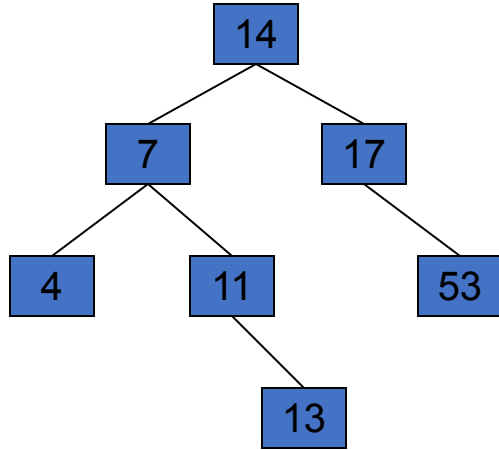
(b)

# Properties of AVL Tree

- The depth of a **typical node** in an AVL tree is very close to the optimal  $\log N$ .
- Consequently, all **searching operations** in an AVL tree have **logarithmic worst-case bounds**.
- An **update** (insert or remove) in an AVL tree could **destroy the balance**. It must then be **rebalanced** before the operation can be considered complete.
- **After an insertion**, only nodes that are on the path from the insertion point to the root can have their balances altered.

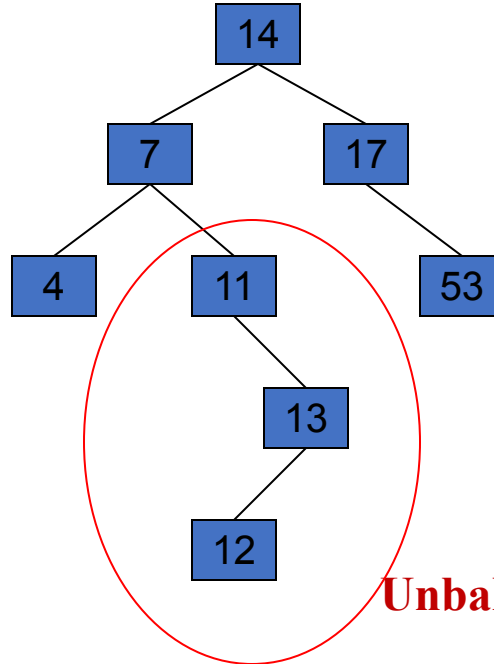
# Simple Insertion operation on AVL Tree (Example)

Insert **14, 17, 7, 53, 4, 11, 13** into an empty AVL tree



**Balanced AVL Tree**

**Now insert 12**

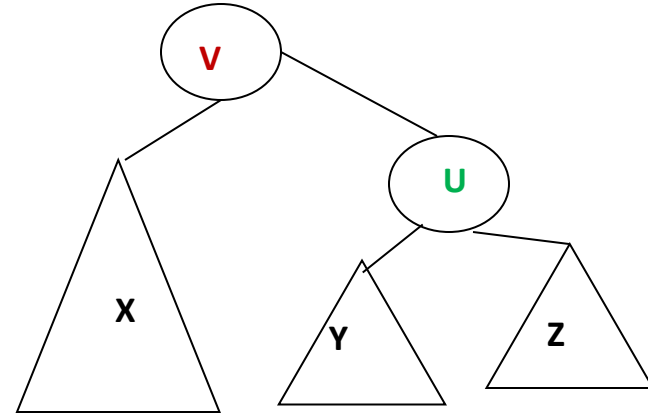
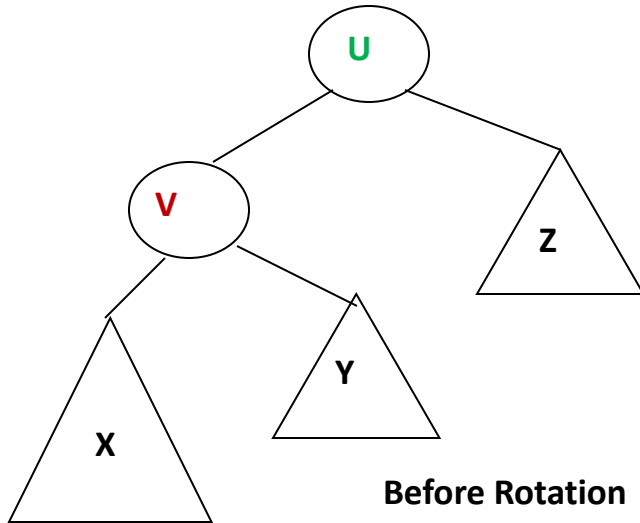


**Unbalanced (let's balance)**

## For Balancing the Tree, Single and Double rotation is used

Insertion in left child of left subtree

### Single Rotation

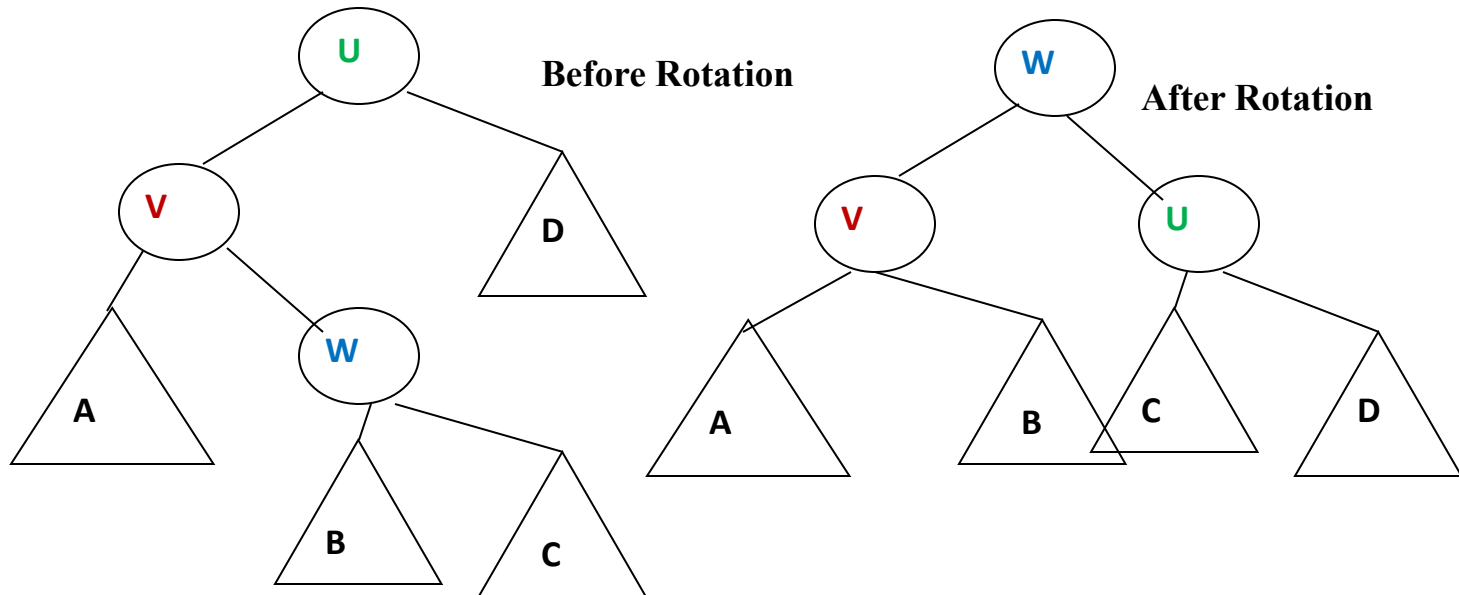


After Rotation

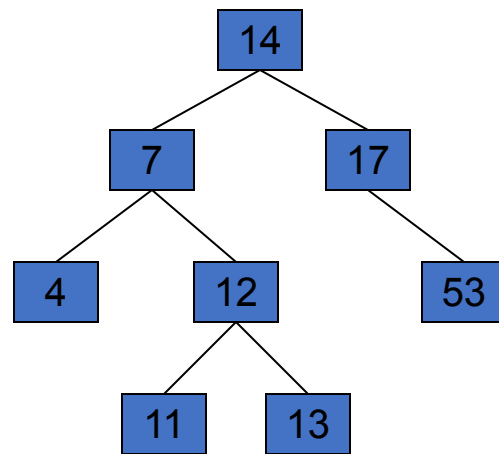
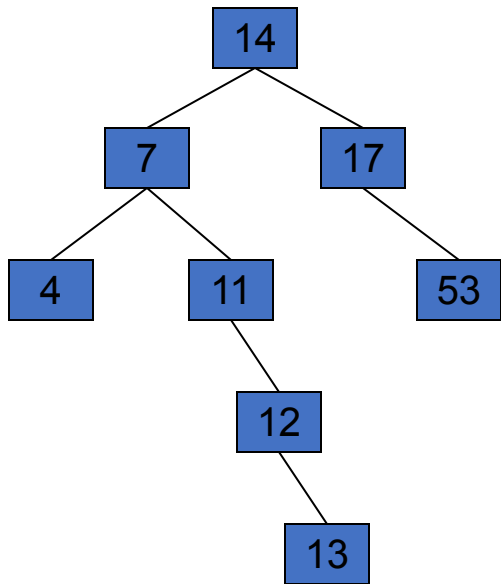
# Double Rotation

Suppose, imbalance is due to an insertion in the left subtree of right child

**Single Rotation does not work!**



# Above Example



**Finally Balanced**

# Insertion operation Example (step wise)

Insert 3, 2, 1, 4, 5, 6, 7, 16, 15, 14

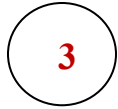


Fig 1

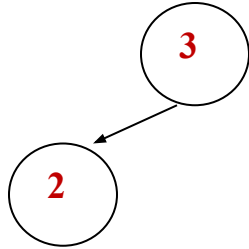


Fig 2

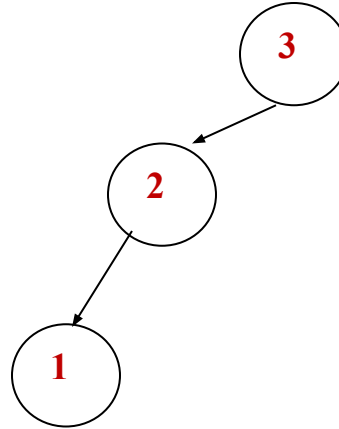


Fig 3

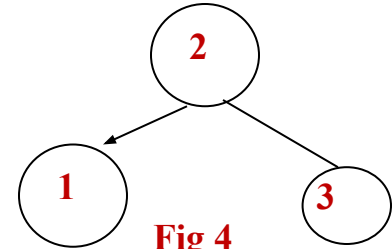


Fig 4

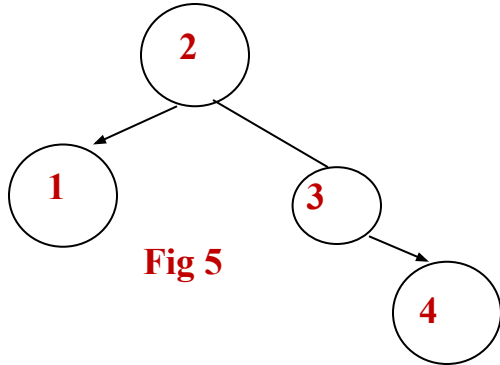


Fig 5

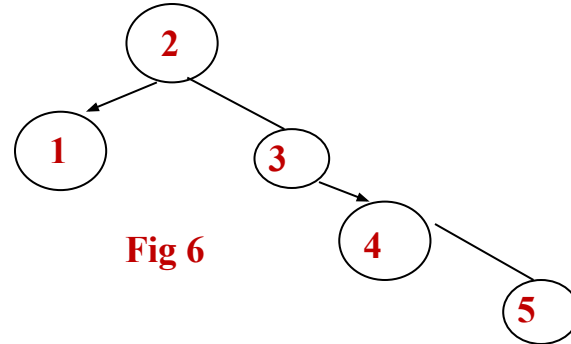


Fig 6

Insert 3, 2, 1, 4, 5, 6, 7, 16, 15, 14

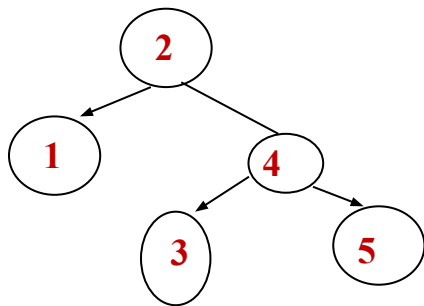


Fig 7

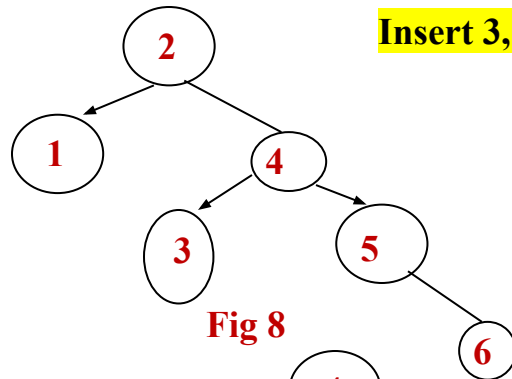


Fig 8

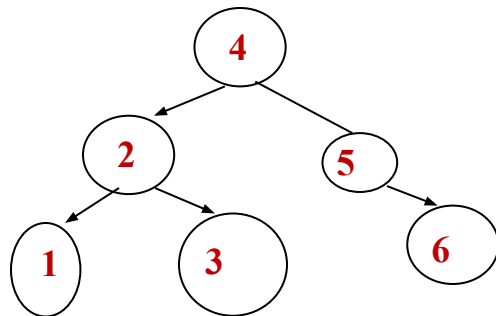


Fig 9

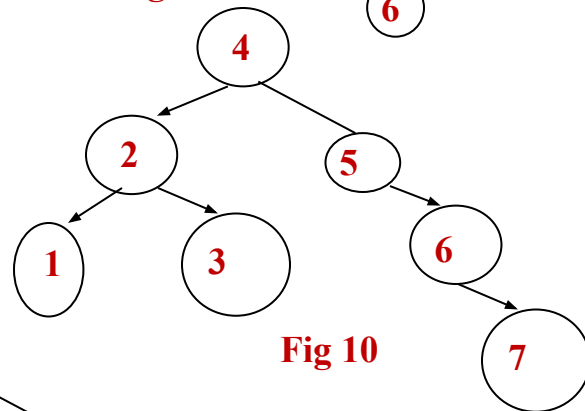


Fig 10

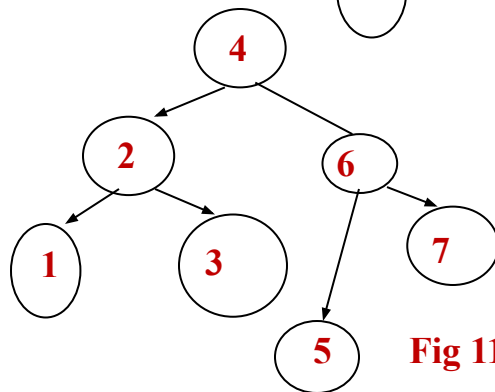
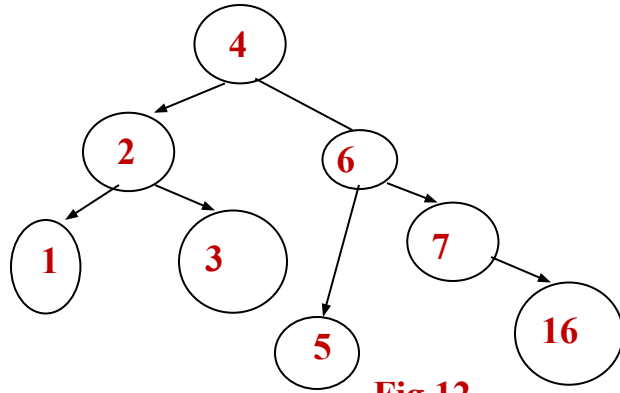


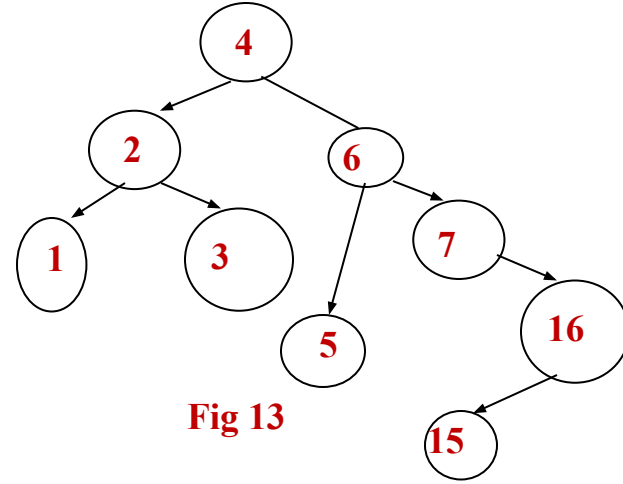
Fig 11



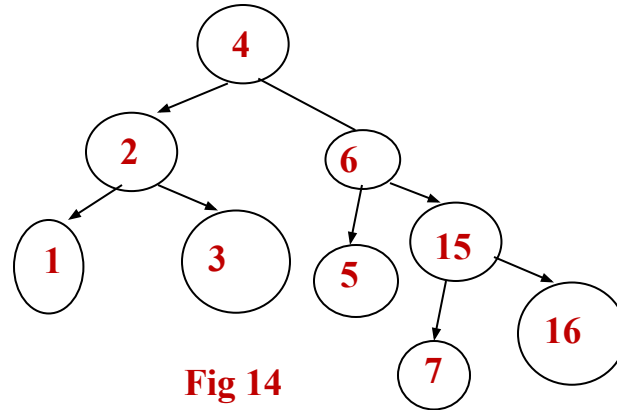
**Insert 3, 2, 1, 4, 5, 6, 7, 16, 15, 14**



**Fig 12**

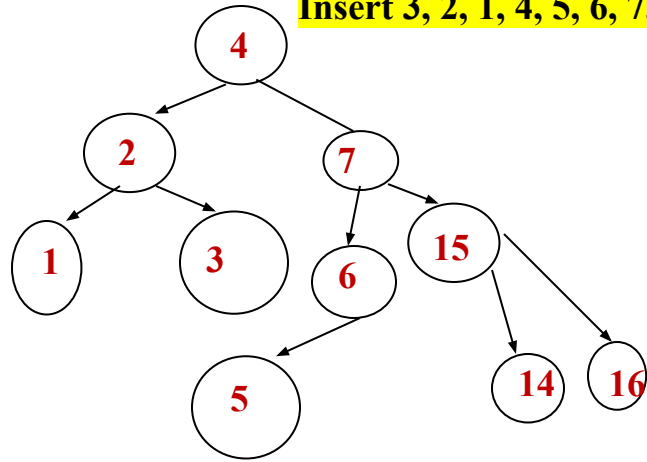
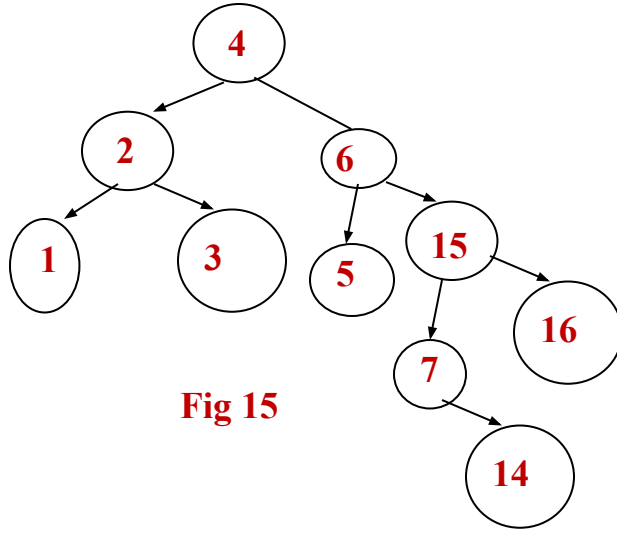


**Fig 13**



**Fig 14**

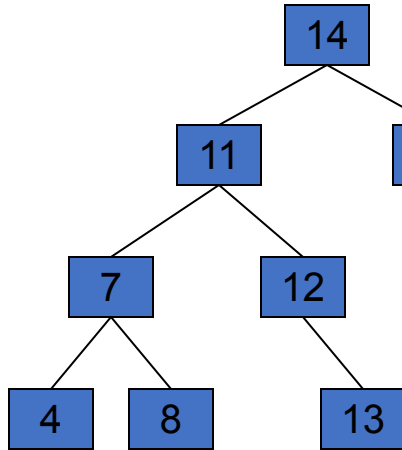
**Insert 3, 2, 1, 4, 5, 6, 7, 16, 15, 14**



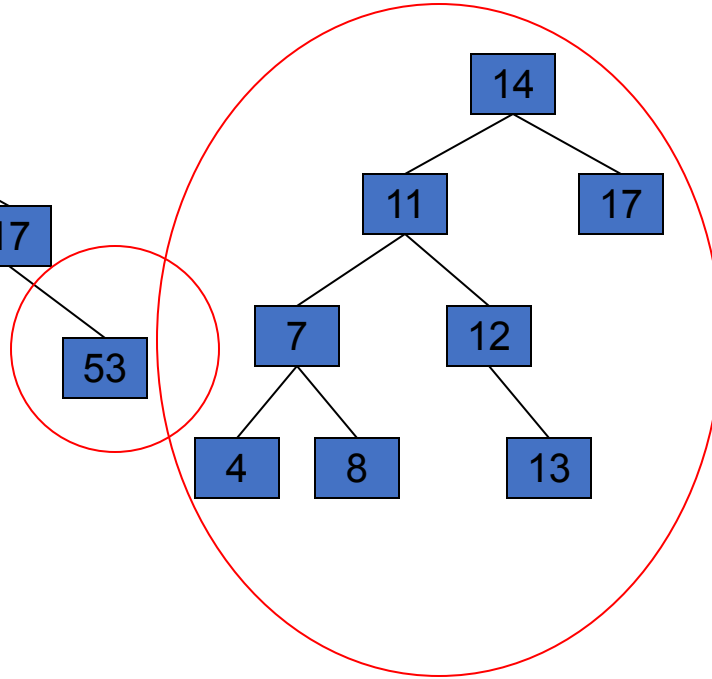
□ Deletions also can be done with similar rotations

## Example of **Deletion Operation** from below AVL Tree

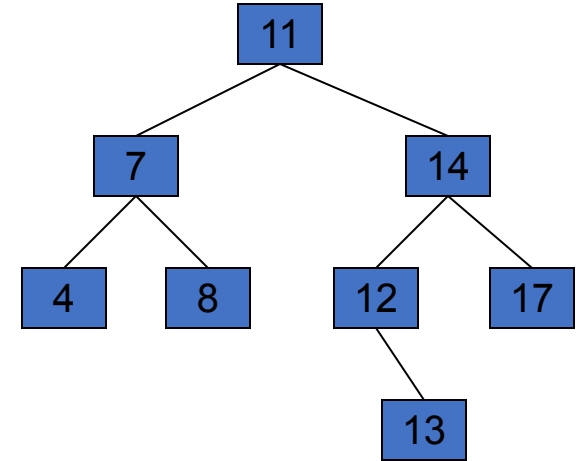
Now remove 53



Now remove 53, unbalanced



Balanced!



# Applications

## Databases:

- Indexing
- Query optimization

## Memory Management:

- Dynamic memory allocation

## File Systems:

- Directory management
- Metadata management

## Networking:

- Routing tables
- Prefix matching

## Compilers:

- Syntax trees
- Symbol tables

## Gaming:

- Collision detection
- Leaderboard management

## Geographic Information Systems (GIS):

- Spatial data indexing
- Map data management

## Cryptography:

- Digital certificates

## Artificial Intelligence:

- Decision trees
- Search algorithms

## Financial Systems:

- Transaction management
- Order matching



**Thank You !!**

