### Overview

Quantum Annealer: Special-purpose quantum computer;

- It is different from General Purpose Quantum Computers which can be freely programmed from computers
- Instead, it is designed & built to host a single quantum algorithm, Quantum
   Annealing

**Quantum Annealing:** heuristic optimization technique used to find the global minimum of a problem's energy landscape. It uses quantum mechanics, particularly quantum tunneling and superposition, to explore solutions.

- Simply put, quantum algorithm capable of solving optimization problems
- It is particularly useful for solving combinatorial optimization problems and challenges in discrete mathematics, such as factoring large numbers, solving SAT problems, or optimizing logistics.

### **History of Quantum Annealing**

- Simulated Annealing (1983)
  - Classical simulated annealing was introduced by Scott Kirkpatrick, C. Daniel Gelatt, and Mario P. Vecchi.
  - technique involves gradually lowering the "temperature" of a system to find a global minimum in an optimization problem
  - inspired by metallurgical annealing processes, i.e. thermodynamics process
- 1998 (A. B. Finnila et al.): Quantum annealing as a concept was introduced; proposes quantum fluctuations instead of thermal fluctuations
- 2001 (T. Kadowaki and H. Nishimori) presented the formal theoretical work; explains how adiabatic quantum computing connects!
  - Link to Research Paper: <a href="https://arxiv.org/abs/cond-mat/9804280">https://arxiv.org/abs/cond-mat/9804280</a>
- **D-Wave Systems (2000s):** The practical realization of quantum annealing began with D-Wave Systems, a Canadian company founded in 1999.
  - Introduced the world's first commercial quantum computer, **D-Wave One**

#### Fun fact:

The D-Wave Advantage is the largest quantum annealer in the world, with a quantum processing unit (QPU) that has over **5,000 qubits**. It's considered the **most powerful** and connected quantum computer available, with more than double the qubits and 2.5 times the connections of its predecessor, the D-Wave 2000Q.

# Simulated Annealing, Classical Algorithm

Suppose we have an optimization problem; for example, a minimization problem where there is a function and we want to find the *lowest point* or *global minimum*.

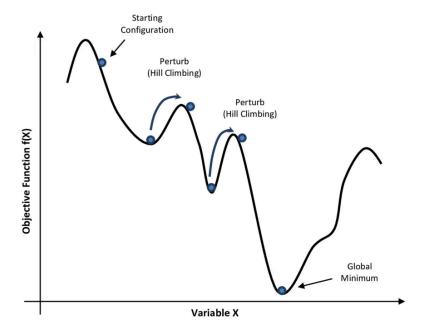
- Objective function - the function to be minimized; it is known and computable using a finite set of variables

Best way to solve a minimization problem is the brute-force approach

- calculate all the values for all possible inputs and consider the smallest
- this isn't a great approach if you have a lot of inputs (for N inputs, that is 2<sup>n</sup> combinations)

Another way to solve minimization problems are **Simulated Annealing**: probabilistic strategy used to solve optimization problems

- Think of this analogy: a ball rolls along the graph of a function, falling into the holes defined by the minima
- Every time a ball lands in a hole it receives a certain amount of energy, enough to make it jump over another piece of the graph, looking for deeper minima points.



Article: https://medium.com/analytics-vidhya/simulated-annealing-869e171e763c

This is a really nice algorithm if:

- guarantees a convergence upon running a sufficiently large number of iterations
- usually better than greedy algorithms when it comes to problems that have numerous locally optimum solution

#### However:

- For problems where the energy landscape is smooth, or there are few local minima, SA is overkill.
- Repeatedly annealing with a 1/log(k) schedule is very slow, especially if the cost function is expensive to compute.

# **Quantum Annealing**

### **Key Steps in QA:**

- 1. Representation of Problem
  - We previously introduced an objective function this is really just a mathematical expression of the energy of a system
    - We want to find the minimum of this function; i.e. lowest energy of a system;
    - If the solver is a QPU, this is a function of the binary variables that represent its qubits; If the solver is a classical quantum hybrid solver, energy might be an abstract function
  - So, first, problems are mapped to a Hamiltonian (a mathematical object representing the total energy of a quantum system).
  - Before that, the goal is to convert all our problems into **minimization problems**.
    - Example:  $\mathbf{x}$  + 1 = 2 can be rewritten as:  $min[2-(x+1)]^2$
- 2. Problem Mapping to QUBO/Ising Model:
  - Quantum annealing solves problems expressed in either of these two forms:
     QUBO or Ising model
  - These are the native formats for quantum annealers; Why? It's because fundamental mechanism of quantum annealing relies on minimizing the energy of the system
  - Both models actually describe optimization problems as energy functions or Hamiltonians
    - In the **Ising model**, the ground state focuses on minimizing the spins' interaction energy.
    - In **QUBO**, the ground state corresponds to the binary configuration that **minimizes the quadratic objective**. Remember, objective is just another word for the function we want to minimize.
  - Note: Any QUBO problem can be easily mapped into an ISING problem through simple equivalence
  - Note: PyQUBO is a python library, with a C ++ backend, written by DWAVE to use its quantum annealer.

#### 3. Hamiltonian Dynamics

- At this point, assume we have the problem stated in terms of Hamiltonian (through Ising or QUBO)

- This is not where we start; The system begins in a simple initial Hamiltonian H<sub>initial</sub> where the ground state is easily achievable
  - This is designed to represent a state where all qubits (quantum bits) are in a uniform superposition:  $H_{\rm initial}=-\sum_i\sigma_x^i$ 
    - Pauli-X operator flips the state of a qubit; it has maximum uncertainty so we don't know what this final state will look like
  - The ground state will look like this:  $[|\psi_{\mathrm{initial}}
    angle=rac{1}{\sqrt{2^n}}\sum_{x\in\{0,1\}^n}|x
    angle]$
- Over time, we want it to evolve into the problem Hamiltonian which is our Ising model or QUBO model;
- We want the problem Hamiltonian to be in this format:  $[H_{\text{problem}} = \sum_i h_i \hat{s}_i + \sum_{i < j} J_{ij} \hat{s}_i \hat{s}_j]$ 
  - Note: s<sub>i</sub> represents the quantum operator representing the i<sup>th</sup> spin variable
  - H<sub>ii</sub> is a coefficient representing the external field acting on the spins
  - J<sub>ii</sub> is coefficient representing the coupling strength
- This is very similar to the Ising **model**; if we are using QUBO, we need to do some extra steps to get this form. See below.
- The system evolves smoothly from  $H_{initial}$  and  $H_{problem}$  governed by:  $H(t) = (1-s(t))H_{initial} + s(t)H_{problem}$
- Note: s(t) is a time-dependent parameter that gradually increases from 0 to 1
- As we evolve, we rely on **adiabatic theorem** of quantum mechanics:
  - If the Hamiltonian changes slowly enough and there is a sufficient energy gap between the ground state and excited states, the quantum system remains in its ground state throughout the evolution.
- This means starting from the ground state of  $H_{\text{initial}}$ , the system will evolve to the ground state of  $H_{\text{problem}}$
- The ground state of H<sub>problem</sub> happens to be the solution to our optimization problem
  - Remember, the quantum state of the system evolves according to the time-dependent Schrödinger equation:  $i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle = H(t) |\psi(t)\rangle$
- At every time t, the Hamiltonian has a unique ground state and system's quantum state will follow this if evolution is slow
- Some quantum properties that are really helpful for this:
  - quantum entanglement captures correlations between variables, helping the system find the global minimum.
  - initial state is a superposition of all possible configurations; as we evolve, it collapses into the state corresponding to ground state
  - quantum tunneling allows the system to move through energy barriers that would trap classical systems in local minima.

## Example 1

https://github.com/dwave-examples/factoring-notebook

**Problem Statement:** Factor the integer N=15 into its prime components.

- If N = pq where p and q are prime numbers then factor N into finding integers p and q
- We express this problem as an optimization problem by converting it into a QUBO model.

Let p and q be represented as binary variables. Assume it's n = 2 bits long and let  $p=p_0+2p_1$  and  $q=q_0+2q_1$  where  $p_0,q_0,p_1,q_1\in 0,1$ 

So, if pq = N, then using the above format:

$$(p_0 + 2p_1) \cdot (q_0 + 2q_1) = 15$$
  

$$p_0q_0 + 2p_0q_1 + 2p_1q_0 + 4p_1q_1 = 15$$
  

$$4p_1q_1 + 2p_1q_0 + 2p_0q_1 + p_0q_0 - 15 = 0$$

We transform this equation into a cost function:

$$C(p_0, p_1, q_0, q_1) = (4p_1q_1 + 2p_1q_0 + 2p_0q_1 + p_0q_0 - 15)^2$$
  
See this: Link.

After simplifying out the coefficients and all, the QUBO matrix will look like this:

$$[Q = \begin{bmatrix} 1 & 0 & 2 & 2 \\ 0 & 3 & 2 & 4 \\ 2 & 2 & 1 & 0 \\ 2 & 4 & 0 & 1 \end{bmatrix}]$$

So, the problem hamiltonian will be (in Hamiltonian format):

$$H_{\text{problem}} = (p_0q_0 + 2p_0q_1 + 2p_1q_0 + 4p_1q_1 - 15)^2$$

$$H_{\text{problem}} = p_0q_0 + 2p_0q_1 + 2p_1q_0 + 4p_1q_1 - 30p_0q_0 - 60p_0q_1 - 60p_1q_0 - 120p_1q_1 + 225$$

$$H_{\text{problem}} = 1p_0^2 + 3p_1^2 + 1q_0^2 + 1q_1^2 + 2p_0q_0 + 4p_0q_1 + 4p_1q_0 + 8p_1q_1 - 30p_0 - 60p_1 - 60q_0 - 120q_1 + 225$$

while our initial Hamiltonian is still:  $H_{\text{initial}} = -\sum_{i} \sigma_{x}^{i}$ 

We iteratively apply  $H(t)=(1-s(t))H_{\rm initial}+s(t)H_{\rm problem}$  until s(t) = 1. Now, the time step is dependent on the energy gap between ground and excited states. The smaller  $\Delta t$ , the slower the evolution and too many updates to calculate by time. So, let's **cry**.

Okay, but let's do the first iteration;

$$H_{\text{initial}} = -(\sigma_x p_0 + \sigma_x p_1 + \sigma_x q_0 + \sigma_x q_1)$$

So, let's set up our evolution parameters:

$$s(t) = \frac{T}{t}$$
, where  $t_1 = \Delta t$ ,  $T = \text{total annealing time.}$ 

Assume:

$$T = 1 \,\text{ms},$$
  
Number of steps  $n = 1000,$ 

$$\Delta t = \frac{T}{n} = 1 \,\mu\text{s},$$

$$s(t_1) = \frac{\Delta t}{T} = 0.001.$$

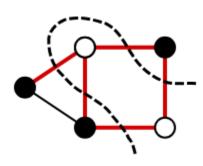
Then, for the first evolution we use this timestep of 0.001 to get:

$$H(t_1) = (1 - 0.001)H_{\text{initial}} + 0.001H_{\text{problem}}$$

Also, substitute the hamiltonians into the problem and combine terms to get:

$$H(t_1) = -0.999(\sigma_x^{p_0} + \sigma_x^{p_1} + \sigma_x^{q_0} + \sigma_x^{q_1}) + 0.001(p_0q_0 + 4p_0q_1 + 4p_1q_0 + 16p_1q_1 - 30p_0q_0 - 60p_0q_1 - 60p_1q_0 - 120p_1q_1 + 225)$$

# **Example 2**



**Problem Statement:** The Max-Cut Problem involves dividing the vertices of a graph into two groups such that the sum of the weights of the edges connecting the groups is maximized.

Each vertex is represented by a spin; +1 and -1; and edges are encoded as coupling terms. We remove the need for "biases"

The initial hamiltonian is  $H_{\text{initial}} = -\sum_i \sigma_x^i$  and the problem hamiltonian is simply:

$$H_{\text{problem}} = \sum_{i < j} J_{ij} s_i s_j$$

# 5. Example

## Graph:

- Vertices:  $V = \{1, 2, 3, 4\}$
- Edges and weights:

• 
$$J_{12} = 3, J_{13} = 2, J_{23} = 4, J_{24} = 1, J_{34} = 5$$

### Solution:

1. Construct the Ising Hamiltonian:

$$H = 3s_1s_2 + 2s_1s_3 + 4s_2s_3 + s_2s_4 + 5s_3s_4$$

- 2. Use quantum annealing to minimize H.
- 3. Result:
  - Spins: s = [+1, -1, +1, -1]
  - Groups:  $S_1 = \{1, 3\}, S_2 = \{2, 4\}$
  - Maximum cut:  $J_{12} + J_{24} + J_{34} = 3 + 1 + 5 = 9$ .

# Appendix?

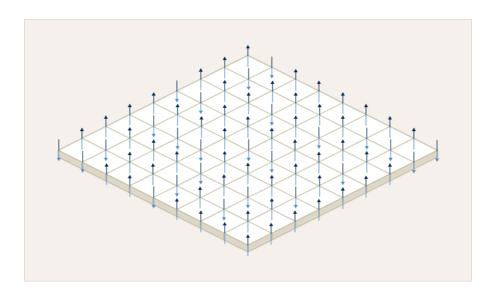
## Related: Ising model

- It is a well-known model in statistical mechanics.
- an Ising Hamiltonian has as variables +1 and -1 which relate to spin variables (+1 is spin up, -1 is spin down)
- The relationships between the spins, represented by the coupling values of the Hamiltonian, represent the correlations or anti-correlations
  - essentially, how individual qubits (or spins) interact with each other
- Mathematically, the energy of the system is  $H = \sum_i h_i s_i + \sum_{i < j} J_{ij} s_i s_j$ 
  - coefficients h represent the bias values associated with the qubits
  - coefficients J represent the strength of the coupling bonds

An easier way to understand the Ising Model is through the switch analogy.

- Switch States Represent Spin:

- In the Ising model, each "spin" can take one of two values: +1 or -1.
- You can think of this as a switch with two positions:
  - +1 is ON
  - -1 is OFF
- Switch Network Represents Interactions:
  - The switches are connected to each other in a network.
  - Each connection has a "preference" for how the two connected switches should align, represented by the coupling constant  $J_{ij}$ 
    - If this constant is >0, then connection prefers the switches to be in the same position (both ON or both OFF).
    - If this constant is <0, the connection prefers the switches to be in opposite positions (one ON and one OFF)
  - Each switch is also influenced by an external "force" or "field," represented by  $h_i$ 
    - If  $h_i > 0$  then external field encourages the switch to stay ON
    - If  $h_i < 0$  then external field encourages the switch to stay OFF
  - The energy of the entire switch system is calculated using the Ising Hamiltonian  $H=\sum_i h_i s_i + \sum_{i< j} J_{ij} s_i s_j$ 
    - First term captures the energy contribution from the external fields acting on each switch
    - second term captures the energy contribution from the interactions between pairs of switches
  - The "optimal configuration" of switches is the one that minimizes the total energy H
  - This corresponds to finding the positions (ON/OFF states) for all switches that best satisfy the competing influences of the external fields and pairwise interactions.



### Illustration depicting an Ising Model

### Related: QUBO model

- QUBO problem is defined by a matrix Q (upper triangular) and a vector of binary variables x.
- The mathematical form of a QUBO (Quadratic Unconstrained Binary Optimization) problem is given as:  $f(x) = \sum_i a_i x_i + \sum_{i < j} b_{ij} x_i x_j$ 
  - $x \in {0,1}$  are binary variables
  - $a_{ij}$  are linear coefficients,  $b_{ij}$  are quadratic coefficients
- For the graph:
  - Nodes A, B, C
  - Weights  $w_{ab}=3$ ,  $w_{bc}=2$ ,  $w_{ac}=4$

The QUBO matrix Q is: 
$$\begin{bmatrix} Q = \begin{bmatrix} 0 & -6 & -8 \\ 0 & 0 & -4 \\ 0 & 0 & 0 \end{bmatrix} ]$$

# To convert from the Ising model to the QUBO (Quadratic Unconstrained Binary Optimization):

https://dominicplein.medium.com/gubo-and-ising-equivalence-65275a4f4f39

### Related: QUBO to Problem hamiltonian

Suppose the QUBO matrix is: Q = 
$$\begin{bmatrix} 1 & -2 \\ 0 & 3 \end{bmatrix}$$

The QUBO energy function is:

$$E(x) = x1(1) + x2(3) + x1x2(-2)E(x) = x_1(1) + x_2(3) + x_1x_2(-2)$$

The problem Hamiltonian becomes:

$$H_{\text{problem}} = Q_{11}x^1 + Q_{22}x^2 + Q_{12}x^1x^2$$
  

$$H_{\text{problem}} = Q_{11}\hat{x}_1 + Q_{22}\hat{x}_2 + Q_{12}\hat{x}_1\hat{x}_2$$

# Related: Quantum Tunneling

- enables the quantum system to escape local minima and move toward the global minimum of the problem Hamiltonian.
  - must overcome energy barriers to escape local minima; In classical sense, this is energy we give them.

- Quantum tunneling: refers to a particle's ability to pass through a potential energy barrier that it classically does not have enough energy to overcome
  - Tunneling enables the system to transition between local minima and approach the global minimum, even if barriers separate the minima.