

# **Analysis of a planar quadrotor**

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Group 2

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# 3-DOF Planar Quadrotor Model

System dynamics:

$$m\ddot{x} = -F \sin \theta$$

$$m\ddot{z} = F \cos \theta - mg$$

$$J\ddot{\theta} = M$$

where:

- $(x, z)$  — position coordinates
- $\theta$  — attitude angle
- $F$  — total thrust force
- $M$  — control torque

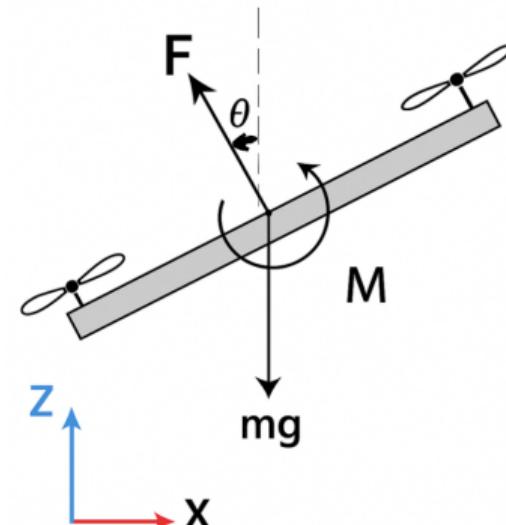


Fig 1 Quadrotor diagram

**Key insight:** Underactuated system where horizontal motion is achieved indirectly through attitude changes.

## State-Space Representation

The 6-dimensional state vector includes the pose of the quadrotor  $(x, z, \theta)$  and its time derivative  $(\dot{x}, \dot{z}, \dot{\theta})$ . The control-affine form:

$$\dot{x} = f(x) + g(x)u \quad (1)$$

where

$$\begin{pmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \\ x_5 \\ x_6 \end{pmatrix} = \begin{pmatrix} x \\ z \\ \theta \\ \dot{x} \\ \dot{z} \\ \dot{\theta} \end{pmatrix}, \quad u = \begin{pmatrix} F \\ M \end{pmatrix}, \quad f(x) = \begin{pmatrix} x_4 \\ x_5 \\ x_6 \\ 0 \\ -g \\ 0 \end{pmatrix}, \quad g(x) = \begin{pmatrix} 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ -\frac{\sin(x_3)}{m} & 0 \\ \frac{\cos(x_3)}{m} & 0 \\ 0 & \frac{1}{J} \end{pmatrix}$$

## Nominal System Analysis

To analyze the stability and existence of a unique solution without a stabilizing controller, we let  $u = (mg, 0)^T$ . The system (1) becomes:

$$\begin{pmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \dot{x}_3 \\ \dot{x}_4 \\ \dot{x}_5 \\ \dot{x}_6 \end{pmatrix} = \begin{pmatrix} x_4 \\ x_5 \\ x_6 \\ -g \sin(x_3) \\ g(\cos(x_3) - 1) \\ 0 \end{pmatrix} \quad (2)$$

## Nominal System Analysis

$$\frac{\partial f}{\partial x} = \begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & -g \cos(x_3) & 0 & 0 & 0 \\ 0 & 0 & -g \sin(x_3) & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

Since  $\frac{\partial f}{\partial x}$  is uniformly bounded on  $\mathbb{R}^6$  (using the matrix norm  $\left\| \frac{\partial f}{\partial x} \right\|_\infty \leq g$ ),  $f$  is globally Lipschitz. Then, the system (2) has a unique solution over  $[t_0, t_1]$ , where  $t_1$  can be arbitrarily large.

## Nominal System Analysis

Intuitively, we know the system (2) is unstable around any hover equilibrium  $x = (d, h, 0, 0, 0, 0)^T$ . Without loss of generality, consider the equilibrium  $x = 0$ , the solution with initial condition  $x(0) = (x_{10}, x_{20}, x_{30}, x_{40}, x_{50}, 0)^T$  is:

$$x_1(t) = x_{10} + x_{40}t - \frac{g \sin(x_{30})}{2} t^2$$

$$x_4(t) = x_{40} - g \sin(x_{30})t$$

$$x_2(t) = x_{20} + x_{50}t + \frac{g(\cos(x_{30}) - 1)}{2} t^2$$

$$x_5(t) = x_{50} + g(\cos(x_{30}) - 1)t$$

$$x_3(t) = x_{30}$$

$$x_6(t) = 0$$

Even with  $x_{10} = x_{20} = x_{40} = x_{50} = 0$  but  $x_{30} \neq 0$ , we have  $\lim_{t \rightarrow \infty} \|x(t)\| = \infty$ , i.e., there exists no ball around 0 in which if the system starts, it always stays in another ball.

## Feedback Linearization Control

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## Feedback Linearization Control

Since the position and altitude do not directly influence each other, we break the system (1) into two subsystems (Li 2023): an **altitude** subsystem controlled by thrust  $F$ , and a **position** subsystem controlled by torque  $M$ . The subsystem dynamics and corresponding control laws are:

$$\begin{pmatrix} \dot{x}_2 \\ \dot{x}_5 \end{pmatrix} = \begin{pmatrix} x_5 \\ \frac{F \cos(x_3)}{m} - g \end{pmatrix}$$

$$F = \frac{m(v_1 + g)}{\cos(x_3)}$$

$$\begin{pmatrix} \dot{x}_1 \\ \dot{x}_3 \\ \dot{x}_4 \\ \dot{x}_6 \end{pmatrix} = \begin{pmatrix} x_4 \\ x_6 \\ -\frac{F \sin(x_3)}{m} \\ \frac{M}{J} \end{pmatrix}$$

$$M = -\frac{mJ}{F \cos(x_3)} \left( v_2 - \frac{F \sin(x_3)x_6^2}{m} \right) \text{ for } F \neq 0$$

It is clear that after substituting in the control  $F$ , the first subsystem becomes a second-order integrator with a new input  $v_1$ .

## Feedback Linearization Control

For the second subsystem, we need to define a diffeomorphic coordinate transformation:

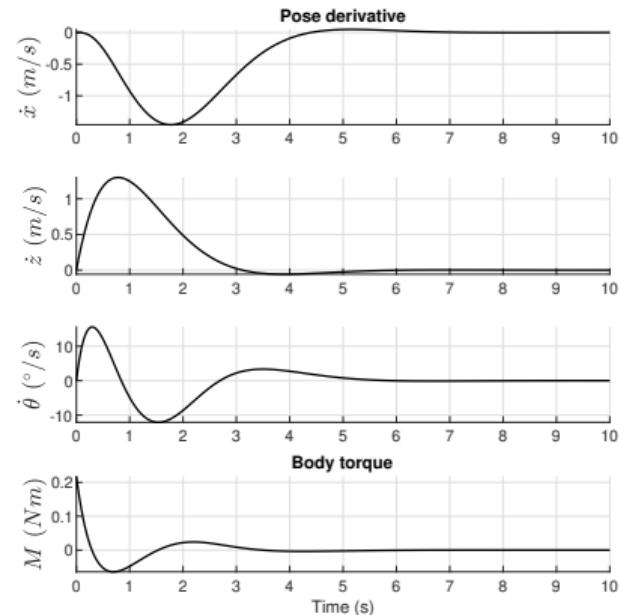
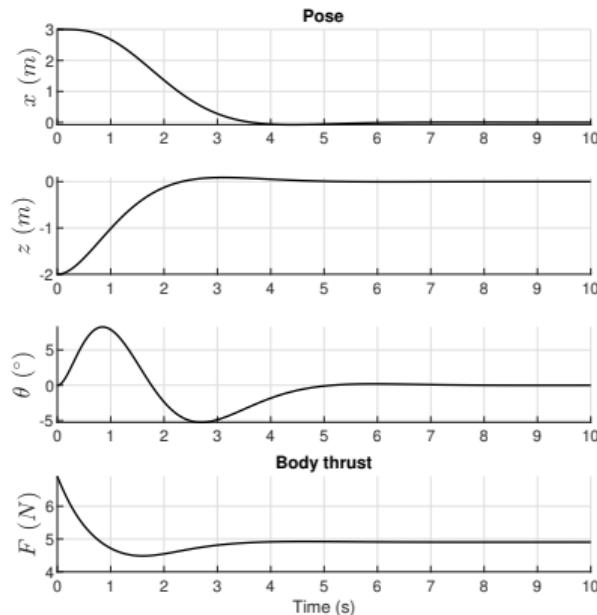
$$z = T(x) = \begin{pmatrix} x_1 \\ x_4 \\ -\frac{F \sin(x_3)}{m} \\ -\frac{F \cos(x_3)x_6}{m} \end{pmatrix} \rightarrow \dot{z} = \begin{pmatrix} x_4 \\ -\frac{F \sin(x_3)}{m} \\ -\frac{F \cos(x_3)x_6}{m} \\ \frac{F \sin(x_3)x_6^2 - F \cos(x_3)M/J}{m} \end{pmatrix} = \begin{pmatrix} z_2 \\ z_3 \\ z_4 \\ v_2 \end{pmatrix}$$

This feedback linearization control scheme and diffeomorphic coordinate transformation is only valid on  $D = \{x \in \mathbb{R}^6 \mid |x_3| < \frac{\pi}{2}\}$ . The new control inputs  $v_1, v_2$  can be designed on the feedback linearized systems using conventional linear system techniques (e.g., pole placement).

# Feedback Linearization Control

MATLAB simulation showing stabilization to the origin from initial state

$$x(0) = (3, -2, 0, 0, 0, 0)^T:$$



## Feedback Linearization Control

MATLAB simulation showing stabilization to the origin from initial state  
 $x(0) = (3, -2, 0, 0, 0, 0)^T$ :

## Feedback Linearization Control - Stability Analysis

The first subsystem requires no coordinate transformation and is straightforward. We concentrate on the second subsystem, its feedback linearization is:

$$\begin{pmatrix} \dot{z}_1 \\ \dot{z}_2 \\ \dot{z}_3 \\ \dot{z}_4 \end{pmatrix} = \begin{pmatrix} z_2 \\ z_3 \\ z_4 \\ v_2 \end{pmatrix} \text{ where } z = T(x) = \begin{pmatrix} x_1 \\ x_4 \\ -\frac{F \sin(x_3)}{m} \\ -\frac{F \cos(x_3)x_6}{m} \end{pmatrix}$$

which is a fourth-order integrator. The new control input  $v_2$  can be designed such that the closed-loop system is asymptotically stable (make  $A_{cl}$  Hurwitz). Then, there exists a  $P \succ 0$  satisfying  $PA_{cl} + A_{cl}^T P = -I$ , such that  $V(z) = z^T P z$  and  $\dot{V}(z) = -\|z\|^2$ , or in the original coordinate:  $V(x) = T(x)^T P T(x)$  positive definite and  $\dot{V}(x) = -\|T(x)\|^2$  negative definite. Then, 0 is a **asymptotically stable** equilibrium for the second subsystem.

## Feedback Linearization Control - Stability Analysis

- The region of attraction (RoA) can be estimated by the sublevel set

$$\Omega_{cz} = \{z \in \mathbb{R}^4 \mid z^T P z \leq c_F\},$$

where  $c_F$  is determined so that its preimage

$$\Omega_{cx} := \{x \in \mathbb{R}^4 \mid z^T P z = T(x)^T P T(x) \leq c_F\}$$
 lies entirely in

$D = \{x \in \mathbb{R}^4 \mid -\frac{\pi}{2} < x_3 < \frac{\pi}{2}\}$  (where  $\dot{V}(x) \leq 0$ , and the feedback linearization scheme is valid).

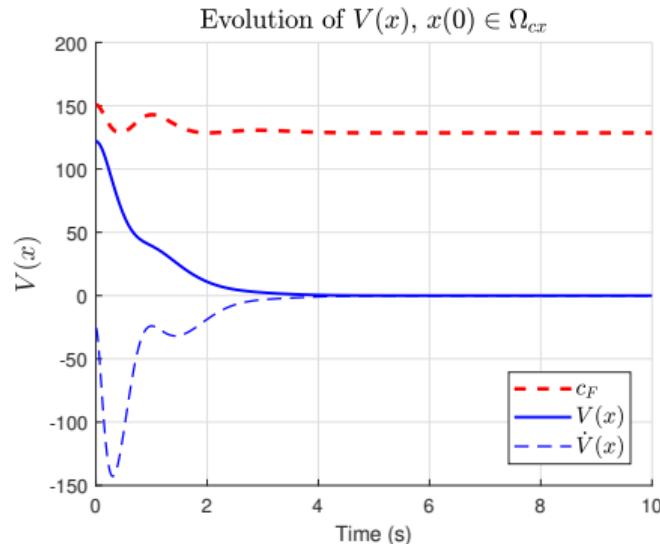
- To find  $c_F$ , we consider the boundary of  $D$  where  $x_3 = \pm \frac{\pi}{2}$ , which corresponds to  $z_3 = \pm \frac{F}{m}$ ,  $z_4 = 0$ . Then, we find the smallest level set that intersects the boundary of  $D$ ,

$$\bar{c}_F = \min_{z_3=\pm \frac{F}{m}, z_4=0} z^T P z,$$

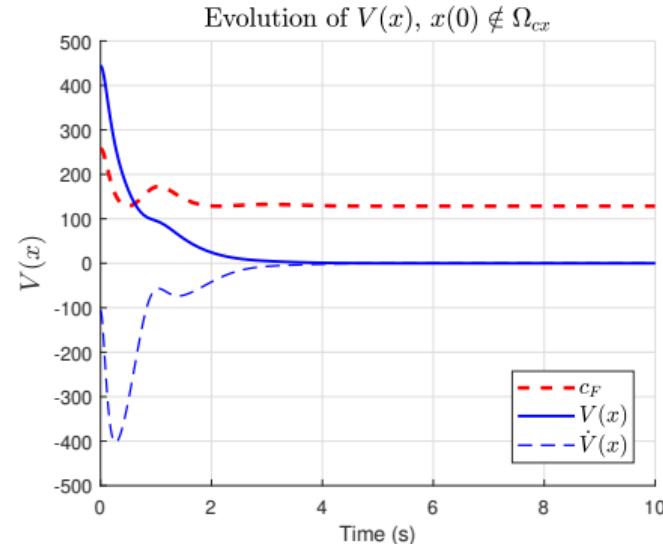
and  $c_F$  can be chosen to be slightly smaller than  $\bar{c}_F$ .

## Feedback Linearization Control - Stability Analysis

This estimate of RoA is conservative: it guarantees that trajectories starting in  $\Omega_{cx}$  will converge to 0, but does not guarantee that trajectories outside  $\Omega_{cx}$  will not converge.



(a)  $x(0) = (3, 0, -\pi/8, 0, 0, 0)^T \in \Omega_{cx}$



(b)  $x(0) = (3, 0, -\pi/4, 0, 0, 0)^T \notin \Omega_{cx}$

## Feedback Linearization Control - Ultimate Bound

For the first subsystem:

$$\begin{pmatrix} \dot{x}_2 \\ \dot{x}_5 \end{pmatrix} = \begin{pmatrix} x_5 \\ \frac{F \cos(x_3)}{m} - g \end{pmatrix}, \quad F = \frac{m(v_1 + g)}{\cos(x_3)}$$

Suppose we design  $v_1 = -2x_2 - 2x_5$ , and use the Lyapunov function

$V(x) = (x_2 \quad x_5) \begin{pmatrix} 5/4 & 1/4 \\ 1/4 & 3/8 \end{pmatrix} \begin{pmatrix} x_2 \\ x_5 \end{pmatrix}$ , then  $\dot{V}(x) = - \left\| \begin{pmatrix} x_2 \\ x_5 \end{pmatrix} \right\|^2$ . Now, suppose  $F$  is under a disturbance,  $F = \frac{m(v_1+g)}{\cos(x_3)} + A \sin(\omega t)$ ,  $A > 0$ , the closed-loop system becomes:

$$\begin{pmatrix} \dot{x}_2 \\ \dot{x}_5 \end{pmatrix} = \begin{pmatrix} x_5 \\ -2x_2 - 2x_5 + \frac{A \cos(x_3) \sin(\omega t)}{m} \end{pmatrix}$$

## Feedback Linearization Control - Ultimate Bound

Using the same  $V(x)$ , compute its derivative along the system trajectories:

$$\begin{aligned}\dot{V}(t, x) &= - \left\| \begin{pmatrix} x_2 \\ x_5 \end{pmatrix} \right\|^2 + \frac{A \cos(x_3) \sin(\omega t)}{m} \left( \frac{1}{2}x_2 + \frac{3}{4}x_5 \right) \\ &\leq - \left\| \begin{pmatrix} x_2 \\ x_5 \end{pmatrix} \right\|^2 + \frac{A}{m} \left( \sqrt{\frac{13}{16}} \left\| \begin{pmatrix} x_2 \\ x_5 \end{pmatrix} \right\| \right) \\ &= -(1 - \eta) \left\| \begin{pmatrix} x_2 \\ x_5 \end{pmatrix} \right\|^2 - \left\| \begin{pmatrix} x_2 \\ x_5 \end{pmatrix} \right\| \left( \eta \left\| \begin{pmatrix} x_2 \\ x_5 \end{pmatrix} \right\| - \sqrt{\frac{13}{16}} \frac{A}{m} \right), \quad \eta \in (0, 1) \\ &\leq -(1 - \eta) \left\| \begin{pmatrix} x_2 \\ x_5 \end{pmatrix} \right\|^2 \text{ for all } \left\| \begin{pmatrix} x_2 \\ x_5 \end{pmatrix} \right\| \geq \sqrt{\frac{13}{16}} \frac{A}{\eta m} := \mu\end{aligned}$$

## Feedback Linearization Control - Ultimate Bound

Since  $P = \begin{pmatrix} 5/4 & 1/4 \\ 1/4 & 3/8 \end{pmatrix}$  is positive definite, we find 2 class  $\mathcal{K}$  functions  $\alpha_1(\cdot)$  and  $\alpha_2(\cdot)$  such that

$$\alpha_1 \left( \left\| \begin{pmatrix} x_2 \\ x_5 \end{pmatrix} \right\| \right) = \lambda_{min}(P) \left\| \begin{pmatrix} x_2 \\ x_5 \end{pmatrix} \right\|^2 \leq V(x) \leq \lambda_{max}(P) \left\| \begin{pmatrix} x_2 \\ x_5 \end{pmatrix} \right\|^2 = \alpha_2 \left( \left\| \begin{pmatrix} x_2 \\ x_5 \end{pmatrix} \right\| \right)$$

So, the solution satisfies:

$$\left\| \begin{pmatrix} x_2(t) \\ x_5(t) \end{pmatrix} \right\| \leq \alpha_1^{-1}(\alpha_2(\mu)) = \sqrt{\frac{\lambda_{max}(P)\mu^2}{\lambda_{min}(P)}}$$

where  $\lambda_{max}(P) = \frac{13+\sqrt{65}}{16}$ ,  $\lambda_{min}(P) = \frac{13-\sqrt{65}}{16}$ , and  $\mu = \sqrt{\frac{13}{16} \frac{A}{\eta m}}$ ,  $\eta \in (0, 1)$ .

## References

-  Li, Y.-R., Chen, C.-C., & Peng, C.-C. (2023). Integral Backstepping Control Algorithm for a Quadrotor Positioning Flight Task: A Design Issue Discussion. *Algorithms*, 16(2), 122. <https://doi.org/10.3390/algorithms16020122>
-  Khalil, H. K. (2002). *Nonlinear Systems* (3rd ed.). Prentice Hall.

**Thank you for listening**

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