

# Abstraction Logic in Isabelle/HOL

Steven Obua

June 25, 2022

## Abstract

This is work in progress. Its ultimate goal is the formalisation in Isabelle/HOL of Abstraction Logic and its properties as described in [3] and [2].

## Contents

<b>1</b>	<b>General</b>	<b>3</b>
1.1	nats . . . . .	3
1.2	Lists . . . . .	3
1.2.1	Tools for Indices . . . . .	3
1.2.2	Indexed Quantification . . . . .	4
1.2.3	Indexed Fold . . . . .	5
1.2.4	Indexed Map . . . . .	6
1.2.5	Fold over Indexed Map . . . . .	7
1.3	Other . . . . .	8
<b>2</b>	<b>Shape</b>	<b>8</b>
2.1	Preshapes . . . . .	8
2.2	Shapes are Wellformed Preshapes . . . . .	8
2.3	Valence and Arity . . . . .	8
2.4	Dependencies . . . . .	9
2.5	Common Concrete Shapes . . . . .	10
2.5.1	<i>value-shape</i> . . . . .	10
2.5.2	<i>unop-shape</i> . . . . .	10
2.5.3	<i>binop-shape</i> . . . . .	11
2.5.4	<i>operator-shape</i> . . . . .	11
<b>3</b>	<b>Signature</b>	<b>12</b>
3.1	Abstractions . . . . .	12
3.2	Signatures . . . . .	12
3.3	Logic Signatures . . . . .	13

<b>4</b>	<b>Quotient</b>	<b>13</b>
4.1	Quotients . . . . .	13
4.2	Equality Modulo . . . . .	14
4.3	Subsets of Quotients . . . . .	15
4.4	Equivalence Classes . . . . .	16
4.5	Construction via Symmetric and Transitive Predicate . . . . .	16
4.6	Set with Identity as Quotient . . . . .	17
4.7	Empty and Universal Quotients . . . . .	17
4.8	Singleton Quotients . . . . .	18
4.9	Comparing Notions of Quotient Subsets . . . . .	18
4.10	Functions between Quotients . . . . .	20
4.11	Vectors as Quotients . . . . .	21
4.12	Tuples as Quotients . . . . .	22
<b>5</b>	<b>Abstraction Algebra</b>	<b>23</b>
5.1	Operations and Operators as Quotients . . . . .	23
5.2	Compatibility of Shape and Operator . . . . .	24
5.3	Abstraction Algebras . . . . .	24
<b>6</b>	<b>Term</b>	<b>25</b>
6.1	Variables . . . . .	25
6.2	Terms . . . . .	26
6.3	Wellformedness . . . . .	26
6.4	Free Variables . . . . .	27
6.5	Signature Locale . . . . .	28
6.6	Abstraction Algebra Locale . . . . .	30
<b>7</b>	<b>Valuation</b>	<b>30</b>
7.1	Valuations . . . . .	30
7.2	Evaluation . . . . .	32
7.3	Semantical Equivalence . . . . .	33
<b>8</b>	<b>De Bruijn Term</b>	<b>33</b>
8.1	Terms . . . . .	33
8.2	Free Atoms . . . . .	33
8.3	Wellformedness . . . . .	34

```

theory General
  imports Main HOL-Library.LaTeXsugar HOL-Library.OptionalSugar
begin

```

## 1 General

### 1.1 nats

```

definition nats :: nat  $\Rightarrow$  nat set where
  nats n = {.. $<$  n }

```

```

lemma finite-nats[iff]: finite (nats n)
  <proof>

```

```

lemma nats-elem[simp]: ( $d \in$  nats n) = ( $d <$  n)
  <proof>

```

```

lemma nats-0[simp]: nats 0 = {}
  <proof>

```

```

lemma card-nats[simp]: card (nats n) = n
  <proof>

```

```

lemma nats-eq-nats[simp]: (nats n = nats m) = ( $n = m$ )
  <proof>

```

```

lemma Max-nats:  $n > 0 \Longrightarrow 1 + \text{Max (nats n)} = n$ 
  <proof>

```

### 1.2 Lists

#### 1.2.1 Tools for Indices

```

lemma nats-length-nths:
  assumes  $A \subseteq$  nats (length xs)
  shows length (nths xs A) = card A
  <proof>

```

```

fun index-of :: 'a  $\Rightarrow$  'a list  $\Rightarrow$  nat option where
  index-of x [] = None
| index-of x (a#as) = (if x = a then Some 0 else
  (case index-of x as of
    None  $\Rightarrow$  None
  | Some i  $\Rightarrow$  Some (Suc i)))

```

```

lemma index-of-head: index-of x (x # xs) = Some 0
  <proof>

```

```

lemma index-of-exists:  $x \in$  set xs  $\Longrightarrow \exists$  i. index-of x xs = Some i
  <proof>

```

**lemma** *index-of-is-None*:  $\text{index-of } x \text{ } xs = \text{None} \implies x \notin \text{set } xs$   
 ⟨proof⟩

**lemma** *index-of-is-Some*:  $\text{index-of } x \text{ } xs = \text{Some } i \implies i < \text{length } xs \wedge xs[i] = x$   
 ⟨proof⟩

**definition** *shift-index* ::  $\text{nat} \Rightarrow (\text{nat} \Rightarrow 'a) \Rightarrow (\text{nat} \Rightarrow 'a)$  **where**  
 $\text{shift-index } d \text{ } f \text{ } x = f \text{ } (x + d)$

**lemma** *shift-index-0[simp]*:  $\text{shift-index } 0 = \text{id}$   
 ⟨proof⟩

**lemma** *shift-index-acc-append[simp]*:  
 $\text{shift-index } d \text{ } (\lambda i \text{ } acc \text{ } x. \text{acc } @ \text{ } [f \text{ } i \text{ } x]) = (\lambda i \text{ } acc \text{ } x. \text{acc } @ \text{ } [\text{shift-index } d \text{ } f \text{ } i \text{ } x])$   
 ⟨proof⟩

**lemma** *shift-index-gather*:  
 $\text{shift-index } d \text{ } (\lambda i \text{ } acc \text{ } x. g \text{ } (f \text{ } i \text{ } x) \text{ } acc) = (\lambda i \text{ } acc \text{ } x. g \text{ } (\text{shift-index } d \text{ } f \text{ } i \text{ } x) \text{ } acc)$   
 ⟨proof⟩

**lemma** *shift-index-applied-twice[simp]*:  
 $\text{shift-index } a \text{ } (\text{shift-index } b \text{ } f) = \text{shift-index } (a+b) \text{ } f$   
 ⟨proof⟩

**lemma** *shift-index-unindexed[simp]*:  $\text{shift-index } d \text{ } (\lambda i. F) = (\lambda i. F)$   
 ⟨proof⟩

### 1.2.2 Indexed Quantification

**definition** *list-indexed-forall* ::  $'a \text{ list} \Rightarrow (\text{nat} \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$  **where**  
 $\text{list-indexed-forall } xs \text{ } f = (\forall i < \text{length } xs. f \text{ } i \text{ } (xs[i]))$

**syntax**  
 $\text{-list-indexed-forall} :: \text{pttrn} \Rightarrow 'a \text{ list} \Rightarrow \text{pttrn} \Rightarrow \text{bool} \Rightarrow \text{bool}$   
 $((\exists \forall \text{ } - = \text{-!-./ } -) [1000, 100, 1000, 10] 10)$

**translations**  
 $\forall x = xs[i]. P \iff \text{CONST list-indexed-forall } xs \text{ } (\lambda i \text{ } x. P)$

**lemma** *list-indexed-forall-cong[fundef-cong]*:  
**assumes**  $xs = ys$   
**assumes**  $\bigwedge i \text{ } x. i < \text{length } ys \implies x = ys[i] \implies P \text{ } i \text{ } x = Q \text{ } i \text{ } x$   
**shows**  $(\forall x = xs[i]. P \text{ } i \text{ } x) = (\forall y = ys[i]. Q \text{ } i \text{ } y)$   
 ⟨proof⟩

**lemma** *size-nth[termination-simp]*:  $i < \text{length } ts \implies \text{size } (ts[i]) < \text{Suc } (\text{size-list } ts)$   
 ⟨proof⟩

**lemma** *list-indexed-forall-empty*[simp]: *list-indexed-forall* [] *f* = *True*  
 ⟨*proof*⟩

**lemma** *list-indexed-forall-cons*[simp]:  
*list-indexed-forall* (*x* # *xs*) *f* = (*f* 0 *x* ∧ *list-indexed-forall* *xs* (*shift-index* 1 *f*))  
 ⟨*proof*⟩

### 1.2.3 Indexed Fold

**definition** *list-indexed-fold* :: (*nat* ⇒ '*a* ⇒ '*b* ⇒ '*b*) ⇒ '*a* *list* ⇒ '*b* ⇒ '*b* **where**  
*list-indexed-fold* *f* *xs* *y* = *fold* (λ (*i*, *x*) *y*. *f* *i* *x* *y*) (*zip* [0 ..< *length* *xs*] *xs*) *y*

**syntax**  
*-list-indexed-fold* :: *pttrn* ⇒ '*b* ⇒ *pttrn* ⇒ '*a* *list* ⇒ *pttrn* ⇒ '*b* ⇒ '*b*  
 ((§*fold* - =/ -,/ - =/ -!-/ -) [1000, 51, 1000, 100, 1000, 10] 10)

**translations**  
 §*fold* *a* = *a0*, *x* = *xs*!*i*. *F* ⇔ *CONST* *list-indexed-fold* (λ *i* *x* *a*. *F*) *xs* *a0*

**lemma** *list-indexed-fold-empty*[simp]: *list-indexed-fold* *f* [] *y* = *y*  
 ⟨*proof*⟩

**lemma** *list-indexed-fold-cong*[*fundef-cong*]:  
**assumes** *xs* = *ys*  
**assumes** ∧*i* *a* *x*. *i* < *length* *ys* ⇒ *x* = *ys*!*i* ⇒ *F* *i* *a* *x* = *G* *i* *a* *x*  
**shows** (§*fold* *a* = *a0*, *x* = *xs*!*i*. *F* *i* *a* *x*) = (§*fold* *a* = *a0*, *y* = *ys*!*i*. *G* *i* *a* *y*)  
 ⟨*proof*⟩

**lemma** *list-indexed-fold-eq*:  
**assumes** ∧*i* *a* *x*. *i* < *length* *xs* ⇒ *F* *i* *a* (*xs*!*i*) = *G* *i* *a* (*xs*!*i*)  
**shows** (§*fold* *a* = *a0*, *x* = *xs*!*i*. *F* *i* *a* *x*) = (§*fold* *a* = *a0*, *x* = *xs*!*i*. *G* *i* *a* *x*)  
 ⟨*proof*⟩

**lemma** *list-unindexed-forall*[simp]: (∀ *x* = *xs*!*i*. *P* *x*) = (∀ *x* ∈ *set* *xs*. *P* *x*)  
 ⟨*proof*⟩

**lemma** *fold-zip-interval-shift*:  
*i* + *length* *xs* = *j* ⇒  
*fold* (λ (*i*, *x*) *a*. *F* (*i* + *d*) *x* *a*) (*zip* [*i* ..< *j*] *xs*) *a* =  
*fold* (λ (*i*, *x*) *a*. *F* *i* *x* *a*) (*zip* [*i* + *d* ..< *j* + *d*] *xs*) *a*  
 ⟨*proof*⟩

**lemma** *fold-zip-interval-shift1*:  
**assumes** *i* + *length* *xs* = *j*  
**shows** *fold* (λ (*i*, *x*) *a*. *F* (*Suc* *i*) *x* *a*) (*zip* [*i* ..< *j*] *xs*) *a* =  
*fold* (λ (*i*, *x*) *a*. *F* *i* *x* *a*) (*zip* [*Suc* *i* ..< *Suc* *j*] *xs*) *a*  
 ⟨*proof*⟩

**lemma** *list-indexed-fold-cons*[simp]:

$(\S fold\ a = a0, x = (u\#\!us)!i. F\ i\ a\ x) = (\S fold\ a = F\ 0\ a0\ u, x = us!i. shift-index\ 1\ F\ i\ a\ x)$   
 $\langle proof \rangle$

**lemma** *list-unindexed-fold*:

$(\S fold\ a = a0, x = xs!i. F\ x\ a) = fold\ F\ xs\ a0$   
 $\langle proof \rangle$

## 1.2.4 Indexed Map

**definition** *list-indexed-map* ::  $(nat \Rightarrow 'a \Rightarrow 'b) \Rightarrow 'a\ list \Rightarrow 'b\ list$  **where**  
 $list-indexed-map\ f\ xs = (\S fold\ acc = [], x = xs!i. acc\ @\ [f\ i\ x])$

**syntax**

*-list-indexed-map* ::  $pttrn \Rightarrow 'a\ list \Rightarrow pttrn \Rightarrow 'b \Rightarrow 'b\ list$   
 $((\S map\ - = / -! - / -)\ [1000, 100, 1000, 10]\ 10)$

**translations**

$\S map\ x = xs!i. F \Leftrightarrow CONST\ list-indexed-map\ (\lambda\ i\ x. F)\ xs$

**lemma** *list-indexed-map-cong*[fundef-cong]:

**assumes**  $xs = ys$   
**assumes**  $\bigwedge i\ x. i < length\ ys \implies x = ys!i \implies F\ i\ x = G\ i\ x$   
**shows**  $(\S map\ x = xs!i. F\ i\ x) = (\S map\ y = ys!i. G\ i\ y)$   
 $\langle proof \rangle$

**lemma**  $[9, 49] = (\S map\ x = [3 :: nat, 7]!i. x * x)$   
 $\langle proof \rangle$

**lemma** *list-indexed-map-empty*[simp]:  $list-indexed-map\ F\ [] = []$   
 $\langle proof \rangle$

**lemma** *list-indexed-map-append-gen1*:  $(\S fold\ acc = acc0, x = (as@bs)!i. acc\ @\ [f\ i\ x]) =$   
 $(\S fold\ acc = (\S fold\ acc = acc0, x = as!i. acc\ @\ [f\ i\ x]), x =$   
 $bs!i. acc\ @\ [shift-index\ (length\ as)\ f\ i\ x])$   
 $\langle proof \rangle$

**lemma** *list-indexed-map-append-gen2*:

$(\S fold\ acc = as@bs, x = xs!i. acc\ @\ [f\ i\ x]) =$   
 $as\ @\ (\S fold\ acc = bs, x = xs!i. acc\ @\ [f\ i\ x])$   
 $\langle proof \rangle$

**lemma** *list-indexed-map-append*:

$(\S map\ x = (as@bs)!i. F\ i\ x) = (\S map\ x = as!i. F\ i\ x) @ (\S map\ x = bs!i. shift-index\ (length\ as)\ F\ i\ x)$   
 $\langle proof \rangle$

**lemma** *list-indexed-map-single[simp]*:  $\text{list-indexed-map } F \ [a] = [F \ 0 \ a]$   
 $\langle \text{proof} \rangle$

**lemma** *list-indexed-map-cons*:  $(\S \text{map } x = (a \# as) ! i. F \ i \ x) = F \ 0 \ a \ \# (\S \text{map } x = as ! i. \text{shift-index } 1 \ F \ i \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *map-cons*:  $\text{map } f \ (a \# as) = f \ a \ \# (\text{map } f \ as)$   
 $\langle \text{proof} \rangle$

**lemma** *map-snoc*:  $\text{map } f \ (as @ [a]) = (\text{map } f \ as) \ @ \ [f \ a]$   
 $\langle \text{proof} \rangle$

**lemma** *map*  $(\lambda i. F \ i \ ((a \ \# \ xs) \ ! \ i)) \ [0..< \text{length } xs] \ @ \ [F \ (\text{length } xs) \ ((a \ \# \ xs) \ ! \ \text{length } xs)] =$   
 $\text{map } (\lambda i. F \ i \ ((a \ \# \ xs) \ ! \ i)) \ [0..< \text{Suc}(\text{length } xs)]$   
 $\langle \text{proof} \rangle$

**lemma** *map-eq-intro*:  
 $\text{length } xs = \text{length } ys \implies$   
 $(\bigwedge i. i < \text{length } xs \implies f \ (xs ! i) = g \ (ys ! i)) \implies$   
 $\text{map } f \ xs = \text{map } g \ ys$   
 $\langle \text{proof} \rangle$

**lemma** *list-indexed-map-alt*:  
 $(\S \text{map } x = xs ! i. F \ i \ x) = \text{map } (\lambda i. F \ i \ (xs ! i)) \ [0 ..< \text{length } xs]$   
 $\langle \text{proof} \rangle$

**lemma** *list-unindexed-map*:  $(\S \text{map } x = xs ! i. F \ x) = \text{map } F \ xs$   
 $\langle \text{proof} \rangle$

**lemma** *list-indexed-map-length[simp]*:  $\text{length } (\S \text{map } x = xs ! i. F \ i \ x) = \text{length } xs$   
 $\langle \text{proof} \rangle$

**lemma** *list-indexed-map-at[simp]*:  $i < \text{length } xs \implies (\S \text{map } x = xs ! i. F \ i \ x) \ ! \ i = F \ i \ (xs ! i)$   
 $\langle \text{proof} \rangle$

### 1.2.5 Fold over Indexed Map

**lemma** *fold-indexed-map*:  $(\S \text{fold } acc = a, x = xs ! i. g \ (F \ i \ x) \ acc) = \text{fold } g \ (\S \text{map } x = xs ! i. F \ i \ x) \ a$   
 $\langle \text{proof} \rangle$

**lemma** *fold-union*:  $\text{fold } (\lambda a \ b. b \cup a) \ xs \ a0 = a0 \cup \bigcup (\text{set } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *Un-indexed-nats*:  $(\bigcup i \in \{0..<n::nat\}. F \ i) = \bigcup \{ F \ i \mid i. i < n \}$   
 $\langle \text{proof} \rangle$

**lemma** *union-indexed-fold*:

$(\S fold\ X = X0, x = xs!i. X \cup F\ i\ x) = X0 \cup \bigcup \{ F\ i\ (xs!i) \mid i. i < length\ xs \}$   
 $\langle proof \rangle$

**lemma** *union-unindexed-fold*:

$(\S fold\ X = X0, x = xs!-. X \cup F\ x) = X0 \cup \bigcup \{ F\ x \mid x. x \in set\ xs \}$   
 $\langle proof \rangle$

### 1.3 Other

**type-synonym**  $( 'a, 'b )\ map = 'a \Rightarrow 'b\ option$

**definition** *map-forced-get* ::  $( 'a, 'b )\ map \Rightarrow 'a \Rightarrow 'b$  (**infixl** !! 100) **where**  
 $m\ !!\ x = the\ (m\ x)$

**end**

**theory** *Shape* **imports** *General*  
**begin**

## 2 Shape

### 2.1 Preshapes

**type-synonym** *preshape* =  $(nat\ set)\ list$

**definition** *preshape-alldeps* ::  $preshape \Rightarrow nat\ set$  **where**  
 $preshape-alldeps\ s = \bigcup \{ s\ !\ i \mid i. i < length\ s \}$

**definition** *wellformed-preshape* ::  $preshape \Rightarrow bool$  **where**  
 $wellformed-preshape\ s = (\exists\ m. nats\ m = preshape-alldeps\ s)$

**lemma** *wellformed-preshape-empty[intro]*:  $wellformed-preshape\ []$   
 $\langle proof \rangle$

### 2.2 Shapes are Wellformed Presapes

**typedef** *shape* =  $\{ s . wellformed-preshape\ s \}$  **morphisms** *Preshape Shape*  
 $\langle proof \rangle$

**lemma** *wellformed-Preshape[iff]*:  $wellformed-preshape\ (Preshape\ s)$   
 $\langle proof \rangle$

### 2.3 Valence and Arity

**definition** *shape-valence* ::  $shape \Rightarrow nat\ (\S val)$  **where**  
 $\S val\ s = (THE\ m. nats\ m = preshape-alldeps\ (Preshape\ s))$

**definition** *shape-arity* ::  $shape \Rightarrow nat\ (\S ar)$  **where**



$\S ar\ s = length\ (Preshape\ s)$

**lemma** *preshape-alldeps[intro]*:  $wellformed\_preshape\ s \implies \exists\ m. nats\ m = pre\_shape\_alldeps\ s$   
 $\langle proof \rangle$

**lemma** *preshape-valence*:  $preshape\_alldeps\ (Preshape\ s) = nats\ (shape\_valence\ s)$   
 $\langle proof \rangle$

**lemma** *empty-deps-Shape-valence*:  
 $preshape\_alldeps\ s = \{\} \implies (shape\_valence\ (Shape\ s) = 0)$   
 $\langle proof \rangle$

**lemma** *nonempty-deps-Shape-valence*:  
**assumes** *wf*:  $wellformed\_preshape\ s$   
**assumes** *nonempty*:  $preshape\_alldeps\ s \neq \{\}$   
**shows**  $shape\_valence\ (Shape\ s) = 1 + Max\ (preshape\_alldeps\ s)$   
 $\langle proof \rangle$

**lemma** *Shape-arity[intro]*:  $wellformed\_preshape\ s \implies shape\_arity\ (Shape\ s) = length\ s$   
 $\langle proof \rangle$

## 2.4 Dependencies

**definition** *shape-deps* ::  $shape \Rightarrow nat \Rightarrow nat\ set$  (**infixl**  $\cdot\!_{\S}$  100)  
**where**  $s \cdot\!_{\S} i = (Preshape\ s) ! i$

**abbreviation** *shape-select-deps* ::  $shape \Rightarrow nat \Rightarrow ('a\ list \Rightarrow 'a\ list)\ (-.\@-'(-))\ [100, 101, 0]\ 100$   
**where**  $s.\@i(xs) \equiv nth\ xs\ (s \cdot\!_{\S} i)$

**abbreviation** *shape-deps-card* ::  $shape \Rightarrow nat \Rightarrow nat$  (**infixl**  $\cdot\!_{\#}$  100)  
**where**  $s \cdot\!_{\#} i \equiv card(s \cdot\!_{\S} i)$

**lemma** *shape-deps-in-alldeps*:  
 $i < shape\_arity\ s \implies shape\_deps\ s\ i \subseteq preshape\_alldeps\ (Preshape\ s)$   
 $\langle proof \rangle$

**lemma**  $i < shape\_arity\ s \implies shape\_deps\ s\ i \subseteq nats\ (shape\_valence\ s)$   
 $\langle proof \rangle$

**lemma** *shape-valence-deps*:  
**assumes** *d*:  $d < shape\_valence\ s$   
**shows**  $\exists\ i < shape\_arity\ s. d \in shape\_deps\ s\ i$   
 $\langle proof \rangle$

**lemma** *shape-deps-valence*:  
**assumes** *i*:  $i < shape\_arity\ s \wedge d \in shape\_deps\ s\ i$

**shows**  $d < \text{shape-valence } s$   
 $\langle \text{proof} \rangle$

**lemma** *nats-shape-valence-is-union*:  
 $\text{nats } (\text{shape-valence } s) = \bigcup \{ \text{shape-deps } s \ i \mid i . i < \text{shape-arity } s \}$   
 $\langle \text{proof} \rangle$

**lemma** *zero-arity-valence*:  $\text{shape-arity } s = 0 \implies \text{shape-valence } s = 0$   
 $\langle \text{proof} \rangle$

**lemma** *zero-valence-deps*:  $i < \text{shape-arity } s \implies \text{shape-valence } s = 0 \implies \text{shape-deps } s \ i = \{\}$   
 $\langle \text{proof} \rangle$

**definition** *shape-valence-at* ::  $\text{shape} \Rightarrow \text{nat} \Rightarrow \text{nat}$  **where**  
 $\text{shape-valence-at } s \ i = \text{card}(\text{shape-deps } s \ i)$

## 2.5 Common Concrete Shapes

### 2.5.1 value-shape

**definition** *value-shape* ::  $\text{shape}$  **where**  
 $\text{value-shape} = \text{Shape } []$

**lemma** *value-shape-valence[iff]*:  $\text{shape-valence } (\text{value-shape}) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *Preshape-Shape[intro]*:  $\text{wellformed-preshape } s \implies \text{Preshape } (\text{Shape } s) = s$   
 $\langle \text{proof} \rangle$

**lemma** *value-Preshape[simp]*:  $\text{Preshape } \text{value-shape} = []$   
 $\langle \text{proof} \rangle$

**lemma** *value-shape-arity[simp]*:  $\S \text{ar } \text{value-shape} = 0$   
 $\langle \text{proof} \rangle$

### 2.5.2 unop-shape

**definition** *unop-shape* ::  $\text{shape}$  **where**  
 $\text{unop-shape} = \text{Shape } [\{\}]$

**lemma** *wf-unop-preshape*:  $\text{wellformed-preshape } [\{\}]$   
 $\langle \text{proof} \rangle$

**lemma** *unop-Preshape[simp]*:  $\text{Preshape } (\text{unop-shape}) = [\{\}]$   
 $\langle \text{proof} \rangle$

**lemma** *unop-shape-arity[simp]*:  $\S \text{ar } \text{unop-shape} = 1$   
 $\langle \text{proof} \rangle$

**lemma** *unop-shape-valence*[simp]:  $\S val\ unop\text{-}shape = 0$   
 $\langle proof \rangle$

**lemma** *unop-shape-deps-0*[simp]:  $shape\text{-}deps\ unop\text{-}shape\ 0 = \{\}$   
 $\langle proof \rangle$

### 2.5.3 *binop-shape*

**definition** *binop-shape* :: *shape* **where**  
*binop-shape* = *Shape*  $[\{\}, \{\}]$

**lemma** *wf-binop-preshape*: *wellformed-preshape*  $[\{\}, \{\}]$   
 $\langle proof \rangle$

**lemma** *binop-Preshape*[simp]: *Preshape* (*binop-shape*) =  $[\{\}, \{\}]$   
 $\langle proof \rangle$

**lemma** *binop-shape-arity*[simp]:  $\S ar\ binop\text{-}shape = Suc\ (Suc\ 0)$   
 $\langle proof \rangle$

**lemma** *binop-shape-valence*[simp]:  $\S val\ binop\text{-}shape = 0$   
 $\langle proof \rangle$

**lemma** *binop-shape-deps-0*[simp]:  $binop\text{-}shape.\natural 0 = \{\}$   
 $\langle proof \rangle$

**lemma** *binop-shape-deps-1*[simp]:  $binop\text{-}shape.\natural 1 = \{\}$   
 $\langle proof \rangle$

### 2.5.4 *operator-shape*

**definition** *operator-shape* :: *shape* **where**  
*operator-shape* = *Shape*  $[\{0\}]$

**lemma** *wf-operator-preshape*: *wellformed-preshape*  $[\{0\}]$   
 $\langle proof \rangle$

**lemma** *operator-Preshape*[simp]: *Preshape* (*operator-shape*) =  $[\{0\}]$   
 $\langle proof \rangle$

**lemma** *operator-shape-arity*[simp]:  $\S ar\ operator\text{-}shape = Suc\ 0$   
 $\langle proof \rangle$

**lemma** *operator-shape-valence*[simp]:  $\S val\ operator\text{-}shape = Suc\ 0$   
 $\langle proof \rangle$

**lemma** *operator-shape-deps-0*[iff]:  $operator\text{-}shape.\natural 0 = \{0\}$   
 $\langle proof \rangle$

**end**

```
theory Signature imports Shape
begin
```

### 3 Signature

#### 3.1 Abstractions

```
datatype abstraction = Abs string
```

```
definition abstr-true :: abstraction where abstr-true = Abs "true"
```

```
definition abstr-implies :: abstraction where abstr-implies = Abs "implies"
```

```
definition abstr-forall :: abstraction where abstr-forall = Abs "forall"
```

```
definition abstr-false :: abstraction where abstr-false = Abs "false"
```

```
lemma noteq-abstr-true-implies[simp]: abstr-true  $\neq$  abstr-implies
  <proof>
```

```
lemma noteq-abstr-implies-forall[simp]: abstr-implies  $\neq$  abstr-forall
  <proof>
```

```
lemma noteq-abstr-true-forall[simp]: abstr-true  $\neq$  abstr-forall
  <proof>
```

#### 3.2 Signatures

```
type-synonym signature = (abstraction, shape) map
```

```
definition empty-sig :: signature where
  empty-sig = ( $\lambda$  a. None)
```

```
definition has-shape :: signature  $\Rightarrow$  abstraction  $\Rightarrow$  shape  $\Rightarrow$  bool where
  has-shape S a shape = (S a = Some shape)
```

```
definition extends-sig :: signature  $\Rightarrow$  signature  $\Rightarrow$  bool (infix  $\succeq$  50) where
  extends-sig T S = ( $\forall$  a. S a = None  $\vee$  T a = S a)
```

```
lemma has-shape-extends: T  $\succeq$  S  $\implies$  has-shape S a s  $\implies$  has-shape T a s
  <proof>
```

```
definition sig-contains :: signature  $\Rightarrow$  abstraction  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  bool where
  sig-contains sig abstr valence arity =
    (case sig abstr of
     Some s  $\Rightarrow$   $\S$ val s = valence  $\wedge$   $\S$ ar s = arity
    | None  $\Rightarrow$  False)
```

```
lemma has-shape-sig-contains: has-shape sig a s  $\implies$  sig-contains sig a ( $\S$ val s)
  ( $\S$ ar s)
  <proof>
```

**lemma** *has-shape-get*: *has-shape sig a s  $\implies$  sig !! a = s*  
 ⟨proof⟩

**lemma** *extends-sig-contains*: *V  $\succeq$  U  $\implies$  sig-contains U a val ar  $\implies$  sig-contains V a val ar*  
 ⟨proof⟩

### 3.3 Logic Signatures

**definition** *deduction-sig* :: *signature* ( $\mathfrak{D}$ ) **where**

*$\mathfrak{D}$  = empty-sig(  
   abstr-true := Some value-shape,  
   abstr-implies := Some binop-shape,  
   abstr-forall := Some operator-shape)*

**lemma** *deduction-sig-true*[*iff*]: *has-shape deduction-sig abstr-true value-shape*  
 ⟨proof⟩

**lemma** *deduction-sig-implies*[*iff*]: *has-shape  $\mathfrak{D}$  abstr-implies binop-shape*  
 ⟨proof⟩

**lemma** *deduction-sig-forall*[*iff*]: *has-shape  $\mathfrak{D}$  abstr-forall operator-shape*  
 ⟨proof⟩

**lemma** *deduction-sig-contains-true*[*iff*]: *sig-contains  $\mathfrak{D}$  abstr-true 0 0*  
 ⟨proof⟩

**lemma** *deduction-sig-contains-implies*[*iff*]: *sig-contains  $\mathfrak{D}$  abstr-implies 0 (Suc (Suc 0))*  
 ⟨proof⟩

**lemma** *deduction-sig-contains-forall*[*iff*]: *sig-contains  $\mathfrak{D}$  abstr-forall (Suc 0) (Suc 0)*  
 ⟨proof⟩

**end**  
**theory** *Quotients* **imports** *Main*  
**begin**

## 4 Quotient

### 4.1 Quotients

We define a *quotient* to be a set with custom equality. In fact, we identify the set with the custom equivalence relation. We can do this because the

set is uniquely determined by the equivalence relation.

Our approach does not replace *HOL.Equiv-Relations*, but builds on top of it by encoding as a type invariant the property of a relation to be an equivalence relation.

**typedef** *'a quotient* = { *r::'a rel.  $\exists A. equiv A r$*  } **morphisms** *Rel Quotient*  
 ⟨*proof*⟩

**definition** *QField* :: *'a quotient*  $\Rightarrow$  *'a set* **where**  
*QField q* = *Field (Rel q)*

**lemma** *equiv-Field*:  
**assumes** *equiv A r*  
**shows** *Field r = A*  
 ⟨*proof*⟩

**lemma** *equiv-QField-Rel*: *equiv (QField q) (Rel q)*  
 ⟨*proof*⟩

**definition** *qin* :: *'a*  $\Rightarrow$  *'a quotient*  $\Rightarrow$  *bool* (**infix** *'/* ∈ 50) **where**  
*(a / ∈ q)* = *(a ∈ QField q)*

**abbreviation** *qnin* :: *'a*  $\Rightarrow$  *'a quotient*  $\Rightarrow$  *bool* (**infix** *'/* ∉ 50) **where**  
*(a / ∉ q)*  $\equiv$  *(a ∈ QField q)*

## 4.2 Equality Modulo

**definition** *qequals* :: *'a*  $\Rightarrow$  *'a*  $\Rightarrow$  *'a quotient*  $\Rightarrow$  *bool* (*- = - '(mod -)* [51, 51, 0] 50)  
**where**  
*(a = b (mod q))* = *((a, b) ∈ Rel q)*

**abbreviation** *qnequals* :: *'a*  $\Rightarrow$  *'a*  $\Rightarrow$  *'a quotient*  $\Rightarrow$  *bool* (*- ≠ - '(mod -)* [51, 51, 0] 50) **where**  
*(a ≠ b (mod q))*  $\equiv$   $\neg$  *(a = b (mod q))*

**lemma** *qin-mod*: *(a / ∈ q)* = *(a = a (mod q))*  
 ⟨*proof*⟩

**lemma** *qequals-in*: *a = b (mod q)  $\implies$  a / ∈ q  $\wedge$  b / ∈ q*  
 ⟨*proof*⟩

**lemma** *qequals-sym*: *a = b (mod q)  $\implies$  b = a (mod q)*  
 ⟨*proof*⟩

**lemma** *qequals-trans*: *a = b (mod q)  $\implies$  b = c (mod q)  $\implies$  a = c (mod q)*  
 ⟨*proof*⟩

### 4.3 Subsets of Quotients

There isn't a unique definition of what a subset of quotients is. There are at least 3 different notions that all make sense.

**definition** *qsubset-weak* :: 'a quotient  $\Rightarrow$  'a quotient  $\Rightarrow$  bool (**infix**  $/\leq$  50) **where**  
 $(p / \leq q) = (\forall x y. x = y \text{ (mod } p) \longrightarrow x = y \text{ (mod } q))$

**definition** *qsubset-bishop* :: 'a quotient  $\Rightarrow$  'a quotient  $\Rightarrow$  bool (**infix**  $/\sqsubseteq$  50) **where**  
 $(p / \sqsubseteq q) = (\forall x y. x / \in p \wedge y / \in p \longrightarrow (x = y \text{ (mod } p) \longleftrightarrow x = y \text{ (mod } q)))$

**definition** *qsubset-strong* :: 'a quotient  $\Rightarrow$  'a quotient  $\Rightarrow$  bool (**infix**  $/\subseteq$  50) **where**  
 $(p / \subseteq q) = (\forall x y. x / \in p \longrightarrow (x = y \text{ (mod } p) \longleftrightarrow x = y \text{ (mod } q)))$

**lemma** *qsubset-strong-implies-bishop*:  $p / \subseteq q \Longrightarrow p / \sqsubseteq q$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-strong-implies-weak*:  $p / \subseteq q \Longrightarrow p / \leq q$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-bishop-implies-weak*:  $p / \sqsubseteq q \Longrightarrow p / \leq q$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-QField-strong*:  $p / \subseteq q \Longrightarrow QField\ p \subseteq QField\ q$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-QField-weak*:  $p / \leq q \Longrightarrow QField\ p \subseteq QField\ q$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-QField-bishop*:  $p / \sqsubseteq q \Longrightarrow QField\ p \subseteq QField\ q$   
 $\langle \text{proof} \rangle$

**lemma** *qubseteq-refl-strong[iff]*:  $q / \subseteq q$   
 $\langle \text{proof} \rangle$

**lemma** *qubseteq-refl-bishop[iff]*:  $q / \sqsubseteq q$   
 $\langle \text{proof} \rangle$

**lemma** *qubseteq-refl-weak[iff]*:  $q / \leq q$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-trans-strong*:  $p / \subseteq q \Longrightarrow q / \subseteq r \Longrightarrow p / \subseteq r$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-trans-bishop*:  $p / \sqsubseteq q \Longrightarrow q / \sqsubseteq r \Longrightarrow p / \sqsubseteq r$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-trans-weak*:  $p / \leq q \Longrightarrow q / \leq r \Longrightarrow p / \leq r$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-antisym-weak*:  $p \not\leq q \implies q \not\leq p \implies p = q$   
 ⟨proof⟩

**lemma** *qsubset-antisym-bishop*:  $p \not\sqsubseteq q \implies q \not\sqsubseteq p \implies p = q$   
 ⟨proof⟩

**lemma** *qsubset-antisym-strong*:  $p \not\subseteq q \implies q \not\subseteq p \implies p = q$   
 ⟨proof⟩

**lemma** *qsubset-mod-weak*:  $x = y \pmod{q} \implies q \not\leq p \implies x = y \pmod{p}$   
 ⟨proof⟩

**lemma** *qsubset-mod-bishop*:  $x = y \pmod{q} \implies q \not\sqsubseteq p \implies x = y \pmod{p}$   
 ⟨proof⟩

**lemma** *qsubset-mod-strong*:  $x = y \pmod{q} \implies q \not\subseteq p \implies x = y \pmod{p}$   
 ⟨proof⟩

#### 4.4 Equivalence Classes

**definition** *qclass* ::  $'a \Rightarrow 'a \text{ quotient} \Rightarrow 'a \text{ set}$  (**infix**  $'/\%$  80) **where**  
 $a \not\% q = (\text{Rel } q) \text{ ``}\{a\}$

**lemma** *qequality-implies-equal-qclasses*:  $a = b \pmod{q} \implies a \not\% q = b \not\% q$   
 ⟨proof⟩

**lemma** *empty-qclass*:  $(a \not\% q = \{\}) = (\neg (a \in q))$   
 ⟨proof⟩

**lemma** *qclass-elems*:  $(b \in a \not\% q) = (a = b \pmod{q})$   
 ⟨proof⟩

#### 4.5 Construction via Symmetric and Transitive Predicate

**definition** *QuotientP* ::  $('a \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow 'a \text{ quotient}$  **where**  
 $\text{QuotientP } eq = \text{Quotient } \{ (x, y) . eq \ x \ y \}$

**lemma** *QuotientP-eq-refl*:  $\text{symp } eq \implies \text{transp } eq \implies eq \ x \ y \implies eq \ x \ x \wedge eq \ y \ y$   
 ⟨proof⟩

**lemma** *QuotientP-equiv*:  
 assumes *symp eq*  
 assumes *transp eq*  
 shows *equiv*  $\{ x . eq \ x \ x \} \ \{ (x, y) . eq \ x \ y \}$   
 ⟨proof⟩

**lemma** *QuotientP-Rel*:  $\text{symp } eq \implies \text{transp } eq \implies \text{Rel } (\text{QuotientP } eq) = \{ (x, y) . eq \ x \ y \}$   
 ⟨proof⟩



**lemma** *QuotientP-mod*:  $\text{symp } eq \implies \text{transp } eq \implies (x = y \text{ (mod } \text{QuotientP } eq))$   
 $= (eq \ x \ y)$   
 $\langle \text{proof} \rangle$

**lemma** *QuotientP-in*:  $\text{symp } eq \implies \text{transp } eq \implies (x \ / \in \text{QuotientP } eq) = eq \ x \ x$   
 $\langle \text{proof} \rangle$

## 4.6 Set with Identity as Quotient

**definition** *qequal-set* ::  $'a \text{ set} \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}$  **where**  
 $\text{qequal-set } U \ x \ y = (x \in U \wedge x = y)$

**lemma** *qequal-set-sym*:  $\text{symp } (\text{qequal-set } U)$   
 $\langle \text{proof} \rangle$

**lemma** *qequal-set-trans*:  $\text{transp } (\text{qequal-set } U)$   
 $\langle \text{proof} \rangle$

**definition** *set-quotient* ::  $'a \text{ set} \Rightarrow 'a \text{ quotient } ('/\equiv)$  **where**  
 $/\equiv U = \text{QuotientP } (\text{qequal-set } U)$

**lemma** *set-quotient-Rel*:  $\text{Rel } (/ \equiv U) = \{ (x, y) . x \in U \wedge x = y \}$   
 $\langle \text{proof} \rangle$

**lemma** *set-quotient-mod*:  $(x = y \text{ (mod } / \equiv U)) = (x \in U \wedge x = y)$   
 $\langle \text{proof} \rangle$

**lemma** *set-quotient-in*:  $(x \ / \in / \equiv U) = (x \in U)$   
 $\langle \text{proof} \rangle$

**lemma** *set-quotient-subset-strong*:  $(/ \equiv U \ / \subseteq / \equiv V) = (U \subseteq V)$   
 $\langle \text{proof} \rangle$

**lemma** *set-quotient-subset-weak*:  $(/ \equiv U \ / \leq / \equiv V) = (U \subseteq V)$   
 $\langle \text{proof} \rangle$

**lemma** *set-quotient-subset-bishop*:  $(/ \equiv U \ / \sqsubseteq / \equiv V) = (U \subseteq V)$   
 $\langle \text{proof} \rangle$

## 4.7 Empty and Universal Quotients

**definition** *empty-quotient* ::  $'a \text{ quotient } ('/\emptyset)$  **where**  
 $/\emptyset = / \equiv \{\}$

**definition** *univ-quotient* ::  $'a \text{ quotient } ('/\mathcal{U})$  **where**  
 $/\mathcal{U} = / \equiv \text{UNIV}$

**lemma** *empty-quotient-Rel*:  $\text{Rel } /\emptyset = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *empty-quotient-mod*:  $\neg (x = y \text{ (mod } /\emptyset))$   
 $\langle \text{proof} \rangle$

**lemma** *empty-quotient-in*:  $\neg (x \in /\emptyset)$   
 $\langle \text{proof} \rangle$

**lemma** *univ-quotient-Rel*:  $\text{Rel } /\mathcal{U} = \text{Id}$   
 $\langle \text{proof} \rangle$

**lemma** *univ-quotient-in*:  $x \in /\mathcal{U}$   
 $\langle \text{proof} \rangle$

**lemma** *univ-quotient-mod*:  $(x = y \text{ (mod } /\mathcal{U})) = (x = y)$   
 $\langle \text{proof} \rangle$

## 4.8 Singleton Quotients

**definition** *qequal-singleton* ::  $'a \text{ set} \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}$  **where**  
 $\text{qequal-singleton } U \ x \ y = (x \in U \wedge y \in U)$

**lemma** *qequal-singleton-sym*:  $\text{qequal-singleton } U \ x \ y \Longrightarrow \text{qequal-singleton } U \ y \ x$   
 $\langle \text{proof} \rangle$

**lemma** *qequal-singleton-trans*:  
 $\text{qequal-singleton } U \ x \ y \Longrightarrow \text{qequal-singleton } U \ y \ z \Longrightarrow \text{qequal-singleton } U \ x \ z$   
 $\langle \text{proof} \rangle$

**definition** *singleton-quotient* ::  $'a \text{ set} \Rightarrow 'a \text{ quotient } (/1)$  **where**  
 $/1U = \text{QuotientP } (\text{qequal-singleton } U)$

**lemma** *singleton-quotient-Rel*:  $\text{Rel } (/1U) = \{ (x, y). \text{qequal-singleton } U \ x \ y \}$   
 $\langle \text{proof} \rangle$

**lemma** *singleton-quotient-mod[simp]*:  $(x = y \text{ (mod } /1U)) = (x \in U \wedge y \in U)$   
 $\langle \text{proof} \rangle$

**lemma** *singleton-quotient-in*:  $(x \in /1U) = (x \in U)$   
 $\langle \text{proof} \rangle$

**lemma** *empty-singleton-quotient[iff]*:  $/1\{\} = /\emptyset$   
 $\langle \text{proof} \rangle$

**abbreviation** *universal-singleton-quotient*::  $'a \text{ quotient } (/1\mathcal{U})$  **where**  
 $/1\mathcal{U} \equiv /1\text{UNIV}$

## 4.9 Comparing Notions of Quotient Subsets

**lemma** *empty-subset-singleton-quotient-weak*:  $/\emptyset \leq q$   
 $\langle \text{proof} \rangle$

**lemma** *empty-subset-singleton-quotient-bishop*:  $/\emptyset / \sqsubseteq q$   
 $\langle \text{proof} \rangle$

**lemma** *empty-subset-singleton-quotient-strong*:  $/\emptyset / \subseteq q$   
 $\langle \text{proof} \rangle$

**lemma** *same-QField-bishop*:  $QField\ p = QField\ q \implies p / \sqsubseteq q \implies p = q$   
 $\langle \text{proof} \rangle$

**lemma** *same-QField-strong*:  $QField\ p = QField\ q \implies p / \subseteq q \implies p = q$   
 $\langle \text{proof} \rangle$

**lemma** *singleton-quotient-subset-weak*:  $(/\mathbf{1}U / \leq /\mathbf{1}V) = (U \subseteq V)$   
 $\langle \text{proof} \rangle$

**lemma** *singleton-quotient-subset-bishop*:  $(/\mathbf{1}U / \sqsubseteq /\mathbf{1}V) = (U \subseteq V)$   
 $\langle \text{proof} \rangle$

**lemma** *singleton-quotient-subset-strong*:  $(/\mathbf{1}U / \subseteq /\mathbf{1}V) = (U = V \vee U = \{\})$   
 $\langle \text{proof} \rangle$

**lemma** *subset-universal-singleton-weak*:  $q / \leq /\mathbf{1}\mathcal{U}$   
 $\langle \text{proof} \rangle$

**lemma** *subset-universal-singleton-bishop*:  $(q / \sqsubseteq /\mathbf{1}\mathcal{U}) = (q = /\mathbf{1}(QField\ q))$   
 $\langle \text{proof} \rangle$

**lemma** *subset-universal-singleton-strong*:  $(q / \subseteq /\mathbf{1}\mathcal{U}) = (q = /\emptyset \vee q = /\mathbf{1}\mathcal{U})$   
 $\langle \text{proof} \rangle$

**lemma** *identity-QField-subset-weak*:  $/\equiv(QField\ q) / \leq q$   
 $\langle \text{proof} \rangle$

**lemma** *identity-QField-subset-bishop*:  $(/\equiv(QField\ q) / \sqsubseteq q) = (q = /\equiv(QField\ q))$   
 $\langle \text{proof} \rangle$

**lemma** *identity-QField-subset-strong*:  $(/\equiv(QField\ q) / \subseteq q) = (q = /\equiv(QField\ q))$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-weak-neq-bishop*:  
**assumes**  $xy: (x::'a) \neq y$   
**shows**  $((/\leq) :: 'a\ \text{quotient} \Rightarrow 'a\ \text{quotient} \Rightarrow \text{bool}) \neq (/ \sqsubseteq)$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-bishop-neq-strong*:  
**assumes**  $xy: (x::'a) \neq y$   
**shows**  $((/\sqsubseteq) :: 'a\ \text{quotient} \Rightarrow 'a\ \text{quotient} \Rightarrow \text{bool}) \neq (/ \subseteq)$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-weak-neq-strong*:  
**assumes**  $xy: (x::'a) \neq y$   
**shows**  $((/\leq) :: 'a \text{ quotient} \Rightarrow 'a \text{ quotient} \Rightarrow \text{bool}) \neq (/ \subseteq)$   
 $\langle \text{proof} \rangle$

#### 4.10 Functions between Quotients

**definition** *qequal-fun* ::  
 $'a \text{ quotient} \Rightarrow 'b \text{ quotient} \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow \text{bool}$   
**where**  
 $qequal\text{-}fun\ p\ q\ f\ g = (\forall\ x\ y. x = y\ (\text{mod } p) \longrightarrow f\ x = g\ y\ (\text{mod } q))$

**lemma** *qequal-fun-sym*:  $symp\ (qequal\text{-}fun\ p\ q)\ \langle \text{proof} \rangle$

**lemma** *qequal-fun-trans*:  $transp\ (qequal\text{-}fun\ p\ q)\ \langle \text{proof} \rangle$

**definition** *fun-quotient* ::  $'a \text{ quotient} \Rightarrow 'b \text{ quotient} \Rightarrow ('a \Rightarrow 'b) \text{ quotient}$  (**infixr**  $'/\Rightarrow\ 90$ ) **where**  
 $p\ /\Rightarrow\ q = QuotientP\ (qequal\text{-}fun\ p\ q)$

**lemma** *fun-quotient-Rel*:  $Rel\ (p\ /\Rightarrow\ q) = \{ (f, g) . qequal\text{-}fun\ p\ q\ f\ g \}$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-mod*:  $(f = g\ (\text{mod } p\ /\Rightarrow\ q)) = (qequal\text{-}fun\ p\ q\ f\ g)$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-in*:  $(f\ /\in\ p\ /\Rightarrow\ q) = (qequal\text{-}fun\ p\ q\ f\ f)$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-app-in*:  $f\ /\in\ p\ /\Rightarrow\ q \Longrightarrow x\ /\in\ p \Longrightarrow f\ x\ /\in\ q$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-app-mod*:  $f = g\ (\text{mod } p\ /\Rightarrow\ q) \Longrightarrow x = y\ (\text{mod } p) \Longrightarrow f\ x = g\ y\ (\text{mod } q)$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-app-in-mod*:  $f\ /\in\ p\ /\Rightarrow\ q \Longrightarrow x = y\ (\text{mod } p) \Longrightarrow f\ x = f\ y\ (\text{mod } q)$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-compose*:  $(\circ)\ /\in\ (q\ /\Rightarrow\ r)\ /\Rightarrow\ (p\ /\Rightarrow\ q)\ /\Rightarrow\ (p\ /\Rightarrow\ r)$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-empty-domain*:  $(/\emptyset\ /\Rightarrow\ q) = /\mathbf{1}\mathcal{U}$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-empty-range*:  $q \neq /\emptyset \Longrightarrow (q\ /\Rightarrow\ /\emptyset) = /\emptyset$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-subset-weak-intro*:

**assumes**  $p2 \leq p1 \wedge q1 \leq q2$   
**shows**  $p1 \Rightarrow q1 \leq p2 \Rightarrow q2$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-subset-weakdef*:

$(p1 \Rightarrow q1 \leq p2 \Rightarrow q2) =$   
 $(\forall f g. (\forall x y. x = y \pmod{p1} \longrightarrow f x = g y \pmod{q1}) \longrightarrow$   
 $(\forall x y. x = y \pmod{p2} \longrightarrow f x = g y \pmod{q2}))$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-range-subset-weak*:

**assumes** *sub*:  $((p1 :: 'a \text{ quotient}) \Rightarrow q1 \leq p2 \Rightarrow q2)$   
**assumes** *nonempty*:  $p2 \neq \emptyset$   
**shows**  $q1 \leq q2$   
 $\langle \text{proof} \rangle$

**lemma** *trivializing-qsuperset*:

**shows**  $(\mathbf{1}(QField\ p) \leq q) = (\neg (\exists x y. x \in p \wedge y \in p \wedge x \neq y \pmod{q}))$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-domain-subset-weak*:

**assumes** *sub*:  $((p1 :: 'a \text{ quotient}) \Rightarrow q1 \leq p2 \Rightarrow q2)$   
**assumes** *nontrivial*:  $\neg (\mathbf{1}(QField\ q1) \leq q2)$   
**shows**  $p2 \leq p1$   
 $\langle \text{proof} \rangle$

## 4.11 Vectors as Quotients

**definition** *qequal-vector* ::  $'a \text{ quotient} \Rightarrow \text{nat} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$  **where**

$qequal\text{-vector}\ q\ n\ u\ v = (\text{length}\ u = n \wedge \text{length}\ v = n \wedge (\forall i < n. u\ !\ i = v\ !\ i \pmod{q}))$

**lemma** *qequal-vector-sym*:  $\text{symp}\ (qequal\text{-vector}\ q\ n)$

$\langle \text{proof} \rangle$

**lemma** *qequal-vector-trans*:  $\text{transp}\ (qequal\text{-vector}\ q\ n)$

$\langle \text{proof} \rangle$

**definition** *vector-quotient* ::  $'a \text{ quotient} \Rightarrow \text{nat} \Rightarrow 'a \text{ list quotient}$  (**infix**  $'/^{\wedge} 100$ )

**where**

$q\ /^{\wedge}\ n = \text{QuotientP}\ (qequal\text{-vector}\ q\ n)$

**lemma** *vector-quotient-Rel*:  $\text{Rel}\ (q\ /^{\wedge}\ n) = \{ (u, v). qequal\text{-vector}\ q\ n\ u\ v \}$

$\langle \text{proof} \rangle$

**lemma** *vector-quotient-in*:  $(u \in q\ /^{\wedge}\ n) = (qequal\text{-vector}\ q\ n\ u\ u)$

$\langle \text{proof} \rangle$

**lemma** *vector-quotient-mod*:  $(u = v \text{ (mod } q \wedge n)) = (\text{qequal-vector } q \ n \ u \ v)$   
 $\langle \text{proof} \rangle$

**lemma** *vector-quotient-nth*:  $i < n \implies (\lambda u. u ! i) / \in q \wedge n \implies q$   
 $\langle \text{proof} \rangle$

**lemma** *vector-quotient-nth-in*:  $i < n \implies u / \in q \wedge n \implies u ! i / \in q$   
 $\langle \text{proof} \rangle$

**lemma** *vector-quotient-nth-mod*:  $i < n \implies u = v \text{ (mod } q \wedge n) \implies u ! i = v ! i \text{ (mod } q)$   
 $\langle \text{proof} \rangle$

**lemma** *vector-quotient-append*:  $(@) / \in q \wedge n \implies q \wedge m \implies q \wedge (n+m)$   
 $\langle \text{proof} \rangle$

**lemma** *vector-quotient-append-in*:  $x / \in q \wedge n \implies y / \in q \wedge m \implies x @ y / \in q \wedge (n+m)$   
 $\langle \text{proof} \rangle$

**lemma** *vector-quotient-append-mod*:  
 $x = x' \text{ (mod } q \wedge n) \implies y = y' \text{ (mod } q \wedge m) \implies x @ y = x' @ y' \text{ (mod } q \wedge (n+m))$   
 $\langle \text{proof} \rangle$

**lemma** *vector-quotient-weak-subset-intro*:  $p \leq q \implies p \wedge n \leq q \wedge n$   
 $\langle \text{proof} \rangle$

**lemma** *vector-quotient-strong-subset-intro*:  $p \subseteq q \implies p \wedge n \subseteq q \wedge n$   
 $\langle \text{proof} \rangle$

**lemma** *vector-quotient-bishop-subset-intro*:  $p \sqsubseteq q \implies p \wedge n \sqsubseteq q \wedge n$   
 $\langle \text{proof} \rangle$

## 4.12 Tuples as Quotients

**definition** *qequal-tuple* :: 'a quotient list  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool **where**  
 $\text{qequal-tuple } qs \ u \ v = (\text{length } u = \text{length } qs \wedge \text{length } v = \text{length } qs \wedge$   
 $(\forall i < \text{length } qs. u ! i = v ! i \text{ (mod } qs ! i)))$

**lemma** *qequal-tuple-sym*:  $\text{symp } (\text{qequal-tuple } qs)$   
 $\langle \text{proof} \rangle$

**lemma** *qequal-tuple-trans*:  $\text{transp } (\text{qequal-tuple } qs)$   
 $\langle \text{proof} \rangle$

**definition** *tuple-quotient* :: 'a quotient list  $\Rightarrow$  'a list quotient ('/ $\times$ ) **where**  
 $/\times qs = \text{QuotientP } (\text{qequal-tuple } qs)$

**lemma** *tuple-quotient-rel*:  $Rel (/ \times qs) = \{ (u, v). qequal\_tuple\ qs\ u\ v \}$   
 $\langle proof \rangle$

**lemma** *tuple-quotient-in*:  $(u / \in (/ \times qs)) = (qequal\_tuple\ qs\ u\ u)$   
 $\langle proof \rangle$

**lemma** *tuple-quotient-mod*:  $(u = v\ (mod\ / \times\ qs)) = (qequal\_tuple\ qs\ u\ v)$   
 $\langle proof \rangle$

**lemma** *tuple-quotient-nth*:  $i < length\ qs \implies (\lambda u. u\ !\ i) / \in / \times\ qs \implies qs\ !\ i$   
 $\langle proof \rangle$

**lemma** *tuple-quotient-append*:  $(@) / \in / \times\ ps \implies / \times\ qs \implies / \times\ (ps @ qs)$   
 $\langle proof \rangle$

**lemma** *vectors-are-tuples*:  $q / ^ n = / \times (replicate\ n\ q)$   
 $\langle proof \rangle$

**lemma** *tuple-quotient-strong-subset-intro*:  
 $length\ ps = length\ qs \implies (\bigwedge i. i < length\ ps \implies ps!i / \subseteq qs!i) \implies / \times\ ps / \subseteq / \times\ qs$   
 $\langle proof \rangle$

**lemma** *tuple-quotient-bishop-subset-intro*:  
 $length\ ps = length\ qs \implies (\bigwedge i. i < length\ ps \implies ps!i / \sqsubseteq qs!i) \implies / \times\ ps / \sqsubseteq / \times\ qs$   
 $\langle proof \rangle$

**end**  
**theory** *Algebra* **imports** *Signature Quotients*  
**begin**

## 5 Abstraction Algebra

We will abbreviate *Abstraction Algebra* by leaving the prefix *Algebra* implicit, and just saying *Algebra* instead.

### 5.1 Operations and Operators as Quotients

**type-synonym**  $'a\ operation = 'a\ list \Rightarrow 'a$   
**type-synonym**  $'a\ operator = 'a\ operation\ list \Rightarrow 'a$

**definition** *operations* ::  $'a\ quotient \Rightarrow nat \Rightarrow ('a\ operation)\ quotient$  **where**  
 $operations\ U\ n = U / ^ n / \Rightarrow U$

**definition** *operators* ::  $'a\ quotient \Rightarrow shape \Rightarrow ('a\ operator)\ quotient$  **where**  
 $operators\ U\ s = / \times (map\ (\lambda\ deps. operations\ U\ (card\ deps))\ (Preshape\ s)) / \Rightarrow U$

**definition** *value-op* :: 'a  $\Rightarrow$  'a operation **where**

*value-op* *u* = ( $\lambda$  -. *u*)

**lemma** *operators-appeq-intro*:

**assumes** *FG*: *F* = *G* (mod operators  $\mathcal{U}$  *s*)

**assumes** *lenfs*: length *fs* = §*ar* *s*

**assumes** *lengs*: length *gs* = §*ar* *s*

**assumes** *fsgs*: ( $\bigwedge i. i < \S ar\ s \implies fs!i = gs!i$  (mod operations  $\mathcal{U}$  (*s*.#*i*)))

**shows** *F fs* = *G gs* (mod  $\mathcal{U}$ )

*<proof>*

**lemma** *operator-appeq-intro*:

**assumes** *F*: *F* / $\in$  operators  $\mathcal{U}$  *s*

**assumes** *lenfs*: length *fs* = §*ar* *s*

**assumes** *lengs*: length *gs* = §*ar* *s*

**assumes** *fsgs*: ( $\bigwedge i. i < \S ar\ s \implies fs!i = gs!i$  (mod operations  $\mathcal{U}$  (*s*.#*i*)))

**shows** *F fs* = *F gs* (mod  $\mathcal{U}$ )

*<proof>*

**lemma** *operations-eq-intro*:

**assumes**  $\bigwedge us\ vs. us = vs$  (mod  $\mathcal{U} / \wedge n$ )  $\implies f\ us = g\ vs$  (mod  $\mathcal{U}$ )

**shows** *f* = *g* (mod operations  $\mathcal{U}$  *n*)

*<proof>*

**lemma** *operations-mod*:

(*f* = *g* (mod operations  $\mathcal{U}$  *n*)) = ( $\forall us\ vs. us = vs$  (mod  $\mathcal{U} / \wedge n$ )  $\longrightarrow f\ us = g\ vs$  (mod  $\mathcal{U}$ ))

*<proof>*

## 5.2 Compatibility of Shape and Operator

**definition** *shape-compatible* :: 'a quotient  $\Rightarrow$  shape  $\Rightarrow$  'a operator  $\Rightarrow$  bool **where**

*shape-compatible* *U s op* = (*op* / $\in$  operators *U s*)

**definition** *shape-compatible-opt* :: 'a quotient  $\Rightarrow$  shape option  $\Rightarrow$  'a operator option  $\Rightarrow$  bool **where**

*shape-compatible-opt* *U s op* = ((*s* = None  $\wedge$  *op* = None)  $\vee$  (*s*  $\neq$  None  $\wedge$  *op*  $\neq$  None  $\wedge$

*shape-compatible U (the s) (the op)*))

## 5.3 Abstraction Algebras

**type-synonym** 'a operators = (abstraction, 'a operator) map

**type-synonym** 'a prealgebra = 'a quotient  $\times$  signature  $\times$  'a operators

**definition** *is-algebra* :: 'a prealgebra  $\Rightarrow$  bool **where**

*is-algebra* *paa* =

(let *U* = fst *paa* in



```

let sig = fst (snd paa) in
let ops = snd (snd paa) in
U ≠ /∅ ∧ (∀ a. shape-compatible-opt U (sig a) (ops a)))

```

**definition** *trivial-prealgebra* :: 'a prealgebra **where**  
*trivial-prealgebra* = (/U, Map.empty, Map.empty)

**lemma** *trivial-prealgebra: is-algebra trivial-prealgebra*  
 ⟨proof⟩

**typedef** 'a algebra = { aa :: 'a prealgebra . is-algebra aa } **morphisms** *Prealgebra*  
*Algebra*  
 ⟨proof⟩

**definition** *Univ* :: 'a algebra ⇒ 'a quotient **where**  
*Univ* aa = fst (*Prealgebra* aa)

**definition** *Sig* :: 'a algebra ⇒ signature **where**  
*Sig* aa = fst (snd (*Prealgebra* aa))

**definition** *Ops* :: 'a algebra ⇒ 'a operators **where**  
*Ops* aa = snd (snd (*Prealgebra* aa))

**lemma** *Prealgebra-components*: *Prealgebra* aa = (*Univ* aa, *Sig* aa, *Ops* aa)  
 ⟨proof⟩

**lemma** *Univ-nonempty*: *Univ* aa ≠ /∅  
 ⟨proof⟩

**lemma** *algebra-compatibility*: shape-compatible-opt (*Univ* aa) (*Sig* aa a) (*Ops* aa a)  
 ⟨proof⟩

**end**  
**theory** *NTerm* **imports** *Algebra*  
**begin**

## 6 Term

### 6.1 Variables

**type-synonym** *variable* = string

**type-synonym** *variables* = (variable × nat) set

**definition** *binders-as-vars* :: variable list ⇒ variables (-',0 [1000] 1000) **where**  
*xs',0* = { (x, 0) | x. x ∈ set xs }

**lemma** *binders-as-vars-empty[simp]*: [] ',0 = {}

$\langle proof \rangle$

**lemma** *deduction-forall-deps-0*[iff]:  $\mathfrak{D}!!\text{abstr-forall}.\text{@0}([x]) = [x]$   
 $\langle proof \rangle$

## 6.2 Terms

**datatype** *nterm* =  
 | *VarApp* variable *nterm* list  
 | *AbsApp* abstraction variable list *nterm* list

**definition** *xvar* :: variable ('x) **where** 'x = "x"  
**definition** *xvar0* :: *nterm* (§x) **where** §x = *VarApp* 'x []

**definition** *yvar* :: variable ('y) **where** 'y = "y"  
**definition** *yvar0* :: *nterm* (§y) **where** §y = *VarApp* 'y []

**definition** *Avar* :: variable ('A) **where** 'A = "A"  
**definition** *Avar0* :: *nterm* (§A) **where** §A = *VarApp* 'A []  
**definition** *Avar1* :: *nterm*  $\Rightarrow$  *nterm* (§A[-]) **where** §A[t] = *VarApp* 'A [t]

**definition** *Bvar* :: variable ('B) **where** 'B = "B"  
**definition** *Bvar0* :: *nterm* (§B) **where** §B = *VarApp* 'B []  
**definition** *Bvar1* :: *nterm*  $\Rightarrow$  *nterm* (§B[-]) **where** §B[t] = *VarApp* 'B [t]

**definition** *Cvar* :: variable ('C) **where** 'C = "C"  
**definition** *Cvar0* :: *nterm* (§C) **where** §C = *VarApp* 'C []  
**definition** *Cvar1* :: *nterm*  $\Rightarrow$  *nterm* (§C[-]) **where** §C[t] = *VarApp* 'C [t]

**definition** *implies-app* :: *nterm*  $\Rightarrow$  *nterm*  $\Rightarrow$  *nterm* (**infix** '  $\Rightarrow$  225) **where**  
 A '  $\Rightarrow$  B = *AbsApp* *abstr-implies* [] [A, B]

**definition** *true-app* :: *nterm* (' $\top$ ) **where** ' $\top$  = *AbsApp* *abstr-true* [] []

**definition** *false-app* :: *nterm* (' $\perp$ ) **where** ' $\perp$  = *AbsApp* *abstr-false* [] []

**definition** *forall-app* :: variable  $\Rightarrow$  *nterm*  $\Rightarrow$  *nterm* (( $\exists^{\forall}$ -. -) [1000, 210] 210)  
**where**  
*forall-app* x P = *AbsApp* *abstr-forall* [x] [P]

## 6.3 Wellformedness

**fun** *nt-wf* :: signature  $\Rightarrow$  *nterm*  $\Rightarrow$  bool **where**  
*nt-wf* sig (VarApp x ts) = ( $\forall$  t = ts!-. *nt-wf* sig t)  
 | *nt-wf* sig (AbsApp a xs ts) =  
 (sig-contains sig a (length xs) (length ts)  $\wedge$   
 distinct xs  $\wedge$   
 ( $\forall$  t = ts!-. *nt-wf* sig t))

**lemma** *nt-wf-x0*[iff]: *nt-wf* sig §x  $\langle proof \rangle$

**lemma** *nt-wf-y0*[*iff*]: *nt-wf sig* §*y* <proof>  
**lemma** *nt-wf-A0*[*iff*]: *nt-wf sig* §*A* <proof>  
**lemma** *nt-wf-A1*[*iff*]: *nt-wf sig* §*A*[*t*] = *nt-wf sig t* <proof>  
**lemma** *nt-wf-B0*[*iff*]: *nt-wf sig* §*B* <proof>  
**lemma** *nt-wf-B1*[*iff*]: *nt-wf sig* §*B*[*t*] = *nt-wf sig t* <proof>  
**lemma** *nt-wf-C0*[*iff*]: *nt-wf sig* §*C* <proof>  
**lemma** *nt-wf-C1*[*iff*]: *nt-wf sig* §*C*[*t*] = *nt-wf sig t* <proof>  
  
**lemma** *nt-wf-true*[*simp*]: *nt-wf*  $\mathcal{D}$   $\top$  <proof>  
  
**lemma** *nt-wf-implies*[*simp*]: *nt-wf*  $\mathcal{D}$  (*A*  $\Rightarrow$  *B*) = (*nt-wf*  $\mathcal{D}$  *A*  $\wedge$  *nt-wf*  $\mathcal{D}$  *B*) <proof>  
  
**lemma** *nt-wf-forall*[*simp*]: *nt-wf*  $\mathcal{D}$  ( $\forall$  *x*. *t*) = *nt-wf*  $\mathcal{D}$  *t* <proof>  
  
**lemma** *sig-extends-nt-wf*: *V*  $\succeq$  *U*  $\implies$  *nt-wf U t*  $\implies$  *nt-wf V t* <proof>

## 6.4 Free Variables

**fun** *nt-free* :: *signature*  $\Rightarrow$  *nterm*  $\Rightarrow$  *variables* **where**  
*nt-free sig* (*VarApp x ts*) =  
 (§fold *X* = {(*x*, length *ts*)}, *t* = *ts*!-. *X*  $\cup$  *nt-free sig t*)  
| *nt-free sig* (*AbsApp a xs ts*) =  
 (§fold *X* = {}, *t* = *ts*!*i*. *X*  $\cup$  (*nt-free sig t* - (*sig*!!*a*.@*i*(*xs*))'0))  
  
**lemma** *nt-free-x0*: *nt-free sig* §*x* = {( '*x*, 0 )} <proof>  
  
**lemma** *nt-free-y0*: *nt-free sig* §*y* = {( '*y*, 0 )} <proof>  
  
**lemma** *nt-free-A0*: *nt-free sig* §*A* = {( '*A*, 0 )} <proof>  
**lemma** *nt-free-A1*: *nt-free sig* §*A*[*t*] = {( '*A*, *Suc 0* )}  $\cup$  *nt-free sig t* <proof>  
  
**lemma** *nt-free-B0*: *nt-free sig* §*B* = {( '*B*, 0 )} <proof>  
**lemma** *nt-free-B1*: *nt-free sig* §*B*[*t*] = {( '*B*, *Suc 0* )}  $\cup$  *nt-free sig t* <proof>  
  
**lemma** *nt-free-C0*: *nt-free sig* §*C* = {( '*C*, 0 )} <proof>  
**lemma** *nt-free-C1*: *nt-free sig* §*C*[*t*] = {( '*C*, *Suc 0* )}  $\cup$  *nt-free sig t* <proof>  
  
**lemma** *nt-free-true*: *nt-free*  $\mathcal{D}$   $\top$  = {} <proof>

**lemma** *nt-free-implies*:  $nt\text{-free } \mathfrak{D} (s \Rightarrow t) = nt\text{-free } \mathfrak{D} s \cup nt\text{-free } \mathfrak{D} t$   
 $\langle proof \rangle$

**lemma** *nt-free-forall*:  $nt\text{-free } \mathfrak{D} (\forall x. t) = nt\text{-free } \mathfrak{D} t - \{(x, 0)\}$   
**thm** *forall-app-def binders-as-vars-def*  
 $\langle proof \rangle$

**lemma** *sig-extends-nt-free*:  $V \succeq U \implies nt\text{-wf } U t \implies nt\text{-free } V t = nt\text{-free } U t$   
 $\langle proof \rangle$

**lemma** *nt-free-VarApp*:  $nt\text{-free } sig (VarApp x ts) =$   
 $\{(x, length\ ts)\} \cup \bigcup \{ nt\text{-free } sig\ t \mid t. t \in set\ ts \}$   
 $\langle proof \rangle$

**lemma** *nt-free-VarApp-arg-subset*:  
**assumes**  $nt\text{-free } sig (VarApp x ts) \subseteq X$   
**assumes**  $i < length\ ts$   
**shows**  $nt\text{-free } sig (ts ! i) \subseteq X$   
 $\langle proof \rangle$

**lemma** *nt-free-ConsApp*:  
**shows**  $nt\text{-free } sig (AbsApp a xs ts) =$   
 $\bigcup \{ nt\text{-free } sig (ts ! i) - (sig !! a . @i(xs))', 0 \mid i. i < length\ ts \}$   
 $\langle proof \rangle$

**lemma** *nt-free-ConsApp-arg-subset*:  
**assumes**  $nt\text{-free } sig (AbsApp a xs ts) \subseteq X$   
**assumes**  $i < length\ ts$   
**shows**  $nt\text{-free } sig (ts ! i) \subseteq X \cup (sig !! a . @i(xs))', 0$   
 $\langle proof \rangle$

**end**  
**theory** *Locales* **imports** *NTerm*  
**begin**

## 6.5 Signature Locale

**locale** *sigloc* =  
**fixes**  $Signature :: signature\ (\mathcal{S})$

**context** *sigloc*  
**begin**

**abbreviation**  
 $Deps :: abstraction \Rightarrow nat \Rightarrow nat\ set\ (\text{infixl } !\# 100)$   
**where**  $a !\# i \equiv S !! a . \# i$

**abbreviation**

**CardDeps** :: *abstraction*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat* (**infixl** !# 100)  
**where**  $a \text{ !\# } i \equiv S!!a.\#i$

**abbreviation**  
*SelDeps* :: *abstraction*  $\Rightarrow$  *nat*  $\Rightarrow$  'b *list*  $\Rightarrow$  'b *list* (!@-'(-') [100, 101, 0] 100)  
**where**  $a!@i(xs) \equiv S!!a.@i(xs)$

**abbreviation** *wf* :: *nterm*  $\Rightarrow$  *bool*  
**where**  $wf \ t \equiv nt\text{-}wf \ S \ t$

**abbreviation** *frees* :: *nterm*  $\Rightarrow$  *variables*  
**where**  $frees \ t \equiv nt\text{-}free \ S \ t$

**abbreviation** *is-valid-abstraction* :: *abstraction*  $\Rightarrow$  *bool* (✓)  
**where**  $\checkmark a \equiv ((S \ a) \neq None)$

**abbreviation** *valence-of-abstraction* :: *abstraction*  $\Rightarrow$  *nat* (§v)  
**where**  $\S v \ a \equiv \S val \ (S!!a)$

**abbreviation** *arity-of-abstraction* :: *abstraction*  $\Rightarrow$  *nat* (§a)  
**where**  $\S a \ a \equiv \S ar \ (S!!a)$

**lemma** *wf-implies-valid-abs*:  
**assumes**  $wf: wf \ (AbsApp \ a \ xs \ ts)$   
**shows**  $\checkmark a$   
*<proof>*

**lemma** *wf-VarApp*:  $wf \ (VarApp \ x \ ts) = (\forall \ t \in \text{set } ts. \ wf \ t)$   
*<proof>*

**lemma** *wf-AbsApp-valence*: **assumes**  $wf: wf \ (AbsApp \ a \ xs \ ts)$  **shows**  $length \ xs = \S v \ a$   
*<proof>*

**lemma** *shape-deps-upper-bound*:  $\checkmark a \Longrightarrow i < \S a \ a \Longrightarrow a!i \subseteq nats \ (\S v \ a)$   
*<proof>*

**lemma** *length-boundvars-at*:  
**assumes**  $wf: wf \ (AbsApp \ a \ xs \ ts)$   
**assumes**  $i: i < length \ ts$   
**shows**  $length \ (a!@i(xs)) = a \text{ !\# } i$   
*<proof>*

**definition** *closed* :: *nterm*  $\Rightarrow$  *bool*  
**where**  $closed \ t = (frees \ t = \{\})$

**end**

## 6.6 Abstraction Algebra Locale

**locale** *algloc* = *sigloc* *Sig*  $\mathfrak{A}$  **for**  $AA :: 'a \text{ algebra } (\mathfrak{A})$   
**begin**

**abbreviation**

*Universe* :: *'a quotient* ( $\mathcal{U}$ )  
**where**  $\mathcal{U} \equiv \text{Univ } \mathfrak{A}$

**abbreviation**

*Operators* :: *'a operators* ( $\mathcal{O}$ )  
**where**  $\mathcal{O} \equiv \text{Ops } \mathfrak{A}$

**abbreviation**

*Signature* :: *signature* ( $\mathcal{S}$ )  
**where**  $\mathcal{S} \equiv \text{Sig } \mathfrak{A}$

**notation**

*Deps* (**infixl**  $! \# 100$ ) **and**  
*CardDeps* (**infixl**  $! \# 100$ ) **and**  
*SelDeps* (**infixl**  $! @ - ' ( - ' [100, 101, 0] 100$ ) **and**  
*is-valid-abstraction* ( $\checkmark$ ) **and**  
*valence-of-abstraction* ( $\S v$ ) **and**  
*arity-of-abstraction* ( $\S a$ )

**end**

**context** *algloc* **begin**

**lemma** *valid-in-operators*:  $\checkmark a \implies (\mathcal{O}!!a) / \in \text{operators } \mathcal{U} (\mathcal{S}!!a)$   
*<proof>*

**end**

**end**

**theory** *Valuation* **imports** *NTerm Algebra Locales*  
**begin**

## 7 Valuation

### 7.1 Valuations

**type-synonym** *'a valuation* = (*variable*  $\times$  *nat*)  $\Rightarrow$  *'a operation*

**definition** *update-valuation* :: *'a valuation*  $\Rightarrow$  *variable list*  $\Rightarrow$  *'a list*  $\Rightarrow$  *'a valuation*

( $\{- \cdot := \cdot \}$   $[1000, 51, 51] 1000$ )  
**where**

$v\{xs := us\} = (\lambda (x, n).$   
 (if  $n = 0$  then  
 (case index-of  $x$   $xs$  of  
 Some  $i \Rightarrow$  value-op ( $us!i$ )  
 | None  $\Rightarrow v(x, 0)$ )  
 else  $v(x, n)$ ))

**definition** *qequal-valuation* :: variables  $\Rightarrow$  'a quotient  $\Rightarrow$  'a valuation  $\Rightarrow$  'a valuation  $\Rightarrow$  bool

**where**

*qequal-valuation*  $X \mathcal{U} \tau v = (\forall (x, n) \in X. \tau(x, n) = v(x, n) \text{ (mod operations } \mathcal{U} \text{ )})$

**lemma** *qequal-valuation-sym*: *symp* (*qequal-valuation*  $X \mathcal{U}$ )  
 <proof>

**lemma** *qequal-valuation-trans*: *transp* (*qequal-valuation*  $X \mathcal{U}$ )  
 <proof>

**definition** *valuation-quotient* :: variables  $\Rightarrow$  'a quotient  $\Rightarrow$  'a valuation quotient  
 (infix  $\mapsto 90$ )

**where**

$X \mapsto \mathcal{U} = \text{QuotientP } (\text{qequal-valuation } X \mathcal{U})$

**lemma** *valuation-quotient-Rel*:

*Rel* ( $X \mapsto \mathcal{U}$ ) = {  $(\tau, v).$  *qequal-valuation*  $X \mathcal{U} \tau v$  }  
 <proof>

**lemma** *valuation-quotient-mod*:

$(\tau = v \text{ (mod } X \mapsto \mathcal{U})) = \text{qequal-valuation } X \mathcal{U} \tau v$   
 <proof>

**lemma** *valuation-quotient-in*:

$(v \notin X \mapsto \mathcal{U}) = \text{qequal-valuation } X \mathcal{U} v v$   
 <proof>

**lemma** *valuation-quotient-app*:

$\tau = v \text{ (mod } X \mapsto \mathcal{U}) \implies (x, n) \in X \implies us = vs \text{ (mod } \mathcal{U} \wedge n) \implies \tau(x, n) us$   
 $= v(x, n) vs \text{ (mod } \mathcal{U})$   
 <proof>

**lemma** *valuation-mod-subdomain*:

**assumes** *mod*:  $\tau = v \text{ (mod } X \mapsto \mathcal{U})$

**assumes** *sub*:  $Y \subseteq X$

**shows**  $\tau = v \text{ (mod } Y \mapsto \mathcal{U})$

<proof>

**lemma** *update-valuation-skipvar*:

**assumes** *x*:  $x \notin \text{set } xs$

**shows**  $v\{xs := us\}(x, n) = v(x, n)$   
 $\langle proof \rangle$

**lemma** *subtracted-bound-vars*:  
**assumes**  $x: (x, n) \in X - xs', 0$   
**shows**  $n > 0 \vee x \notin \text{set } xs$   
 $\langle proof \rangle$

**lemma** *update-valuation-eq-intro*:  
**assumes**  $\tau = v \text{ (mod } X \mapsto \mathcal{U})$   
**assumes**  $us = vs \text{ (mod } \mathcal{U} / \hat{n})$   
**assumes**  $\text{length } xs = n$   
**shows**  $\tau\{xs := us\} = v\{xs := vs\} \text{ (mod } (X \cup ((xs)', 0)) \mapsto \mathcal{U})$   
 $\langle proof \rangle$

**lemma** *valuations-empty-domain[simp]*:  $\{\} \mapsto \mathcal{U} = /1\mathcal{U}$   
 $\langle proof \rangle$

## 7.2 Evaluation

**context** *algloc*  
**begin**

**abbreviation** *Valuations :: variables  $\Rightarrow$  'a valuation quotient* (**V**)  
**where**  $\mathbf{V} \ X \equiv (X \mapsto \mathcal{U})$

**fun** *eval :: nterm  $\Rightarrow$  'a valuation  $\Rightarrow$  'a ( $\langle -; - \rangle$ )* **where**  
 $\text{eval } (\text{VarApp } x \ ts) \ v = v \ (x, \text{length } ts) \ (\S \text{map } t = ts!-. \text{eval } t \ v)$   
 $| \text{eval } (\text{AbsApp } a \ xs \ ts) \ v =$   
 $\quad (\text{let } op = \mathcal{O} \ !! \ a \ \text{in}$   
 $\quad \text{let } rs = (\S \text{map } t = ts!i.$   
 $\quad \text{let } bs = a!@i(xs) \ \text{in}$   
 $\quad (\lambda \ us. (\text{let } v' = v \ \{bs := us\} \ \text{in } \text{eval } t \ v'))))$   
 $\quad \text{in } op \ rs)$

**lemma** *eval-modulo*:  
 $wf \ t \implies$   
 $\text{frees } t \subseteq X \implies$   
 $\tau = v \text{ (mod } \mathbf{V} \ X) \implies$   
 $\text{eval } t \ \tau = \text{eval } t \ v \text{ (mod } \mathcal{U})$   
 $\langle proof \rangle$

**lemma** *eval-is-fun-modulo*:  
**assumes**  $wf: wf \ t$   
**shows**  $\text{eval } t \ / \in \mathbf{V} \ (\text{frees } t) \ /\Rightarrow \mathcal{U}$   
 $\langle proof \rangle$

**lemma** *eval-closed*:  
**assumes**  $wf: wf \ t$



```

assumes cl: closed t
shows eval t τ = eval t v (mod U)
<proof>

```

### 7.3 Semantical Equivalence

Two terms are semantically equivalent if for all abstraction algebras, and all valuations, they evaluate to the same value. We cannot really define this as a closed notion in HOL, as quantifying over all abstraction algebras requires quantifying over type variables, which is not possible in HOL. So we first define semantical equivalence just relative to a fixed abstraction algebra, and then relative to the base type of the abstraction algebra.

```

definition sem-equiv :: nterm  $\Rightarrow$  nterm  $\Rightarrow$  bool
  where sem-equiv s t = ( $\forall v. v \notin \mathbb{V} \text{ UNIV} \longrightarrow \text{eval } s \ v = \text{eval } t \ v \ (\text{mod } \mathcal{U})$ )

end

```

HOL can be extended with quantification over type variables [1], and then the notion of semantical equivalence of two terms could be defined via

*semantically-equivalent s t* =  $\forall \alpha. \forall \mathfrak{A} :: \alpha \text{ algebra. algloc.sem-equiv } \mathfrak{A} \ s \ t$

But all we can do here is to define semantical equivalence relative to  $\alpha$ :

```

definition semantically-equivalent :: 'a'  $\Rightarrow$  nterm  $\Rightarrow$  nterm  $\Rightarrow$  bool
  where semantically-equivalent  $\alpha \ s \ t$  = ( $\forall \mathfrak{A} :: 'a \text{ algebra. algloc.sem-equiv } \mathfrak{A} \ s \ t$ )

```

```

lemma semantically-equivalent ( $\alpha_1 :: 'a$ ) s t = semantically-equivalent ( $\alpha_2 :: 'a$ ) s t
  <proof>

```

```

end
theory BTerm imports Locales
begin

```

## 8 De Bruijn Term

### 8.1 Terms

```

datatype bterm =
  | FreeVar variable <bterm list>
  | BoundVar nat
  | Abstr abstraction <bterm list>

```

### 8.2 Free Atoms

```

datatype atom =
  | Var variable nat
  | Unbound nat

```

```

type-synonym atoms = atom set

```

**context** *sigloc* **begin**

**fun** *freeAtomsAt* :: *nat*  $\Rightarrow$  *bterm*  $\Rightarrow$  *atoms* **where**  
   *freeAtomsAt level (FreeVar x ts) =*  
     ( $\S$ fold *atoms* = { *Var x (length ts)* }, *t=ts!-. atoms*  $\cup$  *freeAtomsAt level t*)  
 | *freeAtomsAt level (BoundVar i) =*  
   (*if i < level then {} else {Unbound (i - level)}*)  
 | *freeAtomsAt level (Abstr a ts) =*  
   ( $\S$ fold *atoms* = { }, *t=ts!-. atoms*  $\cup$  *freeAtomsAt (level +  $\S$ v a) t*)

**definition** *freeAtoms* :: *bterm*  $\Rightarrow$  *atoms* **where**  
*freeAtoms t = freeAtomsAt 0 t*

**definition** *unboundAtoms* :: *nat set*  $\Rightarrow$  *atoms* ( $\uparrow$ ) **where**  
 $\uparrow$ *ubs* = { *Unbound u* | *u. u*  $\in$  *ubs* }

### 8.3 Wellformedness

**fun** *bt-wf* :: *bterm*  $\Rightarrow$  *bool* **where**  
   *bt-wf (FreeVar x ts) =* ( $\forall$  *t=ts!-. bt-wf t*)  
 | *bt-wf (BoundVar i) = True*  
 | *bt-wf (Abstr a ts) =* ( $\checkmark$ *a*  $\wedge$   $\S$ *a a = length ts*  $\wedge$   
   ( $\forall$  *t=ts!i. bt-wf t*  $\wedge$  *freeAtoms t*  $\cap$   $\uparrow$ (*nats* ( $\S$ v *a*)))  $\subseteq$   $\uparrow$ (*a!i*)))

**end**

**end**

## References

- [1] T. F. Melham. The hol logic extended with quantification over type variables. <https://doi.org/10.1007/BF01383982>, 1993.
- [2] S. Obua. Abstraction logic. <https://doi.org/10.47757/abstraction.logic.2>, November 2021.
- [3] S. Obua. Philosophy of abstraction logic. <https://doi.org/10.47757/pal.2>, December 2021.