

# Abstraction Logic in Isabelle/HOL

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June 27, 2022

## Abstract

This is work in progress. Its ultimate goal is the formalisation in Isabelle/HOL of Abstraction Logic and its properties as described in [3] and [2].

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```

theory General
  imports Main HOL-Library.LaTeXsugar HOL-Library.OptionalSugar
begin

```

## 1 General

### 1.1 nats

```

definition nats :: nat  $\Rightarrow$  nat set where
  nats n = {.. $<$  n }

```

```

lemma finite-nats[iff]: finite (nats n)
  <proof>

```

```

lemma nats-elem[simp]: ( $d \in$  nats n) = ( $d <$  n)
  <proof>

```

```

lemma nats-0[simp]: nats 0 = {}
  <proof>

```

```

lemma card-nats[simp]: card (nats n) = n
  <proof>

```

```

lemma nats-eq-nats[simp]: (nats n = nats m) = ( $n = m$ )
  <proof>

```

```

lemma Max-nats:  $n > 0 \implies 1 + \text{Max (nats n)} = n$ 
  <proof>

```

### 1.2 Lists

#### 1.2.1 Tools for Indices

```

lemma nats-length-nths:
  assumes  $A \subseteq$  nats (length xs)
  shows length (nths xs A) = card A
  <proof>

```

```

fun index-of :: 'a  $\Rightarrow$  'a list  $\Rightarrow$  nat option where
  index-of x [] = None
| index-of x (a#as) = (if x = a then Some 0 else
  (case index-of x as of
    None  $\Rightarrow$  None
  | Some i  $\Rightarrow$  Some (Suc i)))

```

```

lemma index-of-head: index-of x (x # xs) = Some 0
  <proof>

```

```

lemma index-of-exists:  $x \in$  set xs  $\implies \exists$  i. index-of x xs = Some i
  <proof>

```

**lemma** *index-of-is-None*:  $\text{index-of } x \text{ } xs = \text{None} \implies x \notin \text{set } xs$   
 ⟨proof⟩

**lemma** *index-of-is-Some*:  $\text{index-of } x \text{ } xs = \text{Some } i \implies i < \text{length } xs \wedge xs[i] = x$   
 ⟨proof⟩

**definition** *shift-index* ::  $\text{nat} \Rightarrow (\text{nat} \Rightarrow 'a) \Rightarrow (\text{nat} \Rightarrow 'a)$  **where**  
 $\text{shift-index } d \text{ } f \text{ } x = f (x + d)$

**lemma** *shift-index-0[simp]*:  $\text{shift-index } 0 = \text{id}$   
 ⟨proof⟩

**lemma** *shift-index-acc-append[simp]*:  
 $\text{shift-index } d (\lambda i \text{ } acc \text{ } x. acc @ [f \text{ } i \text{ } x]) = (\lambda i \text{ } acc \text{ } x. acc @ [\text{shift-index } d \text{ } f \text{ } i \text{ } x])$   
 ⟨proof⟩

**lemma** *shift-index-gather*:  
 $\text{shift-index } d (\lambda i \text{ } acc \text{ } x. g (f \text{ } i \text{ } x) \text{ } acc) = (\lambda i \text{ } acc \text{ } x. g (\text{shift-index } d \text{ } f \text{ } i \text{ } x) \text{ } acc)$   
 ⟨proof⟩

**lemma** *shift-index-applied-twice[simp]*:  
 $\text{shift-index } a (\text{shift-index } b \text{ } f) = \text{shift-index } (a+b) \text{ } f$   
 ⟨proof⟩

**lemma** *shift-index-unindexed[simp]*:  $\text{shift-index } d (\lambda i. F) = (\lambda i. F)$   
 ⟨proof⟩

**definition** *sorted-list* ::  $\text{nat set} \Rightarrow \text{nat list}$   
**where**  $\text{sorted-list } js = (\text{THE } l. \text{sorted } l \wedge \text{distinct } l \wedge \text{set } l = js)$

**lemma** *set-sorted-list*:  $\text{finite } js \implies \text{set } (\text{sorted-list } js) = js$   
 ⟨proof⟩

**lemma** *sorted-sorted-list*:  $\text{finite } js \implies \text{sorted } (\text{sorted-list } js)$   
 ⟨proof⟩

**lemma** *distinct-sorted-list*:  $\text{finite } js \implies \text{distinct } (\text{sorted-list } js)$   
 ⟨proof⟩

**lemma** *sorted-list-intro*:  $\text{sorted } l \wedge \text{distinct } l \wedge \text{set } l = js \implies \text{sorted-list } js = l$   
 ⟨proof⟩

**lemma** *sorted-list-nats*:  $\text{sorted-list } (\text{nats } n) = [0 ..< n]$   
 ⟨proof⟩

**lemma** *no-index-sorted-list*:  
**assumes** *finite*:  $\text{finite } js$   
**assumes** *j*:  $j \notin js$

**shows**  $\text{index-of } j \text{ (sorted-list } js) = \text{None}$   
 $\langle \text{proof} \rangle$

**lemma** *index-sorted-list*:  
**assumes** *finite*:  $\text{finite } js$   
**assumes**  $j: j \in js$   
**shows**  $\exists i. \text{index-of } j \text{ (sorted-list } js) = \text{Some } i$   
 $\langle \text{proof} \rangle$

**lemma** *upper-bound-index-sorted-list*:  
**assumes** *finite*:  $\text{finite } js$   
**assumes**  $j: j \in js$   
**shows**  $\text{the } (\text{index-of } j \text{ (sorted-list } js)) < \text{card } js$   
 $\langle \text{proof} \rangle$

### 1.2.2 Indexed Quantification

**definition** *list-indexed-forall* ::  $'a \text{ list} \Rightarrow (\text{nat} \Rightarrow 'a \Rightarrow \text{bool}) \Rightarrow \text{bool}$  **where**  
 $\text{list-indexed-forall } xs \ f = (\forall i < \text{length } xs. f \ i \ (xs \ ! \ i))$

**syntax**  
 $\text{-list-indexed-forall} :: \text{pttrn} \Rightarrow 'a \text{ list} \Rightarrow \text{pttrn} \Rightarrow \text{bool} \Rightarrow \text{bool}$   
 $((\exists \forall \ - = \text{-!-./ -}) [1000, 100, 1000, 10] 10)$

**translations**  
 $\forall x = xs \ ! \ i. P \Rightarrow \text{CONST list-indexed-forall } xs \ (\lambda i \ x. P)$

**lemma** *list-indexed-forall-cong[fundef-cong]*:  
**assumes**  $xs = ys$   
**assumes**  $\bigwedge i \ x. i < \text{length } ys \Longrightarrow x = ys \ ! \ i \Longrightarrow P \ i \ x = Q \ i \ x$   
**shows**  $(\forall x = xs \ ! \ i. P \ i \ x) = (\forall y = ys \ ! \ i. Q \ i \ y)$   
 $\langle \text{proof} \rangle$

**lemma** *size-nth[termination-simp]*:  $i < \text{length } ts \Longrightarrow \text{size } (ts \ ! \ i) < \text{Suc } (\text{size-list size } ts)$   
 $\langle \text{proof} \rangle$

**lemma** *list-indexed-forall-empty[simp]*:  $\text{list-indexed-forall } [] \ f = \text{True}$   
 $\langle \text{proof} \rangle$

**lemma** *list-indexed-forall-cons[simp]*:  
 $\text{list-indexed-forall } (x \# xs) \ f = (f \ 0 \ x \wedge \text{list-indexed-forall } xs \ (\text{shift-index } 1 \ f))$   
 $\langle \text{proof} \rangle$

### 1.2.3 Indexed Fold

**definition** *list-indexed-fold* ::  $(\text{nat} \Rightarrow 'a \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'a \text{ list} \Rightarrow 'b \Rightarrow 'b$  **where**  
 $\text{list-indexed-fold } f \ xs \ y = \text{fold } (\lambda (i, x) \ y. f \ i \ x \ y) \ (\text{zip } [0 .. < \text{length } xs] \ xs) \ y$

**syntax**

$\text{-list-indexed-fold} :: \text{pttrn} \Rightarrow 'b \Rightarrow \text{pttrn} \Rightarrow 'a \text{ list} \Rightarrow \text{pttrn} \Rightarrow 'b \Rightarrow 'b$   
 $((\S\text{fold} \text{-} = / \text{-}, / \text{-} = / \text{-}! \text{-}, / \text{-}) \ [1000, 51, 1000, 100, 1000, 10] \ 10)$

#### translations

$\S\text{fold } a = a0, x = xs!i. F \equiv \text{CONST list-indexed-fold } (\lambda i x a. F) xs a0$

**lemma** *list-indexed-fold-empty[simp]*: *list-indexed-fold*  $f \ [] \ y = y$   
 $\langle \text{proof} \rangle$

**lemma** *list-indexed-fold-cong[fundef-cong]*:  
**assumes**  $xs = ys$   
**assumes**  $\bigwedge i a x. i < \text{length } ys \implies x = ys!i \implies F i a x = G i a x$   
**shows**  $(\S\text{fold } a = a0, x = xs!i. F i a x) = (\S\text{fold } a = a0, y = ys!i. G i a y)$   
 $\langle \text{proof} \rangle$

**lemma** *list-indexed-fold-eq*:  
**assumes**  $\bigwedge i a x. i < \text{length } xs \implies F i a (xs!i) = G i a (xs!i)$   
**shows**  $(\S\text{fold } a = a0, x = xs!i. F i a x) = (\S\text{fold } a = a0, x = xs!i. G i a x)$   
 $\langle \text{proof} \rangle$

**lemma** *list-unindexed-forall[simp]*:  $(\forall x = xs!i. P x) = (\forall x \in \text{set } xs. P x)$   
 $\langle \text{proof} \rangle$

**lemma** *fold-zip-interval-shift*:  
 $i + \text{length } xs = j \implies$   
 $\text{fold } (\lambda (i, x) a. F (i + d) x a) (\text{zip } [i ..< j] xs) a =$   
 $\text{fold } (\lambda (i, x) a. F i x a) (\text{zip } [i+d ..< j+d] xs) a$   
 $\langle \text{proof} \rangle$

**lemma** *fold-zip-interval-shift1*:  
**assumes**  $i + \text{length } xs = j$   
**shows**  $\text{fold } (\lambda (i, x) a. F (\text{Suc } i) x a) (\text{zip } [i ..< j] xs) a =$   
 $\text{fold } (\lambda (i, x) a. F i x a) (\text{zip } [\text{Suc } i ..< \text{Suc } j] xs) a$   
 $\langle \text{proof} \rangle$

**lemma** *list-indexed-fold-cons[simp]*:  
 $(\S\text{fold } a = a0, x = (u\#us)!i. F i a x) = (\S\text{fold } a = F 0 a0 u, x = us!i. \text{shift-index } 1 F i a x)$   
 $\langle \text{proof} \rangle$

**lemma** *list-unindexed-fold*:  
 $(\S\text{fold } a = a0, x = xs!i. F x a) = \text{fold } F xs a0$   
 $\langle \text{proof} \rangle$

### 1.2.4 Indexed Map

**definition** *list-indexed-map* ::  $(\text{nat} \Rightarrow 'a \Rightarrow 'b) \Rightarrow 'a \text{ list} \Rightarrow 'b \text{ list}$  **where**  
 $\text{list-indexed-map } f xs = (\S\text{fold } \text{acc} = [], x = xs!i. \text{acc} @ [f i x])$

**syntax**

*-list-indexed-map* :: *pttrn*  $\Rightarrow$  '*a list*  $\Rightarrow$  *pttrn*  $\Rightarrow$  '*b*  $\Rightarrow$  '*b list*  
 (( $\exists \S\text{map} \text{ - } = / \text{ -!-./ -}$ ) [1000, 100, 1000, 10] 10)

**translations**

$\S\text{map } x = xs!i. F \equiv \text{CONST } \textit{list-indexed-map } (\lambda i x. F) xs$

**lemma** *list-indexed-map-cong[fundef-cong]*:

**assumes** *xs = ys*

**assumes**  $\bigwedge i x. i < \textit{length } ys \implies x = ys!i \implies F i x = G i x$

**shows**  $(\S\text{map } x = xs!i. F i x) = (\S\text{map } y = ys!i. G i y)$

*<proof>*

**lemma** [9, 49]  $(\S\text{map } x = [\mathcal{I} :: \textit{nat}, \gamma]!i. x * x)$

*<proof>*

**lemma** *list-indexed-map-empty[simp]*: *list-indexed-map* *F* [] = []

*<proof>*

**lemma** *list-indexed-map-append-gen1*:  $(\S\text{fold } acc = acc0, x = (as@bs)!i. acc @ [f i x]) =$

$(\S\text{fold } acc = (\S\text{fold } acc = acc0, x = as!i. acc @ [f i x]), x =$   
 $bs!i. acc @ [\textit{shift-index } (\textit{length } as) f i x])$

*<proof>*

**lemma** *list-indexed-map-append-gen2*:

$(\S\text{fold } acc = as@bs, x = xs!i. acc @ [f i x]) =$

$as @ (\S\text{fold } acc = bs, x = xs!i. acc @ [f i x])$

*<proof>*

**lemma** *list-indexed-map-append*:

$(\S\text{map } x = (as@bs)!i. F i x) = (\S\text{map } x = as!i. F i x) @ (\S\text{map } x = bs!i. \textit{shift-index}$   
 $(\textit{length } as) F i x)$

*<proof>*

**lemma** *list-indexed-map-single[simp]*: *list-indexed-map* *F* [a] = [F 0 a]

*<proof>*

**lemma** *list-indexed-map-cons*:  $(\S\text{map } x = (a\#as)!i. F i x) = F 0 a \# (\S\text{map } x =$   
 $as!i. \textit{shift-index } 1 F i x)$

*<proof>*

**lemma** *map-cons*: *map* *f* (a#as) = *f* a # (*map* *f* as)

*<proof>*

**lemma** *map-snoc*: *map* *f* (as@[a]) = (*map* *f* as) @ [f a]

*<proof>*

**lemma** *map*  $(\lambda i. F i ((a \# xs) ! i)) [0..<\textit{length } xs] @ [F (\textit{length } xs) ((a \# xs) !$

$length\ xs]$  =  
 $map\ (\lambda i. F\ i\ ((a\ \# \ xs)\ !\ i))\ [0..<Suc(length\ xs)]$   
 $\langle proof \rangle$

**lemma** *map-eq-intro*:  
 $length\ xs = length\ ys \implies$   
 $(\bigwedge i. i < length\ xs \implies f\ (xs!i) = g\ (ys!i)) \implies$   
 $map\ f\ xs = map\ g\ ys$   
 $\langle proof \rangle$

**lemma** *list-indexed-map-alt*:  
 $(\S map\ x = xs!i. F\ i\ x) = map\ (\lambda i. F\ i\ (xs!i))\ [0..< length\ xs]$   
 $\langle proof \rangle$

**lemma** *list-unindexed-map*:  $(\S map\ x = xs!i. F\ x) = map\ F\ xs$   
 $\langle proof \rangle$

**lemma** *list-indexed-map-length[simp]*:  $length\ (\S map\ x = xs!i. F\ i\ x) = length\ xs$   
 $\langle proof \rangle$

**lemma** *list-indexed-map-at[simp]*:  $i < length\ xs \implies (\S map\ x = xs!i. F\ i\ x)\ !\ i =$   
 $F\ i\ (xs!i)$   
 $\langle proof \rangle$

### 1.2.5 Fold over Indexed Map

**lemma** *fold-indexed-map*:  $(\S fold\ acc = a, x = xs!i. g\ (F\ i\ x)\ acc) = fold\ g\ (\S map\ x = xs!i. F\ i\ x)\ a$   
 $\langle proof \rangle$

**lemma** *fold-union*:  $fold\ (\lambda a\ b. b \cup a)\ xs\ a0 = a0 \cup \bigcup\ (set\ xs)$   
 $\langle proof \rangle$

**lemma** *Un-indexed-nats*:  $(\bigcup i \in \{0..<n::nat\}. F\ i) = \bigcup\ \{ F\ i \mid i. i < n \}$   
 $\langle proof \rangle$

**lemma** *union-indexed-fold*:  
 $(\S fold\ X = X0, x = xs!i. X \cup F\ i\ x) = X0 \cup \bigcup\ \{ F\ i\ (xs!i) \mid i. i < length\ xs \}$   
 $\langle proof \rangle$

**lemma** *union-unindexed-fold*:  
 $(\S fold\ X = X0, x = xs!-. X \cup F\ x) = X0 \cup \bigcup\ \{ F\ x \mid x. x \in set\ xs \}$   
 $\langle proof \rangle$

### 1.3 Other

**type-synonym**  $('a, 'b)\ map = 'a \Rightarrow 'b\ option$

**definition** *map-forced-get* ::  $('a, 'b)\ map \Rightarrow 'a \Rightarrow 'b$  (**infixl** !! 100) **where**  
 $m\ !!\ x = the\ (m\ x)$



```

end
theory Shape imports General
begin

```

## 2 Shape

### 2.1 Preshapes

**type-synonym** *preshape* = (nat set) list

**definition** *preshape-alldeps* :: *preshape*  $\Rightarrow$  nat set **where**  
*preshape-alldeps* *s* =  $\bigcup \{s ! i \mid i. i < \text{length } s\}$

**definition** *wellformed-preshape* :: *preshape*  $\Rightarrow$  bool **where**  
*wellformed-preshape* *s* =  $(\exists m. \text{nats } m = \text{preshape-alldeps } s)$

**lemma** *wellformed-preshape-empty[intro]*: *wellformed-preshape* []  
 <proof>

### 2.2 Shapes are Wellformed Presapes

**typedef** *shape* = {*s* . *wellformed-preshape* *s*} **morphisms** *Preshape Shape*  
 <proof>

**lemma** *wellformed-Preshape[iff]*: *wellformed-preshape* (*Preshape* *s*)  
 <proof>

### 2.3 Valence and Arity

**definition** *shape-valence* :: *shape*  $\Rightarrow$  nat (§val) **where**  
 §val *s* = (THE *m*. nats *m* = *preshape-alldeps* (*Preshape* *s*))

**definition** *shape-arity* :: *shape*  $\Rightarrow$  nat (§ar) **where**  
 §ar *s* = *length* (*Preshape* *s*)

**lemma** *preshape-alldeps[intro]*: *wellformed-preshape* *s*  $\implies \exists m. \text{nats } m = \text{pre-}$   
*shape-alldeps* *s*  
 <proof>

**lemma** *preshape-valence*: *preshape-alldeps* (*Preshape* *s*) = nats (*shape-valence* *s*)  
 <proof>

**lemma** *empty-deps-Shape-valence*:  
*preshape-alldeps* *s* = {}  $\implies (\text{shape-valence } (\text{Shape } s) = 0)$   
 <proof>

**lemma** *nonempty-deps-Shape-valence*:  
 assumes *wf*: *wellformed-preshape* *s*

**assumes** *nonempty*:  $\text{preshape-alldeps } s \neq \{\}$   
**shows**  $\text{shape-valence } (\text{Shape } s) = 1 + \text{Max } (\text{preshape-alldeps } s)$   
 $\langle \text{proof} \rangle$

**lemma** *Shape-arity[intro]*:  $\text{wellformed-preshape } s \implies \text{shape-arity } (\text{Shape } s) = \text{length } s$   
 $\langle \text{proof} \rangle$

## 2.4 Dependencies

**definition**  $\text{shape-deps} :: \text{shape} \Rightarrow \text{nat} \Rightarrow \text{nat set}$  (**infixl**  $\cdot\!_{\text{d}}$  100)  
**where**  $s \cdot\!_{\text{d}} i = (\text{Preshape } s) ! i$

**abbreviation**  $\text{shape-select-deps} :: \text{shape} \Rightarrow \text{nat} \Rightarrow ('a \text{ list} \Rightarrow 'a \text{ list})$  ( $\cdot\!_{\text{s}}$  100, 101, 0] 100)  
**where**  $s \cdot\!_{\text{s}} i(xs) \equiv \text{nths } xs (s \cdot\!_{\text{d}} i)$

**abbreviation**  $\text{shape-deps-card} :: \text{shape} \Rightarrow \text{nat} \Rightarrow \text{nat}$  (**infixl**  $\cdot\!_{\#}$  100)  
**where**  $s \cdot\!_{\#} i \equiv \text{card}(s \cdot\!_{\text{d}} i)$

**lemma** *shape-deps-in-alldeps*:  
 $i < \text{shape-arity } s \implies \text{shape-deps } s \ i \subseteq \text{preshape-alldeps } (\text{Preshape } s)$   
 $\langle \text{proof} \rangle$

**lemma**  $i < \text{shape-arity } s \implies \text{shape-deps } s \ i \subseteq \text{nats } (\text{shape-valence } s)$   
 $\langle \text{proof} \rangle$

**lemma** *shape-valence-deps*:  
**assumes**  $d: d < \text{shape-valence } s$   
**shows**  $\exists i < \text{shape-arity } s. d \in \text{shape-deps } s \ i$   
 $\langle \text{proof} \rangle$

**lemma** *shape-deps-valence*:  
**assumes**  $i: i < \text{shape-arity } s \wedge d \in \text{shape-deps } s \ i$   
**shows**  $d < \text{shape-valence } s$   
 $\langle \text{proof} \rangle$

**lemma** *nats-shape-valence-is-union*:  
 $\text{nats } (\text{shape-valence } s) = \bigcup \{ \text{shape-deps } s \ i \mid i . i < \text{shape-arity } s \}$   
 $\langle \text{proof} \rangle$

**lemma** *zero-arity-valence*:  $\text{shape-arity } s = 0 \implies \text{shape-valence } s = 0$   
 $\langle \text{proof} \rangle$

**lemma** *zero-valence-deps*:  $i < \text{shape-arity } s \implies \text{shape-valence } s = 0 \implies \text{shape-deps } s \ i = \{\}$   
 $\langle \text{proof} \rangle$

**definition**  $\text{shape-valence-at} :: \text{shape} \Rightarrow \text{nat} \Rightarrow \text{nat}$  **where**

$shape\text{-}valence\text{-}at\ s\ i = card(shape\text{-}deps\ s\ i)$

## 2.5 Common Concrete Shapes

### 2.5.1 *value-shape*

**definition** *value-shape* :: *shape* **where**  
*value-shape* = *Shape* []

**lemma** *value-shape-valence*[*iff*]: *shape-valence* (*value-shape*) = 0  
 ⟨*proof*⟩

**lemma** *Preshape-Shape*[*intro*]: *wellformed-preshape* *s*  $\implies$  *Preshape* (*Shape* *s*) = *s*  
 ⟨*proof*⟩

**lemma** *value-Preshape*[*simp*]: *Preshape* *value-shape* = []  
 ⟨*proof*⟩

**lemma** *value-shape-arity*[*simp*]:  $\S ar\ value\text{-}shape = 0$   
 ⟨*proof*⟩

### 2.5.2 *unop-shape*

**definition** *unop-shape* :: *shape* **where**  
*unop-shape* = *Shape* [{}]

**lemma** *wf-unop-preshape*: *wellformed-preshape* [{}]  
 ⟨*proof*⟩

**lemma** *unop-Preshape*[*simp*]: *Preshape* (*unop-shape*) = [{}]  
 ⟨*proof*⟩

**lemma** *unop-shape-arity*[*simp*]:  $\S ar\ unop\text{-}shape = 1$   
 ⟨*proof*⟩

**lemma** *unop-shape-valence*[*simp*]:  $\S val\ unop\text{-}shape = 0$   
 ⟨*proof*⟩

**lemma** *unop-shape-deps-0*[*simp*]: *shape-deps* *unop-shape* 0 = {}  
 ⟨*proof*⟩

### 2.5.3 *binop-shape*

**definition** *binop-shape* :: *shape* **where**  
*binop-shape* = *Shape* [{}, {}]

**lemma** *wf-binop-preshape*: *wellformed-preshape* [{}, {}]  
 ⟨*proof*⟩

**lemma** *binop-Preshape*[*simp*]: *Preshape* (*binop-shape*) = [{}, {}]

*<proof>*

**lemma** *binop-shape-arity*[simp]:  $\S ar\ binop\text{-}shape = Suc\ (Suc\ 0)$   
*<proof>*

**lemma** *binop-shape-valence*[simp]:  $\S val\ binop\text{-}shape = 0$   
*<proof>*

**lemma** *binop-shape-deps-0*[simp]:  $binop\text{-}shape.\S 0 = \{\}$   
*<proof>*

**lemma** *binop-shape-deps-1*[simp]:  $binop\text{-}shape.\S 1 = \{\}$   
*<proof>*

#### 2.5.4 operator-shape

**definition** *operator-shape* :: *shape* **where**  
*operator-shape* = *Shape*  $[\{0\}]$

**lemma** *wf-operator-preshape*: *wellformed-preshape*  $[\{0\}]$   
*<proof>*

**lemma** *operator-Preshape*[simp]: *Preshape* (*operator-shape*) =  $[\{0\}]$   
*<proof>*

**lemma** *operator-shape-arity*[simp]:  $\S ar\ operator\text{-}shape = Suc\ 0$   
*<proof>*

**lemma** *operator-shape-valence*[simp]:  $\S val\ operator\text{-}shape = Suc\ 0$   
*<proof>*

**lemma** *operator-shape-deps-0*[iff]:  $operator\text{-}shape.\S 0 = \{0\}$   
*<proof>*

**end**

**theory** *Signature* **imports** *Shape*  
**begin**

## 3 Signature

### 3.1 Abstractions

**datatype** *abstraction* = *Abs* *string*

**definition** *abstr-true* :: *abstraction* **where** *abstr-true* = *Abs* "true"

**definition** *abstr-implies* :: *abstraction* **where** *abstr-implies* = *Abs* "implies"

**definition** *abstr-forall* :: *abstraction* **where** *abstr-forall* = *Abs* "forall"

**definition** *abstr-false* :: *abstraction* **where** *abstr-false* = *Abs* "false"

**lemma** *noteq-abstr-true-implies*[simp]: *abstr-true*  $\neq$  *abstr-implies*  
 $\langle \text{proof} \rangle$

**lemma** *noteq-abstr-implies-forall*[simp]: *abstr-implies*  $\neq$  *abstr-forall*  
 $\langle \text{proof} \rangle$

**lemma** *noteq-abstr-true-forall*[simp]: *abstr-true*  $\neq$  *abstr-forall*  
 $\langle \text{proof} \rangle$

### 3.2 Signatures

**type-synonym** *signature* = (*abstraction*, *shape*) *map*

**definition** *empty-sig* :: *signature* **where**  
*empty-sig* = ( $\lambda$  *a*. *None*)

**definition** *has-shape* :: *signature*  $\Rightarrow$  *abstraction*  $\Rightarrow$  *shape*  $\Rightarrow$  *bool* **where**  
*has-shape* *S a shape* = (*S a* = *Some shape*)

**definition** *extends-sig* :: *signature*  $\Rightarrow$  *signature*  $\Rightarrow$  *bool* (**infix**  $\succeq$  50) **where**  
*extends-sig* *T S* = ( $\forall$  *a*. *S a* = *None*  $\vee$  *T a* = *S a*)

**lemma** *has-shape-extends*: *T*  $\succeq$  *S*  $\implies$  *has-shape S a s*  $\implies$  *has-shape T a s*  
 $\langle \text{proof} \rangle$

**definition** *sig-contains* :: *signature*  $\Rightarrow$  *abstraction*  $\Rightarrow$  *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *bool* **where**  
*sig-contains sig abstr valence arity* =  
 (case *sig abstr* of  
 | *Some s*  $\Rightarrow$   $\S \text{val } s = \text{valence} \wedge \S \text{ar } s = \text{arity}$   
 | *None*  $\Rightarrow$  *False*)

**lemma** *has-shape-sig-contains*: *has-shape sig a s*  $\implies$  *sig-contains sig a* ( $\S \text{val } s$ )  
 $\langle \text{proof} \rangle$

**lemma** *has-shape-get*: *has-shape sig a s*  $\implies$  *sig !! a* = *s*  
 $\langle \text{proof} \rangle$

**lemma** *extends-sig-contains*: *V*  $\succeq$  *U*  $\implies$  *sig-contains U a val ar*  $\implies$  *sig-contains V a val ar*  
 $\langle \text{proof} \rangle$

### 3.3 Logic Signatures

**definition** *deduction-sig* :: *signature* ( $\mathfrak{D}$ ) **where**  
 $\mathfrak{D} = \text{empty-sig}(\text{abstr-true} := \text{Some value-shape},$   
 $\text{abstr-implies} := \text{Some binop-shape},$   
 $\text{abstr-forall} := \text{Some operator-shape})$

**lemma** *deduction-sig-true*[*iff*]: *has-shape deduction-sig abstr-true value-shape*  
 ⟨*proof*⟩

**lemma** *deduction-sig-implies*[*iff*]: *has-shape*  $\mathfrak{D}$  *abstr-implies binop-shape*  
 ⟨*proof*⟩

**lemma** *deduction-sig-forall*[*iff*]: *has-shape*  $\mathfrak{D}$  *abstr-forall operator-shape*  
 ⟨*proof*⟩

**lemma** *deduction-sig-contains-true*[*iff*]: *sig-contains*  $\mathfrak{D}$  *abstr-true* 0 0  
 ⟨*proof*⟩

**lemma** *deduction-sig-contains-implies*[*iff*]: *sig-contains*  $\mathfrak{D}$  *abstr-implies* 0 (Suc (Suc 0))  
 ⟨*proof*⟩

**lemma** *deduction-sig-contains-forall*[*iff*]: *sig-contains*  $\mathfrak{D}$  *abstr-forall* (Suc 0) (Suc 0)  
 ⟨*proof*⟩

**end**  
**theory** *Quotients* **imports** *Main*  
**begin**

## 4 Quotient

### 4.1 Quotients

We define a *quotient* to be a set with custom equality. In fact, we identify the set with the custom equivalence relation. We can do this because the set is uniquely determined by the equivalence relation.

Our approach does not replace *HOL.Equiv-Relations*, but builds on top of it by encoding as a type invariant the property of a relation to be an equivalence relation.

**typedef** *'a quotient* = { *r*::*'a rel.*  $\exists$  *A. equiv A r* } **morphisms** *Rel Quotient*  
 ⟨*proof*⟩

**definition** *QField* :: *'a quotient*  $\Rightarrow$  *'a set* **where**  
*QField q* = *Field (Rel q)*

**lemma** *equiv-Field*:  
**assumes** *equiv A r*  
**shows** *Field r = A*

$\langle \text{proof} \rangle$

**lemma** *equiv-QField-Rel*:  $\text{equiv } (QField\ q) (Rel\ q)$   
 $\langle \text{proof} \rangle$

**definition** *qin* ::  $'a \Rightarrow 'a\ \text{quotient} \Rightarrow \text{bool}$  (**infix**  $'/\in$  50) **where**  
 $(a\ /\in\ q) = (a \in QField\ q)$

**abbreviation** *qnin* ::  $'a \Rightarrow 'a\ \text{quotient} \Rightarrow \text{bool}$  (**infix**  $'/\notin$  50) **where**  
 $(a\ /\notin\ q) \equiv (a \in QField\ q)$

## 4.2 Equality Modulo

**definition** *qequals* ::  $'a \Rightarrow 'a \Rightarrow 'a\ \text{quotient} \Rightarrow \text{bool}$  ( $- = -\ '(mod\ -)$  [51, 51, 0] 50)  
**where**  
 $(a = b\ (mod\ q)) = ((a, b) \in Rel\ q)$

**abbreviation** *qnequals* ::  $'a \Rightarrow 'a \Rightarrow 'a\ \text{quotient} \Rightarrow \text{bool}$  ( $- \neq -\ '(mod\ -)$  [51, 51, 0] 50) **where**  
 $(a \neq b\ (mod\ q)) \equiv \neg (a = b\ (mod\ q))$

**lemma** *qin-mod*:  $(a\ /\in\ q) = (a = a\ (mod\ q))$   
 $\langle \text{proof} \rangle$

**lemma** *qequals-in*:  $a = b\ (mod\ q) \Longrightarrow a\ /\in\ q \wedge b\ /\in\ q$   
 $\langle \text{proof} \rangle$

**lemma** *qequals-sym*:  $a = b\ (mod\ q) \Longrightarrow b = a\ (mod\ q)$   
 $\langle \text{proof} \rangle$

**lemma** *qequals-trans*:  $a = b\ (mod\ q) \Longrightarrow b = c\ (mod\ q) \Longrightarrow a = c\ (mod\ q)$   
 $\langle \text{proof} \rangle$

## 4.3 Subsets of Quotients

There isn't a unique definition of what a subset of quotients is. There are at least 3 different notions that all make sense.

**definition** *qsubset-weak* ::  $'a\ \text{quotient} \Rightarrow 'a\ \text{quotient} \Rightarrow \text{bool}$  (**infix**  $'/\leq$  50) **where**  
 $(p\ /\leq\ q) = (\forall\ x\ y. x = y\ (mod\ p) \longrightarrow x = y\ (mod\ q))$

**definition** *qsubset-bishop* ::  $'a\ \text{quotient} \Rightarrow 'a\ \text{quotient} \Rightarrow \text{bool}$  (**infix**  $'/\sqsubseteq$  50) **where**  
 $(p\ /\sqsubseteq\ q) = (\forall\ x\ y. x\ /\in\ p \wedge y\ /\in\ p \longrightarrow (x = y\ (mod\ p) \longleftrightarrow x = y\ (mod\ q)))$

**definition** *qsubset-strong* ::  $'a\ \text{quotient} \Rightarrow 'a\ \text{quotient} \Rightarrow \text{bool}$  (**infix**  $'/\subseteq$  50) **where**  
 $(p\ /\subseteq\ q) = (\forall\ x\ y. x\ /\in\ p \longrightarrow (x = y\ (mod\ p) \longleftrightarrow x = y\ (mod\ q)))$

**lemma** *qsubset-strong-implies-bishop*:  $p\ /\subseteq\ q \Longrightarrow p\ /\sqsubseteq\ q$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-strong-implies-weak*:  $p / \subseteq q \implies p / \leq q$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-bishop-implies-weak*:  $p / \sqsubseteq q \implies p / \leq q$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-QField-strong*:  $p / \subseteq q \implies QField\ p \subseteq QField\ q$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-QField-weak*:  $p / \leq q \implies QField\ p \subseteq QField\ q$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-QField-bishop*:  $p / \sqsubseteq q \implies QField\ p \subseteq QField\ q$   
 $\langle \text{proof} \rangle$

**lemma** *qubseteq-refl-strong[iff]*:  $q / \subseteq q$   
 $\langle \text{proof} \rangle$

**lemma** *qubseteq-refl-bishop[iff]*:  $q / \sqsubseteq q$   
 $\langle \text{proof} \rangle$

**lemma** *qubseteq-refl-weak[iff]*:  $q / \leq q$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-trans-strong*:  $p / \subseteq q \implies q / \subseteq r \implies p / \subseteq r$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-trans-bishop*:  $p / \sqsubseteq q \implies q / \sqsubseteq r \implies p / \sqsubseteq r$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-trans-weak*:  $p / \leq q \implies q / \leq r \implies p / \leq r$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-antisym-weak*:  $p / \leq q \implies q / \leq p \implies p = q$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-antisym-bishop*:  $p / \sqsubseteq q \implies q / \sqsubseteq p \implies p = q$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-antisym-strong*:  $p / \subseteq q \implies q / \subseteq p \implies p = q$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-mod-weak*:  $x = y \pmod{q} \implies q / \leq p \implies x = y \pmod{p}$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-mod-bishop*:  $x = y \pmod{q} \implies q / \sqsubseteq p \implies x = y \pmod{p}$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-mod-strong*:  $x = y \pmod{q} \implies q / \subseteq p \implies x = y \pmod{p}$



$\langle \text{proof} \rangle$

#### 4.4 Equivalence Classes

**definition**  $qclass :: 'a \Rightarrow 'a \text{ quotient} \Rightarrow 'a \text{ set} \text{ (infix } '/\% 80) \text{ where}$   
 $a /\% q = (Rel\ q) \text{ ``}\{a\}$

**lemma**  $qequals\text{-}implies\text{-}equal\text{-}qclasses: a = b \text{ (mod } q) \Longrightarrow a /\% q = b /\% q$   
 $\langle \text{proof} \rangle$

**lemma**  $empty\text{-}qclass: (a /\% q = \{\}) = (\neg (a /\in q))$   
 $\langle \text{proof} \rangle$

**lemma**  $qclass\text{-}elems: (b \in a /\% q) = (a = b \text{ (mod } q))$   
 $\langle \text{proof} \rangle$

#### 4.5 Construction via Symmetric and Transitive Predicate

**definition**  $QuotientP :: ('a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a \text{ quotient where}$   
 $QuotientP\ eq = Quotient\ \{ (x, y) . eq\ x\ y \}$

**lemma**  $QuotientP\text{-}eq\text{-}refl: symp\ eq \Longrightarrow transp\ eq \Longrightarrow eq\ x\ y \Longrightarrow eq\ x\ x \wedge eq\ y\ y$   
 $\langle \text{proof} \rangle$

**lemma**  $QuotientP\text{-}equiv:$   
 $\text{assumes } symp\ eq$   
 $\text{assumes } transp\ eq$   
 $\text{shows } equiv\ \{ x . eq\ x\ x \}\ \{ (x, y) . eq\ x\ y \}$   
 $\langle \text{proof} \rangle$

**lemma**  $QuotientP\text{-}Rel: symp\ eq \Longrightarrow transp\ eq \Longrightarrow Rel\ (QuotientP\ eq) = \{ (x, y) . eq\ x\ y \}$   
 $\langle \text{proof} \rangle$

**lemma**  $QuotientP\text{-}mod: symp\ eq \Longrightarrow transp\ eq \Longrightarrow (x = y \text{ (mod } QuotientP\ eq))$   
 $= (eq\ x\ y)$   
 $\langle \text{proof} \rangle$

**lemma**  $QuotientP\text{-}in: symp\ eq \Longrightarrow transp\ eq \Longrightarrow (x /\in QuotientP\ eq) = eq\ x\ x$   
 $\langle \text{proof} \rangle$

#### 4.6 Set with Identity as Quotient

**definition**  $qequal\text{-}set :: 'a \text{ set} \Rightarrow 'a \Rightarrow 'a \Rightarrow bool \text{ where}$   
 $qequal\text{-}set\ U\ x\ y = (x \in U \wedge x = y)$

**lemma**  $qequal\text{-}set\text{-}sym: symp\ (qequal\text{-}set\ U)$   
 $\langle \text{proof} \rangle$

**lemma**  $qequal\text{-}set\text{-}trans: transp\ (qequal\text{-}set\ I)$

$\langle \text{proof} \rangle$

**definition** *set-quotient* :: 'a set  $\Rightarrow$  'a quotient ('/ $\equiv$ ) **where**  
 $/\equiv U = \text{QuotientP } (\text{qequal-set } U)$

**lemma** *set-quotient-Rel*:  $\text{Rel}(/ \equiv U) = \{ (x, y) . x \in U \wedge x = y \}$   
 $\langle \text{proof} \rangle$

**lemma** *set-quotient-mod*:  $(x = y \text{ (mod } / \equiv U)) = (x \in U \wedge x = y)$   
 $\langle \text{proof} \rangle$

**lemma** *set-quotient-in*:  $(x / \in / \equiv U) = (x \in U)$   
 $\langle \text{proof} \rangle$

**lemma** *set-quotient-subset-strong*:  $(/ \equiv U / \subseteq / \equiv V) = (U \subseteq V)$   
 $\langle \text{proof} \rangle$

**lemma** *set-quotient-subset-weak*:  $(/ \equiv U / \leq / \equiv V) = (U \subseteq V)$   
 $\langle \text{proof} \rangle$

**lemma** *set-quotient-subset-bishop*:  $(/ \equiv U / \sqsubseteq / \equiv V) = (U \subseteq V)$   
 $\langle \text{proof} \rangle$

## 4.7 Empty and Universal Quotients

**definition** *empty-quotient* :: 'a quotient ('/ $\emptyset$ ) **where**  
 $/\emptyset = / \equiv \{\}$

**definition** *univ-quotient* :: 'a quotient ('/ $\mathcal{U}$ ) **where**  
 $/\mathcal{U} = / \equiv \text{UNIV}$

**lemma** *empty-quotient-Rel*:  $\text{Rel } / \emptyset = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *empty-quotient-mod*:  $\neg (x = y \text{ (mod } / \emptyset))$   
 $\langle \text{proof} \rangle$

**lemma** *empty-quotient-in*:  $\neg (x / \in / \emptyset)$   
 $\langle \text{proof} \rangle$

**lemma** *univ-quotient-Rel*:  $\text{Rel } / \mathcal{U} = \text{Id}$   
 $\langle \text{proof} \rangle$

**lemma** *univ-quotient-in*:  $x / \in / \mathcal{U}$   
 $\langle \text{proof} \rangle$

**lemma** *univ-quotient-mod*:  $(x = y \text{ (mod } / \mathcal{U})) = (x = y)$   
 $\langle \text{proof} \rangle$

## 4.8 Singleton Quotients

**definition** *qequal-singleton* :: 'a set  $\Rightarrow$  'a  $\Rightarrow$  bool **where**  
*qequal-singleton* U x y = (x  $\in$  U  $\wedge$  y  $\in$  U)

**lemma** *qequal-singleton-sym*: *qequal-singleton* U x y  $\implies$  *qequal-singleton* U y x  
 <proof>

**lemma** *qequal-singleton-trans*:  
*qequal-singleton* U x y  $\implies$  *qequal-singleton* U y z  $\implies$  *qequal-singleton* U x z  
 <proof>

**definition** *singleton-quotient* :: 'a set  $\Rightarrow$  'a quotient ('/1) **where**  
 /1 U = QuotientP (*qequal-singleton* U)

**lemma** *singleton-quotient-Rel*: Rel (/1 U) = { (x, y). *qequal-singleton* U x y }  
 <proof>

**lemma** *singleton-quotient-mod[simp]*: (x = y (mod /1 U)) = (x  $\in$  U  $\wedge$  y  $\in$  U)  
 <proof>

**lemma** *singleton-quotient-in*: (x / $\in$  /1 U) = (x  $\in$  U)  
 <proof>

**lemma** *empty-singleton-quotient[iff]*: /1{ } = / $\emptyset$   
 <proof>

**abbreviation** *universal-singleton-quotient*:: 'a quotient ('/1U) **where**  
 /1U  $\equiv$  /1 UNIV

## 4.9 Comparing Notions of Quotient Subsets

**lemma** *empty-subset-singleton-quotient-weak*: / $\emptyset$  / $\leq$  q  
 <proof>

**lemma** *empty-subset-singleton-quotient-bishop*: / $\emptyset$  / $\sqsubseteq$  q  
 <proof>

**lemma** *empty-subset-singleton-quotient-strong*: / $\emptyset$  / $\subseteq$  q  
 <proof>

**lemma** *same-QField-bishop*: QField p = QField q  $\implies$  p / $\sqsubseteq$  q  $\implies$  p = q  
 <proof>

**lemma** *same-QField-strong*: QField p = QField q  $\implies$  p / $\subseteq$  q  $\implies$  p = q  
 <proof>

**lemma** *singleton-quotient-subset-weak*: (/1 U / $\leq$  /1 V) = (U  $\subseteq$  V)  
 <proof>

**lemma** *singleton-quotient-subset-bishop*:  $(/\mathbf{1} U \ / \sqsubseteq \ / \mathbf{1} V) = (U \subseteq V)$   
 $\langle \text{proof} \rangle$

**lemma** *singleton-quotient-subset-strong*:  $(/\mathbf{1} U \ / \subseteq \ / \mathbf{1} V) = (U = V \vee U = \{\})$   
 $\langle \text{proof} \rangle$

**lemma** *subset-universal-singleton-weak*:  $q \ / \leq \ / \mathbf{1} \mathcal{U}$   
 $\langle \text{proof} \rangle$

**lemma** *subset-universal-singleton-bishop*:  $(q \ / \sqsubseteq \ / \mathbf{1} \mathcal{U}) = (q = /\mathbf{1}(QField\ q))$   
 $\langle \text{proof} \rangle$

**lemma** *subset-universal-singleton-strong*:  $(q \ / \subseteq \ / \mathbf{1} \mathcal{U}) = (q = /\emptyset \vee q = /\mathbf{1} \mathcal{U})$   
 $\langle \text{proof} \rangle$

**lemma** *identity-QField-subset-weak*:  $/\equiv(QField\ q) \ / \leq q$   
 $\langle \text{proof} \rangle$

**lemma** *identity-QField-subset-bishop*:  $(/\equiv(QField\ q) \ / \sqsubseteq q) = (q = /\equiv(QField\ q))$   
 $\langle \text{proof} \rangle$

**lemma** *identity-QField-subset-strong*:  $(/\equiv(QField\ q) \ / \subseteq q) = (q = /\equiv(QField\ q))$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-weak-neq-bishop*:  
**assumes**  $xy: (x::'a) \neq y$   
**shows**  $((/\leq) :: 'a \text{ quotient} \Rightarrow 'a \text{ quotient} \Rightarrow bool) \neq (/ \sqsubseteq)$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-bishop-neq-strong*:  
**assumes**  $xy: (x::'a) \neq y$   
**shows**  $((/\sqsubseteq) :: 'a \text{ quotient} \Rightarrow 'a \text{ quotient} \Rightarrow bool) \neq (/ \subseteq)$   
 $\langle \text{proof} \rangle$

**lemma** *qsubset-weak-neq-strong*:  
**assumes**  $xy: (x::'a) \neq y$   
**shows**  $((/\leq) :: 'a \text{ quotient} \Rightarrow 'a \text{ quotient} \Rightarrow bool) \neq (/ \subseteq)$   
 $\langle \text{proof} \rangle$

## 4.10 Functions between Quotients

**definition** *qequal-fun* ::  
 $'a \text{ quotient} \Rightarrow 'b \text{ quotient} \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow bool$

**where**

$qequal\text{-}fun\ p\ q\ f\ g = (\forall\ x\ y. x = y \ (mod\ p) \longrightarrow f\ x = g\ y \ (mod\ q))$

**lemma** *qequal-fun-sym*:  $symp\ (qequal\text{-}fun\ p\ q) \ \langle \text{proof} \rangle$

**lemma** *qequal-fun-trans*:  $transp\ (qequal\text{-}fun\ p\ q)$

$\langle \text{proof} \rangle$

**definition** *fun-quotient* :: 'a quotient  $\Rightarrow$  'b quotient  $\Rightarrow$  ('a  $\Rightarrow$  'b) quotient (**infixr** ' $\Rightarrow$  90) **where**  
 $p \Rightarrow q = \text{QuotientP } (\text{qequal-fun } p \ q)$

**lemma** *fun-quotient-Rel*:  $\text{Rel } (p \Rightarrow q) = \{ (f, g) . \text{qequal-fun } p \ q \ f \ g \}$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-mod*:  $(f = g \ (\text{mod } p \Rightarrow q)) = (\text{qequal-fun } p \ q \ f \ g)$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-in*:  $(f \ / \in p \Rightarrow q) = (\text{qequal-fun } p \ q \ f \ f)$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-app-in*:  $f \ / \in p \Rightarrow q \Longrightarrow x \ / \in p \Longrightarrow f \ x \ / \in q$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-app-mod*:  $f = g \ (\text{mod } p \Rightarrow q) \Longrightarrow x = y \ (\text{mod } p) \Longrightarrow f \ x = g \ y \ (\text{mod } q)$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-app-in-mod*:  $f \ / \in p \Rightarrow q \Longrightarrow x = y \ (\text{mod } p) \Longrightarrow f \ x = f \ y \ (\text{mod } q)$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-compose*:  $(\circ) \ / \in (q \Rightarrow r) \Rightarrow (p \Rightarrow q) \Rightarrow (p \Rightarrow r)$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-empty-domain*:  $(/\emptyset \Rightarrow q) = /\mathbf{1}\mathcal{U}$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-empty-range*:  $q \neq /\emptyset \Longrightarrow (q \Rightarrow /\emptyset) = /\emptyset$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-subset-weak-intro*:

**assumes**  $p2 \ / \leq p1 \wedge q1 \ / \leq q2$

**shows**  $p1 \Rightarrow q1 \ / \leq p2 \Rightarrow q2$

$\langle \text{proof} \rangle$

**lemma** *fun-quotient-subset-weakdef*:

$(p1 \Rightarrow q1 \ / \leq p2 \Rightarrow q2) =$   
 $(\forall f \ g. (\forall x \ y. x = y \ (\text{mod } p1) \longrightarrow f \ x = g \ y \ (\text{mod } q1)) \longrightarrow$   
 $(\forall x \ y. x = y \ (\text{mod } p2) \longrightarrow f \ x = g \ y \ (\text{mod } q2)))$

$\langle \text{proof} \rangle$

**lemma** *fun-quotient-range-subset-weak*:

**assumes** *sub*:  $((p1 :: 'a \text{ quotient}) \Rightarrow q1 \ / \leq p2 \Rightarrow q2)$

**assumes** *nonempty*:  $p2 \neq /\emptyset$

**shows**  $q1 \leq q2$   
 $\langle \text{proof} \rangle$

**lemma** *trivializing-qsuperset*:

**shows**  $(\mathbf{1}(\text{QField } p) \leq q) = (\neg (\exists x y. x \in p \wedge y \in p \wedge x \neq y \pmod{q}))$   
 $\langle \text{proof} \rangle$

**lemma** *fun-quotient-domain-subset-weak*:

**assumes** *sub*:  $((p1 :: 'a \text{ quotient}) \Rightarrow q1 \leq p2 \Rightarrow q2)$

**assumes** *nontrivial*:  $\neg (\mathbf{1}(\text{QField } q1) \leq q2)$

**shows**  $p2 \leq p1$

$\langle \text{proof} \rangle$

## 4.11 Vectors as Quotients

**definition** *qequal-vector* ::  $'a \text{ quotient} \Rightarrow \text{nat} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$  **where**

*qequal-vector*  $q \ n \ u \ v = (\text{length } u = n \wedge \text{length } v = n \wedge (\forall i < n. u ! i = v ! i \pmod{q}))$

**lemma** *qequal-vector-sym*: *symp* (*qequal-vector*  $q \ n$ )

$\langle \text{proof} \rangle$

**lemma** *qequal-vector-trans*: *transp* (*qequal-vector*  $q \ n$ )

$\langle \text{proof} \rangle$

**definition** *vector-quotient* ::  $'a \text{ quotient} \Rightarrow \text{nat} \Rightarrow 'a \text{ list quotient}$  (**infix**  $'/^{\wedge} 100$ )

**where**

$q /^{\wedge} n = \text{QuotientP } (\text{qequal-vector } q \ n)$

**lemma** *vector-quotient-Rel*:  $\text{Rel } (q /^{\wedge} n) = \{ (u, v). \text{qequal-vector } q \ n \ u \ v \}$

$\langle \text{proof} \rangle$

**lemma** *vector-quotient-in*:  $(u \in q /^{\wedge} n) = (\text{qequal-vector } q \ n \ u \ u)$

$\langle \text{proof} \rangle$

**lemma** *vector-quotient-mod*:  $(u = v \pmod{q /^{\wedge} n}) = (\text{qequal-vector } q \ n \ u \ v)$

$\langle \text{proof} \rangle$

**lemma** *vector-quotient-nth*:  $i < n \implies (\lambda u. u ! i) \in q /^{\wedge} n \implies q$

$\langle \text{proof} \rangle$

**lemma** *vector-quotient-nth-in*:  $i < n \implies u \in q /^{\wedge} n \implies u ! i \in q$

$\langle \text{proof} \rangle$

**lemma** *vector-quotient-nth-mod*:  $i < n \implies u = v \pmod{q /^{\wedge} n} \implies u ! i = v ! i \pmod{q}$

$\langle \text{proof} \rangle$

**lemma** *vector-quotient-append*:  $(@) \in q /^{\wedge} n \implies q /^{\wedge} m \implies q /^{\wedge} (n+m)$

$\langle \text{proof} \rangle$

**lemma** *vector-quotient-append-in*:  $x \in q \wedge n \implies y \in q \wedge m \implies x @ y \in q \wedge (n+m)$   
 $\langle \text{proof} \rangle$

**lemma** *vector-quotient-append-mod*:  
 $x = x' \pmod{q \wedge n} \implies y = y' \pmod{q \wedge m} \implies x @ y = x' @ y' \pmod{q \wedge (n+m)}$   
 $\langle \text{proof} \rangle$

**lemma** *vector-quotient-weak-subset-intro*:  $p \leq q \implies p \wedge n \leq q \wedge n$   
 $\langle \text{proof} \rangle$

**lemma** *vector-quotient-strong-subset-intro*:  $p \subseteq q \implies p \wedge n \subseteq q \wedge n$   
 $\langle \text{proof} \rangle$

**lemma** *vector-quotient-bishop-subset-intro*:  $p \sqsubseteq q \implies p \wedge n \sqsubseteq q \wedge n$   
 $\langle \text{proof} \rangle$

## 4.12 Tuples as Quotients

**definition** *qequal-tuple* :: 'a quotient list  $\Rightarrow$  'a list  $\Rightarrow$  'a list  $\Rightarrow$  bool **where**  
 $\text{qequal-tuple } qs \ u \ v = (\text{length } u = \text{length } qs \wedge \text{length } v = \text{length } qs \wedge$   
 $(\forall \ i < \text{length } qs. \ u \ ! \ i = v \ ! \ i \pmod{qs!i}))$

**lemma** *qequal-tuple-sym*:  $\text{symp } (\text{qequal-tuple } qs)$   
 $\langle \text{proof} \rangle$

**lemma** *qequal-tuple-trans*:  $\text{transp } (\text{qequal-tuple } qs)$   
 $\langle \text{proof} \rangle$

**definition** *tuple-quotient* :: 'a quotient list  $\Rightarrow$  'a list quotient ( $/ \times$ ) **where**  
 $/ \times qs = \text{QuotientP } (\text{qequal-tuple } qs)$

**lemma** *tuple-quotient-rel*:  $\text{Rel } (/ \times qs) = \{ (u, v). \text{qequal-tuple } qs \ u \ v \}$   
 $\langle \text{proof} \rangle$

**lemma** *tuple-quotient-in*:  $(u \in (/ \times qs)) = (\text{qequal-tuple } qs \ u \ u)$   
 $\langle \text{proof} \rangle$

**lemma** *tuple-quotient-mod*:  $(u = v \pmod{/ \times qs}) = (\text{qequal-tuple } qs \ u \ v)$   
 $\langle \text{proof} \rangle$

**lemma** *tuple-quotient-nth*:  $i < \text{length } qs \implies (\lambda u. u \ ! \ i) \in / \times qs \Rightarrow qs \ ! \ i$   
 $\langle \text{proof} \rangle$

**lemma** *tuple-quotient-append*:  $(@) \in / \times ps \Rightarrow / \times qs \Rightarrow / \times (ps @ qs)$   
 $\langle \text{proof} \rangle$

**lemma** *vectors-are-tuples*:  $q / \wedge n = / \times (\text{replicate } n \ q)$

*<proof>*

**lemma** *tuple-quotient-strong-subset-intro*:

$\text{length } ps = \text{length } qs \implies (\bigwedge i. i < \text{length } ps \implies ps!i / \subseteq qs!i) \implies / \times ps / \subseteq / \times qs$

*<proof>*

**lemma** *tuple-quotient-bishop-subset-intro*:

$\text{length } ps = \text{length } qs \implies (\bigwedge i. i < \text{length } ps \implies ps!i / \sqsubseteq qs!i) \implies / \times ps / \sqsubseteq / \times qs$

*<proof>*

**end**

**theory** *Algebra* **imports** *Signature Quotients*

**begin**

## 5 Abstraction Algebra

We will abbreviate *Abstraction Algebra* by leaving the prefix *Algebra* implicit, and just saying *Algebra* instead.

### 5.1 Operations and Operators as Quotients

**type-synonym** *'a operation* = *'a list*  $\Rightarrow$  *'a*

**type-synonym** *'a operator* = *'a operation list*  $\Rightarrow$  *'a*

**definition** *operations* :: *'a quotient*  $\Rightarrow$  *nat*  $\Rightarrow$  (*'a operation*) *quotient* **where**

*operations U n* =  $U / \wedge n / \Rightarrow U$

**definition** *operators* :: *'a quotient*  $\Rightarrow$  *shape*  $\Rightarrow$  (*'a operator*) *quotient* **where**

*operators U s* =  $/ \times (\text{map } (\lambda \text{ deps. } \text{operations } U (\text{card } \text{deps})) (\text{Preshape } s)) / \Rightarrow U$

**definition** *value-op* :: *'a*  $\Rightarrow$  *'a operation* **where**

*value-op u* =  $(\lambda -. u)$

**lemma** *operators-appseq-intro*:

**assumes** *FG*:  $F = G \ (\text{mod } \text{operators } \mathcal{U} \ s)$

**assumes** *lenfs*:  $\text{length } fs = \S ar \ s$

**assumes** *lengs*:  $\text{length } gs = \S ar \ s$

**assumes** *fsgs*:  $(\bigwedge i. i < \S ar \ s \implies fs!i = gs!i \ (\text{mod } \text{operations } \mathcal{U} \ (s.\#i)))$

**shows**  $F fs = G gs \ (\text{mod } \mathcal{U})$

*<proof>*

**lemma** *operator-appseq-intro*:

**assumes** *F*:  $F / \in \text{operators } \mathcal{U} \ s$

**assumes** *lenfs*:  $\text{length } fs = \S ar \ s$



**assumes** *lengs*:  $\text{length } gs = \S ar\ s$   
**assumes** *fsgs*:  $(\bigwedge i. i < \S ar\ s \implies fs!i = gs!i \text{ (mod operations } \mathcal{U} \ (s.\#i)))$   
**shows**  $F\ fs = F\ gs \text{ (mod } \mathcal{U})$   
 <proof>

**lemma** *operations-eq-intro*:  
**assumes**  $\bigwedge us\ vs. us = vs \text{ (mod } \mathcal{U} \ /\wedge n) \implies f\ us = g\ vs \text{ (mod } \mathcal{U})$   
**shows**  $f = g \text{ (mod operations } \mathcal{U} \ n)$   
 <proof>

**lemma** *operations-mod*:  
 $(f = g \text{ (mod operations } \mathcal{U} \ n)) = (\forall us\ vs. us = vs \text{ (mod } \mathcal{U} \ /\wedge n) \longrightarrow f\ us = g\ vs \text{ (mod } \mathcal{U}))$   
 <proof>

## 5.2 Compatibility of Shape and Operator

**definition** *shape-compatible* ::  $'a \text{ quotient} \Rightarrow \text{shape} \Rightarrow 'a \text{ operator} \Rightarrow \text{bool}$  **where**  
 $\text{shape-compatible } U\ s\ op = (op \ /\in \text{operators } U\ s)$

**definition** *shape-compatible-opt* ::  $'a \text{ quotient} \Rightarrow \text{shape option} \Rightarrow 'a \text{ operator option} \Rightarrow \text{bool}$  **where**  
 $\text{shape-compatible-opt } U\ s\ op = ((s = \text{None} \wedge op = \text{None}) \vee (s \neq \text{None} \wedge op \neq \text{None} \wedge \text{shape-compatible } U\ (the\ s)\ (the\ op)))$

## 5.3 Abstraction Algebras

**type-synonym**  $'a \text{ operators} = (\text{abstraction}, 'a \text{ operator}) \text{ map}$

**type-synonym**  $'a \text{ prealgebra} = 'a \text{ quotient} \times \text{signature} \times 'a \text{ operators}$

**definition** *is-algebra* ::  $'a \text{ prealgebra} \Rightarrow \text{bool}$  **where**  
 $\text{is-algebra } paa =$   
 $(let\ U = fst\ paa\ in$   
 $\quad let\ sig = fst\ (snd\ paa)\ in$   
 $\quad let\ ops = snd\ (snd\ paa)\ in$   
 $\quad U \neq /\emptyset \wedge (\forall a. \text{shape-compatible-opt } U\ (sig\ a)\ (ops\ a)))$

**definition** *trivial-prealgebra* ::  $'a \text{ prealgebra}$  **where**  
 $\text{trivial-prealgebra} = (/U, \text{Map.empty}, \text{Map.empty})$

**lemma** *trivial-prealgebra*:  $\text{is-algebra trivial-prealgebra}$   
 <proof>

**typedef**  $'a \text{ algebra} = \{ aa :: 'a \text{ prealgebra} . \text{is-algebra } aa \}$  **morphisms**  $\text{Prealgebra Algebra}$   
 <proof>

**definition** *Univ* ::  $'a \text{ algebra} \Rightarrow 'a \text{ quotient}$  **where**

```

    Univ aa = fst (Prealgebra aa)

definition Sig :: 'a algebra  $\Rightarrow$  signature where
    Sig aa = fst (snd (Prealgebra aa))

definition Ops :: 'a algebra  $\Rightarrow$  'a operators where
    Ops aa = snd (snd (Prealgebra aa))

lemma Prealgebra-components: Prealgebra aa = (Univ aa, Sig aa, Ops aa)
    <proof>

lemma Univ-nonempty: Univ aa  $\neq$  / $\emptyset$ 
    <proof>

lemma algebra-compatibility: shape-compatible-opt (Univ aa) (Sig aa a) (Ops aa
a)
    <proof>

end
theory NTerm imports Algebra
begin

```

## 6 Term

### 6.1 Variables

```

type-synonym variable = string

type-synonym variables = (variable  $\times$  nat) set

definition binders-as-vars :: variable list  $\Rightarrow$  variables (-', 0 [1000] 1000) where
    xs', 0 = { (x, 0) | x. x  $\in$  set xs }

lemma binders-as-vars-empty[simp]: []', 0 = {}
    <proof>

lemma deduction-forall-deps-0[iff]:  $\mathfrak{D}!!\text{abstr-forall}.\text{@}0([x]) = [x]$ 
    <proof>

```

### 6.2 Terms

```

datatype nterm =
    VarApp variable nterm list
  | AbsApp abstraction variable list nterm list

definition xvar :: variable ('x) where 'x = "'x'"
definition xvar0 :: nterm (§x) where §x = VarApp 'x []

definition yvar :: variable ('y) where 'y = "'y'"

```

**definition**  $yvar0 :: nterm (\S y)$  **where**  $\S y = VarApp \text{'y'}$   $\square$

**definition**  $Avar :: variable (\text{'A'})$  **where**  $\text{'A'} = \text{"A"}$

**definition**  $Avar0 :: nterm (\S A)$  **where**  $\S A = VarApp \text{'A'}$   $\square$

**definition**  $Avar1 :: nterm \Rightarrow nterm (\S A[-])$  **where**  $\S A[t] = VarApp \text{'A' } [t]$

**definition**  $Bvar :: variable (\text{'B'})$  **where**  $\text{'B'} = \text{"B"}$

**definition**  $Bvar0 :: nterm (\S B)$  **where**  $\S B = VarApp \text{'B'}$   $\square$

**definition**  $Bvar1 :: nterm \Rightarrow nterm (\S B[-])$  **where**  $\S B[t] = VarApp \text{'B' } [t]$

**definition**  $Cvar :: variable (\text{'C'})$  **where**  $\text{'C'} = \text{"C"}$

**definition**  $Cvar0 :: nterm (\S C)$  **where**  $\S C = VarApp \text{'C'}$   $\square$

**definition**  $Cvar1 :: nterm \Rightarrow nterm (\S C[-])$  **where**  $\S C[t] = VarApp \text{'C' } [t]$

**definition**  $implies-app :: nterm \Rightarrow nterm \Rightarrow nterm$  (**infix**  $\Rightarrow$  225) **where**  
 $A \Rightarrow B = AbsApp \text{abstr-implies} \square [A, B]$

**definition**  $true-app :: nterm (\top)$  **where**  $\top = AbsApp \text{abstr-true} \square \square$

**definition**  $false-app :: nterm (\perp)$  **where**  $\perp = AbsApp \text{abstr-false} \square \square$

**definition**  $forall-app :: variable \Rightarrow nterm \Rightarrow nterm$  ( $(\exists \forall \text{-} \cdot) [1000, 210] 210$ )  
**where**  
 $forall-app \ x \ P = AbsApp \text{abstr-forall} [x] [P]$

### 6.3 Wellformedness

**fun**  $nt-wf :: signature \Rightarrow nterm \Rightarrow bool$  **where**  
 $nt-wf \ sig \ (VarApp \ x \ ts) = (\forall \ t = ts! \cdot. nt-wf \ sig \ t)$   
 $| \ nt-wf \ sig \ (AbsApp \ a \ xs \ ts) =$   
 $\quad (sig\text{-contains} \ sig \ a \ (length \ xs) \ (length \ ts) \wedge$   
 $\quad \text{distinct} \ xs \wedge$   
 $\quad (\forall \ t = ts! \cdot. nt-wf \ sig \ t))$

**lemma**  $nt-wf\text{-}x0[iff]: nt-wf \ sig \ \S x \langle proof \rangle$

**lemma**  $nt-wf\text{-}y0[iff]: nt-wf \ sig \ \S y \langle proof \rangle$

**lemma**  $nt-wf\text{-}A0[iff]: nt-wf \ sig \ \S A \langle proof \rangle$

**lemma**  $nt-wf\text{-}A1[iff]: nt-wf \ sig \ \S A[t] = nt-wf \ sig \ t$   
 $\langle proof \rangle$

**lemma**  $nt-wf\text{-}B0[iff]: nt-wf \ sig \ \S B \langle proof \rangle$

**lemma**  $nt-wf\text{-}B1[iff]: nt-wf \ sig \ \S B[t] = nt-wf \ sig \ t$   
 $\langle proof \rangle$

**lemma**  $nt-wf\text{-}C0[iff]: nt-wf \ sig \ \S C \langle proof \rangle$

**lemma**  $nt-wf\text{-}C1[iff]: nt-wf \ sig \ \S C[t] = nt-wf \ sig \ t$   
 $\langle proof \rangle$

**lemma**  $nt-wf\text{-}true[simp]: nt-wf \ \mathfrak{D} \ \top$   
 $\langle proof \rangle$

**lemma** *nt-wf-implies[simp]*:  $nt\text{-}wf \ \mathfrak{D} \ (A \ ' \Rightarrow B) = (nt\text{-}wf \ \mathfrak{D} \ A \wedge nt\text{-}wf \ \mathfrak{D} \ B)$   
 $\langle proof \rangle$

**lemma** *nt-wf-forall[simp]*:  $nt\text{-}wf \ \mathfrak{D} \ (\forall \ 'x. \ t) = nt\text{-}wf \ \mathfrak{D} \ t$   
 $\langle proof \rangle$

**lemma** *sig-extends-nt-wf*:  $V \succeq U \Longrightarrow nt\text{-}wf \ U \ t \Longrightarrow nt\text{-}wf \ V \ t$   
 $\langle proof \rangle$

## 6.4 Free Variables

**fun** *nt-free* :: *signature*  $\Rightarrow$  *nterm*  $\Rightarrow$  *variables* **where**  
 $nt\text{-}free \ sig \ (VarApp \ x \ ts) =$   
 $(\S fold \ X = \{(x, \ length \ ts)\}, \ t = ts!-. \ X \cup nt\text{-}free \ sig \ t)$   
 $| \ nt\text{-}free \ sig \ (AbsApp \ a \ xs \ ts) =$   
 $(\S fold \ X = \{\}, \ t = ts!i. \ X \cup (nt\text{-}free \ sig \ t - (sig!!a.\@i(xs))'0))$

**lemma** *nt-free-x0*:  $nt\text{-}free \ sig \ \S x = \{('x, \ 0)\} \ \langle proof \rangle$

**lemma** *nt-free-y0*:  $nt\text{-}free \ sig \ \S y = \{('y, \ 0)\} \ \langle proof \rangle$

**lemma** *nt-free-A0*:  $nt\text{-}free \ sig \ \S A = \{('A, \ 0)\} \ \langle proof \rangle$

**lemma** *nt-free-A1*:  $nt\text{-}free \ sig \ \S A[t] = \{('A, \ Suc \ 0)\} \cup nt\text{-}free \ sig \ t$   
 $\langle proof \rangle$

**lemma** *nt-free-B0*:  $nt\text{-}free \ sig \ \S B = \{('B, \ 0)\} \ \langle proof \rangle$

**lemma** *nt-free-B1*:  $nt\text{-}free \ sig \ \S B[t] = \{('B, \ Suc \ 0)\} \cup nt\text{-}free \ sig \ t$   
 $\langle proof \rangle$

**lemma** *nt-free-C0*:  $nt\text{-}free \ sig \ \S C = \{('C, \ 0)\} \ \langle proof \rangle$

**lemma** *nt-free-C1*:  $nt\text{-}free \ sig \ \S C[t] = \{('C, \ Suc \ 0)\} \cup nt\text{-}free \ sig \ t$   
 $\langle proof \rangle$

**lemma** *nt-free-true*:  $nt\text{-}free \ \mathfrak{D} \ ' \top = \{\}$   
 $\langle proof \rangle$

**lemma** *nt-free-implies*:  $nt\text{-}free \ \mathfrak{D} \ (s \ ' \Rightarrow t) = nt\text{-}free \ \mathfrak{D} \ s \cup nt\text{-}free \ \mathfrak{D} \ t$   
 $\langle proof \rangle$

**lemma** *nt-free-forall*:  $nt\text{-}free \ \mathfrak{D} \ (\forall \ x. \ t) = nt\text{-}free \ \mathfrak{D} \ t - \{(x, \ 0)\}$

**thm** *forall-app-def binders-as-vars-def*  
 $\langle proof \rangle$

**lemma** *sig-extends-nt-free*:  $V \succeq U \Longrightarrow nt\text{-}wf \ U \ t \Longrightarrow nt\text{-}free \ V \ t = nt\text{-}free \ U \ t$   
 $\langle proof \rangle$

**lemma** *nt-free-VarApp*:  $nt\text{-}free \ sig \ (VarApp \ x \ ts) =$   
 $\{(x, \ length \ ts)\} \cup \bigcup \{ \ nt\text{-}free \ sig \ t \mid t. \ t \in \ set \ ts \}$   
 $\langle proof \rangle$

```

lemma nt-free-VarApp-arg-subset:
  assumes nt-free sig (VarApp x ts) ⊆ X
  assumes i < length ts
  shows nt-free sig (ts ! i) ⊆ X
  ⟨proof⟩

lemma nt-free-ConsApp:
  shows nt-free sig (AbsApp a xs ts) =
     $\bigcup \{ \text{nt-free sig } (ts!i) - (sig!!a.@i(xs))', 0 \mid i. i < \text{length } ts \}$ 
  ⟨proof⟩

lemma nt-free-ConsApp-arg-subset:
  assumes nt-free sig (AbsApp a xs ts) ⊆ X
  assumes i < length ts
  shows nt-free sig (ts!i) ⊆ X ∪ (sig!!a.@i(xs))', 0
  ⟨proof⟩

end
theory Locales imports NTerm
begin

```

## 6.5 Signature Locale

```

locale sigloc =
  fixes Signature :: signature (S)

context sigloc
begin

abbreviation
  Deps :: abstraction ⇒ nat ⇒ nat set (infixl !⌊ 100)
  where a !⌊ i ≡ S!!a.⌊i

abbreviation
  CardDeps :: abstraction ⇒ nat ⇒ nat (infixl !# 100)
  where a !# i ≡ S!!a.#i

abbreviation
  SelDeps :: abstraction ⇒ nat ⇒ 'b list ⇒ 'b list (-!@-'(-) [100, 101, 0] 100)
  where a!@i(xs) ≡ S!!a.@i(xs)

abbreviation wf :: nterm ⇒ bool
  where wf t ≡ nt-wf S t

abbreviation frees :: nterm ⇒ variables
  where frees t ≡ nt-free S t

abbreviation is-valid-abstraction :: abstraction ⇒ bool (✓)

```

**where**  $\checkmark a \equiv ((\mathcal{S} \ a) \neq \text{None})$

**abbreviation** *valence-of-abstraction*  $:: \text{abstraction} \Rightarrow \text{nat } (\S v)$   
**where**  $\S v \ a \equiv \S \text{val } (\mathcal{S}!!a)$

**abbreviation** *arity-of-abstraction*  $:: \text{abstraction} \Rightarrow \text{nat } (\S a)$   
**where**  $\S a \ a \equiv \S \text{ar } (\mathcal{S}!!a)$

**lemma** *wf-implies-valid-abs*:  
**assumes**  $\text{wf}: \text{wf } (\text{AbsApp } a \ xs \ ts)$   
**shows**  $\checkmark a$   
 $\langle \text{proof} \rangle$

**lemma** *wf-VarApp*:  $\text{wf } (\text{VarApp } x \ ts) = (\forall \ t \in \text{set } ts. \text{wf } t)$   
 $\langle \text{proof} \rangle$

**lemma** *wf-AbsApp-valence*: **assumes**  $\text{wf}: \text{wf } (\text{AbsApp } a \ xs \ ts)$  **shows**  $\text{length } xs = \S v \ a$   
 $\langle \text{proof} \rangle$

**lemma** *shape-deps-upper-bound*:  $\checkmark a \Longrightarrow i < \S a \ a \Longrightarrow a!i \subseteq \text{nats } (\S v \ a)$   
 $\langle \text{proof} \rangle$

**lemma** *finite-shape-deps*:  $\checkmark a \Longrightarrow i < \S a \ a \Longrightarrow \text{finite}(a!i)$   
 $\langle \text{proof} \rangle$

**lemma** *length-boundvars-at*:  
**assumes**  $\text{wf}: \text{wf } (\text{AbsApp } a \ xs \ ts)$   
**assumes**  $i: i < \text{length } ts$   
**shows**  $\text{length } (a!@i(xs)) = a!i \# i$   
 $\langle \text{proof} \rangle$

**definition** *closed*  $:: \text{nterm} \Rightarrow \text{bool}$   
**where**  $\text{closed } t = (\text{frees } t = \{\})$

**end**

## 6.6 Abstraction Algebra Locale

**locale** *algloc* = *sigloc* *Sig*  $\mathfrak{A}$  **for**  $AA :: 'a \text{ algebra } (\mathfrak{A})$   
**begin**

**abbreviation**  
*Universe*  $:: 'a \text{ quotient } (\mathcal{U})$   
**where**  $\mathcal{U} \equiv \text{Univ } \mathfrak{A}$

**abbreviation**  
*Operators*  $:: 'a \text{ operators } (\mathcal{O})$   
**where**  $\mathcal{O} \equiv \text{Ops } \mathfrak{A}$

**abbreviation**

*Signature*  $::$  *signature* ( $\mathcal{S}$ )  
**where**  $\mathcal{S} \equiv \text{Sig } \mathfrak{A}$

**notation**

*Deps* (**infixl**  $!\sharp$  100) **and**  
*CardDeps* (**infixl**  $!\#$  100) **and**  
*SelDeps* (**infixl**  $!\@$  100) (**infixl**  $!\#$  101) (**infixl**  $!\#$  100) **and**  
*is-valid-abstraction* ( $\checkmark$ ) **and**  
*valence-of-abstraction* ( $\S v$ ) **and**  
*arity-of-abstraction* ( $\S a$ )

**end****context** *algloc* **begin**

**lemma** *valid-in-operators*:  $\checkmark a \implies (O!!a) \notin \text{operators } \mathcal{U} (\mathcal{S}!!a)$   
*<proof>*

**end****end**

**theory** *Valuation* **imports** *NTerm Algebra Locales*  
**begin**

## 7 Valuation

### 7.1 Valuations

**type-synonym** *'a valuation*  $= (\text{variable} \times \text{nat}) \Rightarrow 'a \text{ operation}$

**definition** *update-valuation*  $:: 'a \text{ valuation} \Rightarrow \text{variable list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ valuation}$

$(-\{- := -\} [1000, 51, 51] 1000)$

**where**

$v\{xs := us\} = (\lambda (x, n).$   
   *(if*  $n = 0$  *then*  
     *(case* *index-of*  $x$  *xs of*  
       *Some*  $i \Rightarrow \text{value-op } (us!i)$   
       *| None*  $\Rightarrow v(x, 0)$   
     *else*  $v(x, n)))$

**definition** *qequal-valuation*  $:: \text{variables} \Rightarrow 'a \text{ quotient} \Rightarrow 'a \text{ valuation} \Rightarrow 'a \text{ valuation}$   
 $\Rightarrow \text{bool}$

**where**

*qequal-valuation*  $X \mathcal{U} \tau v = (\forall (x, n) \in X. \tau(x, n) = v(x, n) \text{ (mod operations } \mathcal{U} n))$

**lemma** *qequal-valuation-sym*: *symp (qequal-valuation X U)*  
 ⟨proof⟩

**lemma** *qequal-valuation-trans*: *transp (qequal-valuation X U)*  
 ⟨proof⟩

**definition** *valuation-quotient* :: *variables*  $\Rightarrow$  'a *quotient*  $\Rightarrow$  'a *valuation quotient*  
 (infix  $\rightsquigarrow$  90)

**where**  
 $X \rightsquigarrow U = \text{QuotientP } (\text{qequal-valuation } X \ U)$

**lemma** *valuation-quotient-Rel*:  
 $\text{Rel } (X \rightsquigarrow U) = \{ (\tau, v). \text{ qequal-valuation } X \ U \ \tau \ v \}$   
 ⟨proof⟩

**lemma** *valuation-quotient-mod*:  
 $(\tau = v \ (\text{mod } X \rightsquigarrow U)) = \text{qequal-valuation } X \ U \ \tau \ v$   
 ⟨proof⟩

**lemma** *valuation-quotient-in*:  
 $(v \ / \in X \rightsquigarrow U) = \text{qequal-valuation } X \ U \ v \ v$   
 ⟨proof⟩

**lemma** *valuation-quotient-app*:  
 $\tau = v \ (\text{mod } X \rightsquigarrow U) \Longrightarrow (x, n) \in X \Longrightarrow us = vs \ (\text{mod } U \ / \wedge n) \Longrightarrow \tau \ (x, n) \ us$   
 $= v \ (x, n) \ vs \ (\text{mod } U)$   
 ⟨proof⟩

**lemma** *valuation-mod-subdomain*:  
**assumes** *mod*:  $\tau = v \ (\text{mod } X \rightsquigarrow U)$   
**assumes** *sub*:  $Y \subseteq X$   
**shows**  $\tau = v \ (\text{mod } Y \rightsquigarrow U)$   
 ⟨proof⟩

**lemma** *update-valuation-skipvar*:  
**assumes** *x*:  $x \notin \text{set } xs$   
**shows**  $v\{xs := us\}(x, n) = v(x, n)$   
 ⟨proof⟩

**lemma** *subtracted-bound-vars*:  
**assumes** *x*:  $(x, n) \in X - xs', 0$   
**shows**  $n > 0 \vee x \notin \text{set } xs$   
 ⟨proof⟩

**lemma** *update-valuation-eq-intro*:  
**assumes**  $\tau = v \ (\text{mod } X \rightsquigarrow U)$   
**assumes**  $us = vs \ (\text{mod } U \ / \wedge n)$   
**assumes** *length*  $xs = n$



**shows**  $\tau\{xs := us\} = v\{xs := vs\} \text{ (mod } (X \cup ((xs)', 0)) \rightsquigarrow \mathcal{U})$   
 $\langle \text{proof} \rangle$

**lemma** *valuations-empty-domain[simp]*:  $\{\} \rightsquigarrow \mathcal{U} = /1\mathcal{U}$   
 $\langle \text{proof} \rangle$

## 7.2 Evaluation

**context** *algloc*  
**begin**

**abbreviation** *Valuations* :: *variables*  $\Rightarrow$  'a *valuation quotient* (**V**)  
**where**  $\mathbf{V} \ X \equiv (X \rightsquigarrow \mathcal{U})$

**fun** *eval* :: *nterm*  $\Rightarrow$  'a *valuation*  $\Rightarrow$  'a ( $\langle -; - \rangle$ ) **where**  
 $\text{eval } (\text{VarApp } x \ ts) \ v = v \ (x, \text{length } ts) \ (\$map \ t = ts!. \text{eval } t \ v)$   
 $| \text{eval } (\text{AbsApp } a \ xs \ ts) \ v = (\mathcal{O} \ !! \ a) \ (\$map \ t = ts!.i.$   
 $\quad (\lambda \ us. \text{eval } t \ (v \ \{ \ a!@i(xs) := us \})))$

**lemma** *eval-modulo*:  
 $wf \ t \implies$   
 $\text{frees } t \subseteq X \implies$   
 $\tau = v \text{ (mod } \mathbf{V} \ X) \implies$   
 $\text{eval } t \ \tau = \text{eval } t \ v \text{ (mod } \mathcal{U})$   
 $\langle \text{proof} \rangle$

**lemma** *eval-is-fun-modulo*:  
**assumes**  $wf: wf \ t$   
**shows**  $\text{eval } t \ / \in \mathbf{V} \ (\text{frees } t) \ / \Rightarrow \mathcal{U}$   
 $\langle \text{proof} \rangle$

**lemma** *eval-closed*:  
**assumes**  $wf: wf \ t$   
**assumes**  $cl: \text{closed } t$   
**shows**  $\text{eval } t \ \tau = \text{eval } t \ v \text{ (mod } \mathcal{U})$   
 $\langle \text{proof} \rangle$

## 7.3 Semantical Equivalence

Two terms are semantically equivalent if for all abstraction algebras, and all valuations, they evaluate to the same value. We cannot really define this as a closed notion in HOL, as quantifying over all abstraction algebras requires quantifying over type variables, which is not possible in HOL. So we first define semantical equivalence just relative to a fixed abstraction algebra, and then relative to the base type of the abstraction algebra.

**definition** *sem-equiv* :: *nterm*  $\Rightarrow$  *nterm*  $\Rightarrow$  *bool*  
**where**  $\text{sem-equiv } s \ t = (\forall \ v. v \ / \in \mathbf{V} \ UNIV \longrightarrow \text{eval } s \ v = \text{eval } t \ v \text{ (mod } \mathcal{U}))$

**end**

HOL can be extended with quantification over type variables [1], and then the notion of semantical equivalence of two terms could be defined via

*semantically-equivalent*  $s\ t = \forall \alpha. \forall \mathfrak{A} :: \alpha \text{ algebra. algloc.sem-equiv } \mathfrak{A}\ s\ t$

But all we can do here is to define semantical equivalence relative to  $\alpha$ :

**definition** *semantically-equivalent*  $:: 'a \Rightarrow nterm \Rightarrow nterm \Rightarrow bool$   
**where** *semantically-equivalent*  $\alpha\ s\ t = (\forall \mathfrak{A} :: 'a \text{ algebra. algloc.sem-equiv } \mathfrak{A}\ s\ t)$

**lemma** *semantically-equivalent*  $(\alpha_1 :: 'a)\ s\ t = \text{semantically-equivalent } (\alpha_2 :: 'a)\ s\ t$   
 $\langle \text{proof} \rangle$

**end**

**theory** *BTerm* **imports** *Valuation*  
**begin**

## 8 De Bruijn Term

### 8.1 De Bruijn Terms

**datatype** *bterm* =  
*FreeVar* *variable*  $\langle bterm\ list \rangle$   
 | *BoundVar* *nat*  
 | *Abstr* *abstraction*  $\langle bterm\ list \rangle$

### 8.2 Unbound and Free Variables

**context** *sigloc* **begin**

**definition** *raise-indices*  $:: nat\ set \Rightarrow nat \Rightarrow nat\ set$  (**infixl**  $\cdot\uparrow$  80)  
**where** *raise-indices*  $I\ m = \{ i + m \mid i. i \in I \}$

**definition** *lower-indices*  $:: nat\ set \Rightarrow nat \Rightarrow nat\ set$  (**infixl**  $\cdot\downarrow$  80)  
**where**  $I \cdot\downarrow m = \{ i - m \mid i. i \in I \wedge i \geq m \}$

**lemma** *raise-indices-0[simp]*:  $I \cdot\uparrow 0 = I$   
 $\langle \text{proof} \rangle$

**lemma** *lower-indices-0[simp]*:  $I \cdot\downarrow 0 = I$   
 $\langle \text{proof} \rangle$

**lemma** *raise-indices-mono*:  $A \subseteq B \Longrightarrow A \cdot\uparrow m \subseteq B \cdot\uparrow m$   
 $\langle \text{proof} \rangle$

**lemma** *lower-indices-mono*:  $A \subseteq B \Longrightarrow A \cdot\downarrow m \subseteq B \cdot\downarrow m$   
 $\langle \text{proof} \rangle$

**lemma** *raise-lower-indices-le[simp]*:  $n \leq m \Longrightarrow I \cdot\uparrow m \cdot\downarrow n = I \cdot\uparrow (m - n)$

$\langle \text{proof} \rangle$

**lemma** *raise-lower-indices-ge[simp]*:  $n \geq m \implies I.\uparrow m.\downarrow n = I.\downarrow (n - m)$   
 $\langle \text{proof} \rangle$

**lemma** *erase-bottom-indices*:  $i \in I.\downarrow m.\uparrow m \implies i \geq m \wedge i \in I$   
 $\langle \text{proof} \rangle$

**lemma** *undo-raise-indices*:  $i \in I.\uparrow m \implies i - m \in I$   
 $\langle \text{proof} \rangle$

**fun** *unbounds* :: *bterm*  $\Rightarrow$  *nat set* **where**  
 $\text{unbounds } (\text{FreeVar } x \text{ } ts) = (\S\text{fold } I = \{\}, t=ts!-. I \cup \text{unbounds } t)$   
 $| \text{unbounds } (\text{BoundVar } i) = \{i\}$   
 $| \text{unbounds } (\text{Abstr } a \text{ } ts) = (\S\text{fold } I = \{\}, t=ts!-. I \cup \text{unbounds } t) \cdot \downarrow \S v \ a$

**lemma** *unbounds-freeVar-arg*:  $\bigwedge i. i < \text{length } ts \implies \text{unbounds } (ts ! i) \subseteq \text{unbounds } (\text{FreeVar } x \text{ } ts)$   
 $\langle \text{proof} \rangle$

**lemma** *unbounds-abstr*:  
**assumes**  $i: k < \text{length } ts$   
**shows**  $\text{unbounds } (ts ! k) \subseteq \text{unbounds } (\text{Abstr } a \text{ } ts) \cdot \uparrow \S v \ a \cup \text{nats } (\S v \ a)$   
 $\langle \text{proof} \rangle$

**fun** *bffrees* :: *bterm*  $\Rightarrow$  *variables* **where**  
 $\text{bffrees } (\text{FreeVar } x \text{ } ts) =$   
 $(\S\text{fold } X = \{(x, \text{length } ts)\}, t=ts!-. X \cup \text{bffrees } t)$   
 $| \text{bffrees } (\text{BoundVar } i) = \{\}$   
 $| \text{bffrees } (\text{Abstr } a \text{ } ts) = (\S\text{fold } X = \{\}, t=ts!-. X \cup \text{bffrees } t)$

**lemma** *bffrees-freeVar-arg*:  $\bigwedge i. i < \text{length } ts \implies \text{bffrees } (ts ! i) \subseteq \text{bffrees } (\text{FreeVar } x \text{ } ts)$   
 $\langle \text{proof} \rangle$

**lemma** *bffrees-abstr-arg*:  $\bigwedge i. i < \text{length } ts \implies \text{bffrees } (ts ! i) \subseteq \text{bffrees } (\text{Abstr } a \text{ } ts)$   
 $\langle \text{proof} \rangle$

### 8.3 Wellformedness

**fun** *bwf* :: *bterm*  $\Rightarrow$  *bool* **where**  
 $\text{bwf } (\text{FreeVar } x \text{ } ts) = (\forall t=ts!-. \text{bwf } t)$   
 $| \text{bwf } (\text{BoundVar } i) = \text{True}$   
 $| \text{bwf } (\text{Abstr } a \text{ } ts) = (\checkmark a \wedge \S a \ a = \text{length } ts \wedge$   
 $(\forall t=ts!i. \text{bwf } t \wedge \text{unbounds } t \cap \text{nats } (\S v \ a) \subseteq a!i))$

**lemma** *bwf-freeVar-arg*:  $\text{bwf } (\text{FreeVar } x \text{ } ts) \implies i < \text{length } ts \implies \text{bwf } (ts ! i)$   
 $\langle \text{proof} \rangle$

**lemma** *bwf-abstr-arg*:  $\text{bwf } (\text{Abstr } a \text{ } ts) \implies i < \text{length } ts \implies \text{bwf } (ts!i)$   
 $\langle \text{proof} \rangle$

**lemma** *unbounds-bwf-abstr*:  
**assumes**  $i: k < \text{length } ts$   
**assumes**  $\text{wf}: \text{bwf } (\text{Abstr } a \text{ } ts)$   
**shows**  $\text{unbounds } (ts ! k) \subseteq \text{unbounds } (\text{Abstr } a \text{ } ts) .\uparrow \S v \text{ } a \cup a!k$   
 $\langle \text{proof} \rangle$

**lemma** *upper-bound-unbounds-abstr-arg*:  
**assumes**  $i: i \in \bigcup \{ \text{unbounds } t \mid t. t \in \text{set } ts \}$   
**shows**  $i \in \text{unbounds } (\text{Abstr } a \text{ } ts) .\uparrow \S v \text{ } a \cup (\text{nats } (\S v \text{ } a))$   
 $\langle \text{proof} \rangle$

**lemma** *upper-bound-erased-unbounds-abstr-arg*:  
**assumes**  $i: i \in \bigcup \{ \text{unbounds } t \mid t. t \in \text{set } ts \} .\downarrow \S v \text{ } a .\uparrow \S v \text{ } a$   
**shows**  $i \in \text{unbounds } (\text{Abstr } a \text{ } ts) .\uparrow \S v \text{ } a$   
 $\langle \text{proof} \rangle$

**end**

## 8.4 Environments

**type-synonym**  $'a \text{ env} = \text{nat} \Rightarrow 'a$

**definition** *update-env* ::  $'a \text{ env} \Rightarrow \text{nat} \Rightarrow \text{nat set} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ env}$   
 $(\uparrow\{- := -\} [1000, 51, 51, 51] 1000)$

**where**

$\text{update-env env } m \text{ } js \text{ } xs = (\lambda j.$   
 $\quad (\text{case index-of } j \text{ (sorted-list } js) \text{ of}$   
 $\quad \quad \text{Some } i \Rightarrow xs!i$   
 $\quad \mid \text{None} \Rightarrow \text{env } (j - m)))$

**abbreviation** *raise-env* ::  $'a \text{ env} \Rightarrow \text{nat} \Rightarrow 'a \text{ env}$   
 $(\uparrow\{-\} [1000, 51] 1000)$

**where**

$\text{env } \uparrow m \{ \} \equiv \text{env } \uparrow m \{ \{ \} := [] \}$

**lemma** *env-app-noupdate*:  $\text{finite } js \implies j \notin js \implies \text{env } \uparrow m \{ js := xs \} j = \text{env } \uparrow m \{ \} j$   
 $\langle \text{proof} \rangle$

**lemma** *env-raised-app*:  $\text{env } \uparrow m \{ \} j = \text{env } (j - m)$   
 $\langle \text{proof} \rangle$

**lemma** *env-app-update*:  
 $\text{finite } js \implies j \in js \implies \text{env } \uparrow m \{ js := us \} j = us ! \text{the } (\text{index-of } j \text{ (sorted-list } js))$   
 $\langle \text{proof} \rangle$

**context** *algloc* **begin**

**definition** *env-quotient* :: *nat set*  $\Rightarrow$  ('a *env*) *quotient* ( $\mathbb{E}$ )  
**where** *env-quotient* *I* = ( $/\equiv I \ / \Rightarrow \mathcal{U}$ )

**lemma** *env-subset*:  $A \subseteq B \Longrightarrow \mathbb{E} B \ / \leq \mathbb{E} A$   
 $\langle \text{proof} \rangle$

**lemma** *env-subset-mod*:  $A \subseteq B \Longrightarrow \text{env1} = \text{env2} \ (\text{mod } \mathbb{E} B) \Longrightarrow \text{env1} = \text{env2}$   
 $(\text{mod } \mathbb{E} A)$   
 $\langle \text{proof} \rangle$

**lemma** *env-app*:  $i \in I \Longrightarrow \text{env1} = \text{env2} \ (\text{mod } \mathbb{E} I) \Longrightarrow \text{env1 } i = \text{env2 } i \ (\text{mod } \mathcal{U})$   
 $\langle \text{proof} \rangle$

**lemma** *env-mod*:  $(\text{env1} = \text{env2} \ (\text{mod } \mathbb{E} I)) = (\forall i \in I. \text{env1 } i = \text{env2 } i \ (\text{mod } \mathcal{U}))$   
 $\langle \text{proof} \rangle$

## 8.5 Evaluation

**fun** *beval* :: *bterm*  $\Rightarrow$  'a *valuation*  $\Rightarrow$  'a *env*  $\Rightarrow$  'a ( $\langle \cdot; \cdot, \cdot \rangle$ ) **where**  
*beval* (*FreeVar* *x ts*) *v env* = *v* (*x*, *length ts*) ( $\S \text{map } t = \text{ts!} \cdot \text{beval } t \text{ } v \text{ } \text{env}$ )  
 $|$  *beval* (*BoundVar* *i*) *v env* = *env i*  
 $|$  *beval* (*Abstr* *a ts*) *v env* = ( $O!!a$ ) ( $\S \text{map } t = \text{ts!} \cdot i$ .  
 $(\lambda \text{ us. } \text{beval } t \text{ } v \text{ } (\text{env } \uparrow \S v \text{ } a \text{ } \{a!i := \text{us}\})))$

**lemma** *beval-modulo*:  
 $\text{bwf } t \Longrightarrow$   
 $\text{bfrees } t \subseteq X \Longrightarrow$   
 $\tau = v \ (\text{mod } \mathbb{V} X) \Longrightarrow$   
 $\text{unbounds } t \subseteq I \Longrightarrow$   
 $\text{env1} = \text{env2} \ (\text{mod } \mathbb{E} I) \Longrightarrow$   
 $\text{beval } t \ \tau \ \text{env1} = \text{beval } t \ v \ \text{env2} \ (\text{mod } \mathcal{U})$   
 $\langle \text{proof} \rangle$

**end**

**end**

## References

- [1] T. F. Melham. The hol logic extended with quantification over type variables. <https://doi.org/10.1007/BF01383982>, 1993.
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