

# Distributed Mutual Exclusion Algorithms

## Different Approaches

nchaki@gmail.com



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## Outline

- Ricart-Agrawala algorithm
- Token based algorithm for Ring topology
- Raymond's algorithm
- Limitations of Raymond's algorithm
- Quorum based algorithm

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## Basic Ideas



- The requesting process has to get the approval of all processes to enter its CS
- A processes currently in its own CS, cannot give approval to another candidate
- What would be the response of another candidate process to a request

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## Major Steps



- Process  $P_m$  that wants to enter the CS sends request to the remaining  $(n-1)$  nodes for approval
- $P_m$  waits for the  $n-1$  approvals to arrive
- When  $P_m$  receives all of the  $n-1$  approvals, it enters its CS

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## Major Steps



- Process  $P_k$  receiving request from another process  $P_m$ 
  - If  $P_k$  is not a candidate and currently not in its CS, then  $P_k$  sends approval to  $P_m$
  - If  $P_k$  is in its own CS, then it enters Id of  $P_m$  in a local list and does not send the approval to  $P_m$

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## Major Steps



- If  $P_k$  is another candidate process then
  - if time stamp of incoming request is less than that for its own CS request then  $P_k$  sends approval to  $P_m$
  - if time stamp of incoming request is greater than that for its own CS request then  $P_k$  enters Id of  $P_m$  in a local list and does not send the approval to  $P_m$

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## Major Steps










- When a process  $P_m$  comes out of the CS, it sends approval to all the processes whose Ids have been stored in the local list maintained with  $P_m$

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# Ricart-Agrawala DME algorithm








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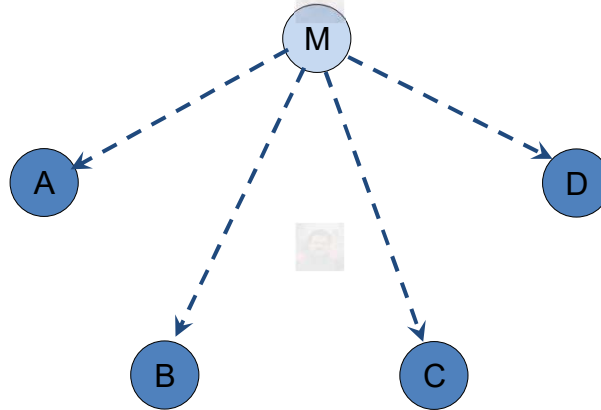
# Ricart-Agrawala DME algorithm

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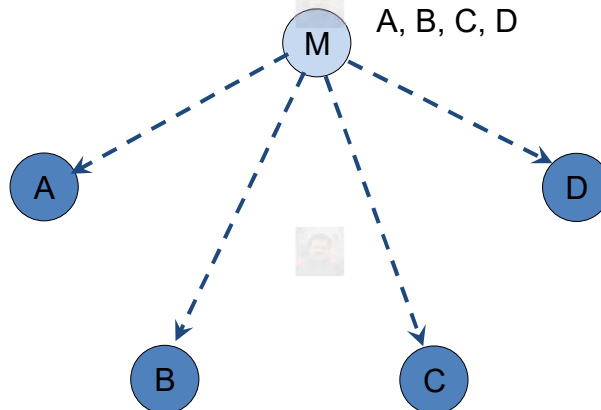
## Ricart-Agrawala DME algorithm



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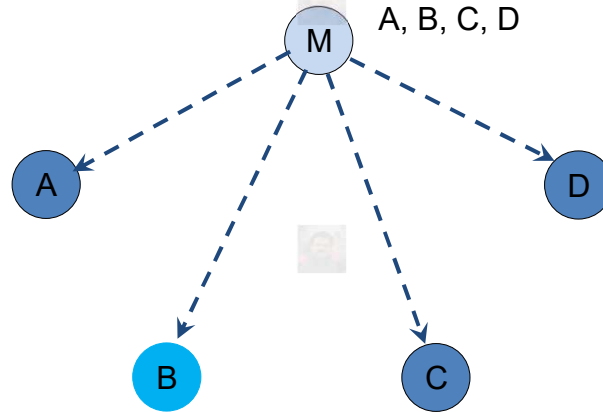
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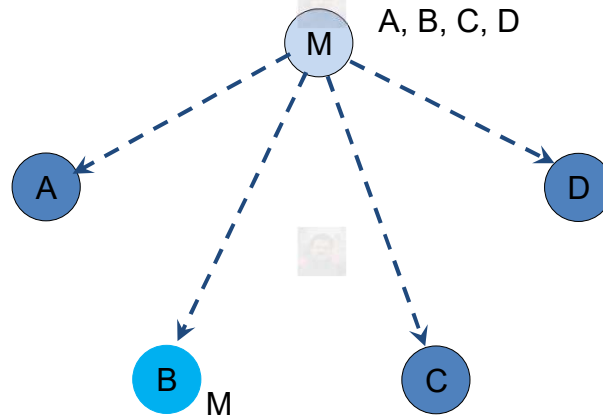
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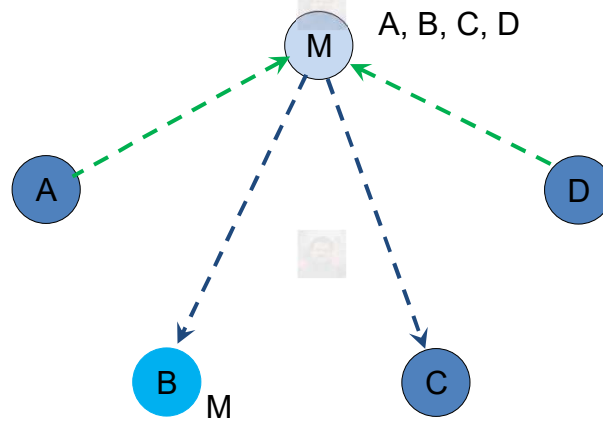
## Ricart-Agrawala DME algorithm



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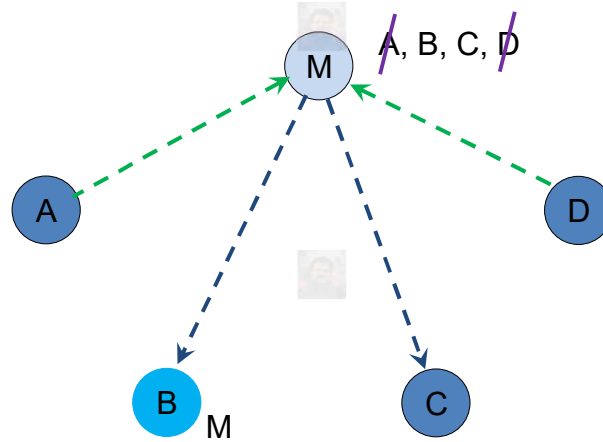
## Ricart-Agrawala DME algorithm



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## Ricart-Agrawala DME algorithm

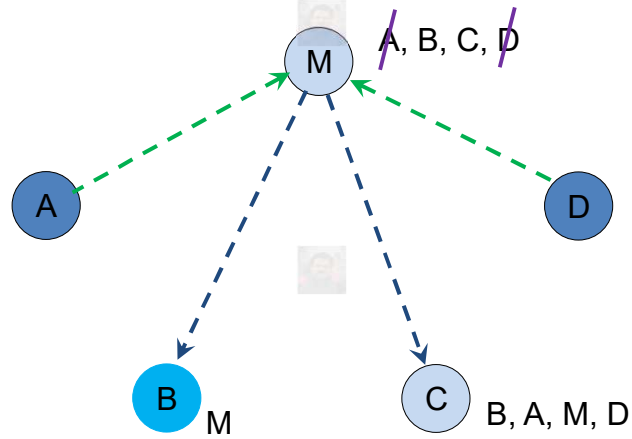


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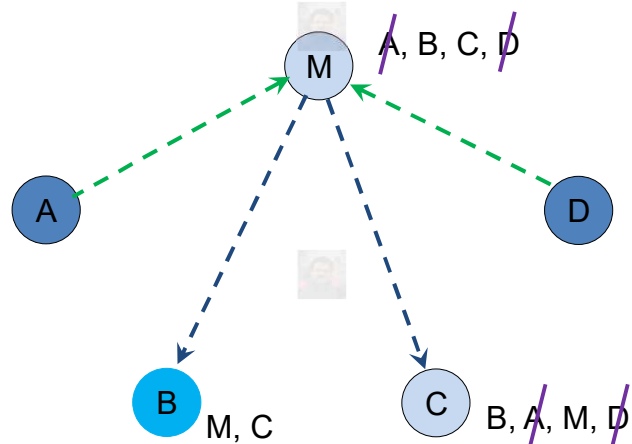


## Ricart-Agrawala DME algorithm



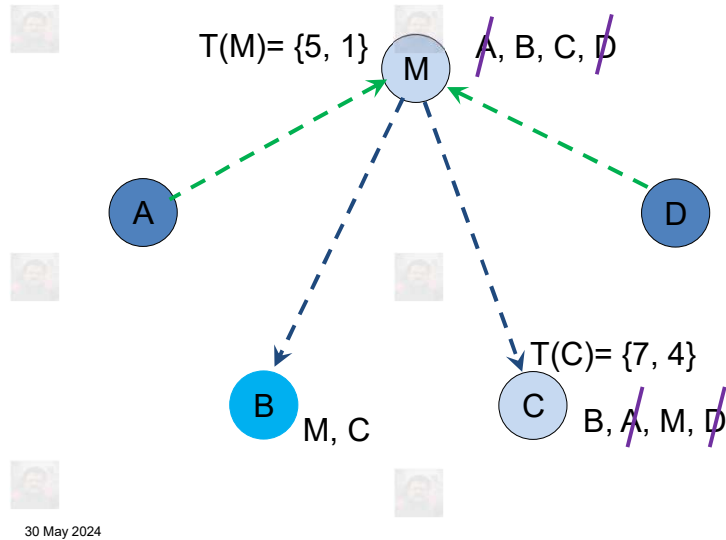
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## Ricart-Agrawala DME algorithm



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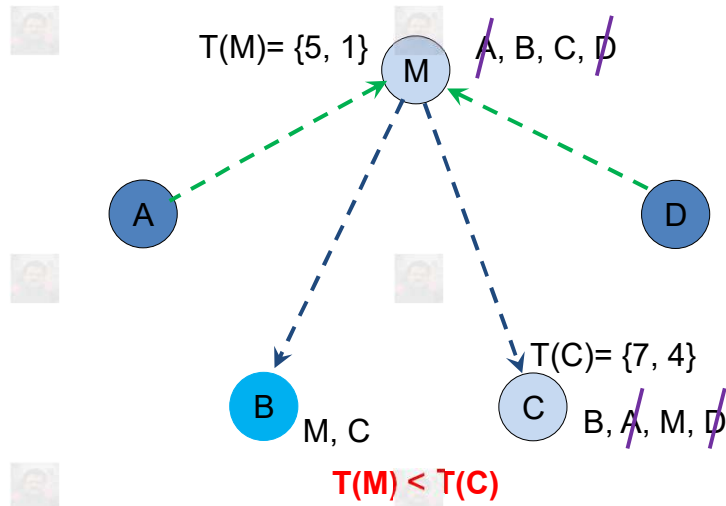
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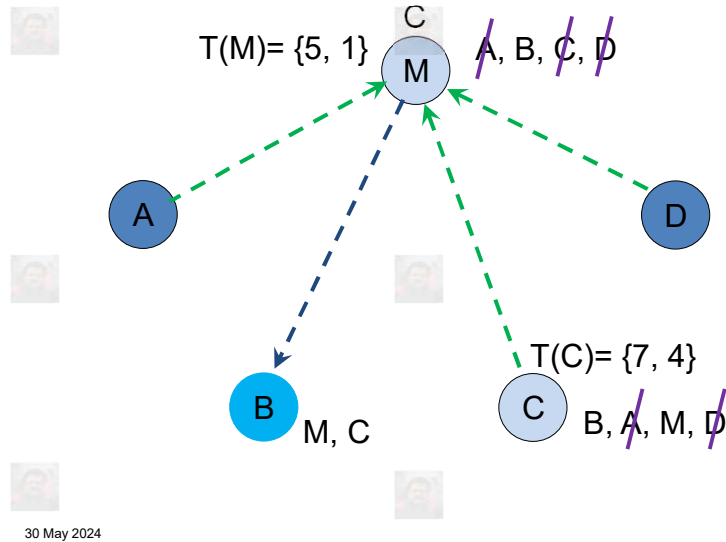
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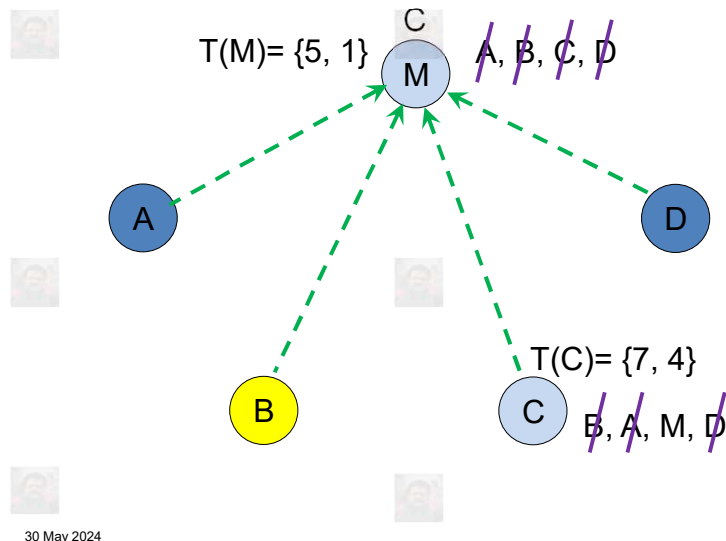
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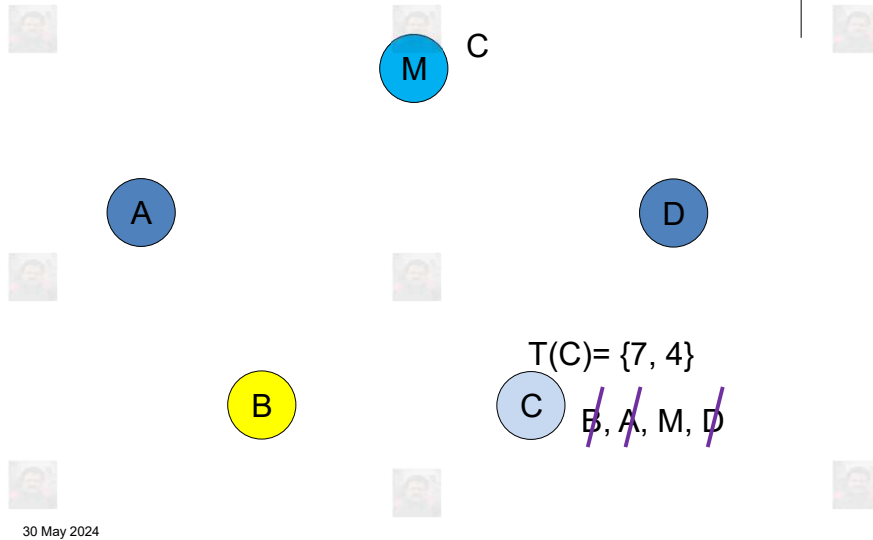
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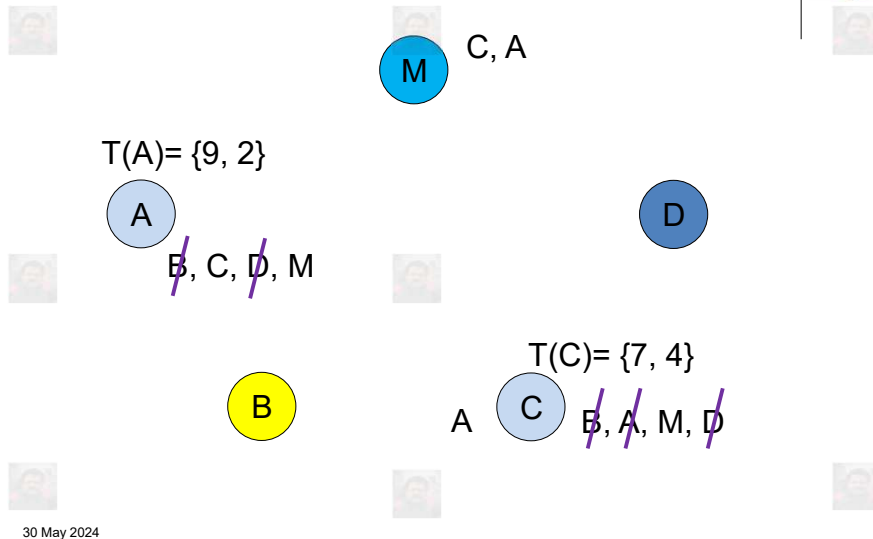
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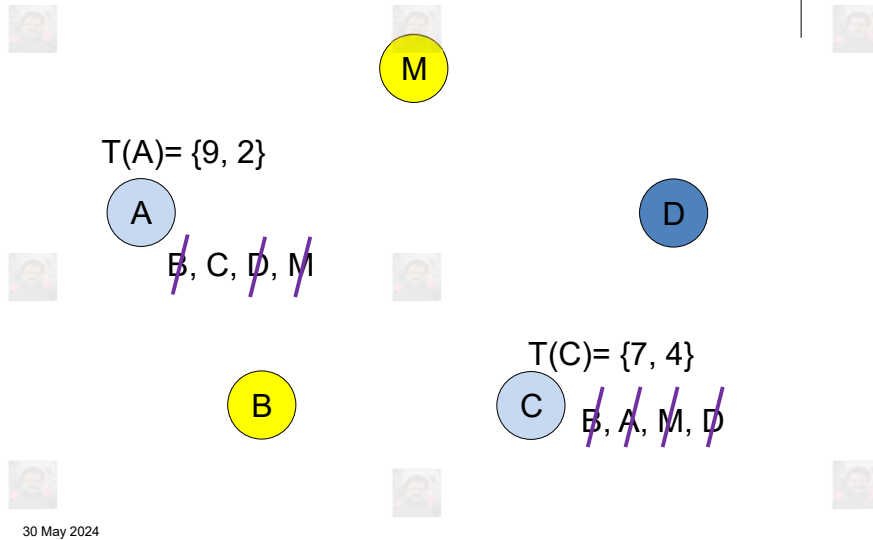
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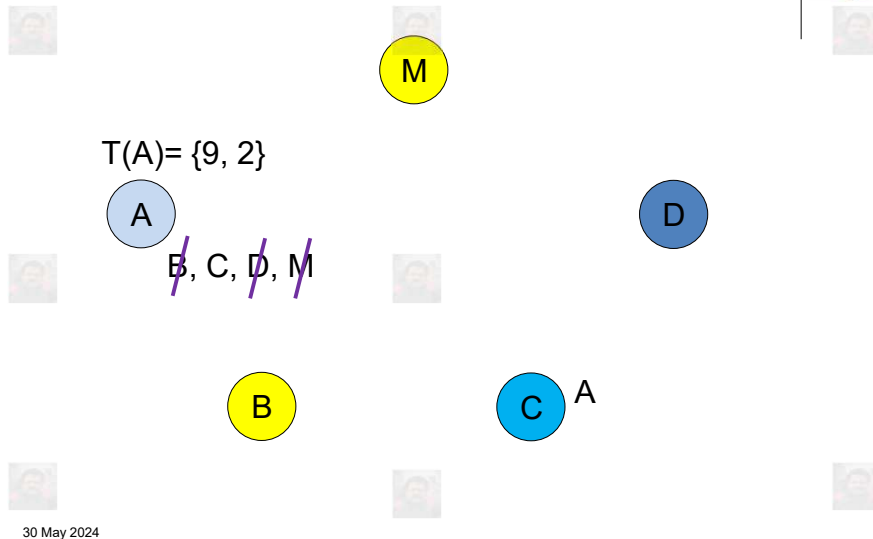
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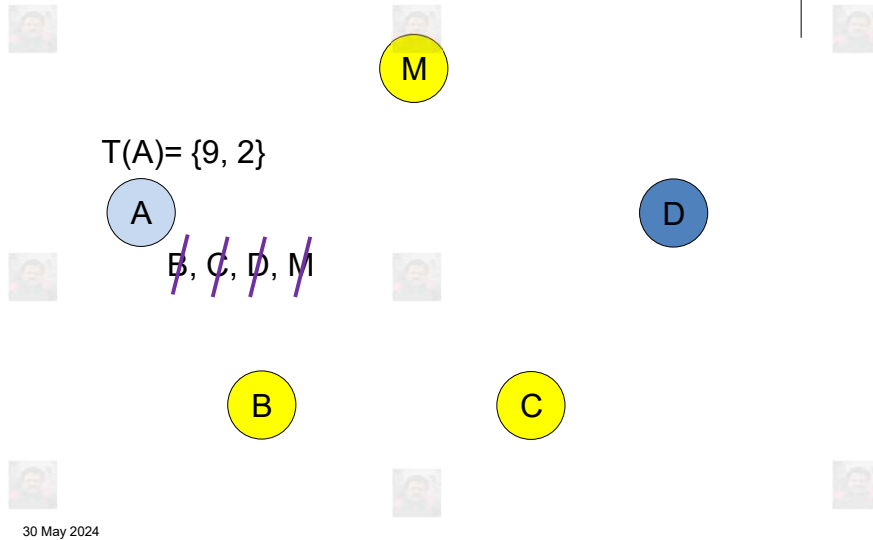
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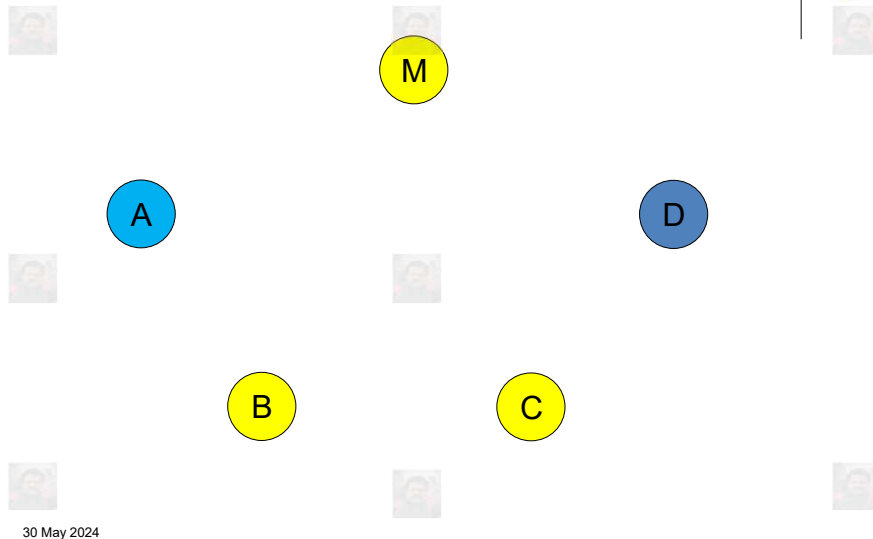
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## Ricart-Agrawala DME algorithm



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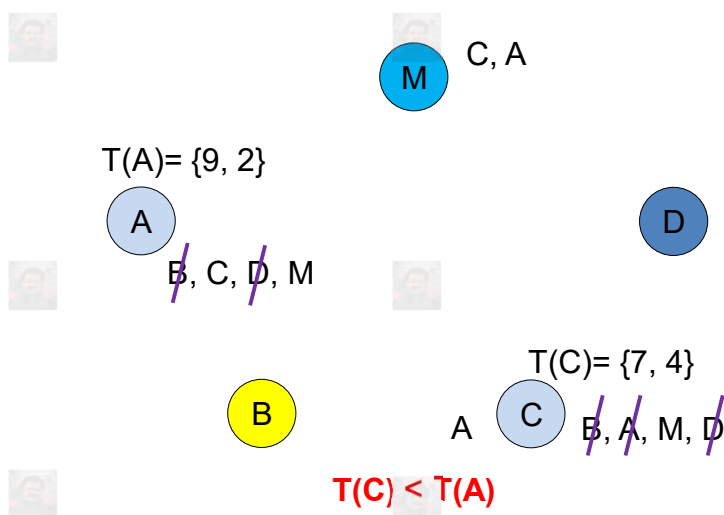


- Being a Symmetric algorithm, decisions are taken collectively and based on mutual consent
- $2*(N-1)$  messages are exchanged for each access to CS
- In case of a network size of  $N$ , for  $M$  requests there will be  $2M*(N-1)$  control message exchanges  $\approx O(N*M)$

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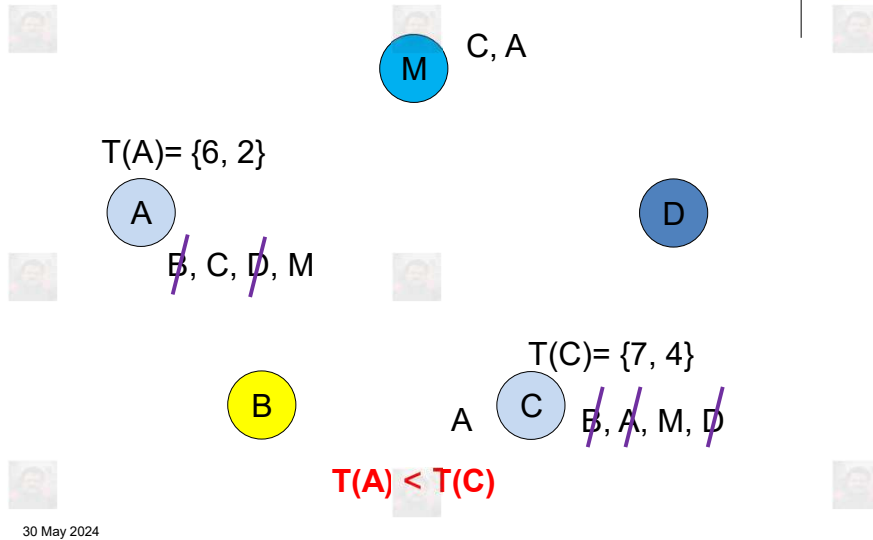
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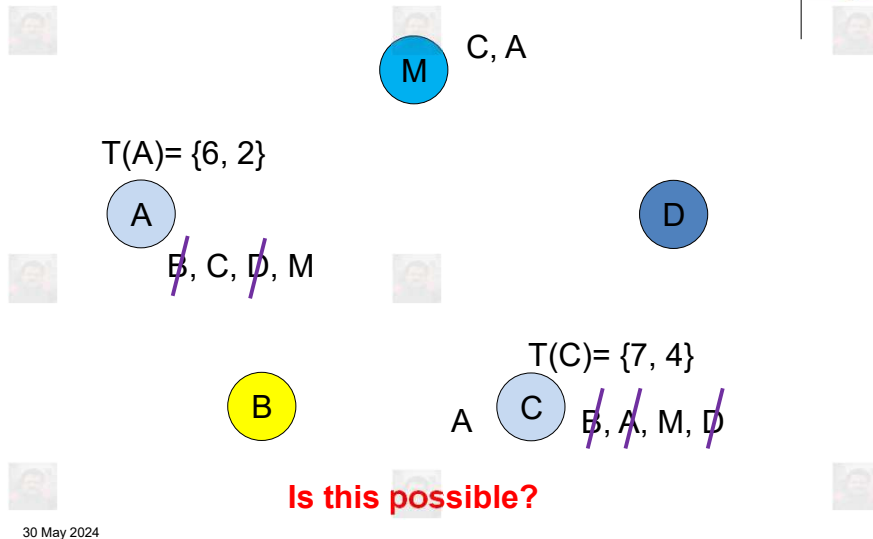
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## What happens if $T(A) < T(C)$ ?



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## What happens if $T(A) < T(C)$ ?



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## Outline

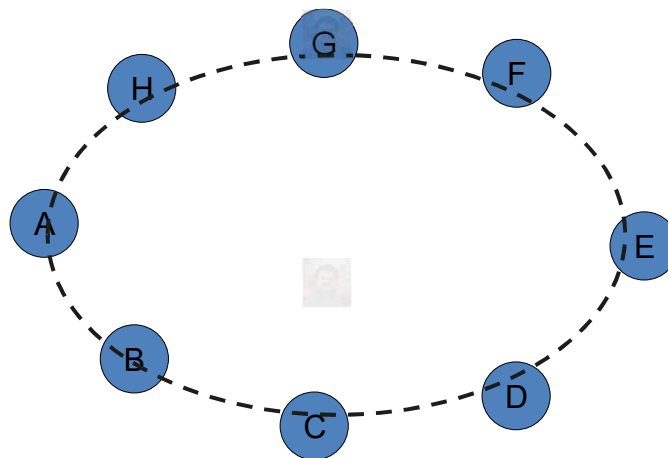


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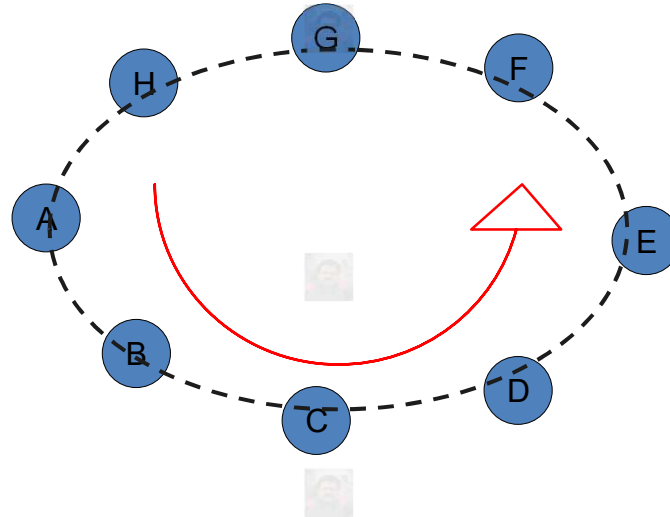
## DME for Ring Topology



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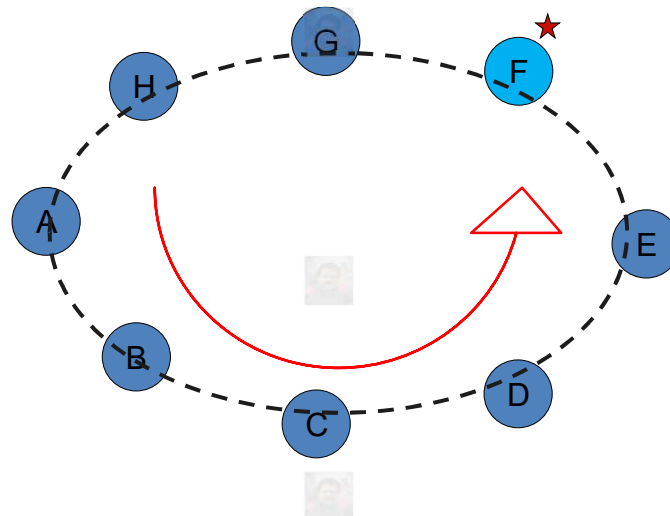
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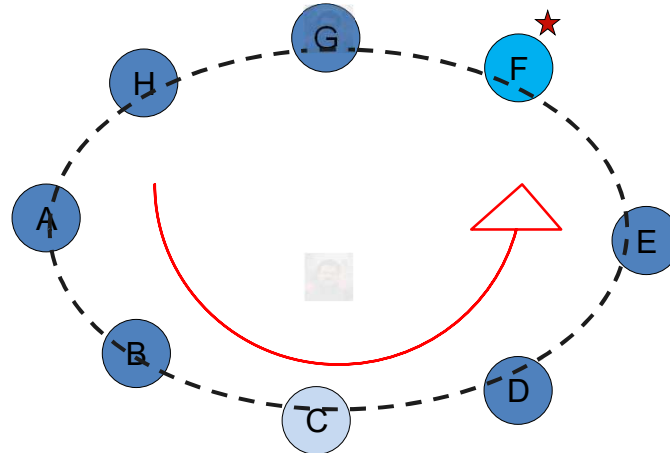
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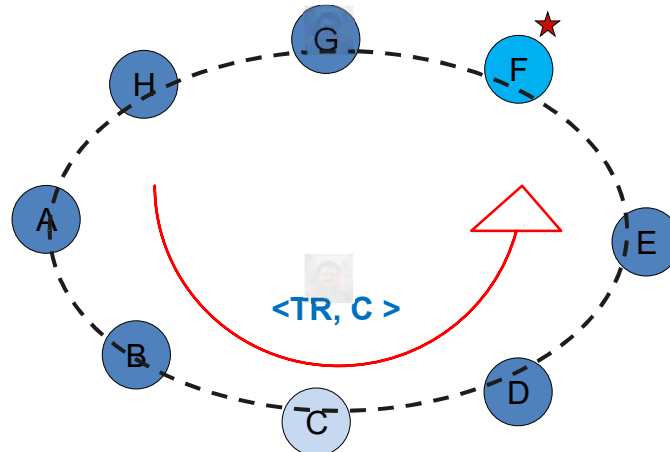
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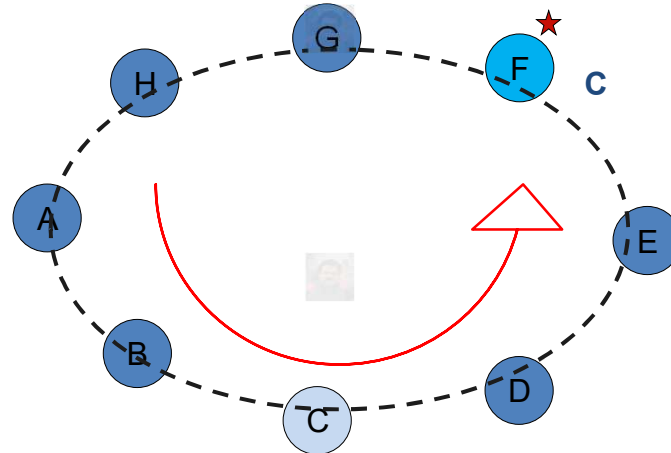
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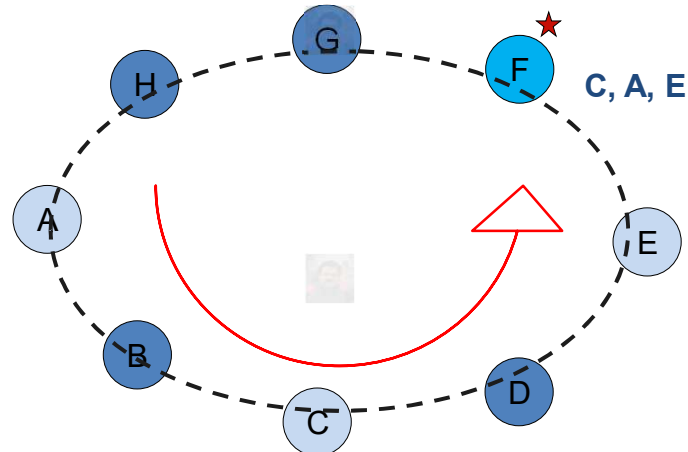
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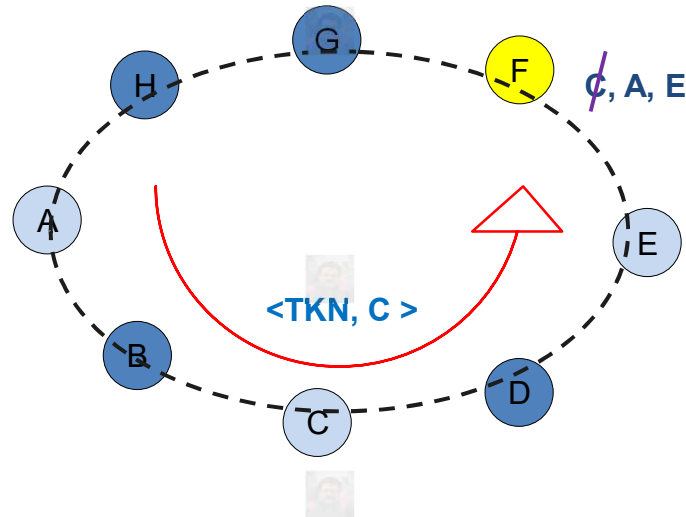
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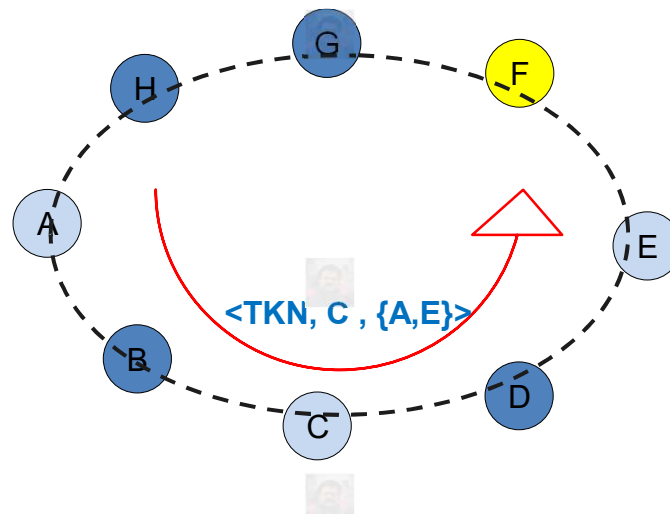
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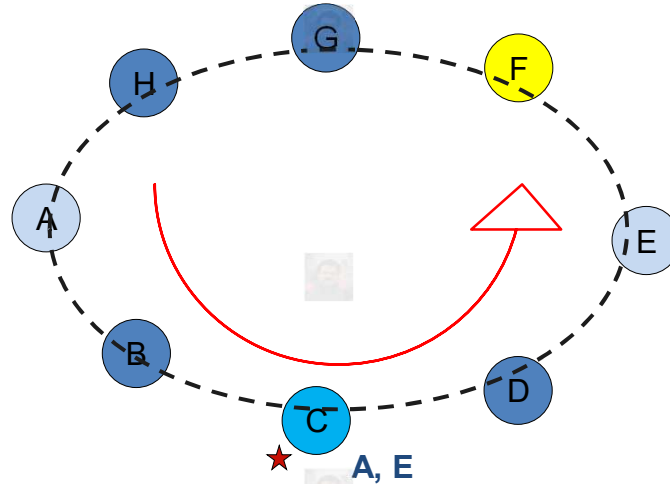
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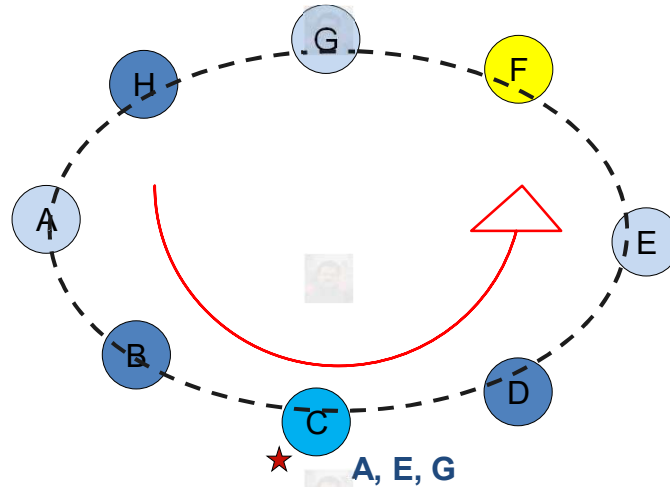
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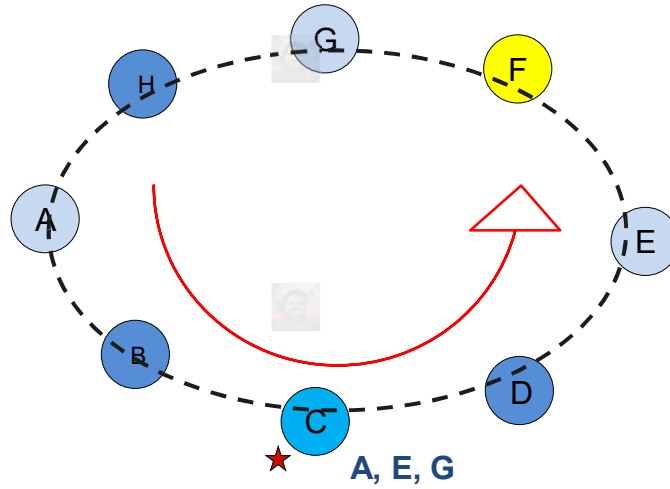
## DME for Ring Topology



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## Question

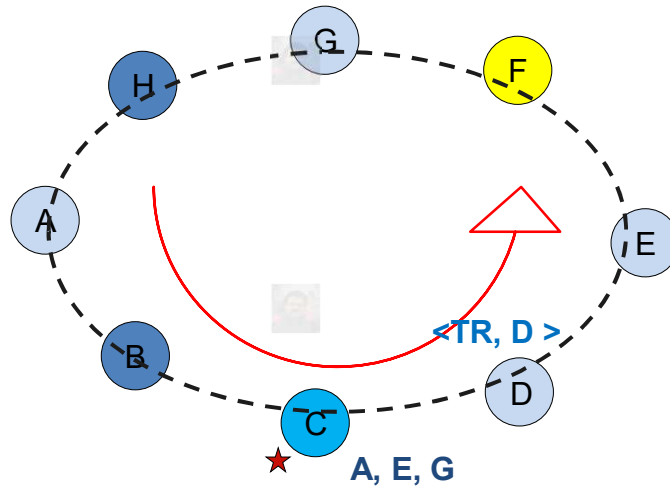


How many maximum hops a TR message may require to reach  $P_{hold}$ ?

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## Question

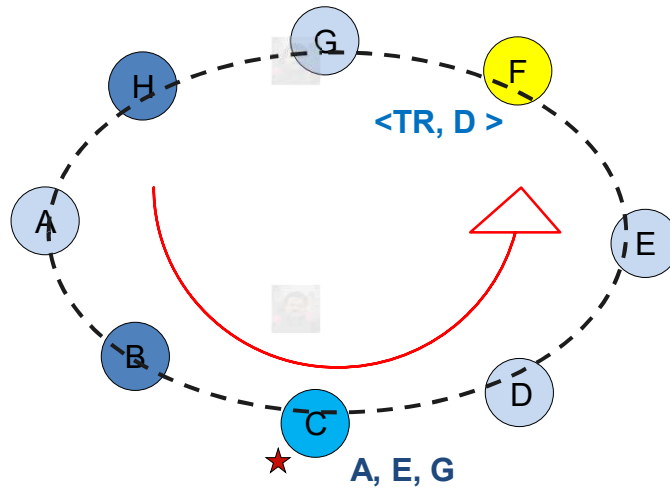


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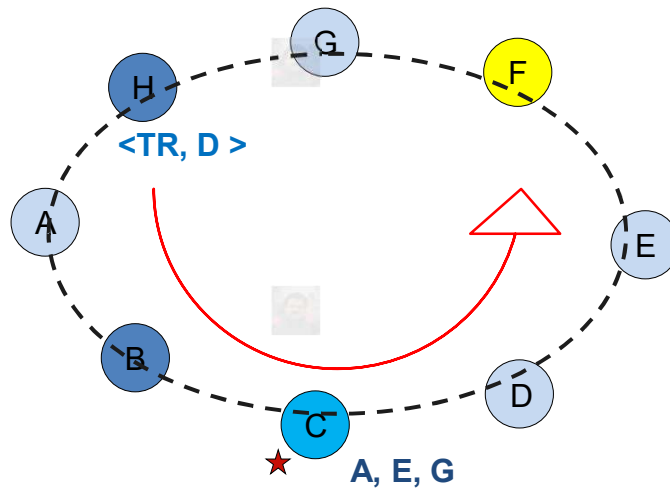


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## Question



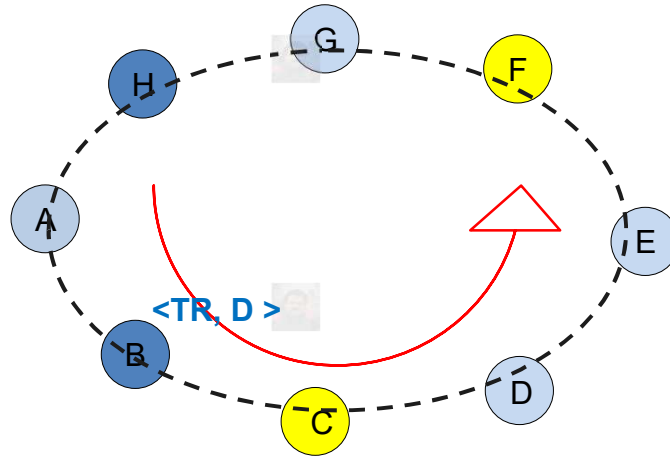
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## Question

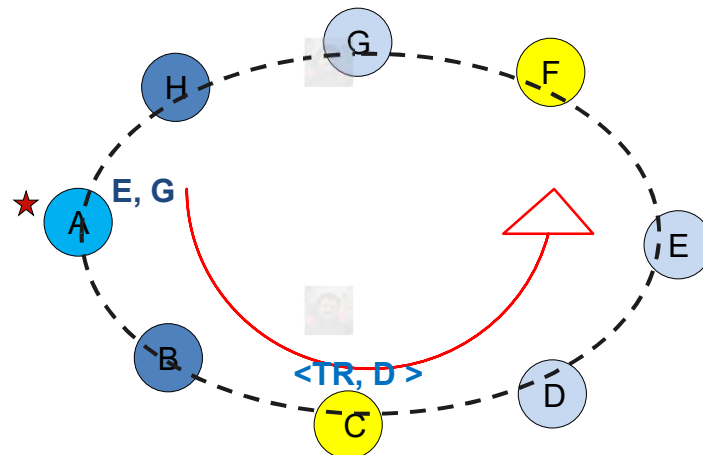


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## Question



How many maximum hops a TR message may require to reach  $P_{hold}$ ?

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## Outline



- Ricart-Agrawala algorithm
- Token based algorithm for Ring topology
- **Raymond's algorithm**
- Limitations of Raymond's algorithm
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## Basic Premises



- Raymond's algorithm uses an inverted spanning tree to reduce the number of control messages per CS access.
- The algorithm assumes that the underlying network guarantees message delivery.
- All nodes of the network are completely reliable.

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## Basic Premises



- A node needs to hold information about and communicate only to its 1-hop neighboring nodes.
- In stead of token, Raymond used the term PRIVILEGE
- Only one node can be in possession of the PRIVILEGE (next onwards we'll refer it as Token) at any time

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## Basic Premises



- When there are no nodes requesting for the token, it remains in possession of the node that last used it.
- We would refer the token holding node at any point of time as  $P_{hold}$

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## Major Steps in Raymond's Algorithm



- For a process  $P_m$  that wants to enter the CS, the following steps are done:
  - ID of the requesting node,  $m$  in this case, is entered in a local queue of site for  $P_m$
  - A token request is sent to the parent node of  $P_m$  in the tree, say to node  $P_k$

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## Major Steps in Raymond's Algorithm



- For any intermediate node  $P_k$ , on receipt of a token request from one of its decedents,  $P_m$  in this case, , the following steps are done:
  - ID of the requesting node  $P_m$  is entered in the local queue of site for  $P_k$
  - A new token request for node  $P_k$  is sent to its parent, if no such request is already pending

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## Major Steps in Raymond's Algorithm



- Instead of an intermediate node  $P_k$ , if the recipient for a token request is  $P_{hold}$  itself, then only the following step is executed:
  - ID of the requesting node  $P_m$  is entered in the local queue of site for  $P_{hold}$

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## Major Steps in Raymond's Algorithm



- When  $P_{hold}$  comes out of the CS, the following steps are performed:
  - An ID from its local queue is deleted, say  $X$
  - The edge between  $P_{hold}$  and  $P_x$  is reversed in the spanning tree
  - The token is sent to  $P_x$
  - If the local queue of  $P_{hold}$  is not empty then a token request is sent to  $P_x$
  - $P_x$  becomes the new  $P_{hold}$

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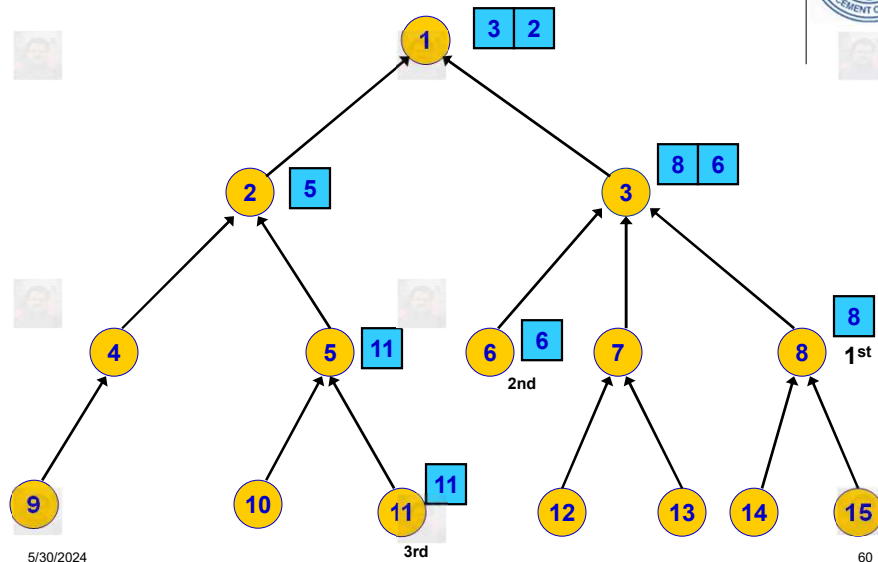
## Major Steps in Raymond's Algorithm



- When node  $P_x$  gets token from parent node, the following steps are performed:
  - An ID from the local queue is deleted, say  $Z$
  - If this ID is same as that for  $P_x$  itself (i.e., if  $X=Z$  as in this case) then
    - Node  $P_x = P_z$  enters the CS
  - If  $X \neq Z$  then
    - the token will be passed to node  $P_z$
    - If the local queue at  $P_x$  is not empty then a token request is sent to  $P_z$

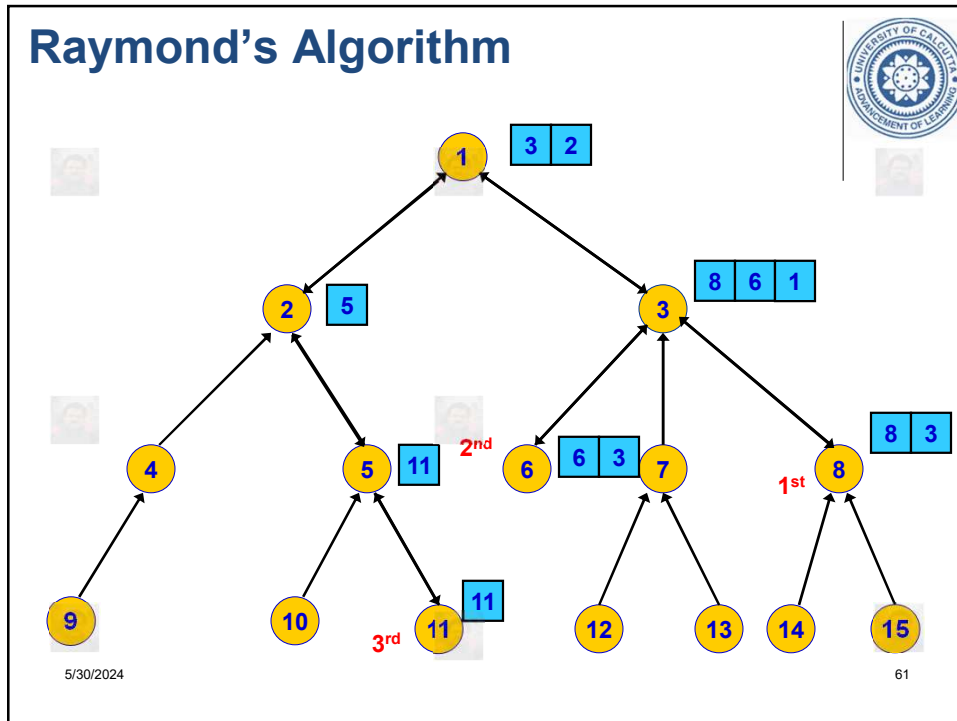
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## Raymond's Algorithm



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## Raymond's Algorithm



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## Safety in Raymond's Algorithm

- Whenever a node receives a token (PRIVILEGE), it becomes privileged.
- Similarly, whenever a node releases the token, it becomes unprivileged.
- No node is privileged between the instants when one node releases token and the next node acquires it.
- Thus, there is at most one privileged node at any point of time in the system that can enter CS.

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**Thanks for your kind attention**

**Questions??**