CMPT 409: Theoretical Computer Science

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Lecture 4: Computability (12 - 16 June)

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4.1 Functions

Let ∞ denote undefined.

Definition 4.1 A partial function is a funtion $f: (\mathbb{N} \cup \{\infty\})^n \to \mathbb{N}\{\infty\}$ such that $f(c_1, ..., c_n) = \infty$ if some $c_i = \infty$.

The **domain** of function f is $Dom(f) = \{\bar{x} \in \mathbb{N}^n : f(\bar{x}) \neq \infty\}$ where $\bar{x} = (x_1, ..., x_n)$. If $Dom(f) = \mathbb{N}^n$ then f is **total**.

4.2 Register Machines

A register machine RM is a finite set of registers, $R_1, ..., R_m$, each storing some natural number. Program $P = \langle c_0, ..., c_{h-1} \rangle$ where each c_i is a command. The list of allowed commands are:

- 1. z_i : zero register R_i .
- 2. S_i : increment register R_i .
- 3. $J_{i,j,k}$: jump to command c_k if $R_i = R_j$.

The **state** of a RM is $\langle k, R_1, ..., R_m \rangle$ where k is the index of the next command to execute and R_i the content of register i.

The map $\mathsf{Next}_P(s)$ returns the state resulting from the execution of one step of the program P in state s. State s_i is **halting** if k = h. Then $\mathsf{Next}_P(s) = s$. For current state $s = \langle k, R_1, ..., R_m \rangle$, $\mathsf{Next}_P(s)$ is defined as:

- 1. If k < h and $c_k = s_i$ then the new state is $\langle k+1, R_1, ..., R_i + 1, ..., R_m \rangle$.
- 2. If k < h and $c_k = z_i$ then the new state is $(k + 1, R_1, ..., R_{i-1}, 0, ..., R_m)$.
- 3. If k < h and $c_k = J_{i,j,k'}$ then the new state is $\langle k', R_1, ..., R_m \rangle$ if $R_i = R_j$ and $\langle k+1, R_1, ..., R_m \rangle$ if $R_i \neq R_j$ and k < h.

The computation of P is a sequence (finite or infinite) of states $s_0, s_1, ...$ such that $s_{i+1} = \mathsf{Next}_P(s_i)$ when $i \ge h$ —ending with the halting state. A program computes a function $f(a_1, ..., a_n)$ if $s_0 = \langle 0, a_1, ..., a_n, 0, ..., 0 \rangle$ and when started from s_0, P halts with $R_1 = f(a_1, ..., a_n)$. If P fails to halt, then $f(a_1, ..., a_n) = \infty$.

Conversely, for each P and $n \ge 0$, there is an n-ary function f_P computable by P. We say that such an f is computable if some RM computes it.

Example 4.2 Consider how we would implement the function f(x) = x - 1 using a RM. First observe that we are dealing with natural numbers we need to assume that $x - 1 \ge 0$. We begin with $s_0 = \langle 0, x, 0 \rangle$. At a high level, the program should set R_3 (currently zero) to 1, then simultaneously increment R_1 and R_3 until the latter is equal to x. Formally the computation can be preformed using the following sequence — with s_i the halting state:

$$c_0 = s_3, c_1 = J_{2,3,5}, c_2 = s_1, c_3 = s_3, c_4 = J_{1,1,1}$$

It is a good exercise to consider how you would implement $f(x, y) = x \cdot y$ as a RM.

Definition 4.3 A function f defined from g and h by **primitive recursion** if and only if

$$f(\bar{x},0) = g(\bar{x})$$

$$f(\bar{x},y+1) = h(\bar{x},y,f(\bar{x},y))$$

where $\bar{x} = x_1, ..., x_n$. n = 0 is allowed. Here you want to think of g as the base case and h as the recursive step.

Example 4.4 Lets consider how to define addition using primitive recursion: we define $f_+(x,y)$ as follows: let $f_+(x,0) = g(x) = x$ and $f_+(x,y+1) = h(x,y,f_+(x,y)) = f(x,y) + 1$. Notice that g is essentially the identity and h is the successor function on the third argument.

Next we use $f_+(x,y)$ to define $f_-(x,y)$. The base case is $f_-(x,0) = g(x) = 0$ (see below). Let $f_-(x,y+1) = h(x,y,f_-(x,y)) = x + f_-(x,y)$. In particular $h(x,y,z) = f_+(I_{3,1},I_{3,3})$ where $z = f_-(x,y)$.

Finally we define exponentiation using f(x,y). Let

You should think of primitive recursion as those functions are bounded by construct. This is not true of functions in general, so RM is a strict subset of TM.

Definition 4.5 f is defined from g and $h_1, ..., h_m$ by composition if an only if

$$f(\bar{x}) = g(h_1(\bar{x}), ..., h_m(\bar{x}))$$

where $f, h_1, ..., h_m$ are h-ary and g is m-ary.

Definition 4.6 f is **primitive recursive** if and only f can be obtained from the initial functions: Z:0,S: $s(x)=x+1,I_{n,i}(x_1,...,x_n)=x_i$ where $i \le i \le n$ (projection).

Example 4.7 Consider using the above definition of primitive recursion to define the constant function $z_1(x) = 0$. Let $z_1(0) = Z = g$ (Z as in the definition). $z_1(y+1) = z_1(y) = h(y, z_1(y))$ where $h = I_{1,1}$.

Generally for the constant function $k_{n,i}$,

$$k_{n,i}(x_1,...,x_n) = i$$

We can also define $k_{n,i}$ using recursion! In particular our base case is $z_1(x)$. $k_{n,i} = s(s(\cdots s(z_1(I_{i,1}))\cdot))$ where the successor function s (again defined above) is applied i times.

Theorem 4.8 Ackerman's function is not primitive recursive.

Proof: