

## Assignment 6

**Claim 1.** *Algorithm 1 is a linearizable, obstruction-free implementation of a multi-writer snapshot object. Here  $R$  is a multi-writer register and  $S$  is an array of  $m$  multi-writer registers. The multi-writer snapshot object described in Algorithm 1 is not non-blocking.*

---

**Algorithm 1** Operations for the multi-writer snapshot object.

---

```

1: UPDATE( $j, v$ ) by process  $p_i$ :
2:  $R \leftarrow \text{WRITE}(i)$ 
3:  $S[j] \leftarrow \text{WRITE}(v)$ 
4: return
5:
6: SCAN() by processor  $p_i$ :
7: do
8:    $R \leftarrow \text{WRITE}(i)$ 
9:    $c \leftarrow \text{COLLECT}(S)$ 
10: while  $\text{READ}(R) \neq i$ 
11: return  $c$ 

```

---

*Proof.* Lemma 2 shows a linearization of the update and scan operations of Algorithm 1. Lemma 3 shows that the multi-writer snapshot object is obstruction-free. Lemma 4 shows that the object is *not* non-blocking by presenting a configuration in which no process can finish its operation in a finite number its own steps.  $\square$

**Lemma 2.** *The implementation of a multi-writer snapshot object in Algorithm 1 is linearizable.*

*Proof.* Let  $s$  be the schedule of a set of operations on the multi-writer snapshot object. Since  $R$  is a multi-writer register, the sequence of writes to  $R$  is linearizable. Let  $\pi_R$  be the linearization of the low-level write operations to  $R$  in the execution of  $s$ . Our linearization  $\pi$  of  $s$  sets the linearization point of each update and scan operation at the point of their last write operation to  $R$ . Note that the linearization point of every operation occurs within its interval of invocation and response.

We consider the following cases to show that  $\pi$  preserves the order of UPDATE and SCAN operations. Let  $\sigma_1$  and  $\sigma_2$  be two operations such that  $\sigma_1$  ended before  $\sigma_2$  began and let  $\pi(\sigma_1)$  and  $\pi(\sigma_2)$  be their respective linearization points under  $\pi$ .

1. Let  $\sigma_1$  and  $\sigma_2$  both be UPDATE operations. Suppose for a contradiction that  $\pi(\sigma_1) > \pi(\sigma_2)$ . The write-to- $R$  of  $\sigma_1$  must have taken place after the write-to- $R$  of  $\sigma_2$ . This is a contradiction since  $\sigma_1$  terminated before  $\sigma_2$  (and by extension the write-to- $R$  of  $\sigma_2$ ) began.
2. Suppose  $\sigma_1$  and  $\sigma_2$  are both SCAN operations. If  $\pi(\sigma_1) > \pi(\sigma_2)$  then the last write of  $\sigma_2$  occurs before the last write-to- $R$  of  $\sigma_1$ . This is impossible as  $\sigma_1$  ended before the start of  $\sigma_2$ .
3. Suppose  $\sigma_1$  is an UPDATE operation while  $\sigma_2$  is a SCAN operation. Suppose for a contradiction that  $\pi(\sigma_1) > \pi(\sigma_2)$ . Again, this order of the linearization points implies a non-empty intersection between the execution intervals of  $\sigma_1$  and  $\sigma_2$ . This is a contradiction since  $\sigma_1$  terminated before the start of  $\sigma_2$ .

4. If  $\sigma_1$  is as SCAN operation while  $\sigma_2$  is an UPDATE operation we still cannot have  $\pi(\sigma_1) > \pi(\sigma_2)$  by the same reasoning as the above. □

**Lemma 3.** *The implementation of a multi-writer snapshot object in Algorithm 1 is obstruction-free.*

*Proof.* It is easy to see that the UPDATE operation is obstruction-free since it only consists of two write operations to obstruction-free multi-writer registers. Next consider the solo-execution of some process  $p_i$  during a SCAN operation.  $p_i$  writes  $i$  to  $R$  then performs a COLLECT operation on  $S$  and stores the result in  $c$ . Then  $p_i$  reads register  $R$ . Since no other processor has written to  $R$ , the value in  $R$  is still  $i$ . Thus  $p_i$  exists the while-loop and terminates after returning  $c$ . □

**Lemma 4.** *The implementation of a multi-writer snapshot object in Algorithm 1 is not non-blocking.*

*Proof.* Let  $p_1$  and  $p_2$  be two processes sharing such a multi-writer snapshot object. The initial value in  $R$  is 0 and the initial value in  $S$  is  $\langle 0, 0 \rangle$ . Suppose both  $p_1$  and  $p_2$  initiate a SCAN operation and consider the following sequence of low-level READ and WRITE operations where  $op_i$  is an operation performed by process  $p_i$  (ignore the COLLECT operations since they do not interact with  $R$ ).

$$\text{WRITE}_1(1), \text{WRITE}_2(2), \text{READ}_1().$$

Since  $p_2$  was the most recent process to write to  $R$ ,  $\text{READ}_1()$  will return 2 and  $p_1$  will perform another iteration of the while-loop. Then, if we have

$$\text{WRITE}_1(1), \text{READ}_2(),$$

$\text{READ}_2()$  will return 1 since  $p_1$  was the most recent process to write to  $R$  so  $p_2$  will also perform another iteration of the while-loop. The sequence can be extended indefinitely by repeating

$$\text{WRITE}_2(2), \text{READ}_1(), \text{WRITE}_1(1), \text{READ}_2().$$

Neither process can finish within a finite number of its own steps so the multi-writer snapshot object described in Algorithm 1 is not non-blocking. □