

- Combinatorics: The study of arrangements of objects.
- Counting is required to determine whether there are enough telephone numbers or Internet protocol addresses to meet demand. Counting techniques are used extensively when probabilities of events are computed.
- Basic Counting Principles
  - ↳ The Product Rule
  - ↳ The Sum Rule

THE PRODUCT RULE: Suppose that a procedure can be broken down into ~~the~~ sequence of two tasks. If there are  $n_1$  ways to do the first task and for each of these ways of doing the first task, there are  $n_2$  ways to do the second task, then there are  $n_1 n_2$  ways to do the procedure.

Example: A new company with just two employees, Sanchez and Patel, rents a floor of a building with 12 offices. How many ways are there to assign 12 different offices to these two employees?

Solution:

$$12 \cdot 11 = 132$$

## Number of choices (fundamental principle)

Example 2: The chairs of an auditorium are to be labeled with a letter and a positive integer not exceeding 100. What is the largest number of chairs that can be labeled differently?

Solution:

$$26 \cdot 100 = 2600$$

## Extended Product Rule

Suppose that a procedure is carried out by performing the tasks  $T_1, T_2, \dots, T_m$  in sequence. If each task  $T_i$ ,  $i = 1, 2, \dots, n$ , can be done in  $n_i$  ways, regardless of how previous tasks were done, then there are  $n_1 \cdot n_2 \cdots n_m$  ways to carry out the procedure.

Example How many different bit strings of length seven are there?

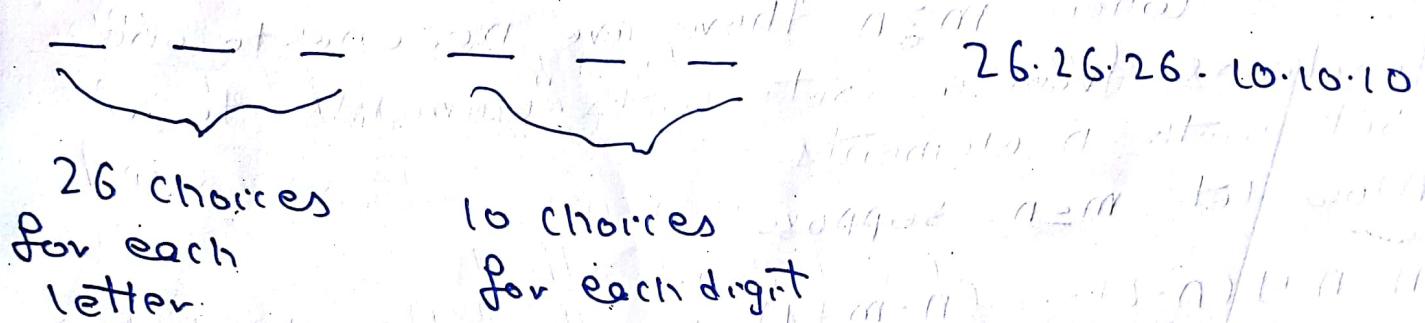
Solution: Each of the seven bits can be chosen in two ways, because each bit is either 0 or 1. Therefore, the product rule shows that there are a total of  $2^7 = 128$  different bit strings of length seven.

## Example

(b)

Example How many different license plates are available if each plate contains a sequence of three letters followed by three digits?

Solution:



Example: Counting functions.

How many functions are there from a set with  $m$  elements to a set with  $n$  elements?

Solution: A function corresponds to a choice of one of the  $n$  elements in the codomain for each of the  $m$  elements in the domain. Hence, by product rule, there are  $n \cdot n \cdots n = n^m$  functions from a set with  $m$  elements to one with  $n$  elements.

For example, there are  $5^3$  different functions from a set with three different elements to a set with 5 elements!

### Example 7 Counting One-to-one functions

How many one to one functions are there from a set with  $m$  elements to set with  $n$  elements?

Solution: When  $m \geq n$  there are no one-to-one functions from a set with  $m$  elements to a set with  $n$  elements.

Now let  $m \leq n$ . Suppose

$$n(n-1)(n-2) \dots (n-m+1)$$

- for example, there are  $5 \cdot 4 \cdot 3 = 60$  one-to-one functions from a set with three elements to a set with five elements.

The Sum Rule: If a task can be done either in one of  $n_1$  ways or in one of  $n_2$  ways, where none of the set of  $n_1$  ways is the same as any of the set of  $n_2$  ways, then there are  $n_1 + n_2$  ways to do the task.

Extended Sum Rule:

We can suppose that a task can be done in one of  $n_1$  ways, in one of  $n_2$  ways, or in one of  $n_m$  ways, where none of the set of  $n_i$  ways of doing the task is same as any of the set of  $n_j$  ways, for all  $i$  and  $j$  with  $1 \leq i \leq j \leq m$ . Then number of ways to do the

Task 18.  $n_1 + n_2 + \dots + n_m$ .

Example: A student can choose a computer project from one of three lists. The three lists contain 23, 15 and 19 possible projects, respectively. No project is on more than one list. How many projects are there to choose from?

$$\text{Solution: } 23 + 15 + 19 = 57.$$

Example: Each user on a computer system has a password, which is six to eight characters long, where each character is an upper case or a digit. Each password must contain at least one digit. How many possible passwords are there?

$$\text{Solution: } P = P_6 + P_7 + P_8$$

$$P_6 = 36^6 - 26^6$$

$$P_7 = 36^7 - 26^7$$

$$P_8 = 36^8 - 26^8$$

## THE PIGEONHOLE PRINCIPLE

It states that if there are more pigeons than pigeonholes, then there must be at least one pigeon hole, with at least two pigeons in it.

Theorem I: The ~~Pigeonhole Principle~~ will be:

If  $k$  is a positive integer and  $k+1$  or more objects are placed into  $k$  boxes, then there is at least one box containing two or more of the objects.

Proof:

Proof by

Suppose that none of the  $k$  boxes contains more than one object. Then the total number of objects would be at most  $k$ . This is a contradiction, because there are at least  $k+1$  objects.

- The Pigeonhole Principle is also called the Dirichlet drawer principle.

Corollary I: A function  $f$  from a set with  $k+1$  or more elements to a set with  $k$  elements is not one-to-one.

Proof: Suppose that for each element  $y$  in the codomain of  $f$  we have a box that contains all elements  $x$  of the domain of  $f$  such that  $f(x)=y$ . Because the domain contains  $k+1$  or more elements,

and the codomain containing only  $k$  elements, the Pigeonhole principle tells us that one of these boxes contains two or more elements  $x$  of the domain. This means  $f$  cannot be one-to-one.

Example 1: Among any group of 6367 people, there must be at least two with the same birthday, because there are only 366 possible birthdays.

The Generalized Pigeonhole Principle: If  $N$  objects are placed into  $k$  boxes, then there is at least one box containing at least  $\lceil N/k \rceil$  objects.

Proof: We will use a proof by contradiction. Suppose that none of the boxes containing more than  $\lceil N/k \rceil - 1$  objects.

$$\text{Then the total number of object is at most } k(\lceil \frac{N}{k} \rceil - 1) \leq k((\lceil \frac{N}{k} \rceil + 1) - 1) = N$$

where the inequality  $\lceil N/k \rceil \leq \lceil N/(k+1) \rceil + 1$  has been used.

This is a contradiction because there are total of  $N$  objects.

Example: Among 100 people there are at least  $\lceil \frac{100}{12} \rceil = 9$  who were born in the same month.

Example: How many cards must be selected from a standard deck of 52 cards to guarantee that at least three cards of the same suit are chosen?

Solution: Pigeon hole = 4      If "at least" 3 pigeons should be put in 4 pigeonholes, then  $\lceil \frac{N}{4} \rceil \geq 3$ .  $N = 2 \cdot 4 + 1 = 9$

Example: Find the minimum number of elements that needs to take from the set  $A = \{1, 2, \dots, 9\}$  to be sure that two of them add up to 10.

Solution: Here,  $A = \{1, 2, \dots, 9\}$  So pigeonholes are the five sets  $(1, 9), (2, 8), (3, 7), (4, 6), (5, 5)$ , so that we have  $N=5$  pigeonholes and  $k+1=2$  pigeons. Then  $k=1$ . Now,  $k+1=5+1=6$ . Thus, any choice of six elements (pigeons) of  $A$  will guarantee that two of them add up to ten.

Example: How many numbers must be selected from the set  $\{1, 3, 5, 7, 9, 11, 13, 15\}$  to guarantee that at least one pair of these numbers add up to 16?

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Solution: The pairs of numbers that sum 16 are (1, 15), (3, 13), (5, 11), (7, 9) i.e. 4 pairs of numbers are there that add to 16. If we select 5 numbers then by Pigeonhole principle there are at least  $\lceil \frac{5}{4} \rceil = 2$  numbers, that are from the set of selected 5 numbers, that constitute a pair. Hence, 5 numbers must be selected.

Example: In a group of  $n$  people, there are two people who have an identical number of friends within the group. [Everyone has at least one friend]

Solution:  $n$  people to distribute among  $\{1, 2, \dots, n-1\}$  friends.  $\{\text{No. of friends}\} = \{1, \dots, n-1\}$

$$\text{Pigeonhole} = n-1$$

$$\text{Pigeon} = n$$

$$\lceil \frac{n}{n-1} \rceil = 2$$

Example:

When we have 1000 students in a class and 999 subjects, then we can find a student who has marks in all the subjects.

and it makes both quantities for each of the remaining distinct symbols. If we multiply all these together, we get the total number of the different arrangements possible, and it corresponds to the right-hand side of the equality for three sets with three, two, and one symbol respectively.

It is also evident that if there are  $n$  objects, and they are all different, then the number of ways of arranging them is equal to  $n!$ .

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PERMUTATIONS AND COMBINATIONS: Well, a permutation is an arrangement of objects in a definite order.

PERMUTATION: A permutation of a set of distinct objects is an ordered arrangement of these objects. ~~also~~

An ordered arrangement of  $r$  elements of a set is called an  $r$ -permutation.

• Theorem:

If  $n$  is a positive integer and  $r$  is an integer with  $1 \leq r \leq n$ , then there are

$$P(n,r) = n(n-1)(n-2)\dots(n-r+1)$$

• Corollary: If  $n$  and  $r$  are integers with  $0 \leq r \leq n$ , then  $P(n,r) = \frac{n!}{(n-r)!}$

Example: How many ways are there to select a first prize winner, a second prize winner and a third prize winner from 100 different people who have entered a contest?

Solution:

Method 1:  $n = 100$ ,  $r = 3$

Method 2:  $n = 100$ ,  $r = 3$

$$P(100, 3) = \frac{n!}{(n-r)!} = \frac{100!}{97!} = 970,200$$

Combinations:

Combination of objects means just their collection without any regard to order or arrangement.

Theorem: The number of  $r$ -combinations of a set with  $n$  elements, where  $n$  is a non-negative integer and  $r$  is an integer with  $0 \leq r \leq n$ ,

$$C(n, r) = \frac{n!}{r!(n-r)!}$$

Corollary: Let,  $n$  and  $r$  be non-negative integers with  $r \leq n$ . Then,

$$C(n, r) = C(n, n-r)$$

Example: How many different committees of three students can be formed from a group of four students?

Solution:

$$n = 4 \quad r = 3$$

$$C(n, r) = \frac{4!}{1 \times 3!} = 4$$

Ans

Selection of three alphabets from a, b, c, d, and

Number of ways forming a word of length 3 by selecting three letters from a, b, c, d.

Combination	Permutations
abc	abc, aicb, bac, bca, cab, cba
abd	abd, adb, bad, bda, dab, dba
acd	acd, adc, cad, cda, dac, dca
bcd	bcd, bdc, cbd, cd b, dbc, dc b

## PERMUTATIONS WITH REPETITIONS

The permutations of  $n$  objects taken all at a time, when there are  $p$  objects of one kind,  $q$  objects are of second kind,  $r$  objects or of a third kind, & so on to  $m$  kinds.

Example: How many seven-letter words can be formed using the letters of the word "BENGALI"?

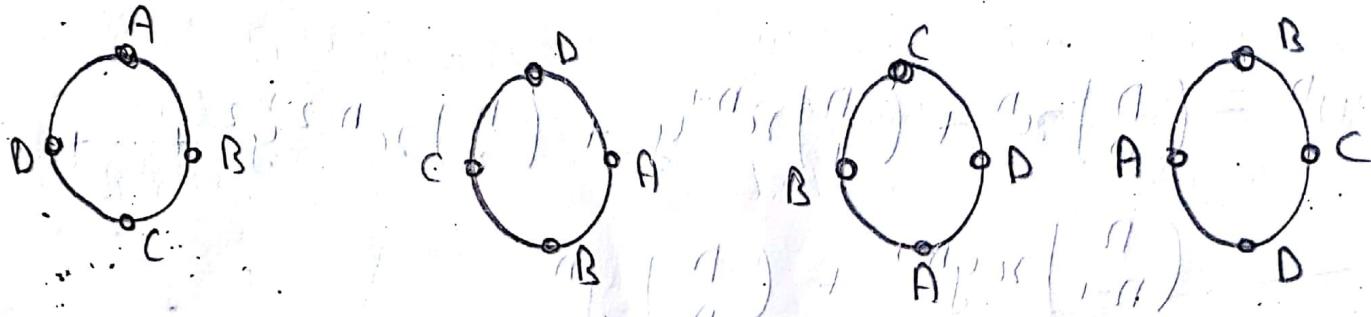
Solution:

$$\frac{7!}{3! 2!} = 420$$

Explanations: Total number of ways of forming a 7-letter word from the letters of the word "BENGALI" =  $7!$ . But the letter E appears twice and the letter N appears three times. Hence the number of ways of forming a 7-letter word =  $\frac{7!}{3! 2!}$ .

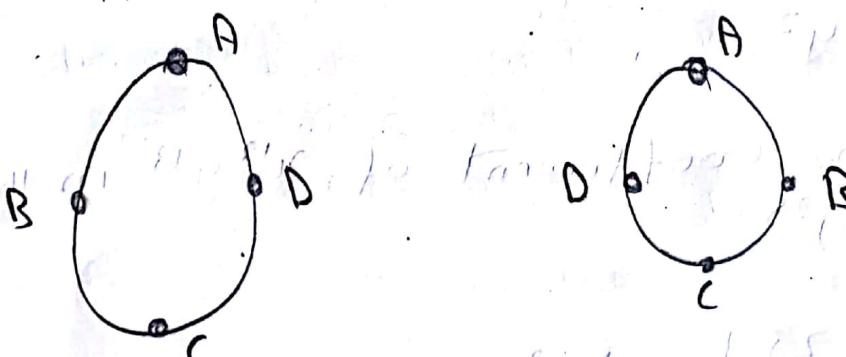
## Circular Permutation:

- The number of ways of arranging  $n$ , unlike objects in a ring when clockwise and anticlockwise arrangements are different is  $(n-1)!$ .



The above four arrangements are same as A always has D on his immediate right and B on his immediate left.

- The number of ways of arranging  $n$  unlike objects in a ring, when clockwise and anticlockwise arrangements are the same, is  $\frac{(n-1)!}{2}$ .
- Following two arrangements are the same - the one is the other viewed from the other side.



- The number of arrangements of 4 beads on a ring is  $\frac{3!}{2}$ .

## BINOMIAL THEOREM.

Let  $x$  and  $y$  be variables, and let  $n$  be a non-negative integer. Then  $(x+y)^n$  is equal to a sum of terms such that each term has a fixed number of factors  $x$  and  $y$ .

$$(x+y)^n = \sum_{j=0}^n \binom{n}{j} x^{n-j} y^j$$

$$\text{or } (x+y)^n = \binom{n}{0} x^n + \binom{n}{1} x^{n-1} y + \binom{n}{2} x^{n-2} y^2 + \dots + \binom{n}{n-1} x y^{n-1} + \binom{n}{n} y^n$$

Example: Find the first three terms in the expansion of  $(x+y)^5$ .

$$(x+y)^2 = x^2 + 2xy + y^2$$

Use Binomial Theorem

$$\begin{aligned} (x+y)^2 &= \sum_{j=0}^2 \binom{n}{j} x^{2-j} y^j \\ &= \binom{2}{0} x^2 y^0 + \binom{2}{1} x^{2-1} y^1 + \binom{2}{2} x^0 y^2 \\ &= x^2 + 2xy + y^2 \end{aligned}$$

Example What is the coefficient of  $x^{12}y^{13}$  in the expansion of  $(x+y)^{25}$ ?

Solution

$$\begin{array}{l} n=25 \\ j=13 \end{array} \quad \binom{n}{j} = \binom{25}{13} = 5,200,300$$

Example What is  $(2a-b)^4$ ?

$$\begin{aligned}
 &= \binom{4}{0} (2a)^4 (-b)^0 + \binom{4}{1} (2a)^3 (-b)^1 + \binom{4}{2} (2a)^2 (-b)^2 + \binom{4}{3} (2a)^1 (-b)^3 + \binom{4}{4} (2a)^0 (-b)^4 \\
 &= 1 \times 16a^4 + 4 \times 8a^3 (-b) + 6 \times 4a^2 b^2 + 4 \times 2a b^3 + 1 \times b^4 \\
 &= 16a^4 - 32a^3 b + 24a^2 b^2 - 8a b^3 + b^4
 \end{aligned}$$

## Pascal's Identity and Triangle:

### Theorem

Let  $n$  and  $k$  be positive integers with  $n \geq k$ . Then,

$$\binom{n+1}{k} = \binom{n}{k-1} + \binom{n}{k}$$

- Pascal's Identity, together with the initial conditions  $\binom{n}{0} = \binom{n}{n} = 1$  for all integers  $n$ , can be used to recursively define binomial coefficients. This recursive definition is useful in the computation of binomial coefficients because only addition and not multiplication, of integers are needed to use this recursive definition.
- Pascal's Identity is the basis for a geometric arrangement of the binomial coefficients in a triangle as shown in figure,

The  $n$ th row in the triangle consists of the binomial coefficients.

$$\binom{n}{k} \text{ or } k = \text{number of terms}$$

$$(d+1) \binom{n}{k}$$

This triangle is known as Pascal's Triangle.  
Pascal's Identity shows that when two adjacent binomial coefficients in this triangle are added the binomial coefficients in the next row between these two coefficients are produced.

$$\binom{0}{0}$$

$$\binom{1}{0} \quad \binom{1}{1}$$

$$\binom{2}{0} \quad \binom{2}{1} \quad \binom{2}{2}$$

$$\binom{3}{0} \quad \binom{3}{1} \quad \binom{3}{2} \quad \binom{3}{3}$$

$$\binom{4}{0} \quad \binom{4}{1} \quad \binom{4}{2} \quad \binom{4}{3} \quad \binom{4}{4} \quad \begin{matrix} 1 & 2 & 1 \\ 1 & 3 & 3 & 1 \end{matrix}$$

$$\binom{5}{0} \quad \binom{5}{1} \quad \binom{5}{2} \quad \binom{5}{3} \quad \binom{5}{4} \quad \binom{5}{5} \quad \begin{matrix} 1 & 4 & 6 & 4 & 1 \\ 1 & 5 & 10 & 10 & 5 & 1 \end{matrix}$$

$$\binom{6}{0} \quad \binom{6}{1} \quad \binom{6}{2} \quad \binom{6}{3} \quad \binom{6}{4} \quad \binom{6}{5} \quad \binom{6}{6} \quad \begin{matrix} 1 & 6 & 15 & 20 & 15 & 6 & 1 \end{matrix}$$

$$\binom{7}{0} \quad \binom{7}{1} \quad \binom{7}{2} \quad \binom{7}{3} \quad \binom{7}{4} \quad \binom{7}{5} \quad \binom{7}{6} \quad \binom{7}{7} \quad \begin{matrix} 1 & 7 & 21 & 35 & 35 & 21 & 1 \end{matrix}$$

By Pascal's Identity it is established that

$$\binom{6}{4} + \binom{6}{5} = \binom{7}{5} \quad \text{and} \quad \binom{7}{4} + \binom{7}{5} = \binom{8}{5}$$

Example: Find the expansion of  $(x+y)^6$

$$(x+y)^6 = x^6 + 6x^5y + 15x^4y^2 + 20x^3y^3 + 15x^2y^4 + 6xy^5 + y^6$$

$(x+y)^n$  takes coefficients of row  $n+1$

- Generating Permutations and Combinations

Suppose that a Salesman must visit six different cities. In which order should these cities be visited to minimize total travel time? One way to determine the best order is to determine the travel time for each of the  $6! = 720$  different orders in which the cities can be visited and choose the one with the smallest travel time.
- Second, suppose we are given a set of six positive integers and wish to find a subset of them that has 100 as their sum, if such a subset exists. One way to find these numbers is to generate all  $2^6 = 64$  subsets and check the sum of their elements.

### Generating Permutations:

Any set with  $n$  elements can be placed in one-to-one correspondence with the set  $\{1, 2, 3, \dots, n\}$ . We can list the permutations of any set of  $n$  elements by generating the permutations of the  $n$ -smallest positive integers and then replacing these integers with the corresponding elements.

- Here, we describe an algorithm to generate permutation based on the lexicographic (or dictionary) ordering of the set of permutations of  $\{1, 2, 3, \dots, n\}$ .

In this ordering, the permutation  $a_1, a_2, \dots, a_n$  precedes the permutations of  $b_1, b_2, \dots, b_n$  if for some  $k$ , with  $1 \leq k \leq n$ ,  $a_1 = b_1, a_2 = b_2, \dots, a_{k-1} = b_{k-1}$  and  $a_k < b_k$  maintains the following condition:

Example: The permutation 23415 of the set  $\{1, 2, 3, 4, 5\}$  precedes the permutation 23514, because these permutations agree in the first two positions, but the number in the third position in the first permutation, 4 is smaller than the number in the third position in the second permutation, 5.

Similarly, the permutation

41532001 precedes with respect to

the permutation 52143.

Example: What is the next permutation in lexicographic order after 362541?

Solution: The last pair of integers  $a_j$  and  $a_{j+1}$ , where  $a_j < a_{j+1}$ , is  $a_3 = 2$  and  $a_4 = 5$ . The least integer to the right of 2 that is greater than 2 in the permutation is  $a_5 = 4$ , hence, 4 is placed in the third position. Then, the integers 1, 5 and 1 are placed in order in the last three positions, giving 175, as the last three positions of the permutation. Hence, the next permutation is 364125.

Algorithm I: Generating the next permutation in  
lexicographic order.

Procedure  $\text{next\_permutation}$  takes a permutation  $a_1, a_2, \dots, a_n$  and  
produces the next permutation  $a'_1, a'_2, \dots, a'_n$ .

$j = n - 1$       { choose largest subscript such that  $a_j < a_{j+1}$  }

$\text{while } a_j > a_{j+1} \text{ do}$       { if no such  $j$ , then return to start }

$j = j - 1$

{  $j$  is the largest subscript with  $a_j < a_{j+1}$  }

$k = n$

$\text{while } a_j > a_k \text{ do}$

$k = k - 1$

{  $a_k$  is the smallest integer greater than  $a_j$  to  
the right of  $a_j$  }

interchange  $a_j$  and  $a_k$       { so that  $a_j < a_k$  }

$r = n$       { the right end of the permutation }

$s = j + 1$

$\text{while } r > s \text{ do}$

begin

interchange  $a_r$  and  $a_s$

$r = r - 1$

$s = s + 1$

end

{ This puts the tail end of the permutation  
after the  $j^{\text{th}}$  position in increasing order }

Generating Combinations: How can we generate all the combinations of the elements of a finite set? Because a combination is just a subset, and has a 0 in this position if  $a_k$  is not in the subset. If all the bit strings of length  $n$  can be listed, then by the correspondence between subsets and bit strings, a list of all the subsets is obtained.

Example 1: Find the next bit string after 1000100111.

Solution:

1000101000

Algorithm 2: Generating next larger Bit String

procedure next-bit-string (b<sub>0</sub>, b<sub>1</sub>, ..., b<sub>n-1</sub>: bit string  
not equal to 11...11)

i = 0

while  $b_i = 1$

begin

$b_i = 0$

    i = i + 1

end

$b_i = 1$  when  $i = n - 1$  for last part of string with

    last segment in order of increasing index

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Example: Find the next larger 4-combination of the set  $\{1, 2, 3, 4, 5, 6\}$  after  $\{1, 2, 5, 6\}$

Solution: The last term among the terms  $a_i$  with  $a_1=1, a_2=2, a_3=5$  and  $a_4=6$  such that  $a_i = 6 - i + 1$  is  $a_2=2$ . To obtain the next larger 4-combination, increment  $a_2$  by 1 to obtain  $a_2=3$ . Then set  $a_3 = 3+1=4$  and  $a_4 = 3+2=5$ . Hence, the next larger 4-combination is  $\{1, 3, 4, 5\}$ .

$$\begin{smallmatrix} 1 & 1 & 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 & 0 & 0 \end{smallmatrix}$$

Algorithm 3:

Generating the Next r-combination in Lexicographic Order.

Procedure: next r-combination ( $\{a_1, a_2, \dots, a_r\}$ )  
proper subset of  $\{1, 2, \dots, n\}$  not equal to  $\{n-r+1, \dots, n\}$  with  $a_1 < a_2 < \dots < a_r$

$i=r$

while  $a_i = n-r+i$

①  $i = i-1$

$a_i = a_{i+1}$

for  $j = i+1$  to  $r$

$a_j = a_i + j - i$

-ment is called a sample space. Each element of a sample space is called a sample point. The number of sample points in  $S$  may be denoted by  $n(S)$ .

Eg. in the rolling the die, the sample space  $S = \{1, 2, 3, 4, 5, 6\}$  and sample points may be 1 or 3.

Event: The results or outcomes of experiments are called events.

Equally likely events:

A number of events are said to be equally likely if any one of them cannot be expected to occur in preference to the other.

Exhaustive events: Events are said to be exhaustive when they include all possible outcomes of a random experiment. In tossing a coin, exhaustive events are two.

Mutually exclusive events: Two or more events are said to be mutually exclusive or disjoint if the events cannot occur simultaneously i.e. occurrence of one of the events prevents the occurrence of others.

Let, A and B are any two events defined on a sample space  $S$  and  $A \cap B = \emptyset$  then A and B are called mutually exclusive.

Eg. While tossing a coin the events occurrence of head and tails are mutually exclusive.

### Probability of events:

$P(E) = \frac{\text{Number of outcomes favourable to the occurrence of } E}{\text{Total number of all possible outcomes}}$

$$P(E) = \frac{n(E)}{n(S)}$$

The probability of non-occurrence of the event A is

$$P(E') = \frac{n(S) - n(E)}{n(S)}, P(E') = 1 - P(E)$$

Example: Three unbiased coins are tossed

(a) Write the sample space.

(b) Find the probability of: all heads, at most 2 heads.

Solution:

(a) Let S denotes the sample space of tossing three coins.

$$S = \{HHH, HHT, HTH, HTT, THH, THT, TTH, TTT\}$$

Additional Principle: If  $A$  and  $B$  are two events such that  $A \cap B = \emptyset$ , then  $P(A \cup B) = P(A) + P(B)$ .

$$P(A \cup B) = P(A) + P(B) - P(A \cap B)$$

Example: Suppose a student is selected at random from 200 students, when 70 are taking C-Programming, 50 are taking JAVA and 20 are taking both. Find the probability that the student is taking C or JAVA.

$$P(C \cup J) = P(C) + P(J) - P(C \cap J)$$

Conditional Probability:

Let  $E$  be an event in a sample space  $S$  with  $P(E) > 0$ . Then, probability that any event  $A$  occurs once  $E$  has occurred or specifically, the conditional probability of  $A$  given  $E$ , written as  $P(A|E)$ , is defined as.

$$P(A|E) = \frac{P(A \cap E)}{P(E)}$$

Example:

What is the conditional probability that a randomly generated bit string of length four contains at least two successive consecutive 0s, given the first bit is 1? (Assume that probability of '0' and '1' are same).

Solution:

Let  $E$  be the event that a bit string of length four contains at least one 0s and  $F$  be the event that the first bit is 1. The probability that a bit string of length four has at least two consecutive 0s, given that its first bit is 1, equals  $P(E|F)$ .

Without restriction the number of ways of bit string of length four can be formed with digits 0 and 1 is  $2^4 = 16$ .

There are  $2^3 = 8$  ~~ways~~ bit strings of length four that start with 1.

Thus,  $P(F) = \frac{8}{16} = \frac{1}{2}$

Again,  $E \cap F = \{1000, 1100, 1001\}$

So,  $P(E \cap F) = \frac{3}{16}$

$$P(E|F) = \frac{P(E \cap F)}{P(F)} = \frac{3/16}{8/16} = \frac{3}{8}$$

Independence: Events  $A$  and  $B$  in a probability space  $\Omega$  are said to be independent if the occurrence of one of them does not influence the occurrence of the other.

In other words,  $B$  is independent of  $A$  if  $P(B)$  is same as  $P(B|A)$ .

i.e Events  $A$  and  $B$  are independent if  $P(A \cap B) = P(A) \cdot P(B)$

Ex: In tossing two coins, the appearance of head on one coin does not affect the appearance of head in other coin; i.e. if the outcome of which is H, then the probability of getting H in other coin is also  $\frac{1}{2}$ .

Ex Suppose A is the event that a randomly generated bit string of length four begins with 1 and B is the event that this bit contains an even number of ones. Are A and B independent? If the 16 bit strings of length four are equally likely?

Solution:

There are eight bit strings of length four that begin with one: 1000, 1001, 1010, 1011, 1100, 1101, 1110, 1111.

There are also eight bit strings of length four that contain even number of ones.

$$\text{P}(A) = \frac{8}{16} = \frac{1}{2}$$

$$\text{P}(B) = \frac{8}{16} = \frac{1}{2}$$

$$\text{P}(A \cap B) = \frac{1}{16} = \frac{1}{2} \times \frac{1}{2} = \frac{1}{4}$$

$$\text{P}(A \cap B) = \frac{1}{4} = \frac{1}{2} \times \frac{1}{2} = \text{P}(A) \cdot \text{P}(B)$$

Hence, A and B are independent.

Probability of getting at least one tail in tossing two coins is  $\frac{1}{2} + \frac{1}{2} = 1$ .

## Random Variable:

A variable whose numerical value is determined by the outcome of a random experiment is called a random variable.

A random variable  $X$  is a real valued function,  $X(\omega)$ , of the elements of the sample space  $S$  where  $\omega$  is an element of the sample space. Random variables assigns a real number to each possible outcome.

Example: If we toss a coin and denote the head by 1 and tail by 0, then the random variable  $X$  takes only two values 1 and 0. Symbolically, the random variable  $X(\omega) = \{ \omega : X = (1, 0) \in S \}$ .

## Expected Value and Variance:

The expected value of random variable is the sum of overall elements in a sample space of the product of the probability of the element and the value of the var. of the random variable at this element.

The expected value of a random variable provides a central point for the distribution of values of this random variables.

Another useful measure of a random variable is its variance, which tells us how spread out the values of a random variable are:

i. The expected value of the random variable  $X(S)$  on the sample space  $S$  is equal to

$$E(X) = \sum_{S \in S} P(S) X(S)$$

Example: Let  $X$  be the number that comes up when a die is rolled. What is the expected value of  $X$ ?

Solution:

The random variable  $X$  takes the values 1, 2, 3, 4, 5 and 6, each of them has probability  $\frac{1}{6}$ .

This results in  $E(X) = \frac{1}{6} \cdot 1 + \frac{1}{6} \cdot 2 + \frac{1}{6} \cdot 3 + \frac{1}{6} \cdot 4 + \frac{1}{6} \cdot 5 + \frac{1}{6} \cdot 6$

which is  $= \frac{3}{2}$  and called the mean of the random variable  $X$  for sample set  $S$ .

Example: An unbiased coin is tossed three times. Let  $S$  be the sample space of eight possible outcomes and  $X$  be the random variable that assigns to an outcome the number of tails in that outcome.

What is the expected value of  $X$ ? before sample space

Solution: When an unbiased coin is tossed three times, the eight possible outcomes in sample space are.

$$S = \{HHH, HHT, HTH, HTT, THH, THT, TTH, TTT\}$$

Since coin is unbiased and toss are independent.

Then the probability of each outcome is  $\frac{1}{8}$ .

$$\begin{aligned} \therefore E(X) &= \frac{1}{8} [X(HHH) + X(HHT) + X(HTH) + X(HTT) \\ &\quad + X(THH) + X(THT) + X(TTH) + X(TTT)] \\ &= \frac{1}{8} [6 + 1 + 1 + 2 + 1 + 2 + 3] \\ &= \frac{3}{2} \end{aligned}$$

Variance: Variance of a random variable helps us characterize how widely a random variable is distributed.

Let  $X$  be a random variable on a sample space  $S$ . The variance of  $X$ , denoted by  $V(X)$  is

$$V(X) = \sum_{s \in S} (X(s) - E(X))^2 p(s)$$

$$V(X) = E(X^2) - E(X)^2$$

and the standard deviation of  $X$  is given by

$V(X)$  is the weighted average of the square of the deviation of  $X$ .  
 The standard deviation of  $X$ , denoted by  $\sigma(X)$  is defined to be  $\sqrt{V(X)}$ .

Example: What is the variance of the random variable  $X$ , where  $X$  is the number that comes up when a die is rolled?

Solution:

$$\text{We have, } V(X) = E(X^2) - E(X)^2$$

The random variable  $X$  takes the values 1, 2, 3, 4, 5 or 6, each of them has probability  $\frac{1}{6}$ .

$$\text{This results: } E(X) = \frac{1}{6} \cdot 1 + \frac{1}{6} \cdot 2 + \frac{1}{6} \cdot 3 + \frac{1}{6} \cdot 4 + \frac{1}{6} \cdot 5 + \frac{1}{6} \cdot 6 = \frac{7}{2}$$

Since  $X^2$  takes the values  $i^2$ ,  $i=1, 2, \dots, 6$ , each with probability  $\frac{1}{6}$ .

It follows that

$$E(X^2) = \frac{1}{6}(1^2 + 2^2 + 3^2 + 4^2 + 5^2 + 6^2) = \frac{91}{6}$$

$$\text{Hence, } V(X) = \frac{91}{6} - \left(\frac{7}{2}\right)^2$$

$$= \frac{35}{12}$$

$$\sigma(X) = \sqrt{V(X)} = \sqrt{\frac{35}{12}} =$$

Randomized Algorithm: An algorithm that uses random numbers to decide what to do next anywhere in its logic is called Randomized Algorithm.

Two types:

I: Las Vegas Algorithm: It uses random inputs so that they always terminate with the correct answer, ~~but cannot guarantee the expected running time~~  
~~It's faster.~~

II: Monte Carlo Algorithm: These algorithms have chance of producing an incorrect result or fail to produce a result either by signaling a failure or failing to terminate.

Types:

• Las Vegas Algorithm: it is a randomized algorithm that always gives correct result or it informs about the failure.

A Las Vegas algorithm does not gamble with correctness of the result; it gambles only with the resources used for the computation.

Eg. Randomized Quicksort, where pivot is chosen randomly, but result is always sorted.

Monte Carlo Algorithm: Answers may be incorrect, with certain (typically small) probability, and the resources used are bounded. e.g. Karger-Stein algorithm.

Primality Test: A primality test is an algorithm for determining whether an input number is prime.

Probability Primality Test:

Miller-Rabin Primality Test:

General Algorithm

1) Enter  $n$

2) find  $n-1 = 2^k \cdot m$

3) (Kroose, a; if  $a^m \not\equiv 1 \pmod{n-1}$ , then probably not prime)

4) (and test if a^m mod n is not 1, then probably not prime)

5) Compute

$b_0 = a^m \pmod{n}$  if  $b_0 \not\equiv -1 \pmod{n}$  then probably prime

else go to step 6

6) for all  $i=1$  to  $i=k-1$  do the following steps with  $a$  being unchanged, with  $b_i$  being changed

$b_i = b_{i-1}^2 \pmod{n}$  if  $b_i \not\equiv 1 \pmod{n}$  then probably composite

if  $b_i \not\equiv 1 \pmod{n}$  implies composite, end loop

if  $b_i \equiv 1 \pmod{n}$  probably prime, end loop

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Example:

(i)  $n = 53$

(ii)  $n-1 = 52$ ,

$S_2 = 2^2 \times 13, \quad k=2, m=13$

(iii) Let,  $a = 2$ 

(iv)  $b_0 = 2^{13} \pmod{53} = 30$

Since, it is not  $\pm 1$   
we go to step 5.

(v)  $b_1 = b_0^2 \pmod{53} = 52 = -1 \pmod{53}$

$\therefore b_1 = -1 \pmod{53}$

$\hookrightarrow n$  is probably prime.

- Now, we take different value of  $a$  and do this process again.

- The probability that a composite integer  $n$  passes Miller's test for a randomly selected base  $a$  is less than  $\frac{1}{4}$ .

Because the integer  $a$  with  $\text{lcm}(n)$  is selected at random at each iteration and these iterations are independent, the probability that  $n$  is composite but the algorithm responds that  $n$  is prime is less than  $(\frac{1}{4})^m$ .

By taking  $m$  to be sufficiently large, we can make this probability extremely small.

## ADVANCED COUNTING

### Recurrence Relations:

Suppose  $a_0, a_1, a_2, \dots, a_n$  is a sequence. A recurrence relation for the  $n^{\text{th}}$  term  $a_n$  is a formula (i.e. function) giving  $a_n$  in terms of some or all previous terms (i.e.  $a_0, a_1, \dots, a_{n-1}$ ). To find the complete sequence, the first few initial values are needed. These initial values are called initial conditions.

Ex

$$a_n = a_{n-1} - a_{n-2} \quad \text{with } a_0 = 3 \text{ and } a_1 = 5$$

Sol.

$$a_2 = 5 - 3 = 2$$

$$a_3 = 2 - 5 = -3$$

### Solving Recurrence Relation:

If the given recurrence relation involving sequence  $a_0, a_1, a_2, \dots, a_n$  then the solution of such recurrence relation is to find an explicit formula for general term  $a_n$ .

Example:

Solve the recurrence relation

$$a_n = 2a_{n-1}, n \geq 1 \text{ and } a_0 = 3$$

Solution:

$$a_0 = 3$$

$$a_1 = 2 \times 3 = 2(3)$$

$$a_2 = 2a_1 = 2(2 \times 3) = 2^2(3)$$

$$a_3 = 2a_2 = 2(2^2 \times 3) = 2^3(3)$$

$$a_n = 2^n(3)$$

Hence, the general solution for given recurrence relation is,  $a_n = 2^n(3)$

Example:

Solve the recurrence relation  $a_n = a_{n-1} + 2$  subject to initial condition,  $a_1 = 3$ .

Solution:

$$a_n = a_{n-1} + 2$$

$$a_n = (a_{n-2} + 2) + 2 = a_{n-2} + 4$$

$$a_n = (a_{n-3} + 2) + 4 = a_{n-3} + 6$$

$$a_n = (a_{n-4} + 2) + 6 = a_{n-4} + 8$$

:

$$a_n = a_{n-(n-1)} + 2(n-1), a_n = a_1 + 2(n-1)$$

- $a_n = 3 + 2a_{n-1}$
- Solving Linear Recurrence Relations.
- A linear homogeneous recurrence relation of degree  $k$  with constant coefficients is a recurrence relation of the form
$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$$

where  $c_1, c_2, \dots, c_k$  are real numbers and  $c_k \neq 0$ .

  - This recurrence relation in the definition is still linear because the right-hand side is a sum of previous terms of the sequence each multiplied by a function of  $n$ .
  - The recurrence relation is homogeneous because no terms occur that are not multiples of the  $a_n$ 's.
  - The coefficients of the terms of the sequence are all constants, rather than functions that depend on  $n$ .
  - The degree is  $k$  because  $a_n$  is expressed in terms of the previous  $k$  terms of the sequence.

Ex

$P_n = (1 \cdot 11) P_{n-1} \rightarrow$  a linear homogeneous of degree 1

$f_n = f_{n-1} + f_{n-2} \rightarrow$  linear homogeneous of degree 2

$a_n = a_{n-5} \rightarrow$  " " " " " 5

apply for arbitrary linear homogeneous relation

$a_n = a_{n-1} + a_{n-2}^2 \rightarrow$  not linear

$t_n = 2t_{n-1} + 1 \rightarrow$  not homogeneous

$B_n = nB_{n-1} \rightarrow$  does not have constant coefficients.

Theorem I:

Let  $c_1$  and  $c_2$  be real numbers. Suppose that  $r^2 - c_1r - c_2 = 0$  has two distinct roots  $r_1$  and  $r_2$ . Then the sequence  $\{a_n\}$  is a solution of the recurrence relation  $a_n = c_1a_{n-1} + c_2a_{n-2}$  if and only if  $a_n = d_1r_1^n + d_2r_2^n$  for  $n = 0, 1, 2, \dots$  where  $d_1$  and  $d_2$  are constants.

Example 3: What is the solution of the recurrence relation

$$a_{11} = a_{n-1} + 2a_{n-2}$$

with  $a_0 = 2$  and  $a_1 = 7$ ?

Solution: and if we want to find a general approach

we've  $a_n = a_{n-1} + 2a_{n-2}$

Comparing with,

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$$

$$c_1 = 1 \quad c_2 = 2$$

Now, we have characteristic equation of the recurrence relation in form.

$$\gamma^2 - c_1\gamma - c_2 = 0$$

Substituting  $c_1$  and  $c_2$ ; we get

Solving (i)  $\gamma^2 - \gamma - 2 = 0$  — (i)

$$\gamma = 2, \gamma = -1$$

Hence, the sequence  $\{a_n\}$  is a solution to the recurrence relation if and only if

$$a_n = d_1 2^n + d_2 (-1)^n$$

for some constants  $d_1$  and  $d_2$ .

From the initial conditions it follows that

$$a_0 = 2 = d_1 + d_2$$

$$a_1 = 7 = 2d_1 - d_2$$

Solving, we get,  $d_1 = 3, d_2 = -1$

Hence, the solution to the recurrence relation and initial conditions in the sequence  $\{a_n\}$  will

$$a_n = 3 \cdot 2^n - (-1)^n$$

Example: Find an explicit formula for the Fibonacci numbers

Sol.

$$f_n = f_{n-1} + f_{n-2} \quad \text{(i)}$$

$$f_0 = 0$$

$$f_1 = 1$$

Comparing equation (i) with

$$f_n = c_1 f_{n-1} + c_2 f_{n-2} + \dots + c_k f_{n-k}$$

$$c_1 = 1, c_2 = 1$$

$$\therefore r^2 - c_1 r - c_2 = 0$$

$$\text{or } r^2 - r - 1 = 0$$

Solving we get  $r_1 = \left(\frac{1+\sqrt{5}}{2}\right)$   $r_2 = \left(\frac{1-\sqrt{5}}{2}\right)$

$$f_n = d_1 \left(\frac{1+\sqrt{5}}{2}\right)^n + d_2 \left(\frac{1-\sqrt{5}}{2}\right)^n$$

for some constants  $d_1$  and  $d_2$ . The initial conditions  $f_0 = 0$  and  $f_1 = 1$  can be used to find these constants.

$$f_0 = d_1 + d_2 = 0$$

$$f_1 = d_1 \left(\frac{1+\sqrt{5}}{2}\right)^1 + d_2 \left(\frac{1-\sqrt{5}}{2}\right)^1 = 1$$

Solving we get

$$d_1 = \frac{1}{\sqrt{5}} \quad d_2 = -\frac{1}{\sqrt{5}}$$

Consequently, the Fibonacci numbers are given by

$$f_n = \frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^n - \frac{1}{\sqrt{5}} \left( \frac{1-\sqrt{5}}{2} \right)^n$$

- Theorem I does not apply when there is one characteristic root of multiplicity two.

Theorem 2: Let  $c_1$  and  $c_2$  be real numbers with  $c_2 \neq 0$ . Suppose that  $r^2 - c_1r - c_2 = 0$  has only one root  $r_0$ . A sequence  $\{a_n\}$  is a solution of the recurrence relation  $a_n = c_1 a_{n-1} + c_2 a_{n-2}$  if and only if  $a_n = d_1 r_0^n + d_2 n r_0^n$ , for  $n = 0, 1, 2, \dots$  where  $d_1$  and  $d_2$  are constants.

Example: What is the solution of the recurrence relation  $a_n = 6a_{n-1} + 9a_{n-2}$  with initial conditions  $a_0 = 1$  &  $a_1 = 6$ ?

Solution:  $r^2 - c_1r - c_2 = 0$   
 $r^2 - 6r - 9 = 0$  (substituting  $c_1 = 6$  &  $c_2 = 9$ )

$$r^2 - 6r - 9 = 0$$

$$\therefore r^2 - 6r + 9 = 0$$

$$\underline{r=3}$$

Hence, solution to this recurrence relation is

$$a_n = \alpha_1 3^n + \alpha_2 n 3^n$$

for some constants  $\alpha_1$  and  $\alpha_2$ .

$$a_0 = 1 \quad a_1 = 6.$$

$$a_0 = 1 = \alpha_1 +$$

$$\alpha_1 = 1$$

$$\alpha_2 = 1$$

$$a_1 = 6 = 3 + \alpha_2 3$$

$$\alpha_2 = 1$$

$$a_n = 3^n + n 3^n$$

- The following theorem (Theorem 3) states the general result about the solution of linear homogeneous recurrence relations with constant coefficients, where the degree may be greater than two, under the assumption that the characteristic equation has distinct roots.

### Theorem 3:

Let  $c_1, c_2, \dots, c_k$  be real numbers. Suppose that the characteristic equation

$$r^k - c_1 r^{k-1} - \dots - c_k = 0$$

has  $k$  distinct roots  $r_1, r_2, \dots, r_k$ . Then the sequence  $\{a_n\}$  is the solution of the recurrence relation,

$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$  if and only if

$$a_n = d_1 r_1^n + d_2 r_2^n + \dots + d_k r_k^n$$

for  $n = 0, 1, 2, \dots$  where  $d_1, d_2, \dots, d_k$  are constants.

Example: Find the solutions to the recurrence relation

$$a_n = 6a_{n-1} - 11a_{n-2} + 6a_{n-3}$$

with the initial conditions

$$a_0 = 2, a_1 = 5, a_2 = 15$$

Solution

$$c_1 = 6, c_2 = -11, c_3 = 6$$

The characteristic polynomial of the recurrence relation is

$$r^3 - 6r^2 + 11r - 6 = 0$$

Solving, we get  $r=1, 2, 3$

Hence the solutions to the recurrence relation are of the form  $c_1 r_1^n + c_2 r_2^n + c_3 r_3^n$

$$a_n = d_1 1^n + d_2 2^n + d_3 3^n$$

To find the constants we use the initial value

$$a_0 = 2 = d_1 + d_2 + d_3$$

$$a_1 = 5 = d_1 + 2d_2 + 3d_3$$

$$a_2 = 15 = d_1 + 4d_2 + 9d_3$$

Solving we get

$$\alpha_1 = 1$$

$$\alpha_2 = -1$$

$$\alpha_3 = 2.$$

$$\therefore a_n = 1 \cdot 2^n + 2 \cdot 3^n$$

- The following theorem is for linear homogeneous recurrence relations with coefficients, allowing the characteristic equation to have multiple roots.

#### Theorem 4:

Let  $c_1, c_2, \dots, c_k$  be real numbers. Suppose that the characteristic equation

$$r^k - c_1 r^{k-1} - \dots - c_k = 0$$

has  $\ell$  distinct roots  $v_1, v_2, \dots, v_\ell$  with multiplicities  $m_1, m_2, \dots, m_\ell$ , respectively, so that

$$m_i \geq 1 \text{ for } i=1, 2, \dots, \ell \text{ and } m_1 + m_2 + \dots + m_\ell = k.$$

Then a sequence  $\{a_n\}$  is a solution of the recurrence relation

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$$

if and only if

$$a_n = (\alpha_{1,0} + \alpha_{1,1} n + \dots + \alpha_{1,m_1-1} n^{m_1-1}) v_1^n$$

$$+ (\alpha_{2,0} + \alpha_{2,1} n + \dots + \alpha_{2,m_2-1} n^{m_2-1}) v_2^n$$

$$+ \dots + (\alpha_{\ell,0} + \alpha_{\ell,1} n + \dots + \alpha_{\ell,m_\ell-1} n^{m_\ell-1}) v_\ell^n$$

for  $n=0, 1, 2, \dots$ , where  $\alpha_{i,j}$  are constants for  $1 \leq i \leq \ell$  and  $0 \leq j \leq m_i-1$ .

Example 8: Find the solution to the recurrence relation.

$$a_n = -3a_{n-1} - 3a_{n-2} - a_{n-3} \quad , \text{ initial conditions}$$

$a_0 = 1, a_1 = -2$   
 $a_2 = -1$

Solution:

$$c_1 = -3, c_2 = -3, c_3 = -1, k=3$$

$$r^k - c_1 r^{k-1} - c_2 r^{k-2} - \dots - c_{k-1} r - c_k = 0$$

$$r^3 + 3r^2 + 3r + 1 = 0$$

$$(r+1)^3 = 0$$

$$r = -1$$

There is a single root  $r=-1$  of multiplicity three of the characteristic equation.

From theorem 4,

$$a_n = d_{1,0} (-1)^n + d_{1,1} n(-1)^n + d_{1,2} n^2 (-1)^n$$

To find the constants  $d_{1,0}, d_{1,1}$  &  $d_{1,2}$  use the initial conditions.

$$a_0 = 1 = d_{1,0}$$

$$a_1 = -2 = -d_{1,0} - d_{1,1} - d_{1,2}$$

$$a_2 = -1 = d_{1,0} + 2d_{1,1} + 4d_{1,2}$$

Solving we get,

$$d_{1,0} = 1, d_{1,1} = 3 \text{ and } d_{1,2} = -2$$

$$\therefore a_n = (1 + 3n - 2n^2) (-1)^n$$

Linear homogeneous Recurrence with constant coefficients

Ex.  $a_n = 3a_{n-1} + 2n$ .

Theorem 5: If  $\{a_n^{(p)}\}$  is a particular solution of the nonhomogeneous linear recurrence relation with constant coefficients.

If  $a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} + f(n)$  then every solution of the form  $\{a_n^{(p)} + a_n^{(h)}\}$  is a solution of the associated homogeneous recurrence relation.

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$$

Example: Find all solutions of the recurrence relation,  $a_n = 3a_{n-1} + 2n$ . What is the solution with  $a_1 = 3$ ?

Solution:

To solve this linear nonhomogeneous relation with constant coefficients, we need to solve its associated linear homogeneous equation and to find a particular solution for the given nonhomogeneous equation.

For homogeneous equation we have,

$$a_n = 3a_{n-1} \text{ or } R a_n - 3a_{n-1} = 0$$

$$\gamma - 3 = 0 \text{ or } \gamma = 3$$

$$a_n^{(r)} = \alpha r^n$$

$$\text{or } a_n^{(r)} = \alpha 3^n$$

, where  $\alpha$  is a constant.

We now find a particular solution.

Because  $f(n) = 2n$  is a polynomial in  $n$  of degree one, a reasonable trial solution is a linear function in  $n$ , say,

$$P_n = cn + d, \text{ where } c \text{ and } d \text{ are constants.}$$

$$P_n = cn + d$$

$$a_n = 3a_{n-1} + 2n \quad a_n = 3a_{n-1} + 2n$$

$$cn + d + 3(cn + d) = cn + d + 3(c(n-1) + d) + 2n$$

Simplifying and combining like terms we get

$$c(2 + 2n) + (2d - 3c) = 0$$

It follows that  $cn + d$  is a solution if and only if  $2 + 2c = 0$  and  $2d - 3c = 0$ .

This shows that  $cn + d$  is a solution if and only if,

$$c = -1$$

$$d = -\frac{3}{2}$$

Consequently,

$$a_n^{(p)} = -n - \frac{3}{2}$$

where,  $\alpha$  is a constant

$$a_n = a_n^{(p)} + a_n^{(r)}$$

$$a_n = -n - \frac{3}{2} + \alpha 3^n$$

To we have,

$$a_1 = 3$$

for  $n=1$ ,  $a_n = 3$

$$3 = -1 - \frac{3}{2} + d \times 3^0$$

$$4 + \frac{3}{2} = d \times 3^0$$

$$\frac{11}{2} = 3d$$

$$d = \frac{11}{6}$$

$$\therefore a_n = -n - \frac{3}{2} + (\frac{11}{6}) 3^n$$

Example: Find all solutions of the recurrence relation.

Relation:

$$a_n = 5a_{n-1} - 6a_{n-2} + 7^n$$

Solution:

$$a_n = a_n^{(h)} + a_n^{(p)}$$

$$a_n = S a_{n-1} - 6a_{n-2}$$

$$r^2 - 5r + 6 = 0$$

$$(r-3)(r-2) = 0 \quad \text{or} \quad r = 3, 2$$

$$a_n^{(h)} = d_1 r_1^n + d_2 r_2^n$$

$$a_n^{(p)} = d_3 3^n + d_4 2^n$$

and  $a_1 = 3$ ,  $a_2 = 6$

Because  $f(n) = 7^n$ , a reasonable trial solution is  
 $a_n^{(P)} = C \cdot 7^n$ , where  $C$  is a constant.

Substituting the terms of this sequence into the recurrence relation implies that,

$$C \cdot 7^n = 5 \cdot C \cdot 7^{n-1} + 6 \cdot C \cdot 7^{n-2} + 7^n$$

$$C \cdot 7^{n-2} = 5 \cdot C \cdot 7 - 6 \cdot C + 7^2$$

$$\text{or } 49C \cdot 7 = 35C - 6C + 49$$

$$\text{or } C = 8 \frac{49}{20}$$

Hence,  $a_n^{(P)} = (8 \frac{49}{20}) \cdot 7^n$ .

$$\therefore a_n = a_n^{(H)} + a_n^{(P)}$$

$$\text{or } a_n = d_1 \cdot 3^n + d_2 \cdot 2^n + \left(\frac{49}{20}\right) \cdot 7^n$$

Example: Find all solutions of the recurrence relation

$$a_n = 4a_{n-1} + n^2. \text{ Also find the relation with initial condition } a_1 = 1.$$

Solution

$$(1) \text{ Let } a_n = 4a_{n-1} + n^2 - (a_{n-1} + n^2)$$

$$\begin{aligned} a_n &= a_n^{(H)} + a_n^{(P)}, \text{ where } a_n^{(H)} = d_1 \cdot 4^{n-1} + d_2 \cdot (-1)^{n-1} \\ &\quad + (d_3 \cdot n^2 + d_4 \cdot n + d_5) + a_1 \cdot (-1)^{n-1} \\ &= (d_1 \cdot 4^{n-1} + d_3 \cdot n^2 + d_4 \cdot n + d_5) + a_1 \cdot (-1)^{n-1} \end{aligned}$$

For  $a_n^{(n)}$

all coefficients of  $a_{n-1}$  and  $a_{n-2}$  must vanish.

$$a_{n-1} - 4a_{n-2} = 0 \quad \text{and} \quad a_{n-2} = 0$$

or  $1 - 4 = 0 \Rightarrow 1 = 4$ , which is a contradiction.

$\therefore a_n^{(n)} = \alpha (4)^n$  is a particular solution with

or  ~~$a_n^{(n)}$~~   $a_n^{(n)} = \alpha (4)^n$ , where  $\alpha$  is constant.

- Since  $F(n) = n^2$  is a polynomial of degree 2, a trial solution is a quadratic function in  $n$ , say,

$$P_n = an^2 + bn + c, \text{ where } a, b \text{ and } c \text{ are constants}$$

To determine whether there are any solution of this, suppose that  $P_n$  is such a solution.

$$P_n = an^2 + bn + c, \text{ is such a solution.}$$

Then the equation  $a_n = 4a_{n-1} + n^2$ , becomes

$$an^2 + bn + c = 4(a_{n-1})^2 + b(n-1) + c + n^2$$

$$an^2 + bn + c = 4(a(n^2 - 2n + 1) + bn - b + c) + n^2$$

$$an^2 + bn + c = 4(an^2 - 2an + a + bn - b + c) + n^2$$

$$an^2 + bn + c = (4an^2 + n^2) - 8an + 4b + 4(a - b + c)$$

$$(a - 4a - 1)n^2 + (b + 8a - 4b)n + c - 4a + 4b = 0$$

$$(-3a - 1)n^2 + (8a - 3b)n + (-4a + 4b) = 0$$

-3c

- Hence,  $Qn^2 + bn + c$  be the solution for homogeneous.

$$6 \cdot 8 - 3a + 1 = 0 \Rightarrow 8a - 3b = 0 \quad \text{and} \quad 1 = 0 \\ a = -\frac{1}{3}, \quad b = -\frac{8}{9}$$

$$-4a + 4b - 3c = 0$$

$$-4 \times \frac{1}{3} + 4 \times -\frac{8}{9} = 3c, \quad \frac{4}{3} - \frac{32}{9} = 3c \quad \text{and} \quad c = -\frac{20}{27}$$

$$-\frac{20}{9} = 3c, \quad c = -\frac{20}{27}$$

$$\text{So } a_n^{(P)} = -\frac{1}{3}n^2 - \frac{8}{9}n - \frac{20}{27}$$

is a particular solution.

$$a_n = a_n^{(H)} + a_n^{(P)}$$

$$a_n = \frac{1}{3}(n^2 + \frac{8}{3}n + \frac{20}{9}) + \alpha n$$

For solution with  $a_1 = 1$

now  $n=1, a_1 = 1 + 8/3 + 20/9 = 1 + 8/3 + 20/9$

$$1 = -\frac{1}{3}(1 + \frac{8}{3} + \frac{20}{9}) + \alpha 1$$

$$1 + \frac{1}{3} = \frac{9 + 24 + 20}{9} + \alpha 1$$

$$\frac{4}{3} - \frac{53}{9} = \alpha 1$$

$$\frac{12 - 53}{9 \times 4} = \alpha \quad \text{or } \alpha = -\frac{41}{36}$$

$$\therefore a_n = -\frac{1}{3}(n^2 + \frac{8}{3}n + \frac{20}{9}) + (-\frac{41}{36}) 4^n$$

Example. Find all solution of recurrence relation  
 $a_n = 2a_{n-1} + 3^n$  and solution with initial condition  $a_1 = 5$ .

Solution:

$$a_n = a_{n-1}^{(h)} + a_n^{(P)}$$

Solving for  $a_n^{(h)}$

$$a_n - 2a_{n-1} = 0 \quad \text{or} \quad r - 2 = 0 \quad r = 2.$$

$$a_n^{(h)} = dr^n \quad \text{or} \quad a_n^{(h)} = d2^n$$

For the particular solution we write  $F(n) = 3^n$

Let, the trial solution be  $P(n) = C \cdot 3^n$ , where  $C$  is a constant

Substituting terms of this sequence into recurrence relation, we get

$$C \cdot 3^n = 2(C \cdot 3^{n-1}) + 3^n$$

$$3C = 2C + 3$$

$$C = 3$$

$\therefore$  Particular solution is

$$a_n^{(P)} = 3 \cdot 3^n = 3^{n+1}$$

$$a_n = a_n^{(P)} + a_n^{(h)} = d2^n + 3^{n+1}$$

$$a_1 = 5, \quad n=1, \quad a_n = 5$$

$$5 = \alpha 2^1 + 3^2$$

$$5 = 2\alpha + 9 \quad \text{or} \quad \frac{-4}{2} = \alpha, \quad \text{or} \quad \alpha = -2$$

Hence the solution is

$$a_n = (-2)2^n + 3^{n+1}$$

$$a_n = -2^{n+1} + 3^{n+1}$$

## DIVIDE AND CONQUER ALGORITHM

- A Divide and conquer is an algorithm design paradigm based on multi-branched recursion. A divide and conquer algorithm works by recursively breaking down a problem into two or more sub-problems of same or related type, until these becomes simple enough to be solved directly.

### Divide and Conquer Recurrence Relations:

Suppose that a recursive algorithm divides a problem of size 'n' into 'a' subproblems, where each subproblem is of size  $n/b$ . Also, suppose that a total of  $g(n)$  extra operations are required in the conquer step of the algorithm to combine the solutions of a subproblems into a solution of the original problem.

Then, if  $f(n)$  represents the number of operations required to solve the problem of size  $n$ , it follows that  $f$  satisfies the recurrence relation.

$$f(n) = af(n/b) + g(n)$$

This is called divide and conquer recurrence relation.

- Example: Binary Search:  
The binary search algorithm reduces the search for an element in a search sequence of size  $n$  to the binary search for this element in a search sequence of  $n/2$ , when  $n$  is even. Two comparisons are needed to implement this reduction.  
Hence, if  $f(n)$  is the number of comparisons required to search for an element in a search sequence of size  $n$ , then.

$$f(n) = f(n/2) + 2$$

When,  $n$  is even, the step is

example with the help of flowchart  
when we have to find a number  
in a range of 0 to 1000

with help of the number range  
and the number of steps will be  
according to the given condition

### Merge Sort:

The merge sort algorithm splits a list to be sorted with  $n$  items, where  $n$  is even, into two lists with  $n/2$  elements each, and uses fewer than  $n$ -comparisons to merge the two sorted lists of  $n/2$  items each into one sorted list.

Consequently, the number of comparisons used by the merge sort to sort a list of  $n$  elements is  $\Theta$  less than  $M(n)$ , where  $M(n)$  satisfies the divide-and-conquer recurrence relation.

$$M(n) = 2 M(n/2) + n.$$