**Sunit J Mathew**

Bangalore, India

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**SUMMARY:**

* **Business Analyst- Financial Sector, Forensic and Cybersecurity/GRC, Robotic Process Automation (RPA) and Governance- SOX, COSO, Internal Audit, ITIL.**
* **Certified AML Compliance Officer from V-Skills government of India**.
* 6 Plus Years of experience in Risk and Compliance, Team Lead, AML/KYC, Fraud Alert and

Forensic Investigation, Quality lead, with experience in Commercial Banking and Corresponding Banking as Business Analyst IT Functional and Techno Experience.

* Possessing domain knowledge in the Risk and Compliance, Banking segment Knowledge, Commercial Banking and Correspondent Banking, Cybersecurity, IDAM, GRC.
* Worked as **BA for Banks- HSBC, Standard chartered, ANZ, RBS.**
* Knowledge of Sail point IIQ Tool and experience in Identity and Access Management Domain- Security Fraud Control solutions for the Banks.
* United States and UK Regulatory Knowledge of AML, KYC & Forensic Investigations, Product Management, Software Development Lifecycle.
* Aware of Forensic Investigation work and Forensic Examination Report, Fraud Risk Reviews, Report Writing.
* **Functional and Technical Business Analysts Approach**.
* Implementation of Compliance Security measure guidelines.
* **Published Paper on Identity and Access Management- Fraud and Risk Management.**
* **Advance Excel Course certification from ALMA institute.**
* Pursuing CFE Certification.
* Team Handling Experience, Monitoring the Production and Mentoring the peer group members and SDLC Process, Waterfall, Agile Development.
* **Quality Lead**- Handled 11 FTE, Training and imparting knowledge.
* Experience in authoring Business Requirement Document (BRD), System

Requirements Specifications Document (SRS), Use-cases, Functional Requirements Document (FRD).

* Experience in creating Use Case Diagrams, Work Flow Diagrams, Data Flow

Diagrams, Flow Diagrams (Business and Navigation), Sequence Diagrams, Process Flows (Business and System) using Unified Modeling Language (UML) and UML modeling tools such as MS Visio, wireframes, Archimate, visual paradigm.

* Familiar with software development methodologies such as waterfall, agile, etc.
* Excellent presentation skills.
* Experience with testing process and exposure to testing tools – UAT testing and helping testers in use case preparation and sign off from the business, Test design preparation and reviewing it.
* Hands on banking tools exposure in like World check, Lexis-Nexus, Google screening, Acuity, Actimize, Norkom, Detica and Mantas Oracle solutions. SQL, SDLC Models, DBMS, Business Analyst Skills, Cybersecurity Domain Knowledge, IDAM ,GRC Tool Identity access Management, RSA Archer knowledge.

**TECHNICAL SKILLS:**

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|  | DB SQL, PL SQL |
|  | Process Models: Agile Scrum, Waterfall |
|  | Tools: **Microsoft Office suite, Advance Excel, VBA Basics, MS Visio, UML Modeling, Archi modeling tools, visual paradigm, BPMN Process Model, Banking Compliance tool like- World Check, Lexis Nexus, Acuity, Actimize, Governance RSA Archer, Mantas, Detica/Norkom Banking Solutions, SAP GRC.** |
|  | **Certifications** |

* AML KYC Compliance Officer Certification from Government of India V Skills Organization
* Advance Excel Course certification from ALMA institute.
* IdentityIQ SailPoint Certification 7.2 Version GRC Tools
* SQL/PL SQL Certificate from Udemy
* Tableau Certificate from Udemy
* UML Modeling Certificate from Udemy

**EDUCATION:**

MBA (Finance) from Mysore University.

Bachelor of Commerce (Taxation) from B.S.S.S College, Bhopal, Madhya Pradesh.

**PROFESSIONAL EXPERIENCE**

**INFOSYS LIMITED Bangalore, India**

Sr Associate Consultant (Team Lead) BA 06.02.2017 - Present

* Presently working in Risk and Compliance Department in security and fraud solution team as Sr Business Analyst.
* Assisting Project managers in preparation of MCR, TCR Documents for governance.
* Imparting Training to New Joiner on Security Tool- Sail point IIQ in Identity and Access Management Domain, **GRC/Cybersecurity,** **GDPR Data Privacy, Cloud Security- SAAS, PAAS & DAAS Services over the cloud computing, Information Protection**
* Business Analyst for Leading Bank of UK- Royal Bank of Scotland.
* Leading a Team of Analysts, Sr Analyst, Associates and monitor their work and preparing the report of the daily production and submitting to the stakeholders regarding the deliveries.
* Preparation of Cost Benefit analysis report of the Project and assisting the Project managers in the work, GRC Reporting-using Excel Automation, **RPA Implementation.**
* Worked on the **Security and Fraud Risk Departmen**t, Using Identity and Access Management Tools to maintain the access level of the customers.
* Implementation and Guiding the Business Analyst’s in work.

**Projects & Experience with Infosys**

* 1 year 7 Months span of time in Risk and Compliance Department in **Identity and Access Management Solutions for GDPR Apps**.
* Sail point IIQ tool knowledge and managing the access for the Bank and its applications.
* Handle Provisioning session with the Application team and Business and User Training for stakeholders and Customers.
* Worked on the **Change Request, Workflow design**.
* Onboarding of application onto Sail point IIQ, Integration of the tool with process and application, Access Management control for the Banks and its customers.
* Preparing Strategy Documentation= Business Case, Project Initiation documents, Business Need Documents, Cost and Benefits analysis for the client.
* Liaison between the application team and the Business team.
* BA- Requirement gathering, Elicitation Process.
* Documenting **BRD, FSD, SRS and attending stakeholders meeting and UAT Testing, Test Cases scenarios**.
* Working in Security and Fraud Monitoring department and Helping in Implementation of the Sail Point Tool for Access and Identity Management solutions.
* Creation of BRD documents and getting sign off from business, followed by FSD documents sign off and understanding the requirement/ cost budget management analysis.
* Preparation of **Test cases, Design documents and helping UAT team to develop and test the functionality of the product in the software environment.**
* Integration of the tool and assisting the Developers in FSD documentation.
* Working in Agile environment and Scrum master, Product owner.
* UML modeling, flowchart preparation and Design document preparation using MS Visio modeling techniques.

**PRICE WATERHOUSE COOPERS (PwC) Bangalore, India**

Team Leader (Business Analyst) 06.07.2015 – 02.02.2017

* Risk Management and Alert Case Management tool Norkom/ Detica for **Standard chartered** Bank.
* **Data Base SQL queries and interact with Mantas Database to extract customer information**.
* Workflow design and strategy initiative in AML KYC Fraud channel, **FSD, BRD and Use Cases design. UAT Test Scenario plan and Assist PM in Work.**
* Transaction Monitoring AML knowledge sharing to the Junior Business Analysts.
* BA- AML, Fraud Monitoring Profile and worked majorly for US Leading Banks.
* Review the Alert Generated from Norkom System and doing L1 and L2 Reviews.
* Worked on an Initial injection of data from Norkom and Test planning of various alerts generated from system, segregation of Risk rating of a customer account in case it and

Finding out if there is a Defect in Testing of various detection scenarios laid out by the Clients US Bank. Creation of Data Model in Excel and Reporting the status of the customer accounts- Closed and Hold Cases.

* Have Worked for Customers/ Entity of Business line- Sole Traders, Partnership LLC/LTD, NGO, Charity and Trust.
* Dealing with High Risk Customers – Enhanced Due Diligence template.
* Help Managers in Drafting Suspicious Activity Report (SAR) and any escalation required.
* Creation of Data Model in Excel and Reporting the status of the customer accounts- Closed and Hold Cases.

**HSBC BANK Bangalore, India**

Business Analyst 31.03.2014 – 22.06.2015

**Worked in projects: -**

1. **Foreign Account Tax Compliance Act.**

* + Worked in **FATCA Project** for entities who falls under business criteria of Charity, Trust, and International Organization and Standardizes Industrialized code for Business.

* + FATCA Implementation for Customers Accounts who are having account in offshore jurisdiction and not disclosing to United States Government.

* + **FATCA CRS Reporting** **and UAT Scenario Testing.**

* + **Process Use Cases, BRD, FSD, and SRS** and Calculate the Withholding taxes which need to be borne by the Customers who are failing to comply with FATCA Rules for the Bank as Penalty.

* + Preparing of MIS Reports and Day to Day productions.

1. **Worked in UK Renewals project**

* + Worked in UK Renewals Project for High Risk Customers who are located in UAE and Hong Kong Region as per the Threshold limit set by the HSBC Bank.

* + Have Worked for Customers/ Entity of Business line- Sole Traders, Partnership LLC/LTD, NGO, Charity and Trust.

* + Using of Tools like World check and Lexis Nexus for Screening of Negative News search and Fill up CDD and EDD Template.

* + Raising RFI Request for Identification & Verification of documents for KYC purposes.

* + Escalation and sending business letters to customers of HSBC for documents.

**Cap Gemini Business Pvt. Ltd Bangalore, India**

**Process Executive** 25.03.2013 to 26.02.2014

Working in **Record to Report (R2R) and Payments Domain (P2P**) - Reviewing of the Invoice entered the SAP system and Payment of all the Vendor Invoices on Time without fail.

Indexing Department handling of invoices and sending Mails to the clients if any disputes happened between Vendor and the clients. **SAP Knowledge.**