## Introduction to Large Language Model

Lecture 1: 24-479-0207: Large Language Models
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#### As a student, you are expected to:

(Other than regular attendance and uninterrupted attention..which are *mandatory*)

- Make sure you get the foundations right !!! If you didn't get it ASK
- Learn proactively do extra reading, share your knowledge in class
- Get your hands dirty Do lots and lots of coding (that is what that is finally going to land you at a good job)
- Innovate Come up with ways to apply what you have learned to solve real world problems
- Make us PROUD!

#### Agenda

#### Introduce the course

- (What you can expect and what is expected from you)
- Have an open discussion and reach an agreement on how to conduct this course

Introduce the topic "Large Language Models"

and know your interests so that we can plan!

#### Content delivery & Evaluation

- 4 Lecture hours per week (Will be mostly discussions)
- Learning materials will be provided via moodle
- Slides are only pointers use study materials provided for exam preparation
- CA: Series 1 (20) + Series 2 (20) + Assignment (10) = 50 marks
- Assignment : Hand-on Projects
- End Semester exam 50 marks
- Ungraded assignments and quizzes (free to skip at your own risk)

Last but not the least....

Do yourself a favor and start learning...



#### Acknowledgement:

The remaining slides are taken from the course *CSE473: Introduction to Artificial Intelligence*, Web: © 1993-2024, Department of Computer Science and Engineering, University of Washington.

#### Quick poll

1. Are you familiar with supervised machine learning? gradient descent?

2. Are you familiar with neural networks?

#### The language modeling problem

Rank these sentences in the order of plausibility?

- 1. Jane went to the store.
- 2. store to Jane went the.
- 3. Jane went store.
- 4. Jane goed to the store.
- 5. The store went to Jane.
- The food truck went to Jane.

How probable is a piece of text? Or what is p(text)

```
p(how are you this evening? has your house ever been burgled?) = <math>10^{-15}

p(how are you this evening? fine, thanks, how about you?) = <math>10^{-9}
```

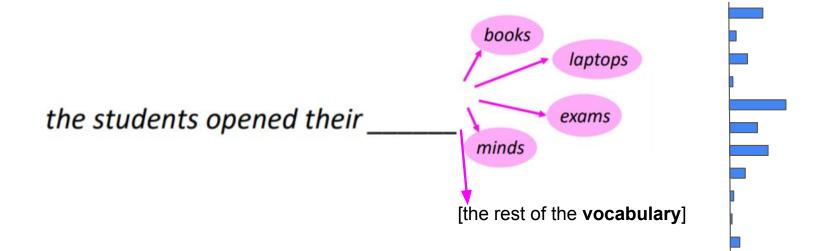
#### The language modeling problem

A language model answers the question: What is p(text)?

Text is a sequence of symbols: 
$$(x^{(1)}, x^{(2)}, \ldots, x^{(N)})$$
 
$$p(x^{(1)}, x^{(2)}, \ldots, x^{(N)})$$
 
$$p(x^{(1)})p(x^{(2)}|x^{(1)})p(x^{(3)}|x^{(1)}, x^{(2)}) \ldots$$
 
$$\prod_{i=1}^N p(x^{(i)}|\underline{x^{(1)}, \ldots, x^{(i-1)}})$$
 Just the chain rule of probability—no simplifying assumptions!

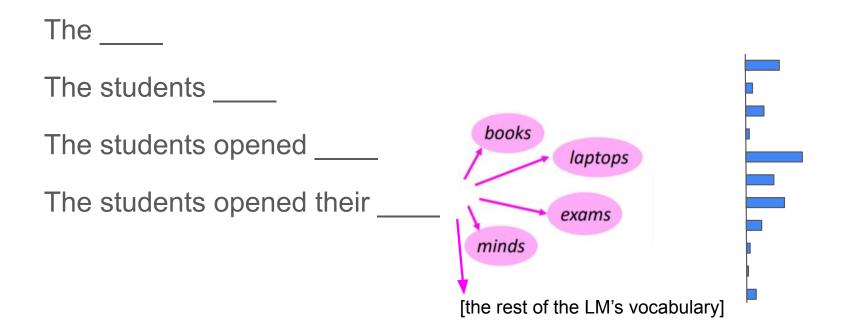
#### The language modeling problem

$$\prod_{i=1}^{N} p(x^{(i)}|x^{(1)},\dots,x^{(i-1)})$$

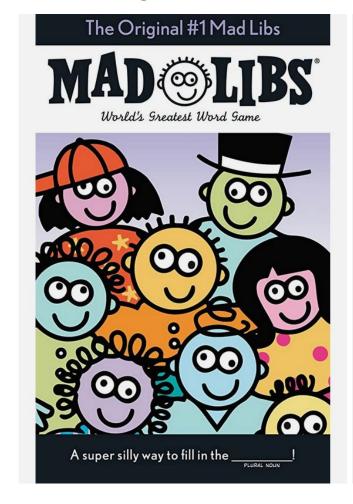


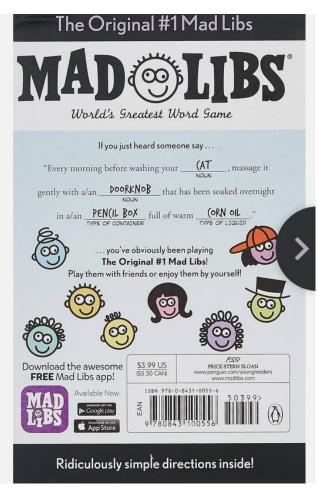
#### Language models of this form can generate text

At each timestep, sample a token from the language model's new probability distribution over next tokens.



#### In short, predicting which word comes next





#### Language models play the role of ...

- a judge of grammaticality
  - o e.g., should prefer "The boy runs." to "The boy run."
- a judge of semantic plausibility
  - o e.g., should prefer "The woman spoke." to "The sandwich spoke."
- an enforcer of stylistic consistency
  - e.g., should prefer "Hello, how are you this evening? Fine, thanks, how are you?" to
     "Hello, how are you this evening? Has your house ever been burgled?"
- a repository of knowledge (?)
  - o e.g., "Barack Obama was the 44th President of the United States"

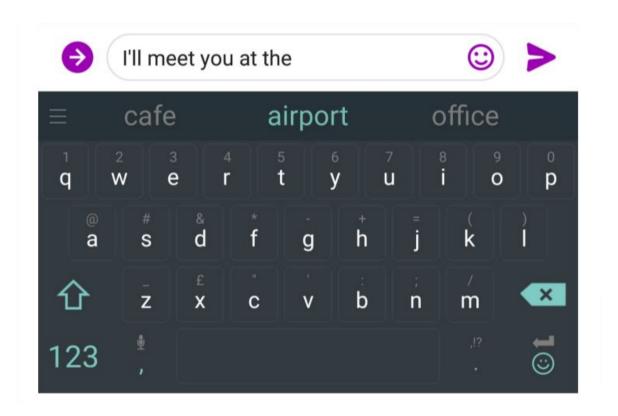
Note that this is very difficult to guarantee!

## Language models in the news (these days, ChatGPT)

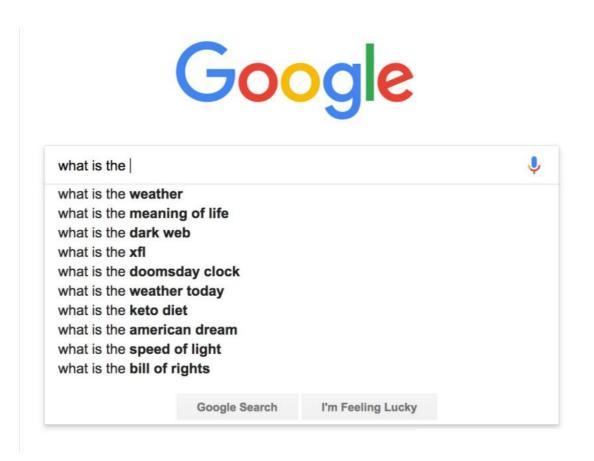


Image taken from Springboard

## We use language models every day



#### We use language models every day

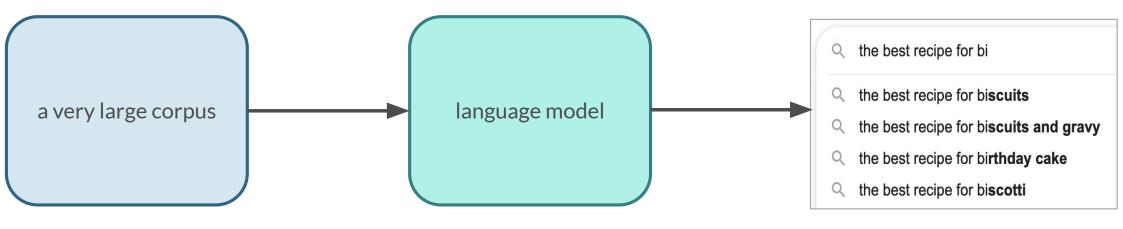


## Why language modeling?

- Machine translation
  - p(strong winds) > p(large winds)
- Spelling correction
  - The office is about fifteen minuets from my house
  - p(about fifteen minutes from) > p(about fifteen minuets from)
- Speech recognition
  - o p(*I saw a van*) >> p(eyes awe of an)
- Summarization, question-answering, handwriting recognition, OCR, etc.

## How we learn a language model

## Language modeling



#### How do we learn a language model?

#### Estimate probabilities using text data

- Collect a textual corpus
- Find a distribution that maximizes the probability of the corpus maximum likelihood estimation

#### A naive solution: count and divide

- Assume we have N training sentences
- Let  $x_1, x_2, ..., x_n$  be a sentence, and  $c(x_1, x_2, ..., x_n)$  be the number of times it appeared in the training data.
- Define a language model:

$$p(x_1,\ldots,x_n) = \frac{c(x_1,\ldots,x_n)}{N}$$

No generalization!

#### Markov assumption

 We make the Markov assumption: x<sup>(t+1)</sup> depends only on the preceding n-1 words

$$P(oldsymbol{x}^{(t+1)}|oldsymbol{x}^{(t)},\dots,oldsymbol{x}^{(1)}) = P(oldsymbol{x}^{(t+1)}|oldsymbol{x}^{(t)},\dots,oldsymbol{x}^{(t-n+2)})$$
 assumption n-1 words

#### Markov assumption

P(the | its water is so transparent that)  $\equiv$  P(the | transparent that)

or maybe even

P(the | its water is so transparent that) ≡ P(the | that)

## n-gram Language Models

$$\prod_{i=1}^{N} p(x^{(i)}|x^{(1)},\dots,x^{(i-1)})$$

"I have a dog whose name is Lucy. I have two cats, they like playing with Lucy."

- Question: How to learn a Language Model?
- Answer (pre- Deep Learning): learn an n-gram Language Model!

 Idea: Collect statistics about how frequent different n-grams are and use these to predict next word

## unigram probability

"I have a dog whose name is Lucy. I have two cats, they like playing with Lucy."

- corpus size m = 17
- P(Lucy) = 2/17; P(cats) = 1/17
- Unigram probability:  $P(w) = \frac{count(w)}{m} = \frac{C(w)}{m}$

## bigram probability

"I have a dog whose name is Lucy. I have two cats, they like playing with Lucy."

$$P(A \mid B) = \frac{P(A,B)}{P(B)}$$

$$P(have \mid I) = \frac{P(I \text{ have})}{P(I)} = \frac{2}{2} = 1$$

$$P(w_2 \mid w_1) = \frac{C(w_1, w_2)}{\sum_w C(w_1, w)} = \frac{C(w_1, w_2)}{C(w_1)}$$

$$P(two \mid have) = \frac{P(have two)}{P(have)} = \frac{1}{2} = 0.5$$

$$P(eating \mid have) = \frac{P(have eating)}{P(have)} = \frac{0}{2} = 0$$

## trigram probability

"I have a dog whose name is Lucy. I have two cats, they like playing with Lucy."

$$\begin{split} P(A \mid B) &= \frac{P(A,B)}{P(B)} \\ P(a \mid I \text{ have}) &= \frac{C(I \text{ have a})}{C(I \text{ have})} = \frac{1}{2} = 0.5 \\ P(w_3 \mid w_1 w_2) &= \frac{C(w_1, w_2, w_3)}{\sum_w C(w_1, w_2, w)} = \frac{C(w_1, w_2, w_3)}{C(w_1, w_2)} \\ P(\text{several} \mid I \text{ have}) &= \frac{C(I \text{ have several})}{C(I \text{ have})} = \frac{0}{2} = 0 \end{split}$$

#### n-gram probability

"I have a dog whose name is Lucy. I have two cats, they like playing with Lucy."

$$P(A \mid B) = \frac{P(A,B)}{P(B)}$$

$$P(w_i \mid w_1, w_2, ..., w_{i-1}) = \frac{C(w_1, w_2, ..., w_{i-1}, w_i)}{C(w_1, w_2, ..., w_{i-1})}$$

#### Sampling from an n-gram language model

1 gram

Months the my and issue of year foreign new exchange's september were recession exchange new endorsed a acquire to six executives

#### Sampling from a language model

I gram Months the my and issue of year foreign new exchange's september were recession exchange new endorsed a acquire to six executives

2

Last December through the way to preserve the Hudson corporation N. B. E. C. Taylor would seem to complete the major central planners one point five percent of U. S. E. has already old M. X. corporation of living on information such as more frequently fishing to keep her

#### Sampling from a language model

Brazil on market conditions

Months the my and issue of year foreign new exchange's september were recession exchange new endorsed a acquire to six executives

Last December through the way to preserve the Hudson corporation N.

B. E. C. Taylor would seem to complete the major central planners one point five percent of U. S. E. has already old M. X. corporation of living on information such as more frequently fishing to keep her

They also point to ninety nine point six billion dollars from two hundred four oh six three percent of the rates of interest stores as Mexico and

#### Sampling from a language model

-To him swallowed confess hear both. Which. Of save on trail for are ay device and rote life have -Hill he late speaks; or! a more to leg less first you enter gram

–Why dost stand forth thy canopy, forsooth; he is this palpable hit the King Henry. Live king. Follow. gram

-What means, sir. I confess she? then all sorts, he is trim, captain.

-Fly, and will rid me these news of price. Therefore the sadness of parting, as they say, 'tis done.

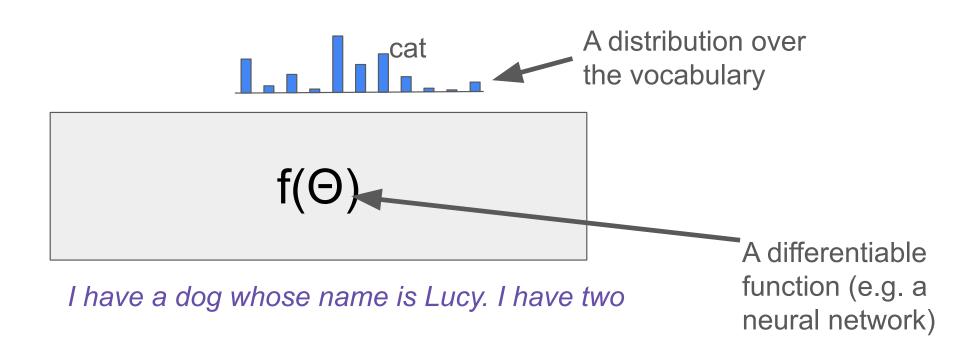
-This shall forbid it should be branded, if renown made it empty.

-King Henry. What! I will go seek the traitor Gloucester. Exeunt some of the watch. A great banquet serv'd in;

-It cannot be but so.

# Neural language models $\prod_{i=1}^{i=1} p(x^{(i)})$

$$\prod_{i=1}^{N} p(x^{(i)}|x^{(1)},\dots,x^{(i-1)})$$



#### Large Language Models

The transformer model allows fast parallel computations on many GPUs (large amounts of compute)

It allows training on large amounts of data (think the whole internet worth of text).

It allows adding many and many layers in the model (large model)

A large language model is a language model with a large number of parameters, trained on large amounts of data, for long period of time.

#### Why large language models?

Scaling the models, compute, and data leads in increase in performance

- Emergent properties at scale (Wei et al 2022)
  - Large models (with 7-100B+ parameters) suddenly become capable of performing tasks they weren't able to do when small (such as 1B or small).

#### Training the model to chat

A simple language model (also called a pretrained model) is not equipped to chat with an end user, like ChatGPT.

ChatGPT (and many other models) are further trained on supervised data to follow instructions.

#### **Instruction Tuning**

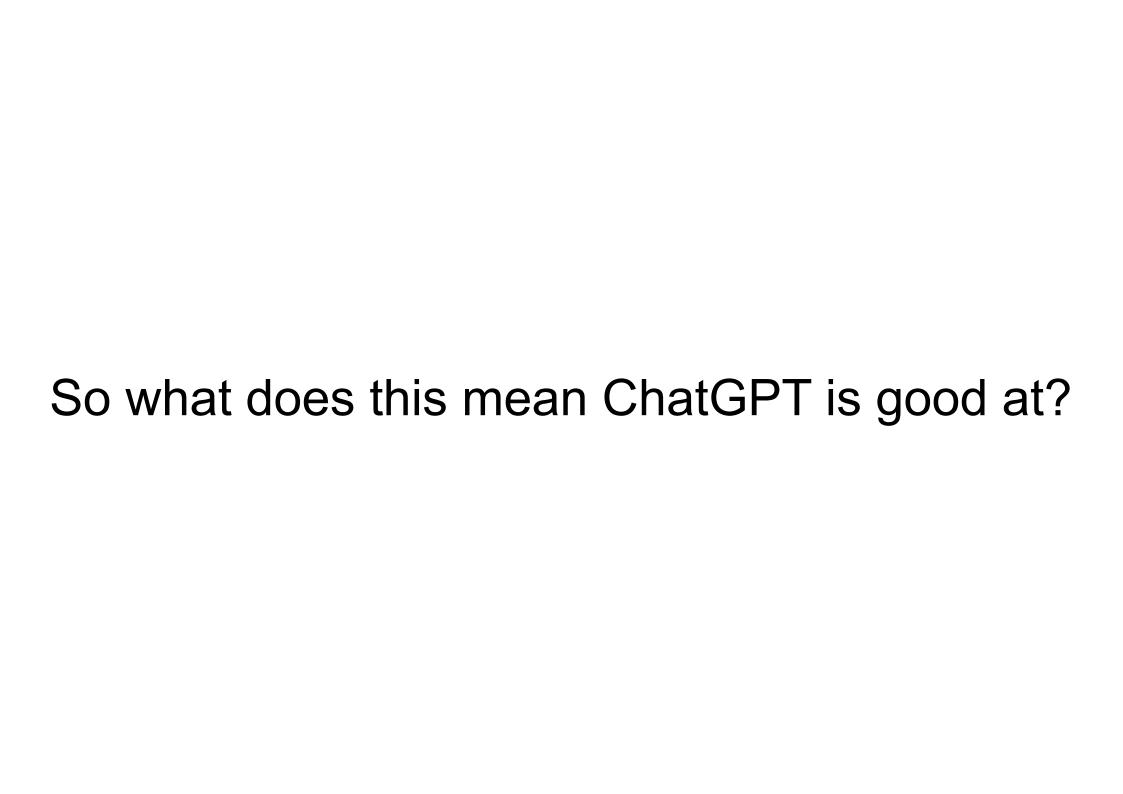
- Collect a large dataset of instruction following examples of the form
  - <instruction> <input> <output>
  - o For example,
  - Summarize this news article [ARTICLE] [SUMMARY]
  - Answer this question [QUESTION] [ANSWER]
  - o Predict the sentiment of this review [REVIEW] [SENTIMENT]....
- This is also a text corpus but in a very specific format.
- Continue training the model on this dataset (again using the same training objective)

#### Aligning the model to humans' preferences

Chat based on models are supposed to converse with humans

Why not learn from humans' feedback

- Basic idea: Model samples multiple outputs users rank them based on their preference
  - Convert user preferences into reward scores more preferred output has higher reward
  - Treat an LLM like an agent and use RL to maximize this reward (RLHF)



# Some aspects of producing answers that might fall under that category:

- Writing in specific styles (that have appeared in the model's training data)
- Grammatical consistency
- Generating boilerplate sentences that often appear at the beginning, end of emails, etc.
- Fluency

# What are some problems that ChatGPT's training leaves it prone to?

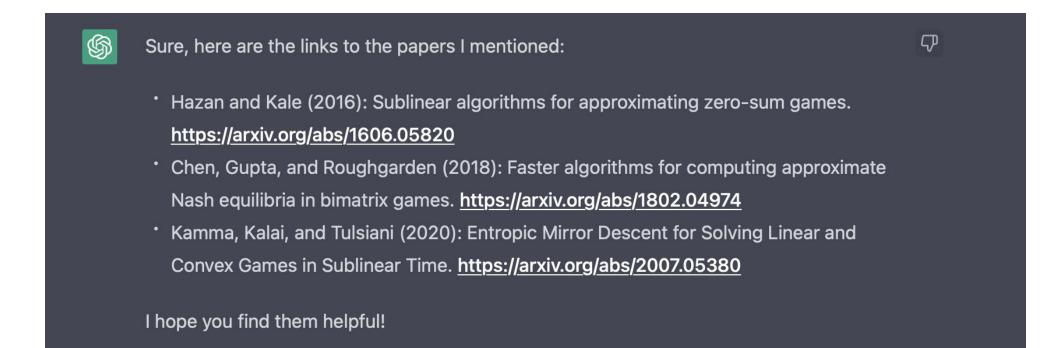
#### Inaccuracies

• The language model doesn't "plan" what it will say in advance

 The model doesn't store facts, just outputs plausible looking sentences which may or may not be factual

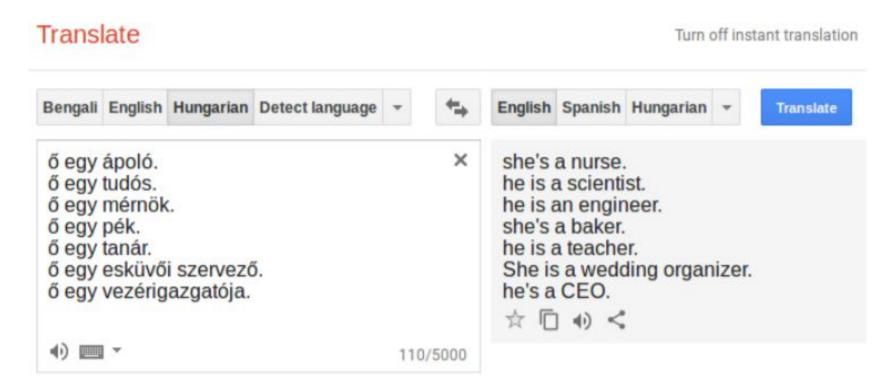
#### Lack of source attribution

Just like the model doesn't store facts... it doesn't store sources.



#### Outputs that reflect social biases

An example from machine translation a few years ago:



Thanks! Questions?