

1 **Multi-class Damage Detection and Localization Using Long**
2 **Short-Term Memory (LSTM) Networks**

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4 **ABSTRACT**

5 In this paper, a novel method is proposed for detecting and localizing structural damage
6 by classifying acceleration responses of a structure using a long short-term memory (LSTM)
7 network. Windows of samples are extracted from acceleration responses in a novel data pre-
8 processing pipeline, and an LSTM network is developed to classify the signals into multiple
9 classes. A predicted classification of a signal by the LSTM network into one of the dam-
10 age levels indicates a damage detection. Furthermore, multiple signals obtained from the
11 vibration sensors placed on a structure are provided as input to the LSTM model, and the
12 resulting predicted class probabilities are used to identify the locations with high probability
13 of damage. The proposed method is validated on the experimental setup of the Qatar Uni-
14 versity Grandstand Simulator (QUGS) for binary classification, as well as, full-scale study of
15 the Z24 bridge benchmark data for multi-class damage classification. Experiments show that
16 the proposed LSTM-based method performs on par with 1D convolutional neural networks
17 (1D CNN) on the QUGS dataset, and outperforms the 1D CNN on the Z24 dataset. The
18 novelty of this study lies in the use of recurrent neural network based LSTM for vibration
19 data for multi-class damage identification and localization.

20 **KEYWORDS**

21 Structural health monitoring; LSTM; 1D CNN; damage detection; damage localization;
22 data augmentation.

23 **1. INTRODUCTION**

24 Next-generation civil infrastructure is designed and constructed using state-of-the-art

methodologies and construction materials. However, integrity and condition monitoring of existing ageing structures is still lacking in advancements. Over time, structures deteriorate and lose their load-carrying capacity due to various factors such as environmental, heavy-traffic, and human-induced damages, requiring cutting-edge technology for continuous structural inspection. Recently, the American Society of Civil Engineers (ASCE) reported the current condition of infrastructure in the United States with a low grade of D+. Canadian infrastructure has been ranked along the same lines; it has been reported that it would take 1.1 trillion to replace the crumbling infrastructure of Canada with 141 billion assets in ‘deplorable’ condition. It can be inferred that, the development of efficient strategies for continuous structural monitoring is of paramount importance for the ageing structures. The conventional approach is to employ a well-trained structural inspector to investigate and inspect the structure, identify defects and implement appropriate maintenance strategies. However, manual assessment of the structures is subjective, error-prone, laborious, and incurs a significant portion of the annualized maintenance budget. Structural Health Monitoring (SHM) provides sensor-driven real-time inspection technology to address these challenges of manual visual inspection. An ideal SHM system consists of two major components: (i) a network of sensors for collecting response measurements, and (ii) advanced data analysis algorithms to evaluate as-is structural conditions (Cawley 2018, Qarib and Adeli 2014).

SHM employs suitable diagnostics algorithms and assists infrastructure owners and decision-makers in maximizing the safety, serviceability, and functionality of critical structures. A continuous SHM will allow efficient and cost-effective disaster management and lead to resilient infrastructure with faster recovery under natural disasters. Vibration-based SHM has emerged as one of the promising fields for condition monitoring and damage diagnosis of civil infrastructure (Gatti 2019, Erazo et al. 2019, Okayasu and Yamasaki 2019) and offers a viable option for tracking time-varying damages in the structures based on the measured data. Existing damage identification techniques involve analysis in time-domain, frequency-

52 domain, and time-frequency domain (Sirca and Adeli 2012, Sony and Sadhu 2019, Sony and
53 Sadhu 2020, Sony and Sadhu 2021, Sony 2021) along with a combination of artificial intelli-
54 gence techniques (Ying et al. 2013, Salehi and Burgueno 2018, Rafiei and Adeli 2017, Rafiei
55 and Adeli 2018, Perez-Ramirez et al. 2019).

56 Artificial intelligence (AI) methods have successfully been applied to solve challenging
57 tasks in several engineering domains and to automate and improve the classification and data
58 mining tasks (Pouyanfar et al. 2019). Likewise, AI techniques provide promising opportu-
59 nities for detection and localization of damages in civil infrastructure by analyzing various
60 sensor measurements with minimal user intervention, thereby reducing cost and increasing
61 accuracy and reliability. SHM community has adopted established Machine Learning (ML)
62 algorithms to monitor the condition of infrastructure primarily using structural imagery
63 (Sony et al. 2019, Salehi and Burgueno 2018). Recently, infrastructure monitoring using im-
64 ages of damage has garnered significant attention as a straightforward autonomous approach
65 to monitor large scale structures, where Convolutional Neural Networks (CNNs) has gained
66 popularity.

67 Historically, CNN was first introduced to classify low-resolution images of handwritten
68 characters and was named as LeNet (LeCun et al. 1998). Since then, various CNN mod-
69 els with different architectures are developed. A popular ImageNet CNN model, AlexNet
70 (Krizhevsky et al. 2012), was developed by researchers from the University of Toronto where
71 several layers of convolution and max-pooling were used to train the database. The Visual
72 Geometry Group of Oxford University improved AlexNet, and named VGGNet (Simonyan
73 and Zisserman 2014) and showed how the depth of CNN influences the accuracy of image
74 reconstruction. The development of new deeper CNN architectures introduced a trend to-
75 wards using more and more (i.e., *deep*) layers. Computing giant *Google* developed a deeper
76 network, GoogleNet (Szegedy et al. 2014), with improved dimensionality reduction and
77 computational efficiencies. ZF-Net provided a considerable improvement in classification er-
78 ror rate over AlexNet. A more deeper network was developed by researchers at *Microsoft*,

79 and named ResNet (He et al.) with 152 layers, where each layer in the residual block was
80 implemented as a 3*3 convolution.

81 Primarily designed for object recognition, 2D CNN algorithms were mostly explored for
82 images in various SHM applications to detect defects and anomalies autonomously. Cha et al.
83 (2017) presented a vision-based methodology for detecting cracks in concrete structures using
84 CNN. The authors used around 40,000 images of damaged and undamaged concrete surfaces
85 collected from various concrete structures to evaluate the accuracy of damage classification
86 using the proposed 2D CNN architecture. Zhang et al. (2017) proposed a pixel-level CNN
87 to detect cracks on 3D pavement surfaces. The proposed CNN, “CrackNet”, was made up of
88 two fully connected layers, one convolutional layer, one 1*1 convolution layer, and one output
89 fully-connected layer. The proposed network was more efficient than the traditional CNN
90 architecture because of the absence of pooling layers that downsized the output of previous
91 layers. Zhao et al. (2018) investigated CNN for crack detection in bridges. For bridge damage
92 classification, an AlexNet-based CNN was trained first with around 3800 images of various
93 bridges. For recognition of bridge components, a ZF-Net-based faster regions-CNN was
94 trained with 600 bridge images. To detect cracks, a GoogleNet-based CNN was trained with
95 60000 cracked and un-cracked images. Accuracies of 96.6% for bridge classification, 90.45%
96 for bridge component classification, and 99.36% for crack detection during testing were
97 achieved. Apart from ageing-related damage identification, image-based damage detection
98 is also of paramount importance for post-disaster reconnaissance. Liang (2019) investigated
99 CNN based deep learning in bridge inspection for system-level, component level, and local
100 damage detection. The neural network was made up of a VGG-16 Transfer Learning-based
101 NN with Bayesian optimization for classification, a faster R-CNN for component detection,
102 and a deep CNN for semantic damage segmentation. Recently, several researchers (Ye et al.
103 2019, Azimi et al. 2020, Sony et al. 2021, Sun et al. 2020) provided an overview and critical
104 review of various deep learning techniques, especially CNN, for structural damage detection.
105 However, it was concluded that acquiring an extensive database of images of the damage in

106 a large-scale structure is still an issue.

107 Similar to 2D CNN, SHM researchers have also explored deep learning methods for ef-
108 fective damage detection using temporal information from sequential signals, such as ac-
109 celeration measurements. Guo et al. (2014) proposed sparse coding to extract features
110 from unlabeled acceleration measurements. The damage classification was carried out using
111 CNN, and the results were compared with the traditional machine learning methods, such
112 as, logistic regression and decision trees. A three-span bridge was considered to evaluate the
113 efficacy of the proposed method, and it was shown that sparse coding-CNN based method
114 outperforms other methods with a testing accuracy of 98%. Gulgec et al. (2017) conducted
115 a simulation study on a steel gusset plate connection by varying the size and location of
116 the damage. The measurements were also contaminated with 1% and 2% noise to simulate
117 real-world conditions, and CNN was used to classify damage. The proposed method achieved
118 an error of 2% and showed robustness against environmental noise.

119 One dimensional (1D) CNN (Kiranyaz et al. (2019)) have shown promising results in
120 capturing the temporal information and damage detection and localization. Abdeljaber et al.
121 (2017) introduced 1D CNN for real-time vibration-based damage detection. The 1D CNN
122 configuration used in all experiments has (64,32) neurons on the two hidden convolution
123 layers and (10,10) neurons on the two hidden fully connected layers. The output (MLP)
124 layer size is 2, which was the number of classes. Also, each CNN has a single input neuron,
125 which takes the input signal as the 128 time-domain samples of each frame in the training
126 dataset. The authors trained the neural network on a vibration signal dataset obtained on
127 a 30 joint truss structure, named Qatar Grandstand, by damaging each joint and keeping
128 the other joints undamaged. The proposed model was trained individually on each joint,
129 and near-perfect classification accuracy was proposed. However, the proposed method was
130 not tested in full-scale structures. Ni et al. (2020) showed the applicability of 1D CNN
131 with autoencoders for anomaly detection under data compression. The proposed method
132 was validated on a long-span suspension bridge, and an accuracy of 97.53% was achieved

with a highly compressed dataset and a compression ratio of 0.1. Moreover, Zhang et al. (2019) extended the applicability of 1D CNN to detect changes in stiffness and mass. Various structural components such as a beam and steel girder bridges were used for validating the proposed algorithm, and a mean accuracy of 98% was achieved. Recently, Sharma and Sen (2020) showed the applicability of 1D CNN for damage detection in structural steel frames. Experimental validation was performed on a 2D-steel frame with different damage locations and severity of the damage. The method was shown to identify single as well as multiple damage scenarios. The false-positive rate was also evaluated and found to be well within acceptable limits. Furthermore, Liu et al. (2020) used transmissibility function-based 1D CNN to effectively identify damage at ASCE SHM benchmark structure and compared the performance against time-domain and frequency-domain methods. 1D CNN primarily exhibited superior performance over artificial neural networks (ANNs) in the context of computation efficiency and noise imperative for big data.

Recently, Zhang et al. (2019) proposed Long Short-Term Memory (LSTM) model for dam displacement prediction. The authors exploited the long-term dependencies learning capability of LSTM models to predict the displacement of the dam. The external environmental variables such as water pressure, temperature, structural deterioration, and bottom bedrock damage also led to a varied displacement. The study involved optimization of LSTM model to show the effects of the external environment in the resulting displacement. The proposed algorithm was compared with various ML algorithms such as support vector machine, multilayer perceptron, multiple linear regression, and boosted regression tree. It was shown that LSTM performs better than the other methods and also efficiently reflects the delays and makes the variables selection more convenient. Moreover, Yang et al. (2020) presented CNN-LSTM deep learning for computer vision-based modal frequency detection. The spatiotemporal information was extracted by using each pixel as an individual sensor from the images acquired from off-the-shelf camera. The proposed method worked effectively on noisy datasets and achieved an accuracy of 96.6%. To the best of authors knowledge, the

160 standalone LSTM networks have not been used in the context of structural health monitoring.
161 The main application of a neural network model appears in (Abdeljaber et al. 2017,
162 Abdeljaber et al. 2018), which presents a 1D CNN for binary damage classification on the
163 Qatar Grandstand dataset. While, the 1D CNN captures relevant information in a neighbor-
164 hood of samples, it lacks the ability to learn the long-term dependencies of the sequential
165 datasets, which is relevant for structural damage identification over a long period of data.

166 In this study, a LSTM-based structural damage detection and localization method is pro-
167 posed. The proposed method captures long term patterns in an acceleration signal by feeding
168 a sequence of windows extracted from the signal as input to the LSTM model, allowing it
169 to make predictions on the acceleration data. This makes the LSTM architecture a valua-
170 ble technique in vibration-based SHM. This study makes four novel contributions. First,
171 it introduces a standalone LSTM-based approach for damage localization using acceleration
172 measurements. Second, the limited dataset is augmented by windowing the acceleration
173 measurements and a novel approach of voting on the prediction class for windowed-dataset
174 is presented to increase the prediction accuracy. Third, a thorough hyperparameter tuning
175 analysis and effect of random initialization of the weights for tuned parameters is conducted
176 and the results are compared with 1D CNN. Fourth, the proposed method is demonstrated
177 for multi-class and multi-level damage identification in a full-scale bridge. To the authors'
178 best knowledge, it is the first time that LSTM-based model has been presented for multi-class
179 damage identification.

180 The paper is structured as follows. A brief introduction of the structural damage identifi-
181 cation using deep learning techniques are presented in section 1, followed by the gap areas of
182 the existing research and novelty of the proposed method. Next, section 2 presents the pro-
183 posed methodology based on LSTM networks along with the data pipeline and performance
184 metrics to identify and localize damage. The results including both binary and multiclass
185 damage localization are illustrated in section 3. This section also highlights the importance
186 of hyperparameter tuning, evaluation of optimal window size along with the sensitivity to

187 random initialization of weights. The key conclusions of the proposed research are described
188 in section 4.

189 **2. PROPOSED METHODOLOGY**

190 A novel method for damage classification and localization using an LSTM network is
191 proposed. In this section, first, a theoretical explanation of the proposed method is provided
192 and next, performance metrics for evaluating the proposed method are discussed.

193 **2.1 Damage detection using LSTM model**

194 In this section, a method based on a long short-term memory network (LSTM) is proposed
195 to classify the vibration measurement into damage levels. The mathematical illustration is
196 provided below of a typical LSTM network and further information of the proposed method
197 is provided subsequently. The LSTM network (Hochreiter and Schmidhuber 1997) has been
198 successfully used in time series classification and other sequence learning tasks (Lipton et al.
199 2015).

200 Given an input acceleration signal, $x=(x_1, \dots, x_T)$, a standard recurrent neural
201 network computes hidden vector sequence as $h=(h_1, \dots, h_T)$ and the output vector
202 sequence as $y=(y_1, \dots, y_T)$ by iterating equations (1 - 2) from time, $t=1$ to T :

203
$$h_t = \mathcal{H}(W_{ih}x_t + W_{hh}h_{t-1} + b_h) \quad (1)$$

204 where W denotes weight matrices, W_{ih} is the input-hidden weight matrix, W_{hh} is the
205 hidden-hidden weight matrix, b denotes bias vectors, b_h is hidden bias vector, and \mathcal{H} is the
206 hidden layer activation function.

207
$$y_t = W_{ho}h_t + b_o \quad (2)$$

208 where y_t denotes output at time t , W_{ho} is the hidden-output weight matrix, and b_o is
209 output bias vector. \mathcal{H} is usually an element-wise application of a sigmoid function. Fig. 1
210 illustrates a typical single LSTM memory cell (Chen 2016). The transformations applied

211 to the input x_t and hidden state from the previous time step h_{t-1} in the LSTM cell are
 212 described by Eqs. (3-8) (Chen 2016).

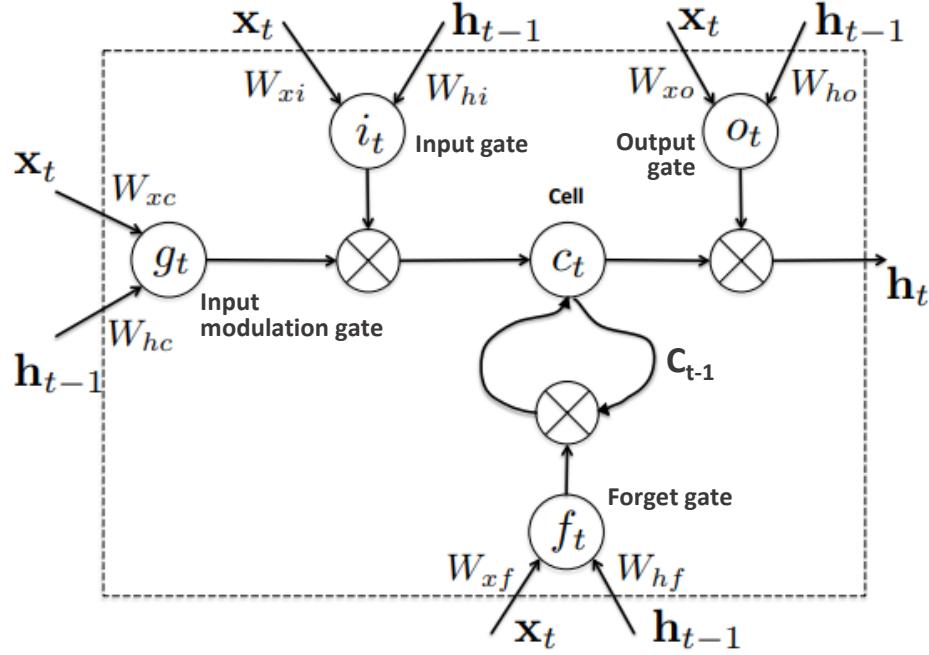


FIG. 1: The typical internal structure of an LSTM cell.

213 $i_t = \tanh(W_{xi}x_t + W_{hi}h_{t-1} + b_i)$ (3)

214

215 $f_t = \sigma(W_{xf}x_t + W_{hf}h_{t-1} + b_f)$ (4)

216

217 $g_t = \tanh(W_{xc}x_t + W_{hc}h_{t-1} + b_c)$ (5)

218

219 $o_t = \sigma(W_{xo}x_t + W_{ho}h_{t-1} + b_o)$ (6)

220

221 $c_t = f_t \otimes c_{t-1} + i_t \otimes g_t$ (7)

222

223 $h_t = \tanh(c_t) \otimes o_t$ (8)

224 where σ is the logistic sigmoid function, and i , f , g , o and c are respectively the input
 225 gate, forget gate, input modulation gate, output gate and cell activation vectors, all of which

226 are the same size as the hidden vector h . The weight matrix subscripts have the obvious
227 meaning, for example W_{hi} is the hidden-input gate matrix, W_{xo} is the input-output gate
228 matrix. The bias terms (which are added to i , f , g , and o) have been omitted in Fig. 1 for
229 clarity. The weight matrices represent the learnable parameters of the model and gradient
230 decent algorithm is used to minimize prediction error on a training set.

231 The cell state c_t encodes the information of the sequence observed up to that time step.
232 The input gate controls the information added to the cell state from the current time step,
233 and the forget gate controls what information needs to be forgotten from the current cell
234 state. For example, if the output vector of the forget gate f_t has a near-zero value in the first
235 dimension, it indicates that the first dimension of the cell state c_t needs to be “forgotten”.
236 The forgetting occurs in the element-wise multiplication; i.e. multiplying an element by a
237 near-zero value results in a near-zero element in the output vector. By maintaining cell state
238 in this manner, the LSTM cell is able to capture both long and short term relationships
239 between the input time-series values and the predicted variable (e.g., damage classification).
240 During training, the truncated back propagation through time algorithm is used on truncated
241 sequences to make the process computationally feasible. During prediction, the forward pass
242 can be applied to arbitrarily long sequences (the LSTM cell can be repeatedly applied to
243 any number of input time steps). Any further information about the internal structure and
244 training of LSTM can be found in (Chen 2016).

245 The proposed machine learning model for the damage time series level classification is a
246 multi-layer LSTM network architecture, as shown in Fig. 2. The pre-processed sequences of
247 windows are given as input to the model, and the softmax output of the final LSTM time step
248 is considered as the prediction of a sequence (the set of classification probabilities $P(y = c_t)$
249 to each class c_t). During training, forward and backward passes are performed on the input
250 sequences, and the weight updates are made to minimize the cross-entropy loss on a batch
251 of sequences. The predicted set of classification probabilities $P_p(y_c)$ for a full acceleration
252 measurement is obtained by summing the class probabilities of all the window sequences

253 in one signal series. The class with the maximum probability is the predicted damage
254 level classification of the series. Note that this is equivalent to voting on the classification
255 probabilities of individual window sequences to arrive at the prediction of the full series. It
256 is observed that the voting process improves the prediction accuracy and other evaluation
257 metrics on the time-series test set.

258 In the proposed method, the window size w and the sequence length L (no. of win-
259 dows in a sequence) becomes hyperparameters that are tuned to improve the accuracy of
260 the neural network. The hyperparameters of LSTM network include number of layers and
261 number of nodes in each layer (network architecture), activation function, and batch size
262 (performing weight updates during training). Optimal parameters are found using a random
263 search on a hyperparameter space (Bergstra and Bengio 2012). In each iteration, the search
264 algorithm randomly selects a configuration of values for hyperparameters from a specified set
265 of possible values, and trains three models with those parameters on three splits of training
266 and validation sets (3-fold validation). The hyperparameters configuration that gives the
267 highest mean validation accuracy is selected as the final, tuned set of hyperparameters of
268 the model. A training session is terminated when, either a specified maximum number of
269 epochs is reached, or the validation loss does not decrease for a specified number of epochs
270 (early stopping). The final network weights are taken from the epoch with the smallest vali-
271 dation loss. More details of the experiments and the optimal hyperparameters for each setup
272 are provided in section 4. The purpose of the proposed method is to classify acceleration
273 responses depending on various damage levels and classes. The classification problem is pre-
274 sented as binary (undamaged vs. damaged) or multiclass (undamaged, and damage of more
275 than two levels). Fig. 3 illustrates the proposed data pipeline, which consists of a series of
276 pre-processing and post-processing steps with an LSTM network as the classification model.
277 It should be noted that the solid black lines represent datasets, blue dotted lines represent
278 operation on the datasets, and multiple arrows represent multiple data instances.

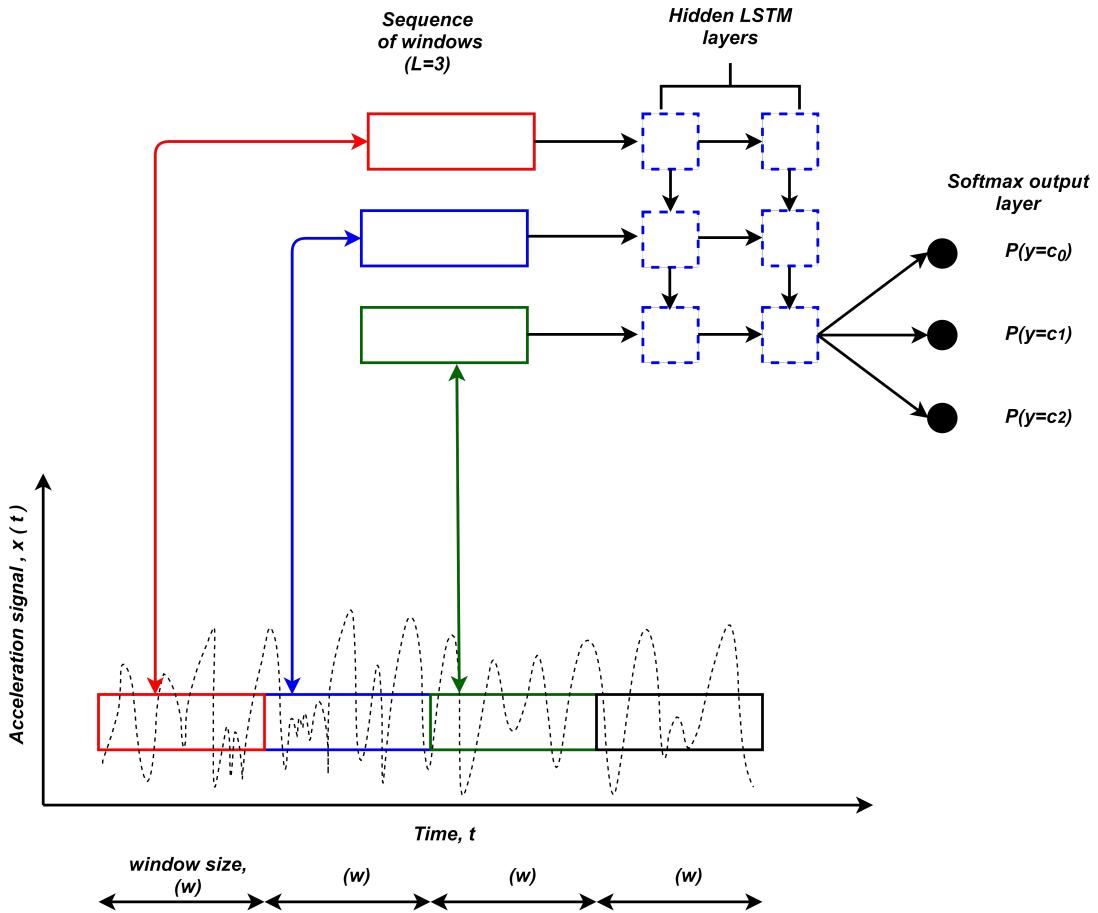


FIG. 2: Extracting sequences of windows from the vibration signals and the LSTM network architecture.

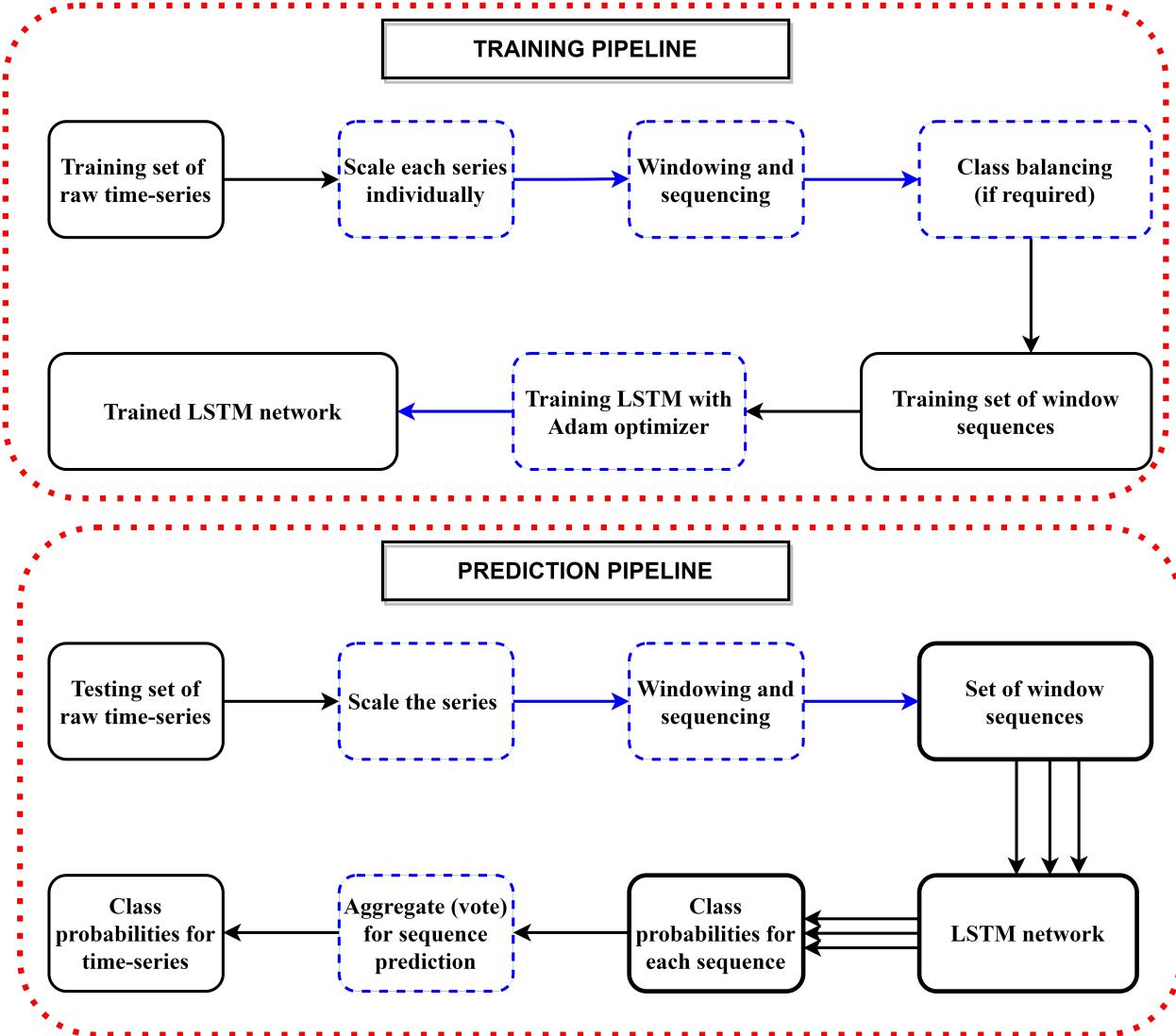


FIG. 3: Data pipelines for training the LSTM network and obtaining predictions for a given acceleration response.

A single acceleration time-series acquired over a number of seconds consists of a large number of samples (for example, a record of long vibration data). In the proposed method, the acceleration is first normalized with respect to its mean and standard deviations. This improves the convergence rate of models trained on the datasets and prevents large value samples from dominating the input (Ioffe and Szegedy 2015). Second, the segment of the scaled time series is fed into a sequence of contiguous windows (window size w), and a sequence of such windows (length L) is arranged to form one input instance to the LSTM

network. Thus, the input of the network is a w -dimensional sequence of length L . Many such sequences can be extracted from a single original accelerometer time series, and each sequence is assigned to a label (damage level) of the original series. The process of extracting sequences of windows from a time series is illustrated in Fig. 2. This technique of transforming the original series into sequences of windows effectively reduces the data dimension, and additionally, it increases the training set size (multiple sequences per time series), which in turn allows training machine learning models with less over-fitting. Imbalanced data can cause problems in model training (Guo et al. 2018). To alleviate this problem, a balanced dataset is created by preparing training set by randomly selecting a number of undamaged sequences equal to the number of damaged sequences.

2.2 Damage localization using LSTM model

The LSTM network described in the previous section produces a set of probabilities $P_p(y_c)$ for a given acceleration signal. These indicate the probability that the signal belongs to each class c . Multiple signals are obtained from accelerometers placed in the critical locations of a structure, and model prediction probabilities are computed for each signal. In this manner, a probability of damage distribution over the space of the structure can be estimated, and locations with high probability of damage can be identified (damage localization). This damage probability distribution over the structure can be visualized (for example, heatmaps of probability values over a 2D structure) to aid an engineer in quickly localizing damages. Algorithm 1 summarizes the proposed approach for damage detection and localization.

Algorithm 1: Damage detection and localization

Input: A set of acceleration signals from a structure.

Output: Predicted damage class (damage detection) and probabilities of damage over the structure (damage localization)

- (a) The acceleration signal data is pre-processed, as shown in the training pipeline in Fig. 3.
- (b) A single LSTM model is trained for damage classification.
- (c) The predicted class label (undamaged or damage level) for a signal is computed
306 from the model (damage detection for the signal).
- (d) Probabilities of classification (to damage level classes) are computed for each signal from the model.
- (e) Damage probabilities for all signals form a distribution of damage probabilities over the structure. High probabilities correspond to damaged locations (damage localization).
- (f) Visualize the distribution of damage probabilities for visual inspection and automated decision.
-

307 **2.3 Performance criteria**

308 Several standard metrics can be used to evaluate the performance of a classification
309 model. These metrics measure different aspects of the obtained results. A brief description
310 of the selected metrics is provided below and explained in the context of structural health
311 monitoring of civil infrastructure. The primary form of prediction results is given by the
312 confusion matrix, which is a tabulation of classifications made by a model. It shows the
313 “classification distribution” of a model, and helps identify properties of the model, such as
314 when it is consistently mis-classifying one class as another. The confusion matrix is obtained
315 for both binary and multi-class classifications. Table 1 shows the confusion matrix for the
316 case of binary classification. It should be noted that True Positives is denoted as TP, True
317 Negatives as TN, False Positives as FP, and False Negatives as FN. Multiple metrics can be
318 derived from the confusion matrix as shown in Table 2.

TABLE 1: Confusion matrix for a binary classification problem.

		Predicted class	
		Damage	Healthy
Output class	Damage	TP	FN
	Healthy	FP	TN

TABLE 2: Description of various performance metrics.

Metric	Formula	Remarks
ROC-AUC	Recall Vs FPR	Degree of separability between classes
Accuracy	$\frac{TP+TN}{TP+FN+FP+TN}$	Less useful for heavily imbalanced data
Precision	$\frac{TP}{TP+FP}$	Positive predicted value
Recall	$\frac{TP}{TP+FN}$	True positive rate or sensitivity
False Positive Rate (FPR)	$\frac{FP}{TN+FP}$	False alarm when there is no damage
False Negative Rate (FNR)	$\frac{FN}{TP+FN}$	No alarm for actual damage
F1 Score	$2 * \frac{\text{precision}.\text{recall}}{\text{precision}+\text{recall}}$	The harmonic mean of precision and recall

319 In this study, two key metrics are used as the performance metrics to evaluate the pro-
 320 posed method, namely, accuracy, and FNR. Accuracy is the primary evaluation metric to
 321 understand the ability of the model to correctly classify the inputs. False negative rate is
 322 an important metric that has not been commonly used in the literature to evaluate damage
 323 detection models. A false negative (type II error) represents a truly damaged series that is
 324 classified as undamaged by the model, which could lead to catastrophic consequences in a
 325 critical structure. Therefore, we measure and compare the false negative rate in the model
 326 evaluation experiments in this work. Two plots highlight the trade-off between metrics as

327 the decision threshold of the classifier changes: the receiver operating characteristic (ROC)
328 curve shows the trade-off between false positive rate and true positive rate, and the precision-
329 recall (PR) curve shows the trade-off between precision and recall. By analyzing these curves
330 obtained from a test set of model predictions, an engineer can make an informed decision
331 on the balance that is needed between the metrics and choose a decision threshold suitable
332 for the task. The PR curve in particular is suitable for understanding problems where the
333 datasets are highly imbalanced. In this study, ROC-AUC and PR-AUC curves are plot-
334 ted for visual comparison. The corresponding area-under-the-curve (AUC) of these graphs
335 (ROC-AUC and PR-AUC) represent an aggregate measure of the model ability in terms
336 of the relevant metrics. Therefore, ROC-AUC and PR-AUC reported and compared where
337 appropriate. The damage localization results are evaluated by inspecting the visualization of
338 damage probabilities over the structure, and verifying that high damage probabilities have
339 been assigned to the damaged location, while the other locations are assigned low damage
340 probabilities.

341 **3. PERFORMANCE EVALUATION**

342 The proposed method is evaluated in two stages: an experimental setup, and a full-
343 scale study. For comparison, the 1D CNN model from (Abdeljaber et al. (2017)) is also
344 implemented and evaluated. For performance evaluation, accuracy, FNR, ROC-AUC and
345 PR-AUC are used. Additionally, several experiments are conducted to study the performance
346 change with window size in the model input, and the effect of voting on individual windows
347 to obtain the final prediction.

348 **3.1 Experimental study**

349 Damage detection where the classification is between one of two classes, such as damaged
350 and undamaged, is called a binary classification. Qatar University Grandstand Simulator
351 (QUGS) is used to evaluate the performance of the proposed method (Abdeljaber et al.
352 (2017)). QUGS is constructed to evaluate and develop effective structural damage detection
353 techniques suitable for monitoring of modern stadia, as shown in Fig. 4. The frame was

354 designed to carry a total of 30 spectators with area dimensions of 4.2 m * 4.2 m. The
 355 design considerations used for the experimental test structure was to guarantee its safety
 356 and compatibility with the specifications of modern grandstands. In this work, the structure
 357 is utilized in its current form (steel frame only) to generate vibration data under several
 358 structural damage cases. The signal is applied to the shaker through a SmartAmp 2100E21-
 359 400 power amplifier. Two 16-channel data acquisition devices were used to generate the
 360 shaker input and collect the acceleration output. A total of 31 vibration scenarios are
 361 considered, the first scenario corresponds to the reference (undamaged) case. For scenarios
 362 2 to 31, damage was introduced to the joints 1 to 30, respectively.

TABLE 3: Hyperparameters used in LSTM for tuning by random search algorithm.

Parameter	Values
Window size	64, 128, 160, 256, 512
No. of windows in a sequence	2, 4, 8, 16
No. of hidden layers	1 - 6
No. of hidden nodes	1024, 512, 256, 128, 64, 32
Dropout rates	0.2 and 0.5 for each hidden layer
Learning rate	0.0003, 0.001, 0.01
Batch size	64, 256, 512
Cell type	LSTM with tanh activation

363 A total of 30 accelerometers were mounted on the main girder at each girder and filler
 364 beam joint, and a magnetic mounting plate was used to attach the sensors on the frame. A
 365 modal shaker was used to excite to the structure. A total of 31 measurement datasets were
 366 acquired where, first one was for the undamaged case, and in scenarios 2 to 31, the damage
 367 was introduced by loosening the bolts to the joints 1 to 30, respectively, as shown in Fig. 5.

368 The acceleration data was sampled at 1024 Hz and collected for 256 seconds under a white
369 noise shaker for each joint. Therefore, each signal contains 262144 samples. The complete
370 data set contains 31 distinct acceleration measurement datasets, where the first one is for
371 undamaged case and the rest are for one damaged scenario each. Two sets of datasets under
372 a similar vibration environment are acquired for training and testing of a model, respectively.



FIG. 4: QUGS testbed, where the joints are numbered from 1 to 30 (Abdeljaber et al. 2017).

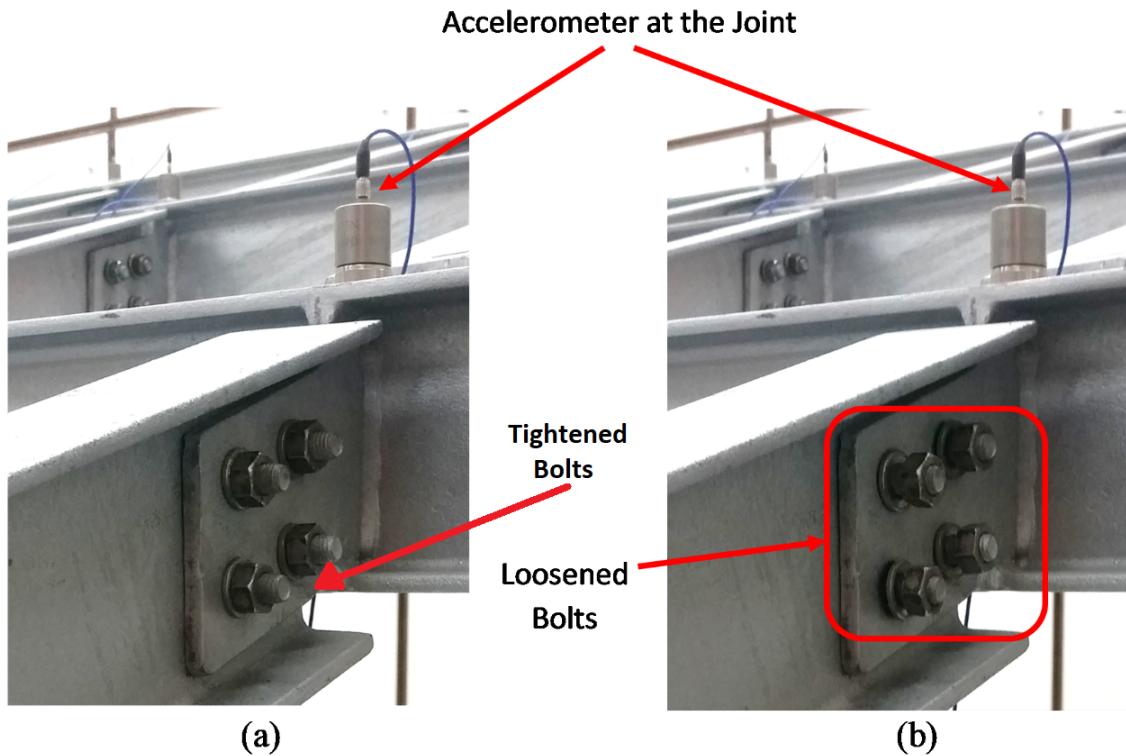


FIG. 5: Undamaged and damaged state of the girder and beam joint in QUGS (Abdeljaber et al. 2017).

TABLE 4: Optimal configuration of LSTM hyperparameters for QUGS experiment.

Parameter	Values
Window size	64
No. of windows in a sequence	8
No. of hidden layers	3
Architecture	[64, 128, 64, 32, 1]
Dropout rates	0.2
Learning rate	0.001
Batch size	256
Training epochs	100 with early stopping

373 A range of values for hyperparameters is used for tuning the LSTM model using a random
 374 search, as shown in Table 3. The window size as an external parameter is varied between 64
 375 and 512 samples. Various values for other hyperparameters such as the number of hidden
 376 layers (range of 1-6), and nodes in hidden layers (range of 32-1024) are considered to achieve
 377 optimal performance of the proposed model. Consequently, the random search algorithm
 378 explores shallow, wide and deep LSTM architectures. The optimal hyperparameter configura-
 379 tion obtained using random search algorithm for QUGS experiment is presented in Table
 380 4. It can be observed that the highest performing window is 64, and 3 required at least
 381 three hidden layers for best accuracy. A comparison is drawn between the window-size (w)
 382 and the performance metric (P_m) to understand model performance with changing w . Three
 383 different metrics are used, namely, ROC-AUC, accuracy, and FNR as these three metrics
 384 broadly cover the efficacy and any shortcomings of the classification model. The result is
 385 shown in Fig. 6. It can be observed that $w=64$ and $w=128$ yield the best performance metric
 386 values (high accuracy and ROC-AUC with low FNR), and performance metrics consistently

387 degrade with increasing window size. This behavior is attributed to a reduction in the data
 388 samples (sequences of windows) with increasing w , causing the LSTM network to overfit
 389 when trained on smaller datasets.

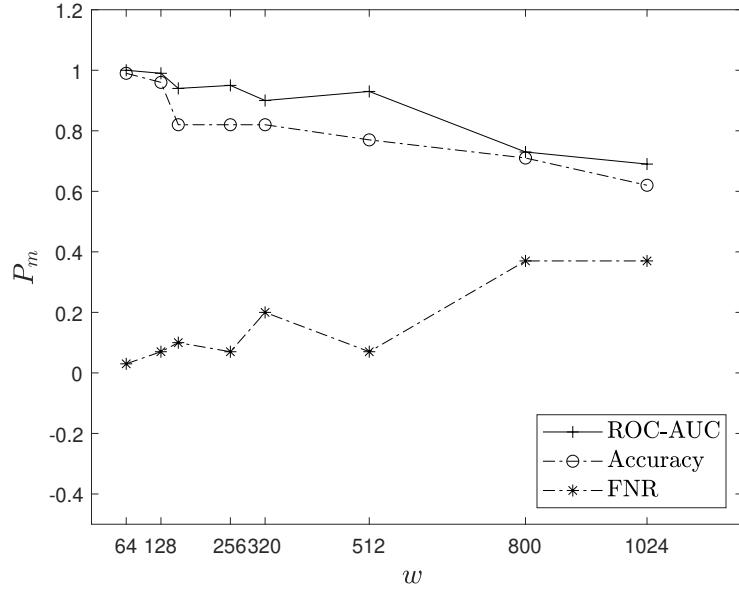


FIG. 6: Performance evaluation of LSTM based on window size for QUGS.

390 To compare the proposed LSTM approach with the 1D CNN method introduced by
 391 Abdeljaber et al. (2017), a 1D CNN is trained with the same hyperparameter tuning process
 392 as outlined before. The optimal 1D CNN network has 4 hidden layers with nodes 256, 128,
 393 64, 32 in each layer and a kernel size of 64. After acquiring the optimal tuned parameters, a
 394 variability study is conducted to understand variance in the metrics by training the LSTM
 395 and 1D models with random initialization of network weights 5 times. The result is shown
 396 in Fig. 7. It was found that both models perform well consistently with accuracy at 1.0 and
 397 False negative rate at 0.0 with negligible variability.

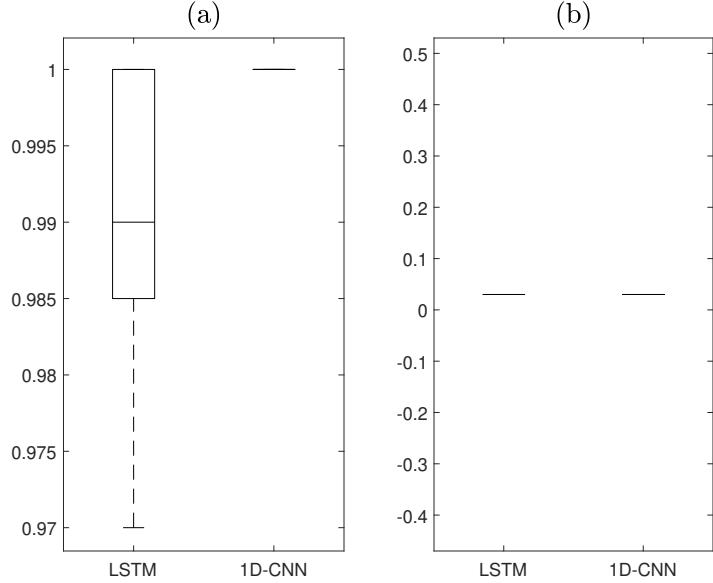


FIG. 7: Performance evaluation for random weight initialization for QUGS (a) Accuracy, and (b) FNR.

One possible explanation for the perfect performance behavior of the machine learning models is the based on the nature of the acceleration signals. The damages in the joints of the QUGS structure are highly localized, and the sensors are placed at the exact locations of damage. Furthermore, the controlled experimental laboratory setup makes the acquired signals be of high quality and noise free. These properties lead to acceleration signals that have distinct, discriminating patterns between the damaged versus undamaged cases. The 1D CNN's capability to learn local structure in the time signals, and the LSTM's capability to learn long-term irregular dependencies lead to both models learning patterns that give excellent test set performance.

In the proposed method, the damage classification of a series is obtained by voting on the classification of individual windows that constitute the full series. It is observed that this voting process increases test set performance metrics on series predictions considerably in contrast to the predictions on individual windows, as illustrated by the ROC curves and precision-recall (PR) curves in Fig. 8. The ROC curve is closer to the upper left corner in

412 the voted series predictions (Fig. 8: (b)) than on the individual window predictions (Fig. 8:
 413 (a)), which represents an increase in ROC-AUC from 0.95 to 1.0. The PR curve also shifts to
 414 the upper right corner (Fig. 8: (d)), with an increase in PR-AUC from 0.52 for voted series
 415 predictions to 0.99 for individual window predictions. It can be concluded that voting on
 416 windows to obtain the final series prediction decreases the probability of error significantly.

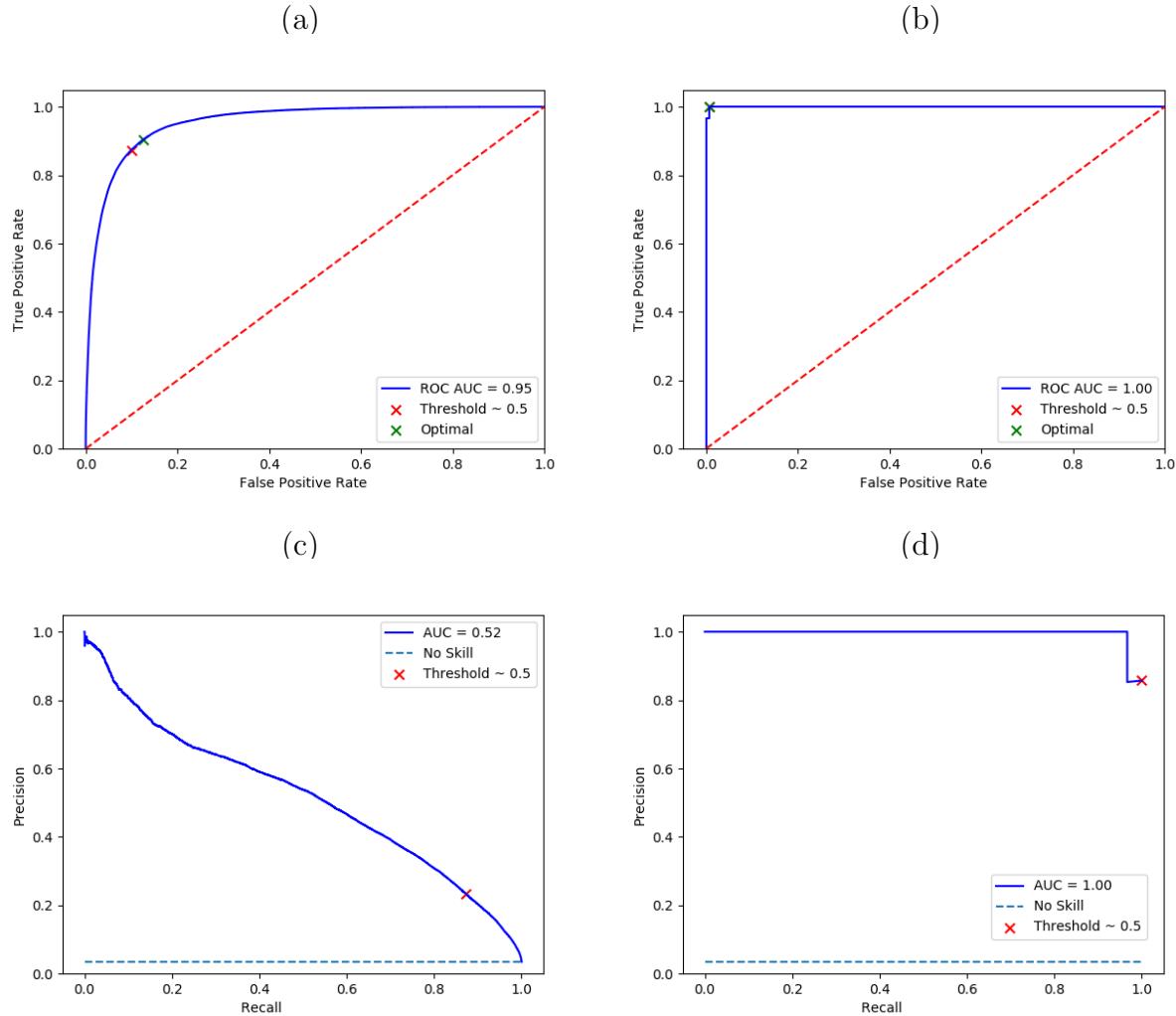


FIG. 8: LSTM performance curves on the QUGS dataset: (a) individual windows ROC, (b) voted series ROC, (c) individual windows PR, (d) voted series PR.

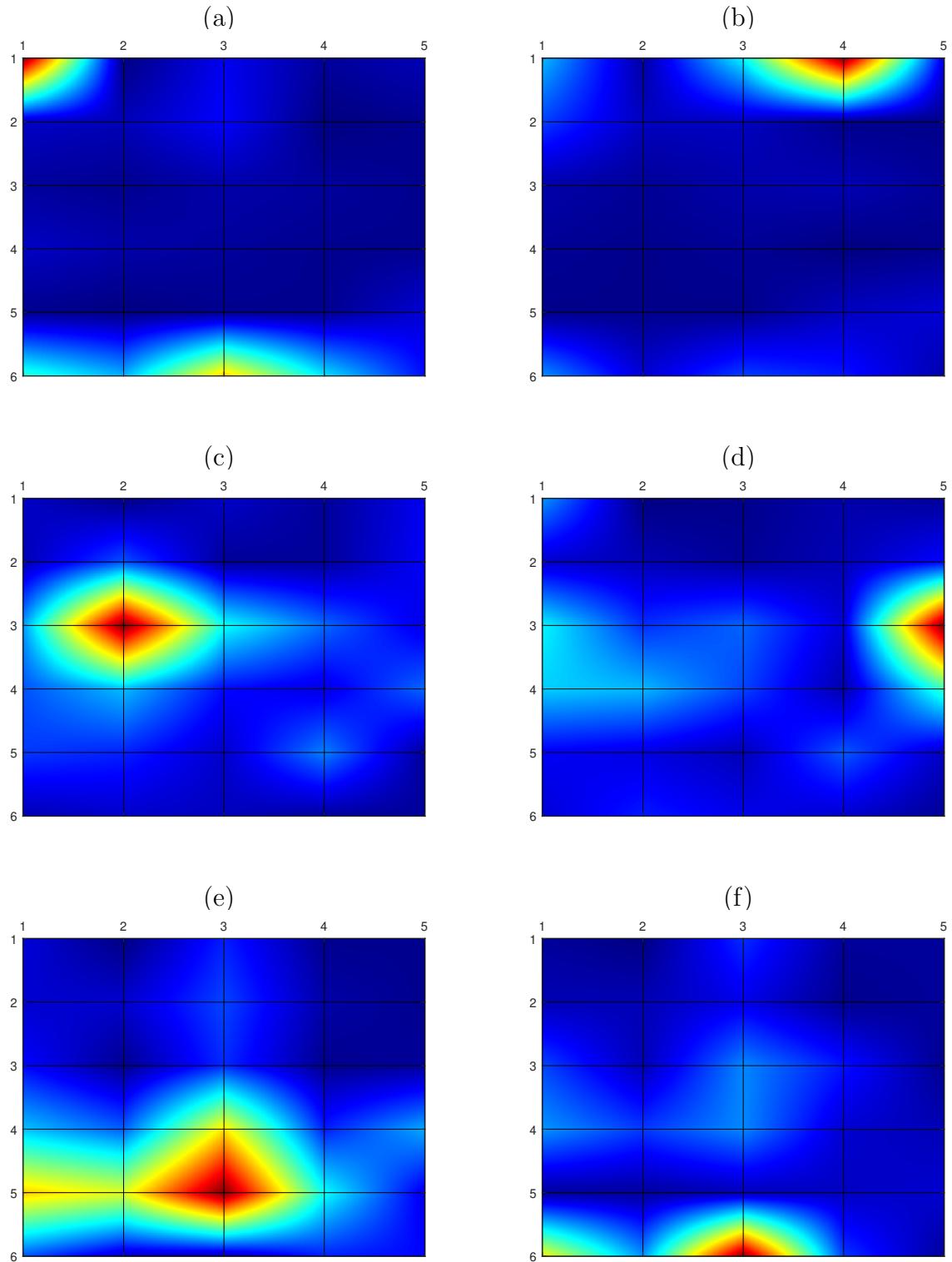


FIG. 9: Damage localization probabilities in QUGS for scenarios where the damaged joint is: (a) Joint-1 [1, 1] (b) Joint-4 [4, 1], (c) Joint-12 [2,3], (d) Joint-15 [5,3], (e) Joint-23 [3,5], and (f) Joint-28 [3, 6].

417 Damage localization is performed for QUGS using Algorithm 1. In each damage scenario
418 in the QUGS dataset, a single joint out of the 30 joints in the grandstand structure is damaged
419 by loosening of the bolts. Acceleration signals are acquired from all joints, and the proposed
420 localization method gives damage probabilities for each joint location. The distributions of
421 damage probabilities for multiple damage scenarios are presented as heatmaps in Fig. 9.
422 Note that the color blue indicates $P(Damage) \approx 0$ and color red $P(Damage) \approx 1$. For
423 example, Fig. 9 (a) shows the scenario where joint-1 of the structure is damaged (see Fig. 4
424 for an illustration for numbered joints). The damage appears to be heavily localized at the
425 joint. Fig. 9 (e) shows the scenario where joint-23 (location: [3, 5]) is damaged, and it is
426 clear that the damage is spread out as it is surrounded by 4 connected branches signifying
427 more effect of damage after loosening of bolts.

428 **3.2. Full-scale Study**

429 Damage detection, where classification is more than two classes, is considered a multiclass
430 problem. In this study, two classes of damage are used, namely, pier settlement and rupture
431 of tendons for a full-scale bridge. Both damage classes further have multiple damage levels.
432 Z24 bridge benchmark data (Maeck and Roeck 2003) is used to evaluate the performance of
433 the proposed method for multiclass damage detection. The Z24 bridge was located in the
434 canton Bern near Solothurn, Switzerland. It was a classical post-tensioned concrete two-cell
435 box-girder bridge with a main span of 30 m and two side spans of 14 m, as shown in Fig.
436 10. The bridge was demolished at the end of 1998 because a new railway adjacent to the
437 highway required a new bridge with a larger side span. The Z24 bridge data was acquired
438 using 16 accelerometers placed at different spans of the bridge as shown in Fig. 11. The
439 Z24 bridge was excited by two shakers, one at the mid-span of the bridge and another at a
440 side-span. Because of the size of the bridge, response was measured in nine setups of up to
441 15 sensors each, with three accelerometers and the two force sensors common in all setups.
442 The data was sampled at 100 Hz, and a total of 65536 samples were acquired. This data was
443 made publicly available by researchers at the Katholieke Universiteit Leuven and is available

444

at: <https://bwk.kuleuven.be/bwm/z24>.

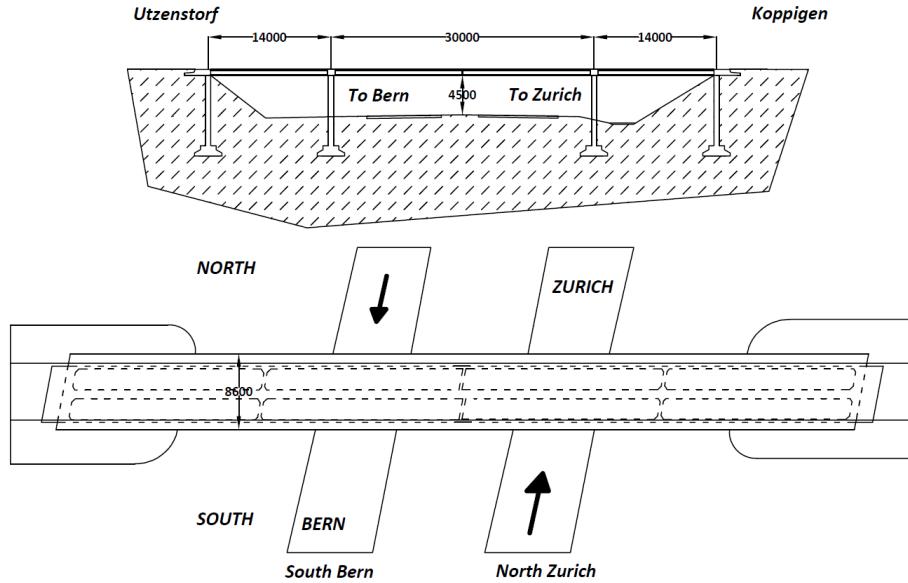


FIG. 10: Schematic of the Z24 bridge.

Utzenstorf

Koppigen

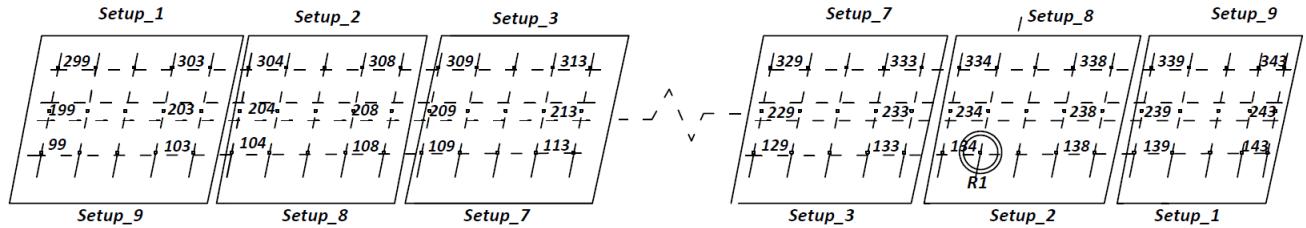


FIG. 11: Sensor placement for the data acquisition.

445 Several progressive damage scenarios were considered for vibration-based damage analy-
 446 sis. For the brevity of this study, only two different damage scenarios are considered: pier
 447 settlement and rupture of tendons. Each damage scenario has multiple level of damage. All
 448 these damage scenarios are compared with the baseline undamaged state. It can be observed
 449 that each damage scenario have different classes of damage, and they were chosen to evaluate
 450 the performance of the proposed method to classify various multi-class, multi-level damage
 451 cases. For example, rupture of tendons have three levels, and lowering of pier have four

levels, and together they make a case of two separate damage classes. For detailed explanation of how the damages were induced to the bridge, readers are suggested to referred to (Roeck and Teughels 2004). Multiclass problem is considered based on the type and level of damage. The reference, undamaged condition is considered as class-zero for all the cases and the other damages were assigned classes starting from 1 to n depending upon the level of damage, as shown in Table 5. For example, in the case of rupture of tendons, the damage level classes 1, 2 and 3 correspond to damages induced by rupture of two tendons, then four, and finally six tendons. Similarly, there are four damage level classes for lowering of pier.

TABLE 5: Multiclass problem description for two damage scenarios along with the class label.

Problem	Damage scenario	Class label
0	Undamaged	0
	Rupture of 2 tendons	1
1	Rupture of 4 tendons	2
	Rupture of 6 tendons	3
	Lowering of pier, 20 mm	1
2	Lowering of pier, 40 mm	2
	Lowering of pier, 80 mm	3
	Lowering of pier, 95 mm	4

Optimal hyperparamters of the LSTM model on the Z24 bridge dataset are obtained by performing a random search. Table 6 shows the hyperparameter configuration with highest accuracy. It can be observed that LSTM performed well with $w=128$. An analysis is performed to understand the effect of window size w on performance P_m . The results are illustrated in Fig. 12, which shows that optimal performance is achieved at $w=128$, with

465 highest ROC-AUC and accuracy, and lowest FNR. In case of Fig. 12 (a), the ROC-AUC
 466 and accuracy reduces while FNR increases, similarly, in case of Fig. 12(b) the false negative
 467 rate remains consistent after $w=512$ and other metrics are at their peak, due to larger w ,
 468 the data size reduces per damage class and it leads to overfitting of the model on the data.
 469 A similar random search of hyperparameters is conducted to find optimal parameters for the
 470 1D CNN model. It is found that a CNN with a single hidden layer with 32 ReLU nodes and
 471 kernel size 16 gives the highest accuracy. 5 repetitions of training and testing sessions are
 472 performed to verify the robustness of the models against random initialization of network
 473 weights. Accuracy and false negative rate are computed for each session, and the results are
 474 shown in Fig. 13.

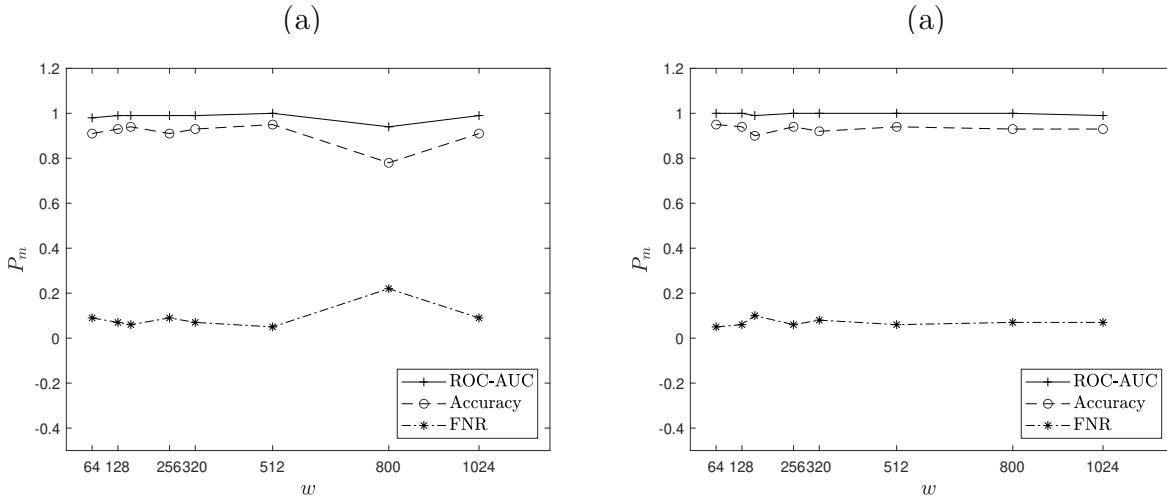


FIG. 12: Performance evaluation of LSTM based on window size for (a) rupture of tendons, and (b) pier settlement.

475 It can be observed that for pier settlement which is mounted with 4-sensors for data
 476 acquisition, and there are 4 damage levels, the damage is considered as localized around
 477 these 4 sensors. The LSTM performs well with highest accuracy and lowest FN rate in
 478 comparison to 1D CNN as seen in Fig. 13 (a), and (b). In case of rupture of tendons,
 479 where the damage is fairly distributed through out the bridge deck. The damaged signals
 480 are not highly distinguishable in comparison to undamaged signal and other severe damage

481 measurements such as lowering of piers. LSTM’s accuracy drops to 0.9 and FN rate increases
 482 to 0.2. However, LSTM still performs better than 1D CNN as shown in Fig. 13 (c), and
 483 (d). It can be further emphasized that the superior performance of LSTM is attributed to
 484 its capability to learn long-term irregular dependencies of complex time signals whereas 1D
 485 CNN learns prominently the local neighborhood structure of the signals.

TABLE 6: Optimal configuration of LSTM hyperparameters for the Z24 bridge dataset.

Parameter	Values
Window size	128
No. of windows in a sequence	16
No. of hidden layers	3
Architecture	[128, 64, 32, 5]
Learning rate	0.001
Batch size	512
Training epochs	100 with early stopping

486 Finally, the optimal parameters are used to evaluate the performance of proposed method
 487 on full-series versus voted-windowed samples. It is observed that voting on windowed dataset
 488 increases accuracy considerably and it is evident in ROC-AUC and precision-recall (PR)-
 489 AUC curves, as presented in Fig. 14, and 15, respectively. It can be observed that voting on
 490 windows from non-localized signal increases the probability considerably by allocating the
 491 majority class and ignoring the non-prominent class along with increasing the data samples
 492 per class. As shown in Fig. 14, voting on individual windows has improved both the ROC-
 493 AUC and PR-AUC. However, due to the localized measurement acquisition, and severity
 494 of damage in pier settlement, the difference in AUCs of various cases was comparatively
 495 similar to the QUGS damage scenario. Moreover, as observed in Fig. 15, where the damage

496 was considerably distributed in case of rupture of tendons, voting on windows increased the
 497 ROC-AUC by 4% and PR-AUC by 10%.

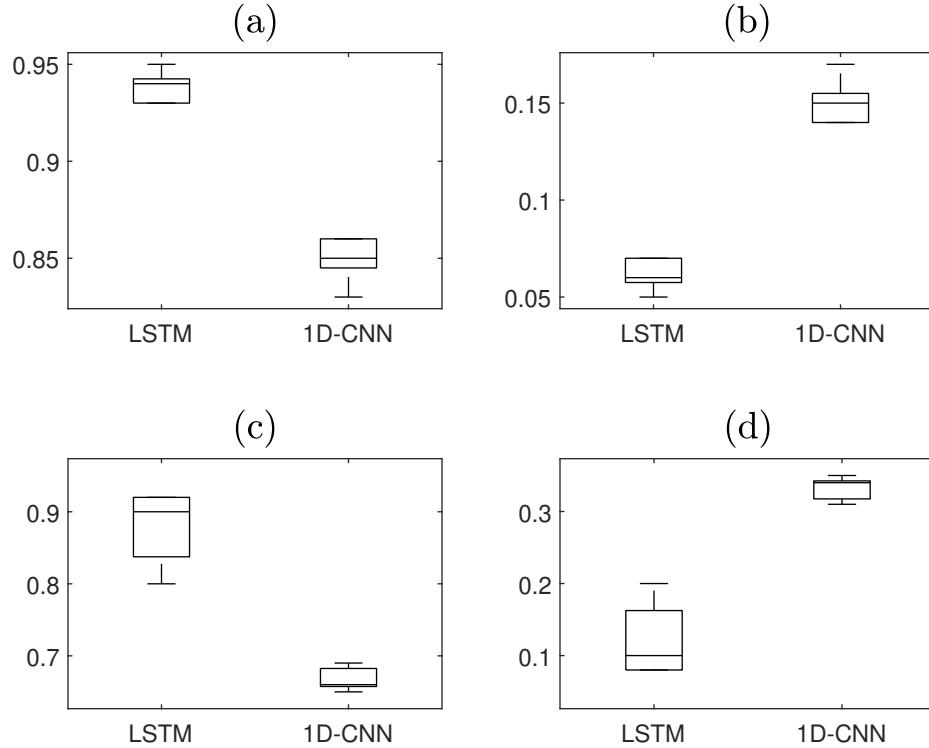


FIG. 13: Performance evaluation of LSTM for random weight initialization for various damage cases in the Z24 bridge where, (a) accuracy for pier settlement, (b) FNR for pier settlement, (c) accuracy for rupture of tendons, (d) FNR for rupture of tendons.

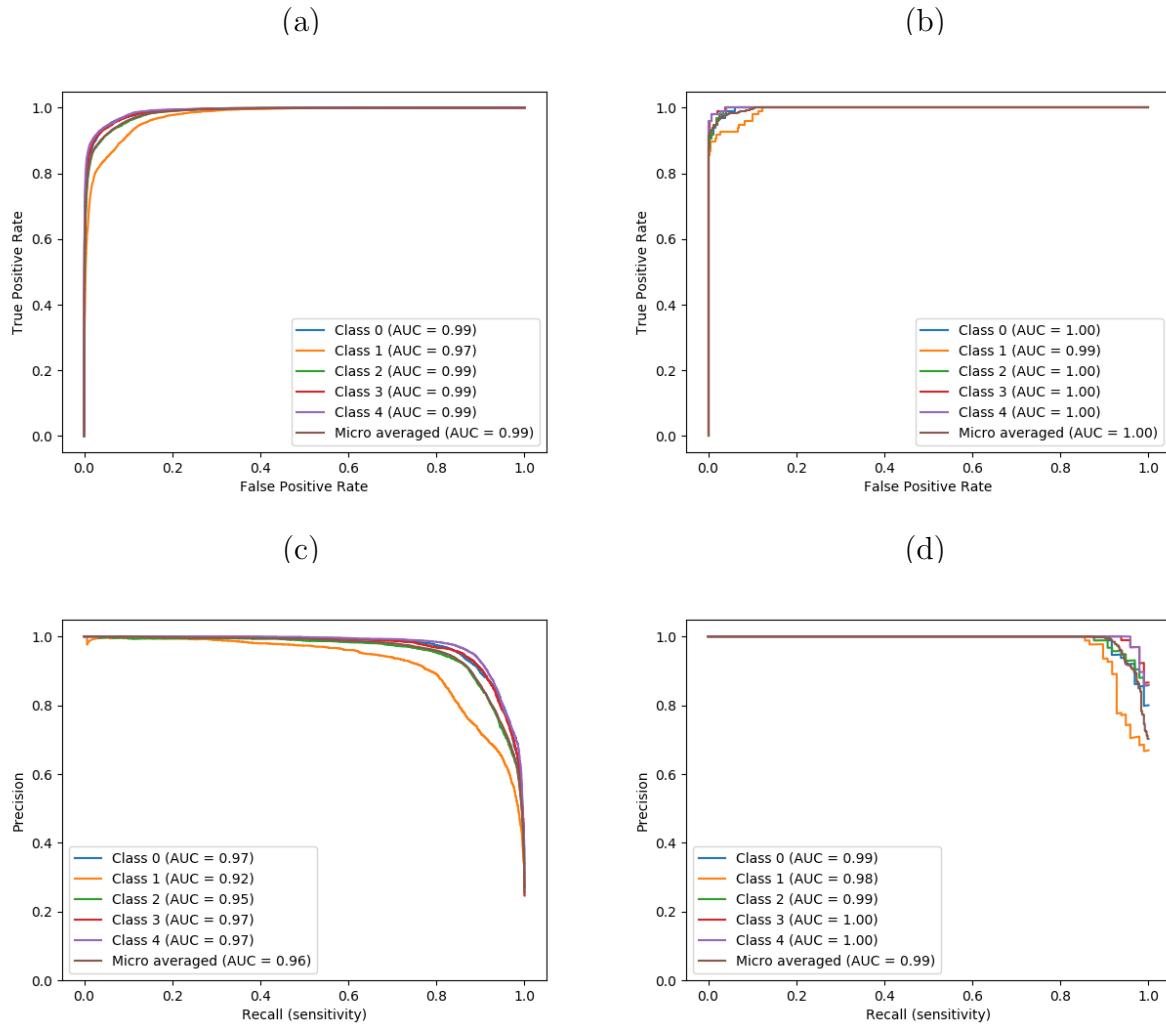


FIG. 14: Performance of LSTM for the Z24 bridge pier settlement (a) individual windows ROC, (b) voted series ROC, (c) individual windows PR, (d) voted series PR.

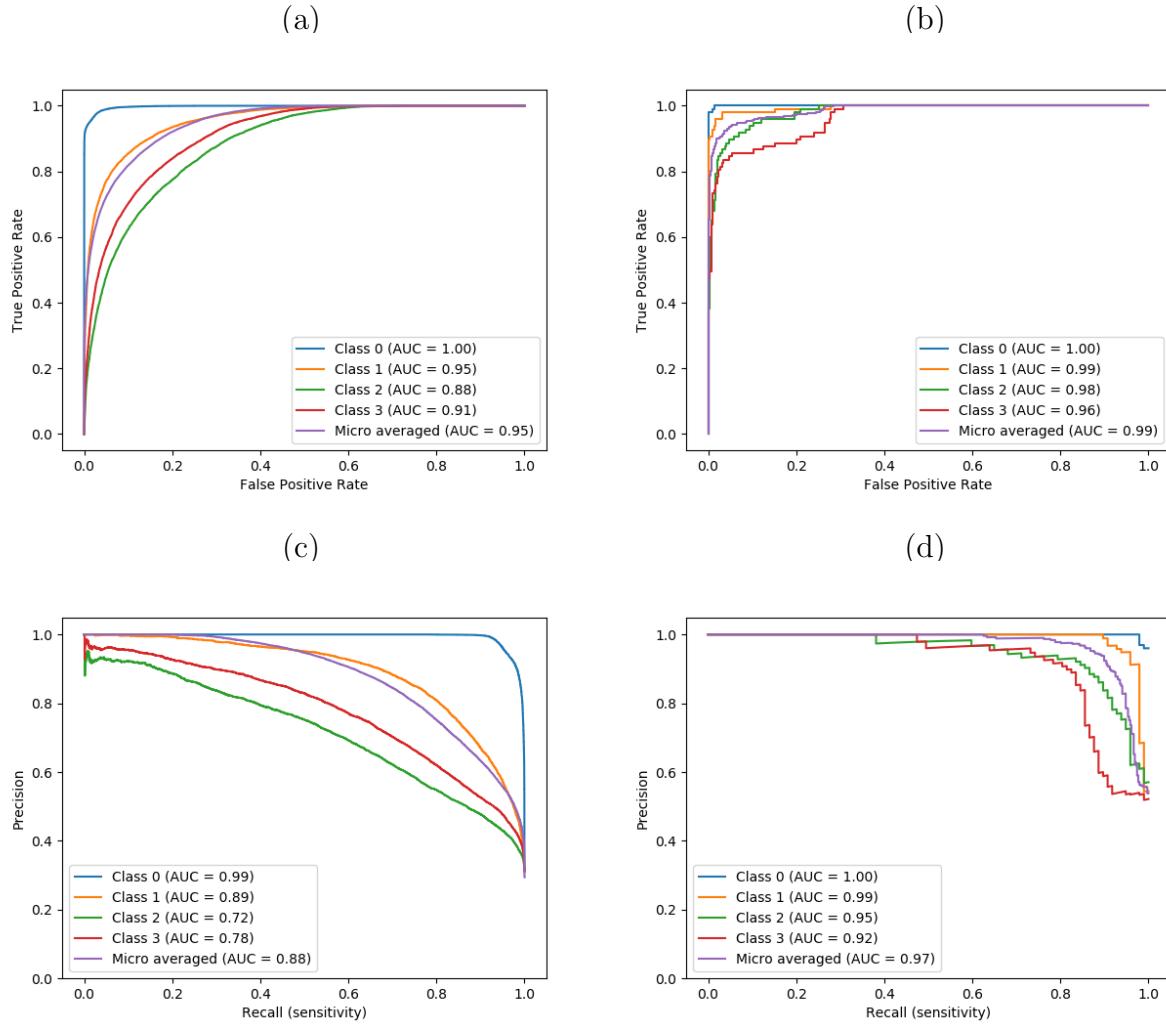


FIG. 15: Performance of LSTM by windowing for Z24 bridge rupture of tendons (a) individual windows ROC, (b) voted series ROC, (c) individual windows PR, (d) voted series PR.

Damage localization is performed using Algorithm 1, for multi-level and multiclass lowering of pier and rupture of tendons damage scenario. In these damage scenarios, the damage is not highly localized as in the experimental study of section 3.. Therefore, it is challenging to localize each measurement sensor and its location. Three different structural components of the bridge is used to localize damage and understand the effect of pier settlement. An undamaged pier (Utzenstorf), bridge deck, and damaged pier (koppigen) are used for representation of predicted probability (P_p) and infer damages in three components. The Koppigen pier is used for inducing the damage by lowering it in several increments starting

506 with 20 mm , 40 mm, 80 mm , and moving to 95 mm at the last stage. The P_p is plotted
 507 against the sensor number and a dash-dotted average of P_p of structural component is shown
 508 as a representation of combined P_p for corresponding structural component as shown in Fig.
 509 16. For example, Fig. 16 (a,b,c) represents P_p for undamaged pier (UDP), bridge deck
 510 (BD), and damaged pier (DP) for 20 mm lowering of piers. Similarly, Fig. 16 (d,e,f) and
 511 (g,h,i) are for 40 mm and 85 mm lowering of piers, respectively.

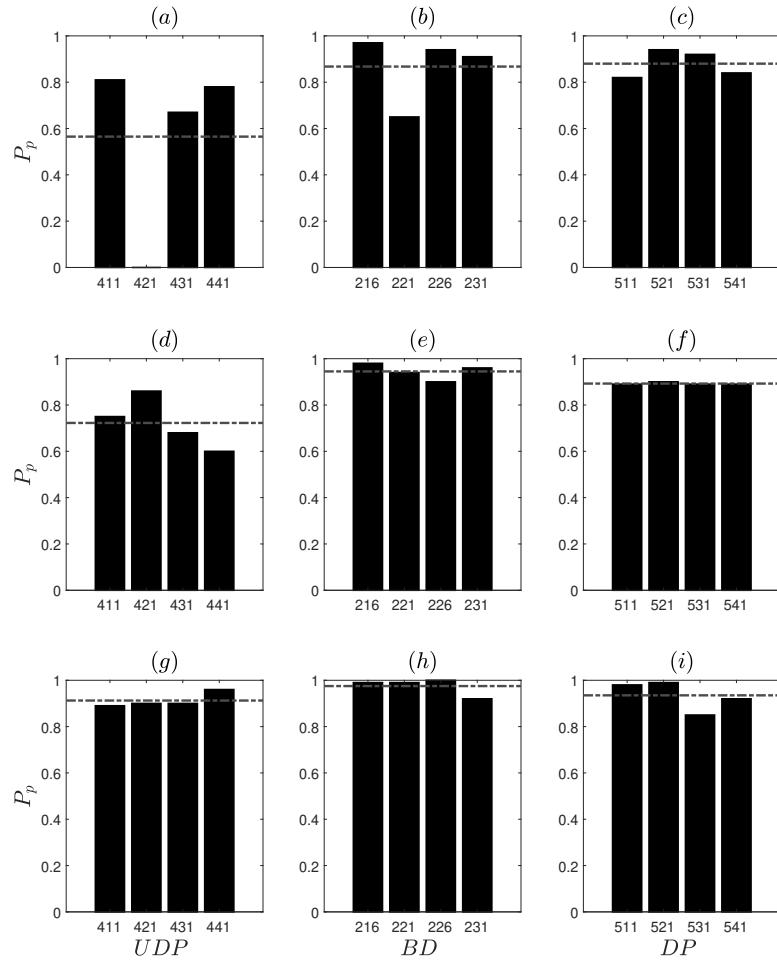


FIG. 16: Damage localization for lowering of pier for three damage levels, where, (a, b, c) are for 20 mm lowering of piers, (d, e, f) are for 40 mm lowering of piers, (g, h, i) are for 95 mm lowering of piers.

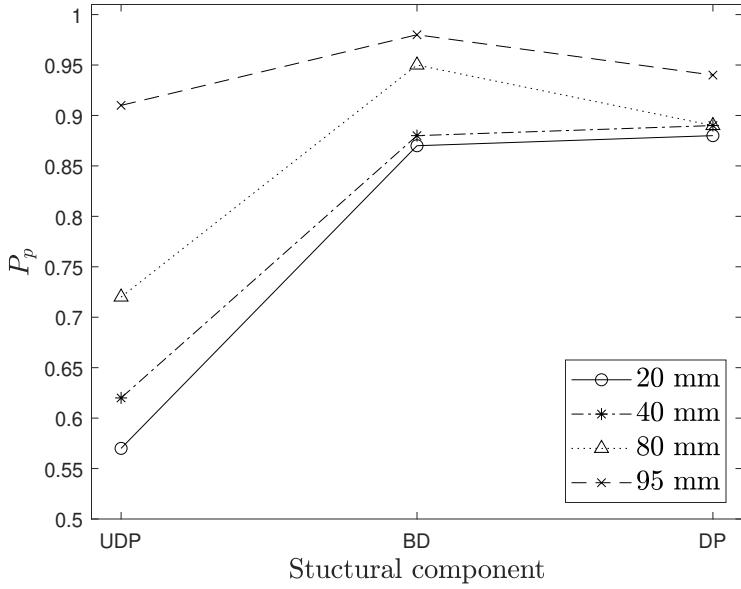


FIG. 17: Damage localization for lowering of pier, where legend shows the amount of pier-settlement.

Due to non-localization of measurement acquisition, it is difficult to infer damage location while considering each sensor separately. However, it is possible to compare average P_p of each structural component for various damage cases. The results considering average P_p for each structural component and various damage levels are shown in Fig. 17. Although there is no correlation between P_p and damage severity, however, as the severity increases, the signals becomes more distinguishable and LSTM learns the classification more effectively. It can be observed from Fig. 17 that UDP shows lowest predicted probability due to its similarity to undamaged-baseline signal, however, both BD, and DP shows higher prediction accuracy. The reason for bridge deck's highest probability of damage is attributed to the surface area and larger affect of differential pier-settlement in the whole structural system. The bridge suffers higher changes in structural responses (deflection, bending moment, shear) than at damaged pier itself, as it acted as a support. Similarly, for rupture of tendons, the most affected area would be the bridge deck and the damage induced due to rupture of tendons will create a non-localized and distributed damage throughout the bridge deck in comparison to

526 bridge piers. The damage localization per sensors is avoided due to non-conclusive inference
 527 and a comparison between structural components of the bridge is provided directly in Fig.
 528 18. It can be observed that rupture of 6 tendons prove to be worse damage level scenario in
 529 comparison to 2 and 4 tendons.

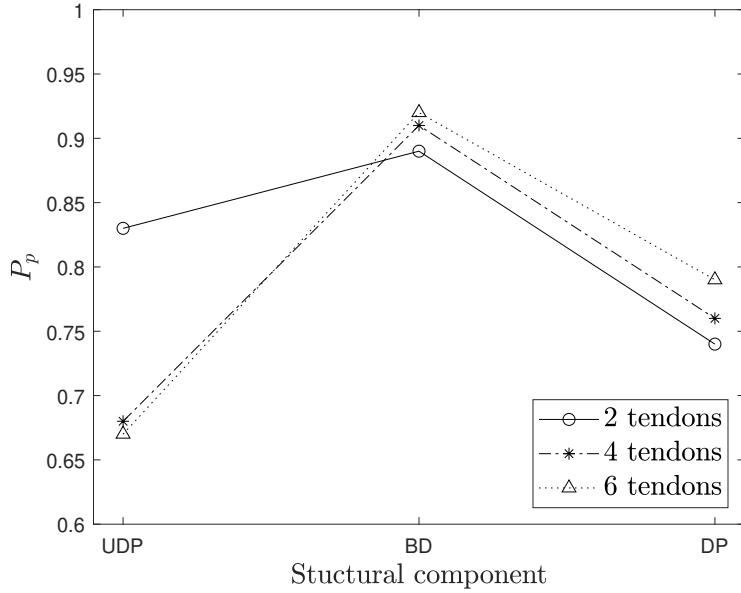


FIG. 18: Damage localization for rupture of tendons, where, the legend shows number of tendon ruptures.

530 4. CONCLUSION

531 In this paper, damage localization using a windowed-LSTM based deep learning algorithm
 532 is employed for multi-class, and multi-level damage detection. Various classes starting from
 533 binary to a maximum of five classes were classified into multi-class damage level. Limited
 534 dataset is augmented using windowing of the time-series measurements and the prediction
 535 accuracy is improved by novel voting approach on windowed classes. It is observed that the
 536 proposed algorithm performs well with non-localized and irregular sample sizes, and learns
 537 the long-term dependencies. The proposed algorithm is analyzed with sensitivity analysis on
 538 window-size as the external parameter to the model. A parametric study is also presented
 539 for random initialization of weights. The accuracy improvement of the proposed algorithm

is illustrated through a comparison between a single series dataset and windowed-voted for ROC and precision-recall AUC. In this paper, it is demonstrated that a simple LSTM architecture is capable of classifying the time series signals into multi-class and multi damage levels with high accuracy. The capability of LSTM models warrants the need to evaluate the remaining useful life of the structures which is a critical component of damage prognosis, that will be considered in a future study.

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