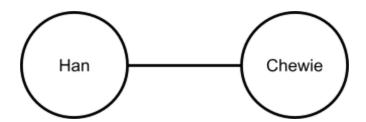
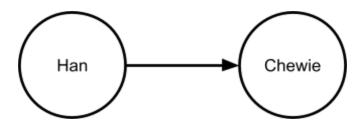
# Graphs

- A graph is a structure representing a collection of objects or states, where some pairs of those objects are related or connected in some way.
- Graphs are used in lots and lots of places in computer science:
  - Social networks like Facebook or Twitter
  - Computer graphics
  - Machine learning
  - Computer vision
  - Logistics and optimization
  - Computer networking
- A graph is composed of vertices (or nodes or points) and edges (or arcs or lines).
- Vertices represent objects, states (i.e. conditions or configurations), locations, etc.
  - These form a set where each vertex is unique (i.e. no two vertices represent the same object/state):  $V = \{v_1, v_2, v_3, ..., v_n\}$
- Edges represent relationships or connections between vertices.
  - These are represented as vertex pairs:  $E = \{(v_i, v_i), ...\}$
  - Edges can be directed or undirected.
    - If there is an edge between  $v_i$  and  $v_j$ , then  $v_i$  and  $v_j$  are said to be **adjacent** (or they are **neighbors**).
    - Edges can be **weighted** or **unweighted**.
- An undirected edge is like a friend relationship in Facebook, e.g. if Han and Chewie are friends, there would be an undirected edge

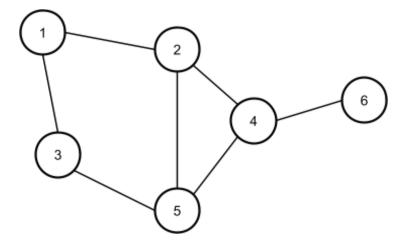
between them in the Facebook graph:



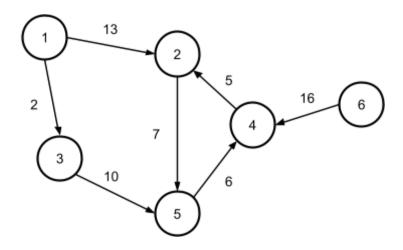
 A directed edge is like a follows relationship in Twitter, e.g. if Han follows Chewie, there would be a directed edge between them in the Twitter graph:



- o Here, we say the edge is directed *from* Han *to* Chewie.
- We can also say that Han is the *head* of this edge and that Chewie is its *tail*.
- We can also say that Chewie is a *direct successor* of Han and that Han is a *direct predecessor* of Chewie.
- We can also say that Chewie is *reachable* from Han.
- Here's an example of a small graph with 6 vertices and 7 undirected, unweighted edges:



• Here's an example of a similar graph with directed, weighted edges:

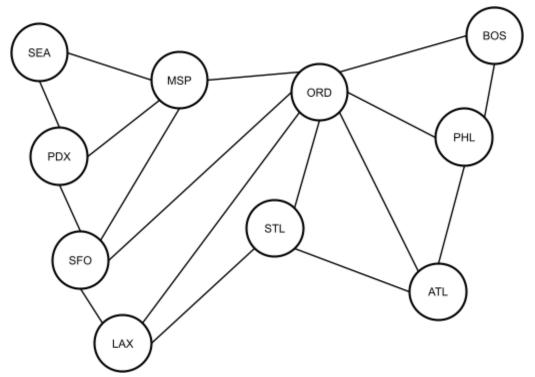


- Graphs represent general relationships between objects.
  - o A node may have connections to any number of other nodes.
  - There can be multiple paths (or no path) from one node to another.
  - There can be cycles (loops) in the graph, where there is a path from one node back to itself.
- Trees are a special, more restricted subclass of graphs.
- There are lots of different kinds of questions we might want to ask about a graph:

- o Is X in the graph?
- o Is Y reachable from X?
- What nodes are reachable from X?
- o Are X and Y adjacent?
- What's the shortest path from X to Y?
- o How many edges between A and Y?

## Representing graphs

- There are two main ways to represent a graph in practice:
  - An adjacency list, in which the each vertex stores a list of its adjacent vertices.
  - An adjacency matrix, which is a two dimensional matrix whose rows and columns represent vertices. If there is an edge between v<sub>i</sub> and v<sub>j</sub>, the value at location (i, j) in the matrix will be non-zero.
- Let's consider this graph, where flights between US airports are represented, as an example:



• As an adjacency list, this graph would look like this:

```
ATL: [ORD, PHL, STL],
BOS: [ORD, PHL],
LAX: [ORD, SFO, STL],
MSP: [ORD, PDX, SEA, SFO],
ORD: [ATL, BOS, LAX, MSP, PHL, SFO, STL],
PDX: [MSP, SEA, SFO],
PHL: [ATL, BOS, ORD],
SEA: [MSP, PDX],
SFO: [LAX, MSP, ORD, PDX],
STL: [ATL, LAX, ORD]
```

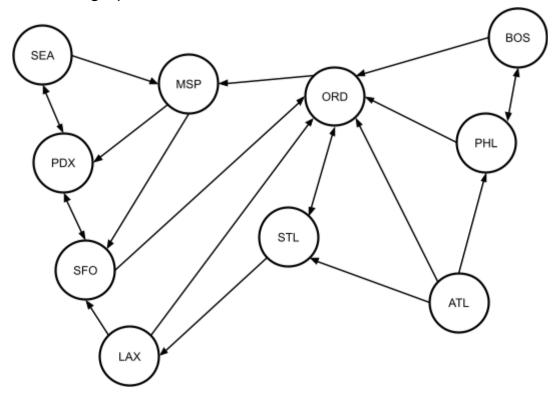
#### • As an adjacency matrix, the graph would look like this:

	ATL	BOS	LAX	MSP	ORD	PDX	PHL	SEA	SFO	STL
ATL	0	0	0	0	1	0	1	0	0	1
BOS	0	0	0	0	1	0	1	0	0	0
LAX	0	0	0	0	1	0	0	0	1	1
MSP	0	0	0	0	1	1	0	1	1	0
ORD	1	1	1	1	0	0	1	0	1	1
PDX	0	0	0	1	0	0	0	1	1	0
PHL	1	1	0	0	1	0	0	0	0	0
SEA	0	0	0	1	0	1	0	0	0	0
SFO	0	0	1	1	1	1	0	0	0	0
STL	1	0	1	0	1	0	0	0	0	0

- Note that this matrix is symmetric.
- What is the space complexity of each of these representations?

Adjacency list: O(|V| + |E|)
 Adjacency matrix: O(|V|²)

- Thus, the adjacency list is more space efficient when the graph is **sparse**, i.e. when it has relatively few edges.
- What if our graph is a directed graph, e.g. if we have a flight from airport A to airport B but not a return flight?
- Each of these representations can still be used. For example, say we have this graph:



• Now, our adjacency list would look like this:

ATL: [ORD, PHL, STL],

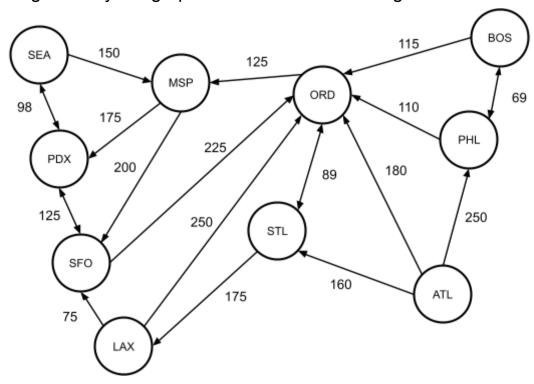
```
BOS: [ORD, PHL],
LAX: [ORD, SFO],
MSP: [PDX, SFO],
ORD: [MSP, STL],
PDX: [SEA, SFO],
PHL: [BOS, ORD],
SEA: [MSP, PDX],
SFO: [ORD, PDX],
STL: [LAX, ORD]
```

- Here, each vertex lists only the edges going *from* it.
- The adjacency matrix for this graph would look like this:

	ATL	BOS	LAX	MSP	ORD	PDX	PHL	SEA	SFO	STL
ATL	0	0	0	0	1	0	1	0	0	1
BOS	0	0	0	0	1	0	1	0	0	0
LAX	0	0	0	0	1	0	0	0	1	0
MSP	0	0	0	0	0	1	0	0	1	0
ORD	0	0	0	1	0	0	0	0	0	1
PDX	0	0	0	0	0	0	0	1	1	0
PHL	0	1	0	0	1	0	0	0	0	0
SEA	0	0	0	1	0	1	0	0	0	0
SFO	0	0	0	0	1	1	0	0	0	0
STL	0	0	1	0	1	0	0	0	0	0

Note that this matrix is no longer symmetric.

 We can go one step further with each representation, incorporating weights. Say our graph contains the costs of flights between cities:



 Now, our adjacency list would store the weights/costs along with the edges:

```
ATL: [{ORD: 180}, {PHL: 250}, {STL: 160}],
BOS: [{ORD: 115}, {PHL: 69}],
LAX: [{ORD: 250}, {SFO: 75}],
MSP: [{PDX: 175}, {SFO: 200}],
ORD: [{MSP: 125}, {STL: 89}],
PDX: [{SEA: 98}, {SFO: 125}],
PHL: [{BOS: 69}, {ORD: 110}],
SEA: [{MSP: 150}, {PDX: 98}],
SFO: [{ORD: 225}, {PDX: 125}],
STL: [{LAX: 175}, {ORD: 89}]
```

 The adjacency matrix for this graph would now hold these weights/costs instead of just binary values:

	ATL	BOS	LAX	MSP	ORD	PDX	PHL	SEA	SFO	STL
ATL	0	0	0	0	180	0	250	0	0	160
BOS	0	0	0	0	115	0	69	0	0	0
LAX	0	0	0	0	250	0	0	0	75	0
MSP	0	0	0	0	0	175	0	0	200	0
ORD	0	0	0	125	0	0	0	0	0	89
PDX	0	0	0	0	0	0	0	98	125	0
PHL	0	69	0	0	110	0	0	0	0	0
SEA	0	0	0	150	0	98	0	0	0	0
SFO	0	0	0	0	225	125	0	0	0	0
STL	0	0	175	0	89	0	0	0	0	0

 We could also use a special value here (e.g. -1) to indicate there is no edge.

### Single source reachability

- One important question we want to be able to ask about a graph is what nodes are reachable from some specific node.
- For example, we might ask about our graph above: what airports are reachable from PDX?
- We can use a very simple algorithm to answer this question. It looks like this, if we're trying to find reachable vertices from some vertex v<sub>i</sub>:

- 1. Initialize an empty set of reachable vertices.
- 2. Initialize an empty stack. Add  $v_i$  to the stack.
- 3. If the stack is not empty, pop a vertex *v* from the stack.
- 4. If *v* is not in the set of reachable vertices:
  - Add it to the set of reachable vertices.
  - Add each vertex that is direct successor of v to the stack.
- 5. Repeat from 3.
- Looking for airports reachable from PDX would look like this:

```
1. reachable: {}
  stack: [PDX]
```

2. v: PDX
 successors: [SEA, SFO]
 reachable: {PDX}
 stack: [SEA, SFO]

3. v: SFO
 successors: [ORD, PDX]
 reachable: {PDX, SFO}
 stack: [SEA, ORD, PDX]

4. v: PDX (already reachable)
 successors: - reachable: {PDX, SFO}
 stack: [SEA, ORD]

5. v: ORD
 successors: [MSP, STL]
 reachable: {ORD, PDX, SFO}
 stack: [SEA, MSP, STL]

6. v: STL

successors: [LAX, ORD]

reachable: {ORD, PDX, SFO, STL}

stack: [SEA, MSP, LAX, ORD]

7. v: ORD (already reachable)

successors: --

reachable: {ORD, PDX, SFO, STL}

stack: [SEA, MSP, LAX]

8. v: LAX

successors: [ORD, SFO]

reachable: {LAX, ORD, PDX, SFO, STL}

stack: [SEA, MSP, ORD, SFO]

9. v: SFO, ORD (both already reachable)

successors: --

reachable: {LAX, ORD, PDX, SFO, STL}

stack: [SEA, MSP]

10. v: MSP

successors: [PDX, SFO]

reachable: {LAX, MSP, ORD, PDX, SFO, STL}

stack: [SEA, PDX, SFO]

11. v: SFO, PDX (both already reachable)

successors: --

reachable: {LAX, MSP, ORD, PDX, SFO, STL}

stack: [SEA]

```
    v: SEA
    successors: MSP, PDX
    reachable: {LAX, MSP, ORD, PDX, SEA, SFO, STL}
    stack: [MSP, PDX]
    v: PDX, MSP (both already reachable)
    Successors: --
    reachable: {LAX, MSP, ORD, PDX, SEA, SFO, STL}
    stack: []
    Done (stack empty)
    reachable: {LAX, MSP, ORD, PDX, SEA, SFO, STL}
```

- This algorithm can be implemented using either the adjacency list representation or the adjacency matrix representation.
- We could also use a queue instead of a stack. This would result in a different order of exploration of the graph. We'll look at this in the next section.

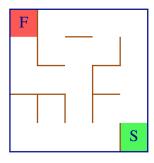
## Depth-first search and Breadth-first search

- The reachability algorithm we saw above was an instance of depth-first search (or DFS).
- DFS is an algorithm for exploring a tree where we travel a particular path as far as we can take it before trying another path.
  - In other words, in DFS, the neighbors of a node's neighbor are explored before exploring the node's other neighbors.
- DFS can be implemented using a stack, like the reachability algorithm above.

- If we replace the stack with a queue, that results in an exploration known as breadth-first search (or BFS).
- BFS explores a tree by traveling all paths to a given depth, then travelling all those paths one step deeper, then travelling them one step deeper, etc.
  - In other words, in BFS, all of a node's neighbors are explored before exploring its neighbors' neighbors.
  - That means BFS travels all paths of length 1, then travels all paths of length 2, then travels all paths of length 3, etc.
- The general algorithm for DFS and BFS is below. For DFS, we use a stack, and for BFS, we use a queue:
  - 1. Initialize an empty set of visited vertices.
  - 2. Initialize an empty stack (DFS) or queue (BFS). Add  $v_i$  to the stack/queue.
  - 3. If the stack/queue is not empty, pop/dequeue a vertex *v*.
  - 4. Perform any desired processing on *v*.
    - E.g. check if v meets a desired condition.
  - 5. (DFS only): If *v* is not in the set of visited vertices:
    - Add *v* to the set of visited vertices.
    - Push each vertex that is direct successor of *v* to the stack.
  - 6. (BFS only):
    - Add *v* to the set of visited vertices.
    - For each direct successor v' of v:
      - If v' is not in the set of visited vertices,
         enqueue it into the queue
  - 7. Repeat from 3.
- Often, we use BFS or DFS when we are looking for a node with a particular characteristic.

- For example, both algorithms can be used to find a path from start to finish in a maze.
- For example, this presentation shows how both DFS and BFS can be used to find the end of the maze below:

http://web.engr.oregonstate.edu/~hessro/static/media/GraphAlgorithmsII\_DFS\_BFS.d8183866.pdf



- We can make some comparisons between DFS and BFS:
  - DFS is a *backtracking* search: if we're looking for a node with a specific characteristic and DFS takes a path that doesn't contain such a node, it will backtrack to try a different path.
  - In an infinite graph, DFS can become lost down an infinite path without ever finding a solution.
  - BFS is complete and optimal: if a solution exists in the graph,
     BFS is guaranteed to find it, and it will find the shortest path to that solution.
  - However, BFS may take a long time to find a solution if the solution is deep in the graph.
  - DFS may find a deep solution more quickly.
  - $\circ$  Both algorithms have O(V) space complexity in the worst case.
  - However, BFS may take up more space in practice.
    - If the graph has a high branching factor, i.e. if each node has many neighbors, BFS can take a lot of memory to maintain all of the paths it's exploring on the queue.

#### Dijkstra's algorithm: single source lowest-cost paths

- *Dijkstra's algorithm* finds the shortest/lowest-cost path from a specified vertex in a graph to all other reachable vertices in the graph.
- For example, in our graph above, you could use Dijkstra's algorithm
  to say not only what airports could be reached from PDX but also
  what the cheapest cost to reach each of those airports was.
- Dijkstra's algorithm is structured very much like DFS and BFS, except for this algorithm we will use a *priority queue* to order our search.
  - The priority values used in the queue correspond to the cumulative distance to each vertex added to the PQ.
  - Thus, we are always exploring the remaining node with the minimum cumulative cost.
- Here's the algorithm, which begins with some source vertex  $v_s$ :
  - o Initialize an empty map/hash table representing visited vertices.
    - Key is the vertex *v*.
    - Value is the min distance *d* to vertex *v*.
  - o Initialize an empty priority queue, and insert  $v_s$  into it with distance (priority) 0.
  - While the priority queue is not empty:
    - Remove the first element (a vertex) from the priority queue and assign it to *v*. Let *d* be *v*'s distance (priority).
    - If *v* is not in the map of visited vertices:
      - Add *v* to the visited map with distance/cost *d*.
      - For each direct successor  $v_i$  of v:
        - Let  $d_i$  equal the cost/distance associated with edge  $(v, v_i)$ .
        - Insert  $v_i$  to the priority queue with distance (priority)  $d + d_i$ .

- This version of the algorithm only keeps track of the minimum distance to each vertex, but it can be easily modified to keep track of the min-distance path, too.
  - Augment the visited vertex map and the priority queue to keep track of the vertex *previous* to each one added.
- The complexity of this version of the algorithm is  $O(|E| \log |E|)$ .
  - The innermost loop is executed at most |*E*| times, and the cost of the instructions inside the loop is *O*(*log* |*E*|).
    - Inner cost comes from inserting into the PQ.