

### Module 2

- Divide and conquer VS iterative algorithms
- Recursion
- Solving Recurrences
- Binary Search
- Merge Sort
- Towers of Hanoi

### Recall from Week 1

- Asymptotic Analysis: O,  $\Omega$ ,  $\Theta$
- Used to compare functions that represent the running times of different algorithms that can be used to solve a problem.
- How did we get the functions

# Iterative Algorithm Analysis

Exact # of times sum++ is executed:

$$\sum_{i=1}^{n^2} i = \frac{n^2(n^2+1)}{2}$$

$$= \frac{n^4+n^2}{2}$$

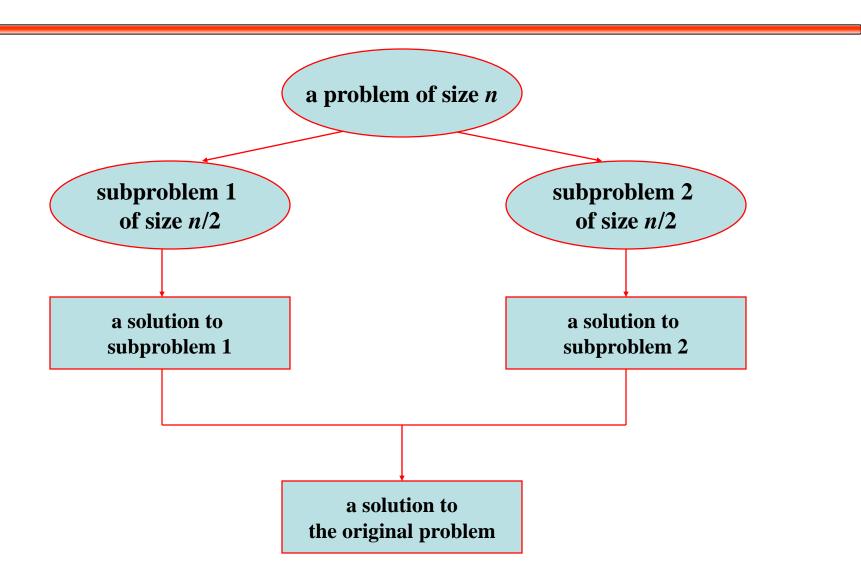
$$\in \Theta(n^4)$$

## The Divide and Conquer Approach

The most well known algorithm design strategy:

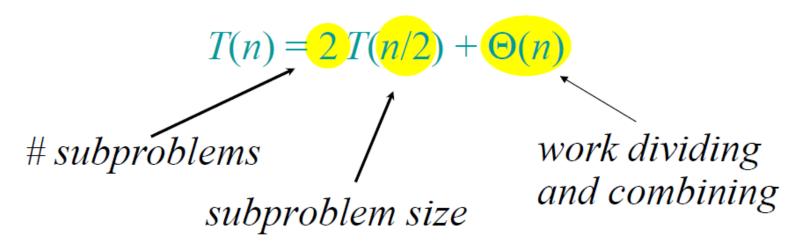
- 1. Divide the problem into two or more smaller subproblems.
- Conquer the subproblems by solving them recursively.
- 3. Combine the solutions to the subproblems into the solutions for the original problem.

#### A Typical Divide and Conquer Case



## Merge-Sort

- 1. Divide: Trivial.
- 2. Conquer: Recursively sort 2 subarrays.
- 3. Combine: Linear-time merge.



Closed form:  $T(n) = \Theta(nlgn)$ 

# Recurrences and Running Time

 An equation or inequality that describes a function in terms of its value on smaller inputs.

$$T(n) = T(\frac{n}{4}) + 1$$

- Recurrences arise when an algorithm contains recursive calls to itself
- What is the actual running time of the algorithm?
- Need to solve the recurrence
  - Find an explicit formula of the expression
  - Bound the recurrence by an expression that involves n

Find an element in a sorted array:

- 1. Divide: Check middle element.
- 2. Conquer: Recursively search 1 subarray.
- 3. Combine: Trivial.

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Example: Find 9

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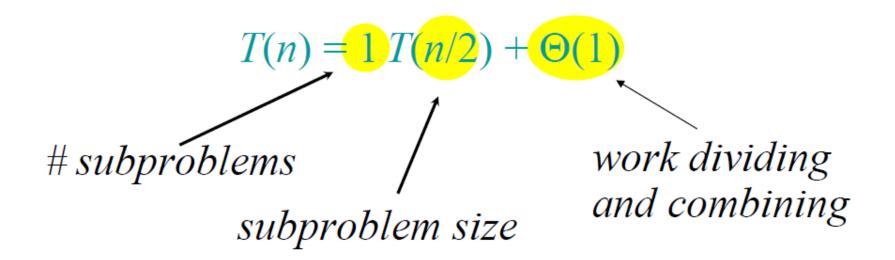
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- 2. Conquer: Recursively search 1 subarray.
- 3. Combine: Trivial.

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- 3. Combine: Trivial.

Example: Find 9



Closed form:  $T(n) = \Theta(lgn)$ 

### Power of a Number

**Problem:** Compute  $a^n$ , where  $n \in \mathbb{N}$ .

Naive algorithm:  $\Theta(n)$ .

Counting the number of operations which are multiplications

**Example:**  $a^n = a^* a^* ... *a$ 

**Example:**  $15^9 = 15*15*15*15*15*15*15*15*15$ 

#### Power of a Number

**Problem:** Compute  $a^n$ , where  $n \in \mathbb{N}$ .

Divide-and-conquer algorithm:

Base cases  $a^0 = 1$  and  $a^1 = a$ 

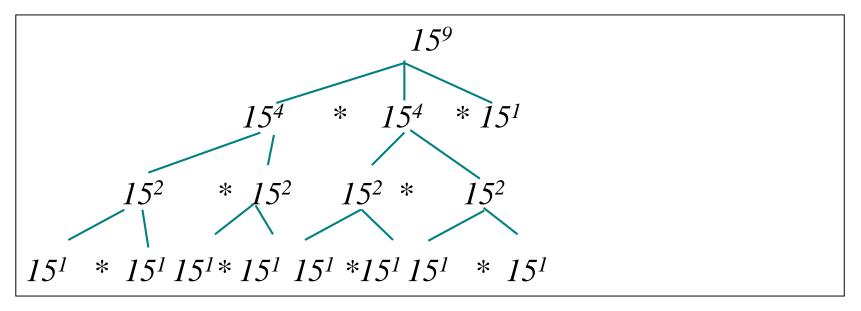
$$T(n) = T(n/2) + \Theta(1)$$

### **Problem:** Compute $a^n$ , where $n \in \mathbb{N}$ .

#### Divide-and-conquer algorithm:

$$a^{n} = \begin{cases} a^{n/2} \cdot a^{n/2} & \text{if } n \text{ is even;} \\ a^{(n-1)/2} \cdot a^{(n-1)/2} \cdot a & \text{if } n \text{ is odd.} \end{cases}$$

Base cases  $a^0 = 1$  and  $a^1 = a$ 



#### Extra Recursion

```
long power (long x, long n) {
   if(n == 0)
     return 1;
   else if(n == 1)
        return x;
   else if ((n % 2) == 0)
     return power (x, n/2) * power (x, n/2);
   else
     return x * power (x, (n-1)/2) * power (x, (n-1)/2);
}
```

The recurrence relation is:

$$T(n) = 1$$
 if  $n = 0$  or  $n = 1$   
 $T(n) = 2T(n/2) + c$  if  $n > 2$ 

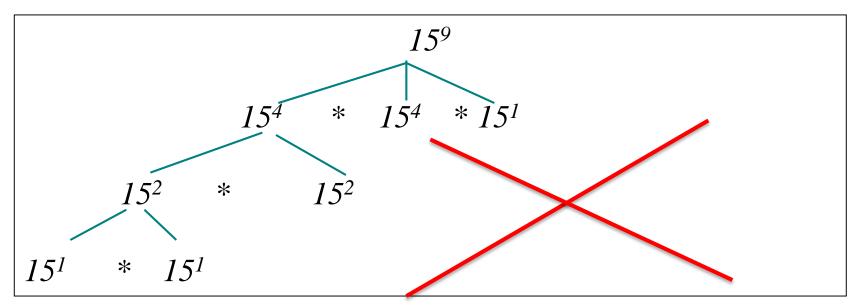
Running time  $\Theta(n)$ 

### **Problem:** Compute $a^n$ , where $n \in \mathbb{N}$ .

#### **Divide-and-conquer algorithm:**

$$a^{n} = \begin{cases} a^{n/2} \cdot a^{n/2} & \text{if } n \text{ is even;} \\ a^{(n-1)/2} \cdot a^{(n-1)/2} \cdot a & \text{if } n \text{ is odd.} \end{cases}$$

Base cases  $a^0 = 1$  and  $a^1 = a$ 



### Recurrence Relations from Code

```
long power (long x, long n) {
   if(n == 0)
       return 1;
   else if (n == 1)
       return x;
   else if ((n % 2) == 0){
       temp = power(x, n/2);
       return temp*temp;
   }
   else {
       temp = power(x, (n-1)/2)
       return x * temp* temp;
```

The recurrence relation is:

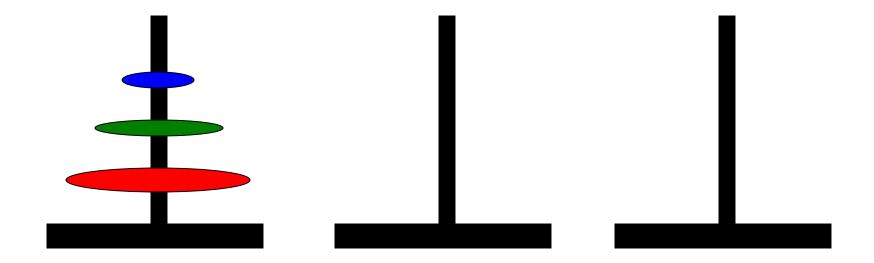
$$T(n) = 1$$
 if  $n = 0$  or  $n = 1$   
 $T(n) = T(n/2) + c$  if  $n > 2$ 

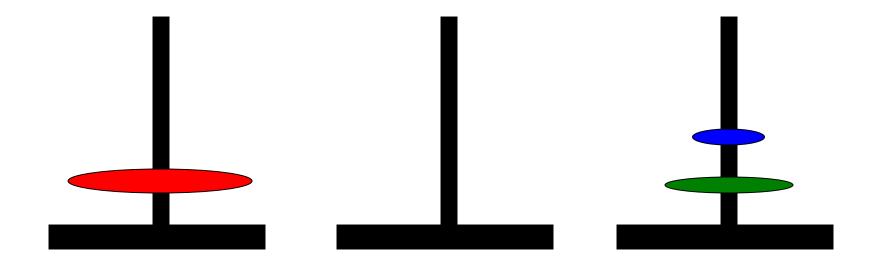
### Solve the Recurrence

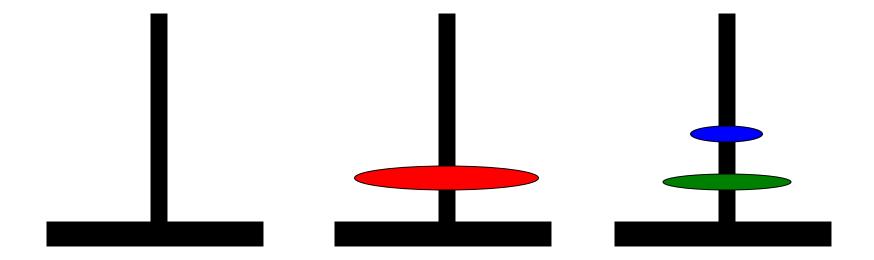
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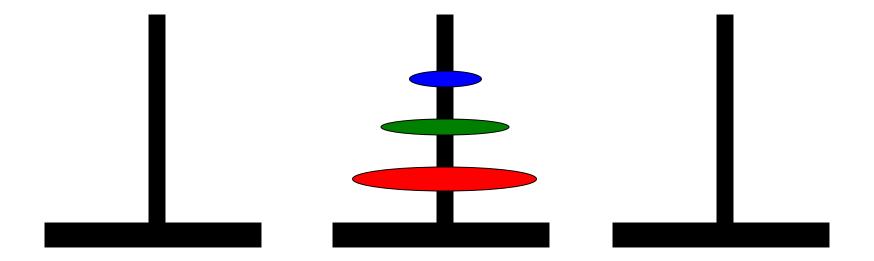
$$T(n) = 1$$
 if  $n = 0$  or  $n = 1$   
 $T(n) = T(n/2) + c$  if  $n > 2$   
 $T(n) = T(n/2) + c$   
 $= T(n/4) + c + c$   
 $= T(n/8) + c + c + c$   
....  
 $= T(n/2^k) + kc$   
Stop when  $k = lgn$   
 $T(n) = T(1) + clgn$   
 $= 1 + clgn$   
 $T(n) = \Theta(lgn)$ 

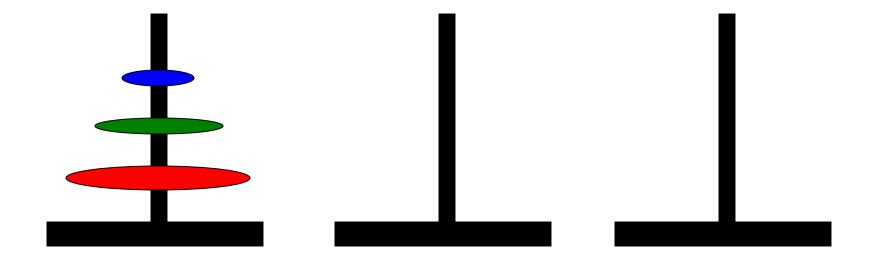
- There are three towers
- n gold disks, with decreasing sizes, placed on the first tower
- You need to move all of the disks from the first tower to the second tower
- Larger disks can not be placed on top of smaller disks
- The third tower can be used to temporarily hold disks

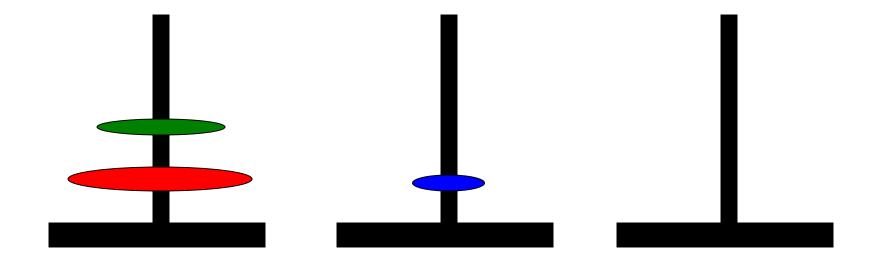


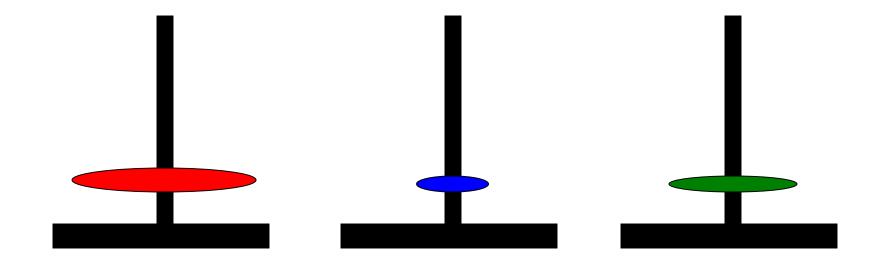


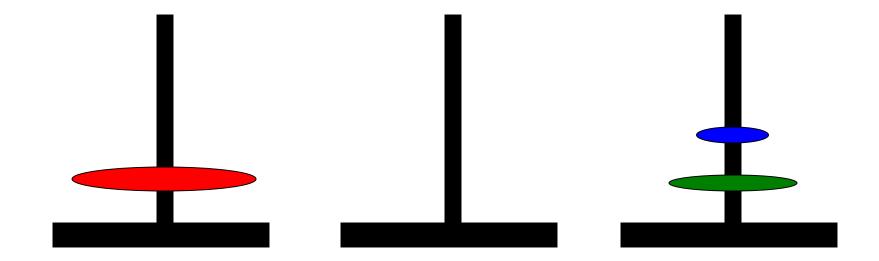


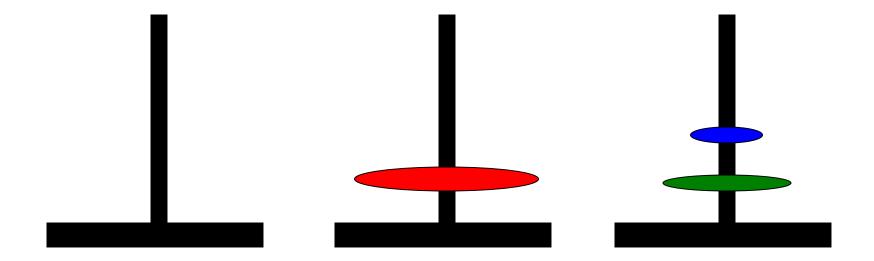


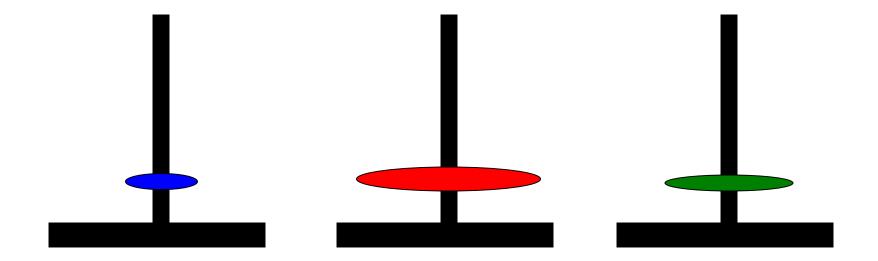


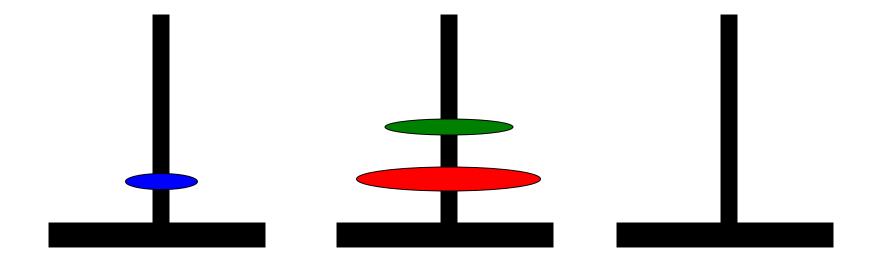




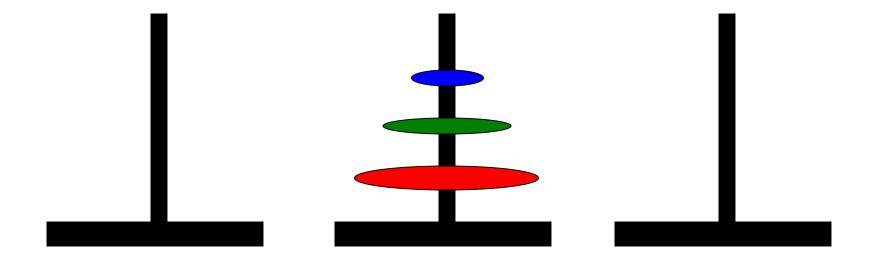








### Tower of Hanoi



#### Tower of Hanoi

 The disks must be moved within one week. Assume one disk can be moved in 1 second. Is this possible?

 To create an algorithm to solve this problem, it is convenient to generalize the problem to the "n-disk" problem, where in our case n = 64.

### Towers of Hanoi

```
Hanoi(n, from, to, temp) {
    if (n == 1)
        Move(from, to);
    else{
        Hanoi(n - 1, from, temp, to);
        Move(from, to);
        Hanoi(n - 1, temp, to, from);
    }
}
```

The recurrence relation for the running time of the method **Hanoi** is:

$$T(1) = 1$$

$$T(n) = 2T(n-1) + 1$$

$$if n > 1$$

$$T(n) = \Theta(2^n)$$

#### **Guess and Prove**

- Calculate T(n) for small n and look for a pattern.
- Guess the result and prove your guess correct using induction.

$$T(n) = 2T(n-1) + 1$$

n	T(n)
1	1
2	3
3	7
4	15
5	31

$$T(n) = 2^n - 1$$

### Iteration Method

Unwind recurrence, by repeatedly replacing T(n) by the r.h.s. of the recurrence until the base case is encountered.

$$T(n) = 2T(n-1) + 1$$

$$= 2*[2*T(n-2)+1] + 1$$

$$= 2^{2}*T(n-2) + 1+2$$

$$= 2^{2}*[2*T(n-3)+1] + 1 + 2$$

$$= 2^{3}*T(n-3) + 1+2 + 2^{2}$$

. . . .

$$T(n) = 2^k * T(n-k) + 1+2+2^2+...+2^{k-1}$$

### **Common Summations**

• Arithmetic series:

$$\sum_{k=1}^{n} k = 1 + 2 + \dots + n = \frac{n(n+1)}{2}$$

Geometric series:

$$\sum_{k=0}^{n} x^{k} = 1 + x + x^{2} + \dots + x^{n} = \frac{x^{n+1} - 1}{x - 1} (x \neq 1)$$

- Special case:  $|\chi| < 1$ :

$$\sum_{k=0}^{\infty} x^k = \frac{1}{1-x}$$

· Harmonic series:

$$\sum_{k=1}^{n} \frac{1}{k} = 1 + \frac{1}{2} + \dots + \frac{1}{n} \approx \ln n$$

Other important formulas:

$$\sum_{k=1}^{n} \lg k \approx n \lg n$$

$$\sum_{k=1}^{n} k^{p} = 1^{p} + 2^{p} + \dots + n^{p} \approx \frac{1}{p+1} n^{p+1}$$

### Geometric Series

#### After k steps

$$T(n) = 2^k * T(n-k) + 1+2+2^2+...+2^{k-1}$$

$$T(n) = 2^{n-1} * T(n-(n-1)) + 1+2+2^2+...+2^{n-2}$$

$$T(n) = 2^{n-1} * T(1) + 1 + 2 + 2^{2} + ... + 2^{n-2}$$

$$= 1 + 2 + \dots + 2^{n-1} = \sum_{i=0}^{n-1} 2^{i}$$

$$\Theta(2^{n})$$

If n=64 the 2<sup>64</sup> seconds about 1.84 x10<sup>19</sup> seconds or 584+billion years

### Forming Recurrence Relations

```
public void f (int n) {
   if (n > 0) {
      System.out.println(n);
      f(n-1);
   }else
      return;
}
```

The recurrence relation is:

$$T(0) = 1$$

$$T(n) = T(n-1) + c if n > 0$$

$$T(n) = T(n-1) + c$$
  
=  $T(n-2) + c + c$   
=  $T(n-3) + c + c + c$   
...  
=  $T(n-k) + kc$   
Stop when  $k = n$   
 $T(n) = T(0) + cn$   
 $T(n) = b + cn = \Theta(n)$ 

$$T(n) = \Theta(n)$$

Write a recurrence for the running time T(n) of Algo1(n).

```
Algo1(n) {
  total = 0
  if n \le 1 return 2
  else {
       total = Algo1(n/4) + Algo1(n/4)
       for i = 1 to n do
         for j = 1 to n do
              total = i + j
                                       a) T(n) = T(2n/4) + cn
    return total
                                       b) T(n) = 2T(n/4) + cn^2
                                       c) T(n) = 2T(n/4) + c
                                       d) T(n) = T(2n/4) + cn^2
                                       e) T(n) = T(n/4) + cn^2
```

Write a recurrence for the running time T(n) of Algo2(n).

```
Algo2(n) {
  total = 0
    if n \le 1 return 2
  else {
      Algo2(n/2)
       print total
       Algo2(n/2)
       for j = 1 to n do
                                       a) T(n) = T(n/2) + cn
              total = n+j
       print total
                                       b) T(n) = 2T(n/2) + cn^2
       return
                                       c) T(n) = 2T(n/2) + cn
                                       d) T(n) = T(n/4) + cn^2
                                       e) T(n) = T(n/4) + cn^2
```

### Recurrences Solutions

• 
$$T(n) = T(n-1) + cn$$

$$\Theta(n^2)$$

 Recursive algorithm that loops through the input to eliminate one item

• 
$$T(n) = T(n/2) + c$$

$$\Theta(lgn)$$

Recursive algorithm that halves the input in one step

• 
$$T(n) = T(n/2) + cn$$

$$\Theta(n)$$

 Recursive algorithm that halves the input but must examine every item in the input

• 
$$T(n) = 2T(n/2) + c$$

$$\Theta(n)$$

 Recursive algorithm that splits the input into 2 halves and does a constant amount of other work

### Methods for Solving Recurrences

- Iteration method
- Substitution method
- Recursion tree method
- Master method

#### The Iteration Method

- Convert the recurrence into a summation and try to bound it using a known series
  - Iterate the recurrence until the initial condition is reached.
  - Use back-substitution to express the recurrence in terms of *n* and the initial (boundary) condition.

### Iteration Method – Binary Search

$$T(n) = c + T(n/2)$$

$$T(n) = c + T(n/2)$$

$$= c + c + T(n/4)$$

$$= c + c + c + T(n/4)$$

$$= c + c + c + T(n/8)$$

$$T(n/4) = c + T(n/8)$$
Stop when  $n/2^i = 1 = i = lgn$ 

$$T(n) = c + c + ... + c + T(1)$$

$$= clgn + T(1)$$

$$= \Theta(lgn)$$

### Iteration - Mergesort

$$T(n) = n + 2T(n/2)$$

$$T(n) = n + 2T(n/2)$$

$$= n + 2(n/2 + 2T(n/4))$$

$$= n + n + 4T(n/4)$$

$$= n + n + 4(n/4 + 2T(n/8))$$

$$= n + n + n + 8T(n/8)$$
... = in + 2<sup>i</sup>T(n/2<sup>i</sup>) stop at i = lgn
$$= nlgn + 2^{lgn}T(1)$$

$$= nlgn + nT(1)$$

$$= \Theta(nlgn)$$

### Substitution Method

Guess a solution

$$T(n) = O(g(n))$$

Induction goal: apply the definition of the asymptotic notation

$$T(n) \le c g(n)$$
, for some  $c > 0$  and  $n \ge n_0$ 

- Induction hypothesis:  $T(k) \le c g(k)$  for all k < n
- Prove the induction goal
  - Use the induction hypothesis to find some values of the constants d and n<sub>0</sub> for which the induction goal holds

### Substitution: T(n) = T(n-1)+T(n-2)

Guess:  $T(n) = O(\phi^n)$ 

Induction goal:  $T(n) \le c\phi^n$ , for some c and  $n \ge n_0$ 

- Induction hypothesis:  $T(k) \le c\phi^k$  for k < n
- Proof of induction goal:

$$T(n) = T(n-1) + T(n-2)$$

$$\leq c\phi^{n-1} + c\phi^{n-2}$$

$$\leq c\phi^{n-2} (\phi + 1)$$

$$\leq c\phi^{n-2} (\phi^2)$$

$$T(n) \leq c \phi^n$$

$$T(n) = O(\phi^n)$$

#### **Properties**

$$\Phi = \frac{1+\sqrt{5}}{2}$$

$$\Phi^2 = \frac{3+\sqrt{5}}{2}$$

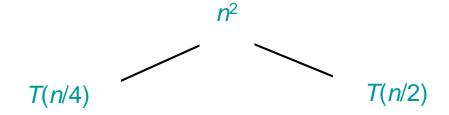
$$\Phi + 1 = \Phi^2$$

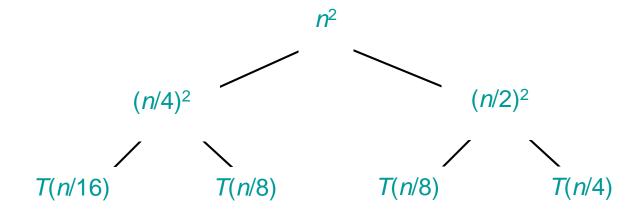
#### Recursion-tree method

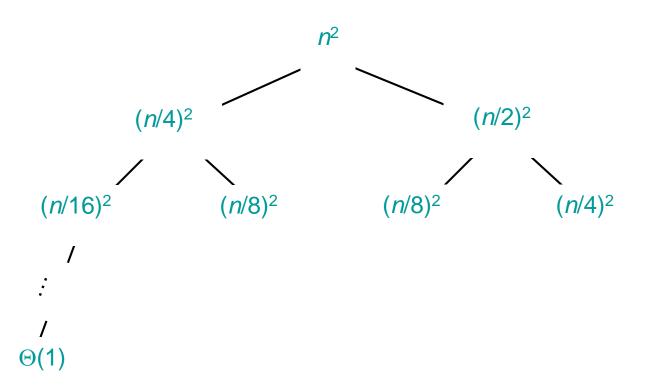
- A recursion tree models the costs (time) of a recursive execution of an algorithm.
- Convert the recurrence into a tree:
  - Each node represents the cost incurred at various levels of recursion
  - Sum up the costs of all levels
- The recursion-tree method can be unreliable, just like any method that uses ellipses (...).
- Usually involves geometric series

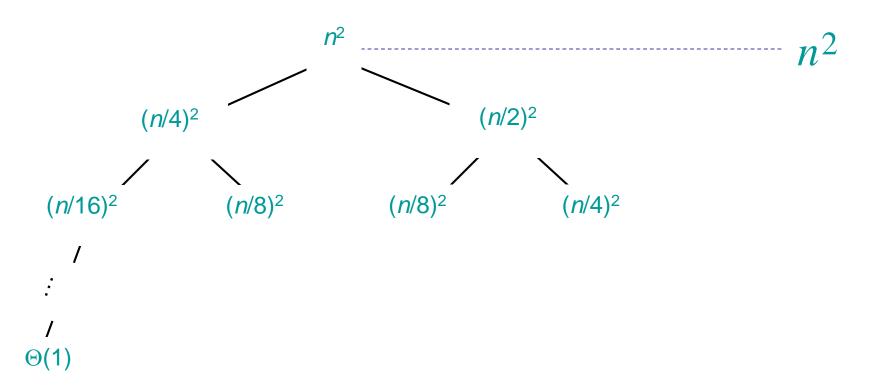
Solve 
$$T(n) = T(n/4) + T(n/2) + n^2$$
:

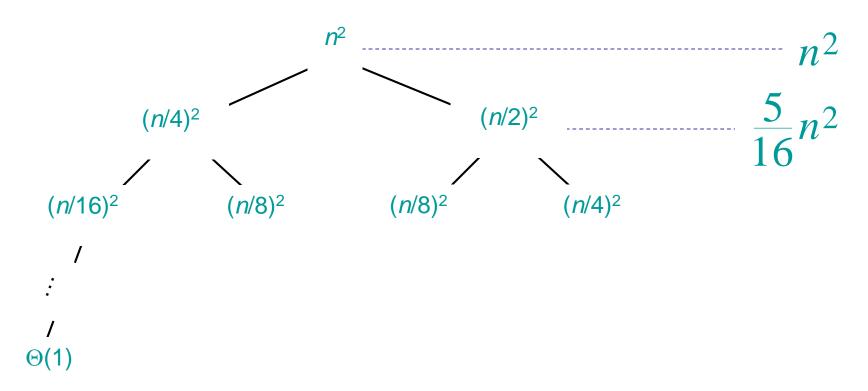
*T*(*n*)

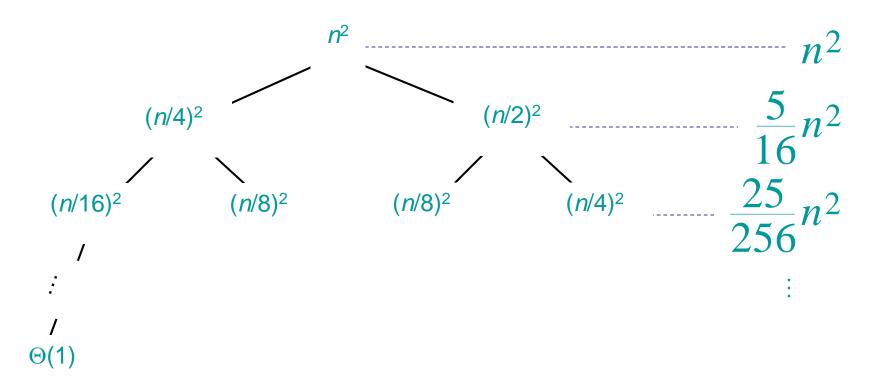












$$(n/4)^{2} \qquad n^{2} \qquad n^{2}$$

$$(n/4)^{2} \qquad (n/8)^{2} \qquad (n/8)^{2} \qquad \frac{5}{16}n^{2}$$

$$(n/4)^{2} \qquad \frac{25}{256}n^{2}$$

$$\vdots \qquad \vdots \qquad \vdots$$

$$n^{2}\left(1+\frac{5}{16}+\left(\frac{5}{16}\right)^{2}+\left(\frac{5}{16}\right)^{3}+\cdots\right)$$

$$= O(n^{2})$$

$$= n^{2}\left(1+\frac{5}{16}+\left(\frac{5}{16}\right)^{2}+\left(\frac{5}{16}\right)^{3}+\cdots\right)$$

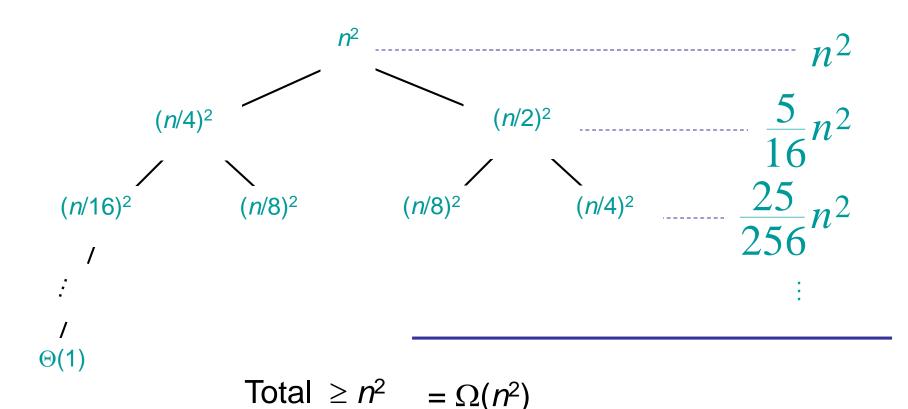
#### Geometric series

$$1 + x + x^{2} + \dots + x^{n} = \frac{1 - x^{n+1}}{1 - x} \quad \text{for } x \neq 1$$

$$1 + x + x^2 + \dots = \frac{1}{1 - x}$$
 for  $|x| < 1$ 

$$n^{2}\left(1+\frac{5}{16}+\left(\frac{5}{16}\right)^{2}+\left(\frac{5}{16}\right)^{3}+\cdots\right)=n^{2}\left(\frac{1}{1-\frac{5}{16}}\right)=\frac{16}{11}n^{2}$$

Solve 
$$T(n) = T(n/4) + T(n/2) + n^2$$
:



Therefore  $T(n) = \Theta(n^2)$ 

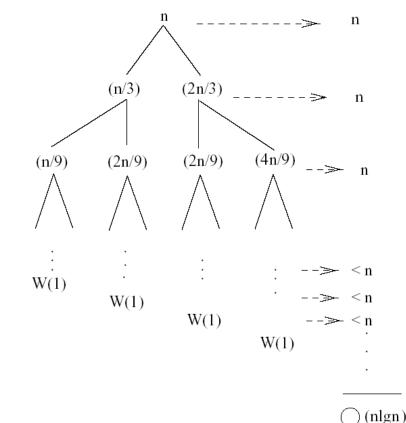
### Recursion Tree – Example 2

$$T(n) = T(n/3) + T(2n/3) + n$$

 The longest path from the root to a leaf is:

$$n \rightarrow (2/3) n \rightarrow (2/3)^2 \ n \rightarrow \ldots \rightarrow 1$$

- Subproblem size hits 1 when
   1 = (2/3)<sup>i</sup>n ⇔ i=log<sub>3/2</sub>n
- cost of the problem at level i = n
- Total cost:



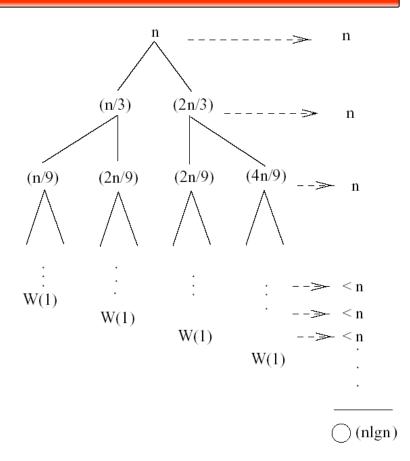
T(n) 
$$< n + n + ... = n(\log_{3/2} n) = n \frac{\lg n}{\lg \frac{3}{2}} = O(n \lg n)$$

$$\Rightarrow$$
 T(n) = O(nlgn)

### Recursion Tree – Example 3

$$T(n) = T(n/3) + T(2n/3) + n$$

$$T(n) = \Omega(n)$$
$$T(n) = O(n \log n)$$



#### The Master Method

The master method applies to recurrences of the form

$$T(n) = a T(n/b) + f(n),$$

where  $a \ge 1$ , b > 1, and f is asymptotically positive.

#### Master Method

"Formula" for solving recurrences of the form:

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

where,  $a \ge 1$ , b > 1, and f(n) > 0

case 1: if 
$$f(n) = O(n^{\log_b a - \epsilon})$$
 for some  $\epsilon > 0$ , then:  $T(n) = \Theta(n^{\log_b a})$ 

case 2: if 
$$f(n) = \Theta(n^{\log_b a})$$
, then:  $T(n) = \Theta(n^{\log_b a} \lg n)$ 

case 3: if 
$$f(n) = \Omega(n^{\log_b a + \epsilon})$$
 for some  $\epsilon > 0$ , and if

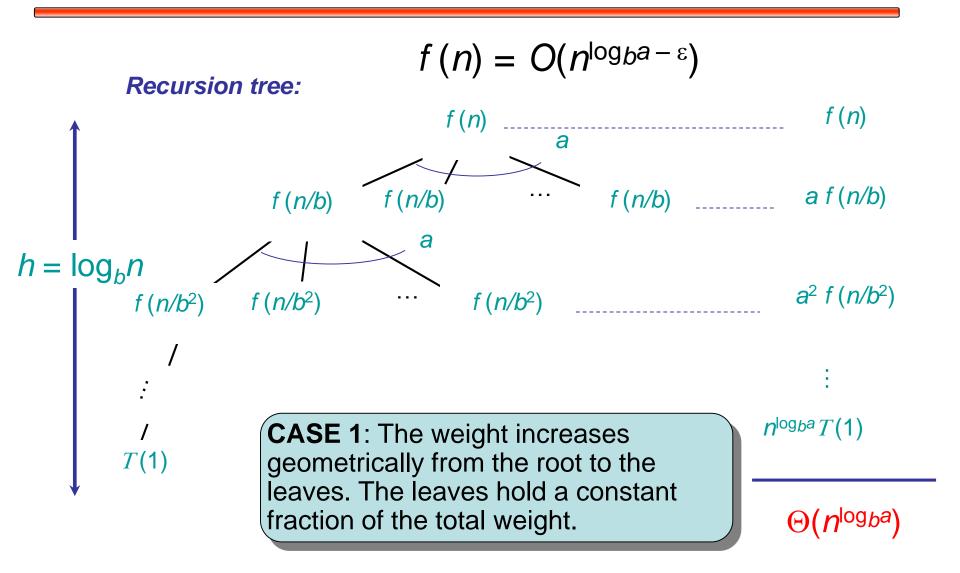
 $af(n/b) \le cf(n)$  for some c < 1 and all sufficiently large n, then:

$$T(n) = \Theta(f(n))$$
 regularity

## Idea of Master Method

#### Recursion tree: *f* (*n*) a f (n/b)f (n/b) f (n/b) f (n/b) $h = \log_b n$ $a^2 f(n/b^2)$ $f(n/b^2)$ $f(n/b^2)$ $f(n/b^2)$ $\#leaves = a^h$ $n^{\log_{b^a}}T(1)$

#### Idea of Master Method



## Three common cases

#### Compare f(n) with $n^{\log ba}$ :

f(n) = O(n<sup>logba-ε</sup>) for some constant ε > 0.
 f(n) grows polynomially slower than n<sup>logba</sup> (by an n<sup>ε</sup> factor).
 Solution: T(n) = Θ(n<sup>logba</sup>).

### Case 1

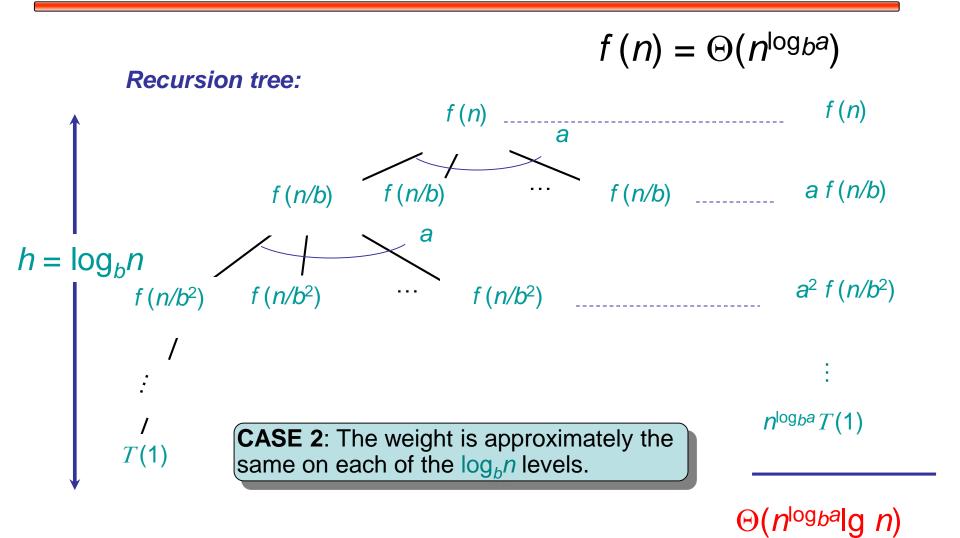
**Ex.** 
$$T(n) = 4T(n/2) + n$$

$$a = 4, b = 2 \implies n^{\log_b a} = n^2; f(n) = n.$$

**CASE 1**: 
$$f(n) = O(n^{2-\varepsilon})$$
 for  $\varepsilon = 1$ .

$$\therefore T(n) = \Theta(n^2).$$

#### Idea of Master Method



### Case 2

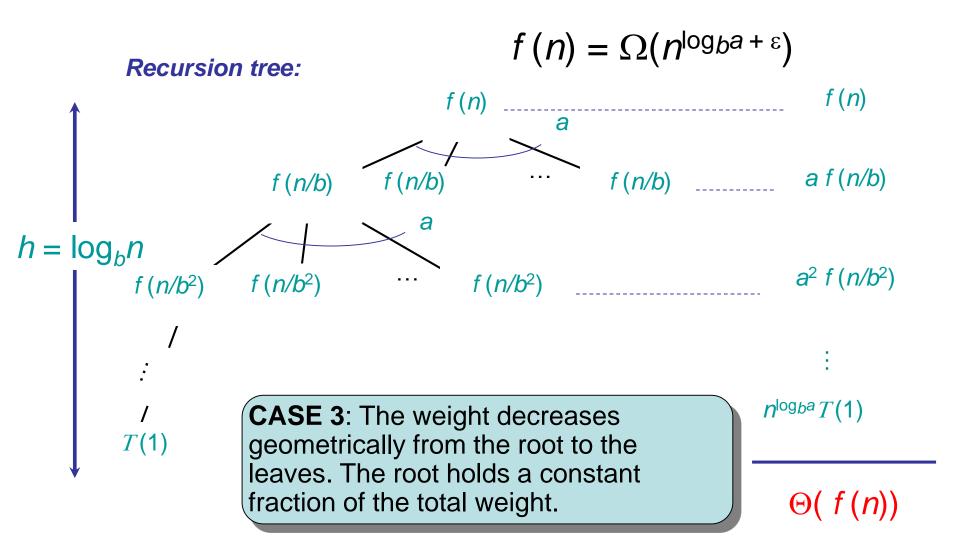
**Ex.** 
$$T(n) = 4T(n/2) + n^2$$

$$a = 4, b = 2 \Rightarrow n^{\log_b a} = n^2; f(n) = n^2.$$

CASE 2: 
$$f(n) = \Theta(n^2)$$

$$\therefore T(n) = \Theta(n^2 \lg n).$$

### Idea of master theorem



### Case 3

**Ex.** 
$$T(n) = 4T(n/2) + n^3$$

$$a = 4, b = 2 \Rightarrow n^{\log_b a} = n^2; f(n) = n^3.$$

**CASE 3**: 
$$f(n) = \Omega(n^{2+\epsilon})$$
 for  $\epsilon = 1$  *and*

$$4(n/2)^3 \le cn^3$$
 (reg. cond.) for  $c = 1/2$ .

$$T(n) = \Theta(n^3)$$
.

#### Master Method

"Formula" for solving recurrences of the form:

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

where,  $a \ge 1$ , b > 1, and f(n) > 0

case 1: if 
$$f(n) = O(n^{\log_b a - \epsilon})$$
 for some  $\epsilon > 0$ , then:  $T(n) = \Theta(n^{\log_b a})$ 

case 2: if 
$$f(n) = \Theta(n^{\log_b a})$$
, then:  $T(n) = \Theta(n^{\log_b a} \lg n)$ 

case 3: if 
$$f(n) = \Omega(n^{\log_b a + \epsilon})$$
 for some  $\epsilon > 0$ , and if

 $af(n/b) \le cf(n)$  for some c < 1 and all sufficiently large n, then:

$$T(n) = \Theta(f(n))$$
 regularity

# Master Method – Binary Search

$$T(n) = T(n/2) + c$$

$$a = 1$$
,  $b = 2$ ,  $log_2 1 = 0$ 

compare  $n^{\log_2 1} = n^0 = 1$  with f(n) = c

Case 2: if 
$$f(n) = \Theta(n^{\log_b a})$$
, then:  $T(n) = \Theta(n^{\log_b a} \lg n)$ 

$$f(n) = \Theta(1) \Rightarrow case 2$$

$$\Rightarrow$$
 T(n) =  $\Theta$ (lgn)

# Master Method – Example 1

$$T(n) = 2T(n/2) + n^2$$
  $a = 2$ ,  $b = 2$ ,  $log_2 2 = 1$  compare  $n$  with  $f(n) = n^2$ 
**case 3:** if  $f(n) = \Omega(n^{log}b^a + \epsilon)$  for some  $\epsilon > 0$ 
 $\Rightarrow f(n) = \Omega(n^{1+\epsilon})$  case  $3 \Rightarrow$  verify regularity cond.

 $a f(n/b) \le c f(n)$ 
 $\Rightarrow 2 (n/2)^2 \le c n^2$ 
 $\Rightarrow 2 n^2/4 \le c n^2 \Rightarrow c = \frac{1}{2}$  is a solution (c<1)

 $\Rightarrow T(n) = \Theta(n^2)$ 

# Master Method – Example 2

T(n) = 2T(n/2) + 
$$\sqrt{n}$$
 a = 2, b = 2,  $\log_2 2 = 1$   
compare n with f(n) =  $n^{1/2}$ 

$$\Rightarrow$$
 f(n) = O(n<sup>1-\varepsilon</sup>) case 1

$$\Rightarrow T(n) = \Theta(n)$$

# Master Method - Example 3

$$T(n) = 3T(n/4) + nlgn \qquad a = 3, b = 4, log_43 = 0.793$$
 
$$compare \ n^{0.793} \ with \ f(n) = nlgn$$
 
$$f(n) = \Omega(n^{log}_4^{3+\epsilon}) \ case \ 3$$
 
$$check \ regularity \ condition:$$
 
$$a \ f(n/b) \le c \ f(n)$$
 
$$3*(n/4)lg(n/4) \le 3/4nlgn \Rightarrow c = 3/4$$
 
$$T(n) = \Theta(nlgn)$$

# Master Method: Merge-Sort

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

$$T(n) = 2T\left(\frac{n}{2}\right) + kn$$

where, a = 2, b = 2, and f(n) = nn $n^{\log_{b} a} = n^{\log_{2} 2} = n$ 

case 1: if  $f(n) = O(n^{\log_b a - \epsilon})$  for some  $\epsilon > 0$ , then:  $T(n) = \Theta(n^{\log_b a})$ 

case 2: if  $f(n) = \Theta(n^{\log_b a})$ , then:  $T(n) = \Theta(n^{\log_b a} \lg n)$ 

case 3: if  $f(n) = \Omega(n^{\log_b \alpha + \epsilon})$  for some  $\epsilon > 0$ , and if

$$T(n) = \Theta(nlgn)$$