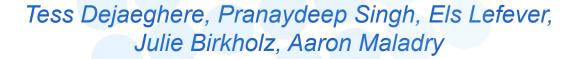
# <u>Using LLMs as Chainsaws –</u> <u>Fostering a Tool-Critical Approach for Information Extraction</u>







## Introduction



### Who are we?







### Who are we?

8 professors4 postdocs



18 PhDs



www.lt3.ugent.be

### **Ghent Center for Digital Humanities**

- Flemish contribution to DARIAH and CLARIN
- unlocking Al tools for research in the Arts and Humanities







### Eu-funded CLS infra

<u>Goal</u>: build a shared resource of high-quality data, tools and knowledge to aid new approaches to studying literature in the digital age

- digital age offers challenges and opportunities for completing research on Europe's multilingual and interconnected literary heritage.
- many resources are currently available in digital libraries, but a lack of standardisation hinders their access and reuse.
- => bridging resource gap





# The workshop



### Workshop

- → general introduction to Information Extraction for DH with NLP
  - ◆ Information Extraction == What do we want to do?
  - ◆ NLP, machine learning == How do we do it?
  - ◆ Large Language Models == How do the tools work? ~~ understanding methodology
- → tutorial through notebooks
  - ♦ how do we use it? ~~applying methodology
  - three approaches:
    - zero-shot
    - few-shot
    - finetuning / training





# Information extraction for DH



broader research question as example:

⇒ What are people talking about in travel literature and what do they say about it?





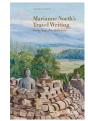
### broader research question:

⇒ What are people talking about in travel literature and what do they say about it?

Language
English
French
Dutch
German
Total

18thC	19thC	20thC	Total
41	782	668	1,491
5	145	50	200
25	92	242	359
972	218	80	1,270
1,043	1,163	897	3,320





1. from a corpus of travelogues





#### broader research question:

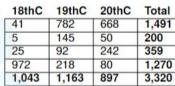
⇒ What are people talking about in travel literature

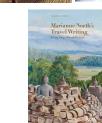
and what do they say about it?

- 1. from a corpus of travelogues
- 2. what are people talking about?
  - a. named entities



location











#### broader research question:

⇒ What are people talking about in travel literature

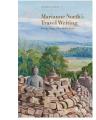
and what do they say about it?

- 1. from a corpus of travelogues
- 2. what are people talking about?
  - a. named entities
- 3. what do they say about it?
  - a. sentiment analysis



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Extremely

negative





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Extremely

positive

- Task 1: What are people talking about?
  - named entity recognition
- Task 2: What are they saying about it?
  - aspect-based sentiment analysis
- ⇒ are they positive or negative about these entities?









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  - Named entity recognition
- Task 2: What are they saying about it?
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- ⇒ are they positive or negative about these

entities?

Widely applicable approach for DH:

⇒ many under-researched books and corpora





### Task 1: Named Entity Recognition (NER)

Which entities are in the text?





### Task 1: Named Entity Recognition (NER)

- Which entities are in the text?
  - Rome
  - Trevi fountain





### Task 1: Named Entity Recognition (NER)

- Which entities are in the text?
  - o Rome
  - o Trevi fountain
- What types are the named entities?
  - o Rome ⇒



Trevi fountain ⇒







### Task 2: Aspect-Based Sentiment Analysis

- What sentiment is expressed about these entities?
  - Rome: positive @
  - Trevi fountain: negative (a)







# NLP



### **NLP and Machine Learning**

- NLP: Natural Language Processing
  - subfield of linguistics + computer science
  - large-scale processing of language to answer linguistic questions





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- traditionally: rule based or machine learning





### NLP and Machine Learning

- NLP: Natural Language Processing
  - subfield of linguistics + computer science
  - large-scale processing of language to answer linguistic questions
- traditionally: rule based or machine learning
- currently ⇒ strong focus on machine learning in





## Machine Learning in

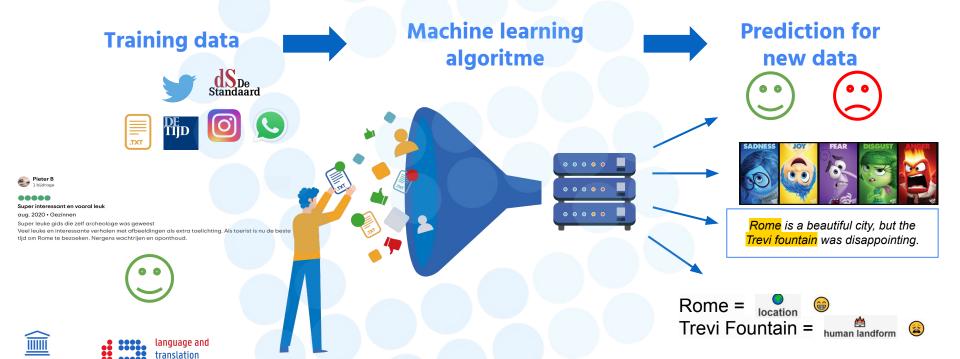
- machine learning = "giving computers the ability to learn without being explicitly programmed" (Arthur Samuel, 1959).
- not based on linguistic rules, but learning from examples
  - data-driven





### **Machine learning**

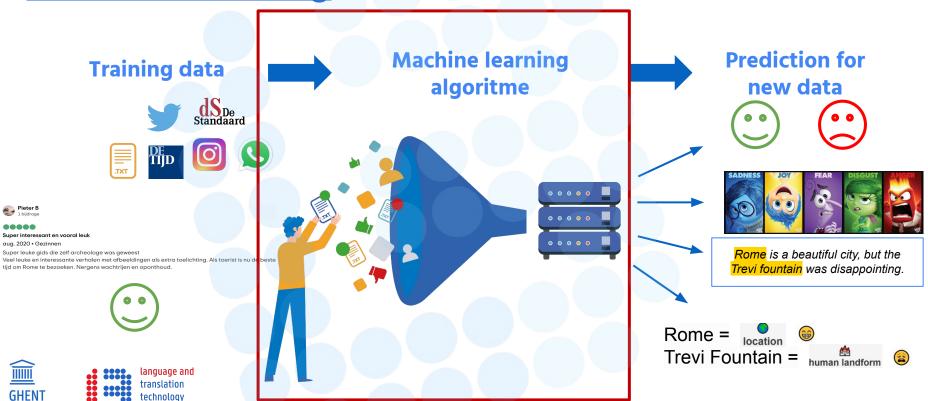
technology



### Machine learning

Pieter B

Super interessant en vooral leuk aug. 2020 • Gezinnen



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# LLMs



machine learning needs to optimize for a task





- machine learning needs to optimize for a task
- GPT: Generative Pre-trained Transformer





- machine learning needs to optimize for a task
- GPT: Generative Pre-trained Transformer
- how can a computer generate text?
  - one word at a time!





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#### The task:

Predict the next word, from left-to-right





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- GPT: Generative Pre-trained Transformer
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Predict the next word, from left-to-right







- next-word prediction:
  - deasy to set up, no supervision needed

There's no place like <**MASK**>

This morning I ate a sandwich with peanut butter and <**MASK>** 

This morning I ate a sandwich with peanut butter and <**MASK>** 





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- next-word prediction:
  - deasy to set up, no supervision needed
  - mask the next word (can be done randomly)
  - predict which word in the vocabulary is most likely to follow

There's no place like <**MASK**>

This morning I ate a sandwich with peanut butter and <**MASK>** 

This morning I ate a sandwich with peanut butter and < MASK >





mewest LLMs: two types of training

continuous text (pre-training)

There's no place like <MASK>

This morning I ate a sandwich with peanut butter and <MASK>

This morning I ate a sandwich with peanut butter and <MASK>





mewest LLMs: two types of training

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This morning I ate a sandwich with peanut butter and <MASK>

continuous text (pre-training)

This morning I ate a sandwich with peanut butter and <MASK>

- 2. Instruction tuning for chat models
  - a. also used for fine-tuning

Hey, do you know how to write a script for named entity recognition?



Sure, the first step is to <MASK>





how can a computer model language?

Distributional hypothesis: "You shall know a word by the company it keeps" (Firth, 1957)





- how can a computer model language?
- Distributional hypothesis: "You shall know a word by the company it keeps" (Firth, 1957)
  - >> words that occur in similar contexts tend to have related meanings
  - >> we can infer the meaning of words from context (surrounding words).





- how can a computer model language?
- Distributional hypothesis: "You shall know a word by the company it keeps" (Firth, 1957)
  - >> words that occur in similar contexts tend to have related meanings
  - >> we can infer the meaning of words from context (surrounding words).

The national <u>bank</u> of Belgium also gave financial support to the project.

Heavy banks of snow surrounded the train.

Special animals and plants can be found along the banks of the river Meuse.

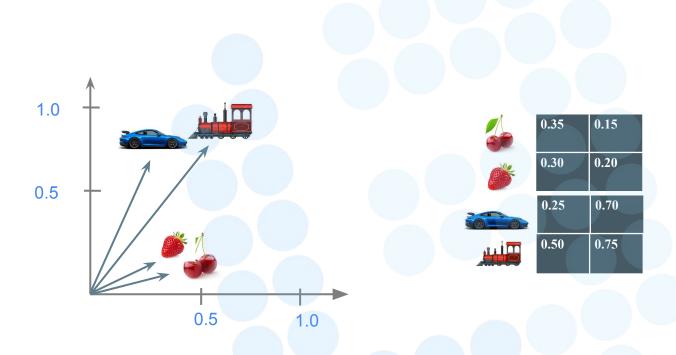




# Modeling word meaning with embeddings

- Computers cannot work with text > we represent words as numeric vectors computers can work with
- Those numbers contain information about the meaning of words, deduced from the contexts in which these words occur (in massive text collections)
- Words that are semantically related (have similar or related meanings) will have similar vectors (and be closer in the vector space)





How does a model know which values to use?

start from random numbers

How does a model know which values to use?

- start from random numbers
- - Xif the system makes a mistake, change the numbers
  - rinse and repeat
  - ✓ gradually improves

How does a model know which values to use?

- start from random numbers
- ⊚trial and error ¾
  - Xif the system makes a mistake, change the numbers
  - rinse and repeat
  - gradually improves

almost like magic, this works!

# How can this work?

How does a model know which values to use?



Large amount of example data (human-written text)

### **Training**

= trial and error if the system makes a mistake, change the numbers repeat







How big is this model really?





How big is this model really?

training data estimate: 25 million books +

GPT(3+) model parameters: 1 trillion

models have read more than a human could in a lifetime





popen text
popen text
popen text













- give instructions like you would for a human intuitive, describe what you want most probable + human-like response
- GHENT UNIVERSITY



### extensive training

- many languages, language types
- many existing datasets
- **\***tasks

### idea of foundation models:

\$\forall \text{transfer to contexts that are similar to those they are trained for.}

tasks leverage generalization from broad selection of languages and tasks

without needing a lot of data 🦠 💳

m not available for low-resource DH scenarios





# How can we use language models?

two types of access:

proprietary





- examples
  - Claude
  - GPT 3+
  - Gemini

















- examples
  - Claude
  - GPT 3+
  - Gemini

how to access ⇒ API

















- examples
  - Claude
  - GPT 3+
  - Gemini

how to access ⇒ API

- you send prompt (your question, instructions) to the company
- the company processes the prompt on their GPU
- they send you the response

















### Advantages:

- ✓ availability of huge models
- no need for GPUs or technical infrastructure
- works on basic laptop 💻 and internet

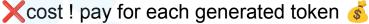




### Advantages:

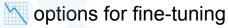
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### Disadvantages:





Xlimited control over the model





what pre-training data was used?

which tasks was the model trained on?





### Advantages:

- vavailability of huge models
- no need for GPUs or technical infrastructure
- works on basic laptop **and** internet

### Disadvantages:

- Xcost! pay for each generated token 💰
- Xlimited control over the model
  - options for fine-tuning
- Xtransparency 🙈
  - what pre-training data was used?
  - which tasks was the model trained on?





- egoptimising models for benchmark data
- mismatch with real-world performance
- "cheating" to present as the best model





- examples:
  - Llama
  - Mistral











- examples:
  - Llama
  - Mistral
- how to access:

  - run/train them yourself











### Advantages:

- transparent about how the model was created
  - which data is used
  - now the data was processed
  - model architecture
- can finetune the model yourself
  - control over the training process
  - share the model





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- Xrequire your own GPUs 💎
  - generally limited to smaller models
- Xtechnically more complex





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### Disadvantages:

- Xrequire your own GPUs 💎
  - generally limited to smaller models
- Xtechnically more complex 🤓 📐
  - BUT we can help with this!





# Limitations and dangers



Historical m language remains difficult 1



- less resourced
- spelling differences 🔬
- out-of-vocabulary
- stylistic differences:
  - more creative, metaphorical, poetic, lyrical 🎨







→ data drift:

Projecting modern-day cultural assumptions

regional bias:
focus on Western world









ethnic groups / slurs as fauna









ethnic groups / slurs as fauna

[...] he with much difficulty prevailed on part of the <u>Indians</u> to begin some new plantation, that they might supply themselves with <u>grain</u>.



[part of the Indians]

Het , in het oor eens <u>Kaffers</u> allezins aangenaam luidend , gebulk van eene <u>koe</u> kan hem dermate verrukken [...]



[koe, kaffers]

[...] hetzij door dieren of ook wel door den mensch — de <u>n\*gers</u> gebruiken de wol daarvan als tonder — sterft de plant niet noodzakelijk [...]



[dieren, n\*gers\*, plant]





## Bias 🖈 🚨

- ethnic groups / slurs as fauna
- translation (anglophone bias)
- more West-European

**Text** 

CHIEN ET LOUP



**Entities** 

[dog, wolf]

zo is in Rusland de algemeene gewoonte van <u>Salmen</u>en andere soorten van <u>Visschen</u>



[salmon, Visschen]





# Ready to work?



# What will we do?







