

CS315: Principles of Database Systems

Structured Query Language (SQL)

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Structured Query Language (SQL)

- A language to specify queries for a relational database
- Is a **data manipulation language (DML)**
 - Can access and manipulate data stored as a particular data model
- Declarative language
 - Specifies what to do, but not how to do
- Is a **data definition language (DDL)**
 - Defines database relations and schemas

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- Declarative language
 - Specifies what to do, but not how to do
- Is a **data definition language (DDL)**
 - Defines database relations and schemas
- SQL has evolved widely after its first inception
 - Supports lots of extra operations, which are non-standard

Running example

- `branch(bname, bcity, assets)`
- `customer(cname, cstreet, ccity)`
- `account(ano, bname, bal)`
- `loan(lno, bname, amt)`
- `depositor(cname, ano)`
- `borrower(cname, lno)`

Creating a relation schema

- **create table**: $\text{create table } r(A_1 D_1 C_1, \dots, A_n D_n C_n, (IC_1), \dots, (IC_k))$
 - r is the name of the relation
 - Each A_i is an attribute name whose data type or domain is specified by D_i
 - C_i specifies constraints or settings (if any)
 - IC_j represents integrity constraints (if any)

- Example

```
create table branch (  
  bname varchar(20) primary key,  
  bcity varchar(20) not null,  
  assets integer  
)
```

Data types in SQL

- *char(n)*: fixed-length character string
- *varchar(n)*: variable-length character string, up to n
- *integer* or *int*: integer
- *smallint*: short integer
- *numeric(n,d)*: floating-point number with a total of n digits of which d is after the decimal point
- *real*: single-precision floating-point number
- *double precision*: double-precision floating-point number
- *float(n)*: floating-point number with at least n digits

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- *float(n)*: floating-point number with at least n digits
- *date*: yyyy-mm-dd format
- *time*: hh:mm:ss format
- *time(i)*: hh:mm:ss:i...i format with additional i digits for fraction of a second
- *timestamp*: both date and time
- *interval*: relative value in either year-month or day-time format

Other data types

- User-defined data type

```
create type cgpa as numeric(3,1)
```

- Large objects such as images, videos, strings can be stored as
 - **blob**: binary large object
 - **clob**: character large object
 - A pointer to the object is stored in the relation, and not the object itself

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- Large objects such as images, videos, strings can be stored as
 - **blob**: binary large object
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 - A pointer to the object is stored in the relation, and not the object itself
- User-defined domain

```
create domain name as varchar(20) not null
```

Constraints

- Can be specified for each attribute as well as separately
 - *not null*: the attribute cannot be null
 - Requires some value while inserting as otherwise null is the default
 - *primary key* (A_i, \dots, A_j): automatically ensures not null
 - *default n*: defaults to n if no value is specified
 - *unique*: specifies that this is a candidate key
 - *foreign key*: specifies as a foreign key and the relation it references to
 - *check P*: predicate P must be satisfied

```
create table branch (  
  bname varchar(20),  
  bcity varchar(20) not null,  
  assets integer default 0,  
  primary key (bname)  
  check (assets >= 0))  
create table borrower (  
  cname varchar(20),  
  lno integer,  
  foreign key (cname) references customer,  
  foreign key (lno) references loan)
```

Deleting or modifying a relation schema

- **drop table**: `drop table r` deletes the table from the database
 - Must satisfy other constraints already applied

- Example

drop table borrower

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drop table borrower

- **alter table:** `alter table r add A D C`
 - Adds attribute *A* with data type *D* at the end
 - *C* specifies constraints on *A* (if any)
 - Must satisfy other constraints already applied

- **alter table:** `alter table r drop A`
 - Deletes attribute *A* from all tuples
 - Must satisfy other constraints already applied

- Example

alter table branch **add** bcode **integer not null**

alter table branch **drop** assets

Basic query structure

- SQL is based on relational algebra, but has certain important modifications

- A typical SQL query is of the form

```
select  $A_1, \dots, A_n$   
from  $r_1, \dots, r_m$   
where  $P$ 
```

- Each r_i is a relation
- Each A_j is an attribute from one of r_1, \dots, r_m
- P is a predicate involving attributes and constants
- **where** can be left out, which then means *true*
- Result is a relation with the schema (A_1, \dots, A_n)

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- P is a predicate involving attributes and constants
- **where** can be left out, which then means *true*
- Result is a relation with the schema (A_1, \dots, A_n)
- Is equivalent to the relational algebra query

$$\Pi_{A_1, \dots, A_n}(\sigma_P(r_1 \times \dots \times r_m))$$

Distinction with relational algebra

- SQL relations are *multi-sets* or *bags* of tuples and not sets
- Multi-sets
 - Example: $\{A, A, B\}$
 - It is distinct from $\{A, B\}$ but equivalent to $\{A, B, A\}$
- Consequently, there may be two identical tuples

Distinction with relational algebra

- SQL relations are *multi-sets* or *bags* of tuples and not sets
- Multi-sets
 - Example: {A, A, B}
 - It is distinct from {A, B} but equivalent to {A, B, A}
- Consequently, there may be two identical tuples
- The set behavior can be enforced by the keyword **unique**
- In a query, keyword **distinct** achieves the same effect
- Opposite is keyword **all**, which is default

Select

- Lists attributes in the final output
- Example

```
select bname  
from branch  
where bcity = 'Kanpur'
```

- Case-insensitive
- **select *** chooses all attributes
- To eliminate duplicates, use **select distinct ...**
- Otherwise, by default is **select all ...**

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- Case-insensitive
- **select *** chooses all attributes
- To eliminate duplicates, use **select distinct ...**
- Otherwise, by default is **select all ...**
- Can contain arithmetic expressions

```
select bname, assets * 100  
from branch  
where bcity = 'Kanpur'
```

From

- Lists relations from where attributes will be listed
- Corresponds to Cartesian product of the relations
- Example

```
select *  
from depositor , account
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- When two relations contain attributes of the same name, qualification is needed to remove ambiguity

```
select cname, depositor.ano, bal  
from depositor , account  
where depositor.ano = account.ano
```

Where

- Specifies conditions that the result tuples must satisfy
- Example

```
select bname  
from branch  
where bcity = 'Kanpur'
```

- May use *and*, *or* and *not* to connect predicates

```
select *  
from account  
where bname = 'IIT' and bal >= 10000
```

- Unused clause is equivalent to **where true**

Where

- Specifies conditions that the result tuples must satisfy
- Example

```
select bname  
from branch  
where bcity = 'Kanpur'
```

- May use *and*, *or* and *not* to connect predicates

```
select *  
from account  
where bname = 'IIT' and bal >= 10000
```

- Unused clause is equivalent to **where true**
- SQL allows **between** operator

```
select *  
from account  
where bal between 1000 and 9999
```

Rename operation

- SQL allows renaming of relations and attributes to remove ambiguity
- Keyword **as** is used
- Example

```
select cname, depositor.ano as aid, bal  
from depositor, account  
where depositor.ano = account.ano
```

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- Keyword **as** is used
- Example

```
select cname, depositor.ano as aid, bal  
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- Renaming is necessary when the same relation needs to be used twice
- Example: Find names of all branches that have greater assets than the “IIT” branch

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- Renaming is necessary when the same relation needs to be used twice
- Example: Find names of all branches that have greater assets than the “IIT” branch

```
select T.bname  
from branch as T, branch as S  
where T.assets > S.assets and S.bname = ‘ ‘IIT ‘ ‘
```

- **as** can be omitted by simply stating **branch T**

String operations

- Supports string matching other than equality of two strings
- Uses **like** to match patterns specified using special characters
 - **_**: matches any character
 - **%**: matches any substring
- Example: Find all branches having “IIT” in its name

```
select *  
from branch  
where bname like ‘“%IIT%” ’
```

String operations

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- Uses **like** to match patterns specified using special characters
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- Example: Find all branches having “IIT” in its name

```
select *  
from branch  
where bname like ‘“%IIT%” ’
```

- Example: Find assets at the branch “IIT_Kanpur”
 - **** protects the next character

```
select assets  
from branch  
where bname = ‘“ IIT\_Kanpur ’ ’
```

Ordering of tuples

- Tuples in the final relation can be ordered using **order by**

Ordering of tuples

- Tuples in the final relation can be ordered using **order by**
 - For display purposes only – has no actual effect
- Example: List all customers in alphabetic order of their names

```
select *  
from customer  
order by cname
```

- Use **desc** to obtain tuples in descending order
- Default is ascending order (**asc**)

```
select *  
from customer  
order by cname desc
```

Set operations

- Operators **union**, **intersect** and **except** correspond to \cup , \cap , $-$
- *Eliminates* duplicates
- For multiset operations, i.e., to retain duplicates, use **all** after the operations
- Example: Find customers having a loan or an account

```
(select cname from depositor)  
union  
(select cname from borrower)
```

- Example: Find customers having a loan but not an account

```
(select cname from depositor)  
except  
(select cname from borrower)
```

Aggregate functions

- Five operations that work on multisets: **avg**, **min**, **max**, **sum**, **count**
- Example: Find the average account balance at “IIT” branch

```
select avg(bal)  
from account  
where bname = ‘ ‘ IIT ’ ’
```

- For set operations, use **distinct**
- Example: Find the total number of depositors

```
select count(distinct cname)  
from depositor
```

Grouping

- To apply aggregate operations on separate groups, use **group by**
- The aggregate operator is applied on *each* group separately
- Example: Find the number of depositors in each branch

```
select bname, count(distinct cname)
from depositor, account
where depositor.ano = account.ano
group by bname
```

- Attributes in **select** clause outside of aggregate functions *must* appear in **group by** list

Qualifying groups

- In order to select certain groups, use **having** clause
- Only those groups satisfying **having** clause appears in the result
- Example: Find names of all branches where the average account balance is more than 9999 and the corresponding average balance

```
select bname, avg(bal)  
from account  
group by bname  
having avg(bal) > 9999
```

- The predicate in **having** is applied *after* forming groups whereas the predicate in **where** is applied *before* doing so

Qualifying groups

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- The predicate in **having** is applied *after* forming groups whereas the predicate in **where** is applied *before* doing so
- Example: Find names of all branches in “Kanpur” where the average account balance is more than 9999 and the corresponding balance

```
select account.bname, avg(bal)
from account, branch
where account.bname = branch.bname and bcity = ‘‘Kanpur’’
group by account.bname
having avg(bal) > 9999
```

Null

- *null* signifies missing or unknown value
- The predicates **is null** and **is not null** can be used to check for null values
- Example

```
select lno  
from loan  
where amt is not null
```

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- Result of expressions involving null evaluate to null
- Comparison with null returns *unknown*
- Uses same three-valued logic as relational algebra
- Aggregate functions ignore null
 - **count(*)** does not ignore nulls

Nested subqueries

- A query which occurs in **where** or **from** clause of another query is called a **subquery**
- Entire query is called **outer query** while the subquery is called **inner query** or **nested query**
- Used in tests for set membership, set cardinality, set comparisons

Set membership

- Keyword **in** is used for set membership tests
- Example: Find names of customers having both a loan and an account

```
select cname  
from borrower  
where cname in (  
    select cname  
    from depositor )
```

- Example: Find names of customers having a loan but not an account

```
select cname  
from borrower  
where cname not in (  
    select cname  
    from depositor )
```

Scoping of attributes

- It is a good practice to qualify attributes
- Also, it is better to rename relations if it is used in both the outer and the inner queries
- An unqualified attribute refers to the *innermost* query
- When a nested query refers to an attribute in the outer query, they are called **correlated queries**
- Example: Find names of all customers having the same account number and the loan number

```
select cname
from depositor as D, customer
where D.cname = customer.cname and cname in (
    select cname
    from borrower as B, customer
    where B.cname = customer.cname and B.lno = D.ano )
```

Set comparison: some

- $(F \langle \text{comp} \rangle \text{some } r) \Leftrightarrow (\exists t \in r (F \langle \text{comp} \rangle t))$
- Examples:
 - $5 < \text{some}\{0, 5, 6\} =$

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- $(= \text{some}) \equiv (\text{in})$
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- Example: Find names of branches that have assets greater than some branch in “Kanpur”

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select bname
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where assets > some (
    select assets
    from branch
    where bcity = 'Kanpur' )
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Set comparison: all

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select bname
from branch
where assets > all (
    select assets
    from branch
    where bcity = 'Kanpur' )
```

Empty set

- **exists** tests if the relation is empty
- $(\text{exists } r) \Leftrightarrow (r \neq \Phi)$
- $(\text{not exists } r) \Leftrightarrow (r = \Phi)$
- Example: Find names of customers who have both an account and a loan

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```
select cname
from borrower as B
where exists (
    select *
    from depositor as D
    where D.cname = B.cname )
```

Duplication in sets

- **unique** tests if the relation contains duplicate tuples
- $(\text{unique } r) \Leftrightarrow (\forall t, s \in r (t \neq s))$
- $(\text{not unique } r) \Leftrightarrow (\exists t, s \in r (t = s))$
- Example: Find names of customers who have at most one account at the “IIT” branch

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select D.cname
from depositor as D
where unique (
    select E.cname
    from account, depositor as E
    where D.cname = E.cname and E.ano = account.ano and
        account.bname = 'IIT' )
```

- Example: Find names of customers who have at least two accounts at the “IIT” branch

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```

- Example: Find names of customers who have at least two accounts at the “IIT” branch

```
...
where not unique (
    ... )
```

Explicit sets

- Use set literals specified within brackets
- Example: Find names of customers having account numbers 11, 22 and 33

```
select cname  
from depositor  
where depositor.ano in (11, 22, 33)
```

Summary of SQL query format

- An SQL query may contain upto six clauses and may be nested, but only the first two—**select** and **from**—are mandatory
- Format (in order)
 - select** <attribute list>
 - from** <relation list>
 - where** <tuple condition>
 - group by** <group attribute list>
 - having** <group condition>
 - order by** <attribute list>
- Execution order
 - 1 **from**
 - 2 **where**
 - 3 **group by**
 - 4 **having**
 - 5 **order by**
 - 6 **select**

Derived relations

- In the **from** clause, a derived relation (result of a subquery) can be used
- Example: Find average account balance of branches where the average is greater than 999

```
select bname, avg_bal
from (
    select bname, avg(bal)
    from account
    group by bname )
as branch_avg(bname, avg_bal)
where avg_bal > 999
```

- Avoids using **having** clause

- **with** clause defines a temporary relation
- This temporary relation is available *only* to the query using the **with** clause
- Example: Find all accounts with maximum balance

```
with max_bal(val) as  
    select max(bal)  
    from account  
select ano  
from account, max_bal  
where bal = val
```

Insertion

- **insert into ... values** statement

```
insert into account(ano, bname, bal)  
values (12, 'IIT', 100)
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```

- If value is not known, specify null

```
insert into account  
values (12, 'IIT', null)
```

Insertion

- **insert into ... values** statement

```
insert into account(ano, bname, bal)  
values (12, 'IIT', 100)
```

- May omit schema

```
insert into account  
values (12, 'IIT', 100)
```

- If value is not known, specify null

```
insert into account  
values (12, 'IIT', null)
```

- To avoid null, specify schema

```
insert into account(ano, bname)  
values (12, 'IIT')
```

Insertion (contd.)

- Example: Create an account with balance 20 at “IIT” branch for every loan with the same number

```
insert into account  
select lno, bname, 20  
from loan  
where bname = 'IIT'
```

```
insert into depositor  
select cname, lno  
from loan, borrower  
where bname = 'IIT' and loan.lno = borrower.lno
```

- Query is evaluated fully before any tuple is inserted

Insertion (contd.)

- Example: Create an account with balance 20 at “IIT” branch for every loan with the same number

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insert into account  
select lno, bname, 20  
from loan  
where bname = 'IIT'
```

```
insert into depositor  
select cname, lno  
from loan, borrower  
where bname = 'IIT' and loan.lno = borrower.lno
```

- Query is evaluated fully before any tuple is inserted
- Otherwise, infinite insertion happens for queries like

```
insert into r  
select * from r
```

Deletion

- **delete from ... where** statement

```
delete from account  
where ano = 12
```

- **where** selects tuples that will be deleted
- If **where** is empty,

Deletion

- **delete from ... where** statement

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delete from account  
where ano = 12
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- **where** selects tuples that will be deleted
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- **delete from ... where** statement

```
delete from account  
where ano = 12
```

- **where** selects tuples that will be deleted
- If **where** is empty, all tuples are deleted
- Delete all accounts at branches of “Kanpur”

```
delete from account  
where bname in (  
    select bname  
    from branch  
    where bcity = ‘‘Kanpur’’ )
```

Deletion (contd.)

- Example: Delete all accounts whose balance is less than the average balance

```
delete from account  
where bal < (  
    select avg(bal)  
    from account )
```

- Average is computed before any tuple is deleted
- It is not re-computed

Deletion (contd.)

- Example: Delete all accounts whose balance is less than the average balance

```
delete from account  
where bal < (  
    select avg(bal)  
    from account )
```

- Average is computed before any tuple is deleted
- It is not re-computed
- Otherwise, average balance keeps on changing
- Ultimately, all but the account with the largest balance will be deleted

Updating

- **update ...set ...where** statement

```
update account  
set bal = bal * 1.05  
where bal >= 1000
```

- **where** selects tuples that will be updated
- If **where** is empty,

Updating

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- **update ...set ...where** statement

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update account  
set bal = bal * 1.05  
where bal >= 1000
```

- **where** selects tuples that will be updated
- If **where** is empty, all tuples are updated with the new value
- Example: Give 5% interest to all accounts with balance less than 1000 and 6% interest otherwise

```
update account  
set bal = bal * 1.06  
where bal >= 1000
```

```
update account  
set bal = bal * 1.05  
where bal < 1000
```

- Order is important

Updating (contd.)

- **case** statement handles conditional updates in a better manner
- Example: Give 5% interest to all accounts with balance less than 1000 and 6% interest otherwise

```
update account
set bal =
  case (bal)
    when bal < 1000 then bal * 1.05
    else bal * 1.06
  end
```

Join

- Join types: **inner join**, **left (outer) join**, **right (outer) join**, **full (outer) join**
- Join conditions: **natural**, **on** ⟨predicate⟩, **using** (⟨attribute list⟩)
- Examples

```
loan inner join borrower on loan.lno = borrower.lno
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loan right outer join borrower using (lno)
```

- Find all customers who have either an account or a loan but not both

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loan right outer join borrower using (lno)
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- Find all customers who have either an account or a loan but not both

```
select cname  
from (depositor natural full join borrower)  
where ano is null or lno is null
```

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- Multiple joins: (*r join s*) **join** *t*, etc.

Views

- A relation that is not present physically but is made visible to the user is called a **view**
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Views

- A relation that is not present physically but is made visible to the user is called a **view**
- A view is a *virtual* relation derived from other relations
- It helps in query processing
 - If a sub-query is very common, obtain a view for it
- It helps in hiding certain data from a user
 - A view can leave out sensitive attributes
 - Example: Find all the loans of a customer but not the loan amount

create view v as

```
select cname, borrower.lno , bname  
from (borrower natural inner join loan)
```

- A view can be deleted simply using **drop**

drop v

- A view has full query capabilities, but limited modification facilities

Storing views

- A view is *not* stored physically
- Only the query expression is stored
- Wherever a view is used, the query expression is substituted
- Example: Select loans only from “IIT” branch (but not loan amount)

```
select *  
from v  
where bname = ‘ ‘ IIT ’ ’
```

is expanded at runtime to

```
select *  
from (  
    select cname, borrower.lno, bname  
    from (borrower natural inner join loan) )  
where bname = ‘ ‘ IIT ’ ’
```

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where bname = ‘ ‘ IIT ’ ’
```

- This allows to capture all updates in the base relations
- If a view is **materialized**, it is stored physically
- To ensure consistency, database *must* update views once base relations are updated

Views using views

- A view may be defined using another view
- View v_1 *depends directly on* view v_2 if v_2 is used in the definition of v_1
- View v_1 *depends on* view v_2 if v_2 is there is a path of dependencies from v_1 to v_2
- **View expansion** is used in the reverse order
- A view is *recursive* if it depends on itself
 - Not allowed

Updating a view

- Updating a view causes many problems, and is, in general, not allowed
- Update must map to updates on the base relations
- If a view involves join or Cartesian product, update must map to updates on all the base relations
 - Not always possible
- Problems with **insert** or **delete**
 - Spurious tuple
 - Null
 - Non-uniqueness

Problems with updating a view

- Spurious tuple: “ShopC” tuple should not be inserted into “IIT” view

```
create view v as  
  select lno , bname  
  from loan  
  where bname = 'IIT'  
  
insert into v values(32, 'ShopC')
```

Problems with updating a view

- Spurious tuple: “ShopC” tuple should not be inserted into “IIT” view

```
create view v as  
  select lno , bname  
  from loan  
  where bname = 'IIT'
```

```
insert into v values(32, 'ShopC')
```

- Null: Amount needs to set to null

```
insert into v values(32, 'IITK')
```

Problems with updating a view

- Spurious tuple: “ShopC” tuple should not be inserted into “IIT” view

```
create view v as  
  select lno , bname  
  from loan  
  where bname = ‘ ‘IIT’ ’
```

```
insert into v values(32, ‘ ‘ShopC’ ’)
```

- Null: Amount needs to set to null

```
insert into v values(32, ‘ ‘IITK’ ’)
```

- Non-uniqueness: Has to choose either borrower or depositor by deciding if this is an account number or a loan number

```
create view v as (  
  select cname, ano as n  
  from borrower )  
union (  
  select cname, lno as n  
  from depositor )
```

Updatable views

- A view is an **updatable view** if
 - It is a simple query
 - It involves only one relation
 - **select** does not use distinct, aggregates or expressions
 - Attributes not in **select** can be set to null
 - There is no **group by** or **having**
- Example:

```
create view v as  
  select lno , bname, amt  
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  where bname = 'IIT'
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- Example:

```
create view v as  
  select lno , bname, amt  
  from loan  
  where bname = 'IIT'
```

- To counter spurious tuples, **with check option** is used
 - Any tuple not satisfying **where** clause is rejected

```
create view v as  
  select lno , bname, amt  
  from loan  
  where bname = 'IIT'  
with check option
```

Triggers

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- It is invoked *only* by the database engine and not by the user

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- It follows the **event-condition-action (ECA)** model
 - *Event*: Database modification
 - *Condition*: Only if true; if no condition, then assumed true
 - *Action*: Database action or any program
- It may not allow the full range of modification statements
- It can be called *before* or *after* the modification
- New and old values are referenced using **new** and **old** respectively
 - New refers to a inserted or new value of updated tuple
 - Old refers to a deleted or old value of updated tuple
- By default, it is for each row (i.e., tuple)

Example

- Created using a **create trigger** command
 - Update the branch name of account when the name of a branch in Kanpur is updated

```
create trigger update_bname
after update of bname on branch
for each row
when bcity = 'Kanpur'
begin
    update account set bname = new.bname where bname =
        old.bname;
end
```

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when bcity = 'Kanpur'
begin
    update account set bname = new.bname where bname =
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- A trigger can be deleted simply using **drop**
drop update_bname