

## General Information

Detailed information about the lecture, tutorials and homework assignments can be found on the lecture website<sup>1</sup>. Solutions have to be submitted to Moodle<sup>2</sup>. Make sure your uploaded documents are readable. Blurred images will be rejected. Use Piazza<sup>3</sup> to ask questions and discuss with your fellow students.

## Big-step proofs

Unless specified otherwise, all rules used in a big-step proof tree must be annotated and all axioms  $(v \Rightarrow v)$  must be written down.

## Assignment 11.1 (L) Big Steps

We define these functions:

```
let rec f = fun l ->
  match l with [] -> 1 | x::xs -> x + g xs
and g = fun l ->
  match l with [] -> 0 | x::xs -> x * f xs
```

Consider the following expressions. Find the values they evaluate to and construct a big-step proof for that claim.

1. `let f = fun a -> (a+1,a-1)::[] in f 7`
2. `f [3;6]`
3. `(fun x -> x 3) (fun y z -> z y) (fun w -> w + w)`

## Suggested Solution 11.1

1. Big step tree:

$$\pi_0 = \text{LI} \frac{\text{OP} \frac{7 \Rightarrow 7 \quad 1 \Rightarrow 1 \quad 7+1 \Rightarrow 8}{7+1 \Rightarrow 8} \quad \text{OP} \frac{7 \Rightarrow 7 \quad 1 \Rightarrow 1 \quad 7-1 \Rightarrow 6}{7-1 \Rightarrow 6}}{\text{TU} \frac{(7+1, 7-1) \Rightarrow (8, 6)}}{[(7+1, 7-1)] \Rightarrow [(8, 6)]}$$

<sup>1</sup><https://www.in.tum.de/i02/lehre/wintersemester-1819/vorlesungen/functional-programming-and-verification/>

<sup>2</sup><https://www.moodle.tum.de/course/view.php?id=44932>

<sup>3</sup><https://piazza.com/tum.de/fall2018/in0003/home>

$$\text{LD} \frac{\text{fun } a \rightarrow [(a+1, a-1)] \Rightarrow \text{fun } a \rightarrow [(a+1, a-1)] \quad \text{APP}' \frac{\text{fun } a \rightarrow [(a+1, a-1)] \Rightarrow \text{fun } a \rightarrow [(a+1, a-1)] \quad 7 \Rightarrow 7 \quad \pi_0}{(\text{fun } a \rightarrow [(a+1, a-1)]) \quad 7 \Rightarrow [(8, 6)]}}{\text{let } f = \text{fun } a \rightarrow [(a+1, a-1)] \text{ in } f \quad 7 \Rightarrow [(8, 6)]}$$

2. Big step tree:

$$\begin{array}{c} \pi_f = \text{GD} \frac{f = \text{fun } l \rightarrow \text{match } l \text{ with } [] \rightarrow 1 \mid x :: xs \rightarrow x + g \quad xs \quad \text{fun } l \rightarrow \text{match } l \text{ with } [] \rightarrow 1 \mid x :: xs \rightarrow x + g \quad xs \Rightarrow \text{fun } l \rightarrow \text{match } l \text{ with } [] \rightarrow 1 \mid x :: xs \rightarrow x + g \quad xs}{f \Rightarrow \text{fun } l \rightarrow \text{match } l \text{ with } [] \rightarrow 1 \mid x :: xs \rightarrow x + g \quad xs} \\ \pi_g = \text{GD} \frac{g = \text{fun } l \rightarrow \text{match } l \text{ with } [] \rightarrow 0 \mid x :: xs \rightarrow x * f \quad xs \quad \text{fun } l \rightarrow \text{match } l \text{ with } [] \rightarrow 0 \mid x :: xs \rightarrow x * f \quad xs \Rightarrow \text{fun } l \rightarrow \text{match } l \text{ with } [] \rightarrow 0 \mid x :: xs \rightarrow x * f \quad xs}{g \Rightarrow \text{fun } l \rightarrow \text{match } l \text{ with } [] \rightarrow 0 \mid x :: xs \rightarrow x * f \quad xs} \\ \pi_0 = \text{PM} \frac{\text{OP} \frac{[6] \Rightarrow [6]}{6 \Rightarrow 6} \quad \text{APP}' \frac{\pi_f \quad [] \Rightarrow [] \quad \text{PM} \frac{[] \Rightarrow [] \quad 1 \Rightarrow 1}{\text{match } [] \text{ with } [] \rightarrow 1 \mid x :: xs \rightarrow x + g \quad xs \Rightarrow 1}}{f \quad [] \Rightarrow 1} \quad 6 * 1 \Rightarrow 6}{\text{match } [6] \text{ with } [] \rightarrow 0 \mid x :: xs \rightarrow x * f \quad xs \Rightarrow 6} \end{array}$$

$$\text{APP}' \frac{\pi_f \quad [3; 6] \Rightarrow [3; 6] \quad \text{PM} \frac{[3; 6] \Rightarrow [3; 6] \quad \text{OP} \frac{3 \Rightarrow 3 \quad \text{APP}' \frac{\pi_g \quad [6] \Rightarrow [6] \quad \pi_0}{g \quad [6] \Rightarrow 6} \quad 3 + 6 \Rightarrow 9}{3 + g \quad [6] \Rightarrow 9}}{\text{match } [3; 6] \text{ with } [] \rightarrow 1 \mid x :: xs \rightarrow x + g \quad xs \Rightarrow 9} \quad f \quad [3; 6] \Rightarrow 9$$

3. Big step tree:

$$\pi_0 = \text{APP}' \frac{\text{fun } x \rightarrow x \quad 3 \Rightarrow \text{fun } x \rightarrow x \quad 3 \quad \text{fun } y \quad z \rightarrow z \quad y \Rightarrow \text{fun } y \quad z \rightarrow z \quad y \quad \text{APP}' \frac{\text{fun } y \quad z \rightarrow z \quad y \Rightarrow \text{fun } y \quad z \rightarrow z \quad y \quad 3 \Rightarrow 3 \quad \text{fun } z \rightarrow z \quad 3 \Rightarrow \text{fun } z \rightarrow z \quad 3}{(\text{fun } y \quad z \rightarrow z \quad y) \quad 3 \Rightarrow \text{fun } z \rightarrow z \quad 3}}{(\text{fun } x \rightarrow x \quad 3) \quad (\text{fun } y \quad z \rightarrow z \quad y) \Rightarrow \text{fun } z \rightarrow z \quad 3}$$

$$\text{APP}' \frac{\pi_0 \quad \text{fun } w \rightarrow w + w \Rightarrow \text{fun } w \rightarrow w + w \quad \text{APP}' \frac{\text{fun } w \rightarrow w + w \Rightarrow \text{fun } w \rightarrow w + w \quad 3 \Rightarrow 3 \quad \text{OP} \frac{3 \Rightarrow 3 \quad 3 \Rightarrow 3 \quad 3 + 3 \Rightarrow 6}{3 + 3 \Rightarrow 6}}{(\text{fun } w \rightarrow w + w) \quad 3 \Rightarrow 6} \quad (\text{fun } x \rightarrow x \quad 3) \quad (\text{fun } y \quad z \rightarrow z \quad y) \quad (\text{fun } w \rightarrow w + w) \Rightarrow 6$$

## Assignment 11.2 (L) Multiplication

Prove that the function

```
let rec mul a b =
  match a with 0 -> 0 | _ -> b + mul (a-1) b
```

terminates for all inputs  $a, b \geq 0$ .

### Suggested Solution 11.2

We prove by induction on  $a$  that  $\text{mul } a \quad b$  terminates with  $a * b$ :

- Base case:  $a = 0$ :

$$\text{APP} \frac{\text{PM} \frac{\text{match } 0 \text{ with } 0 \rightarrow 0 \mid \_ \rightarrow b + \text{mul } (-1) \quad b \Rightarrow 0}{\text{mul } 0 \quad b \Rightarrow 0}}{\pi_{mul}}$$

- Inductive case: Assume  $\text{mul } a \quad b$  terminates for an  $a \geq 0$ . Now, we show that it also terminates for  $a + 1$ :

$$\begin{array}{c}
\text{APP} \frac{\text{by I.H.}}{\text{mul } (a+1-1) \ b \Rightarrow a * b \ b + (a * b) \Rightarrow (a+1) * b} \\
\text{OP} \frac{}{\text{b} + \text{mul } (a+1-1) \ b \Rightarrow (a+1) * b} \\
\text{APP} \frac{\text{PM} \frac{\text{match } a+1 \text{ with } 0 \rightarrow 0 \mid \_ \rightarrow \text{b} + \text{mul } (a+1-1) \ b \Rightarrow (a+1) * b}{\text{mul } (a+1) \ b \Rightarrow (a+1) * b}}{\pi_{mul}}
\end{array}$$

Here  $\pi_{mul}$  is the GD-tree of `mul`. Note one important thing here: When reducing to the induction hypothesis, we do not apply the operator rule for the `a+1-1` term, since  $a+1$  is not really an OCaml expression, but the successor of  $a$ . We silently simplify  $a+1-1$  to  $a$  and apply the induction hypothesis.

□

### Assignment 11.3 (L) Threesum

Use big-step operational semantics to show that the function

```
let rec threesum = fun l ->
  match l with [] -> 0 | x::xs -> 3*x + threesum xs
```

terminates for all inputs and computes three times the sum of the input list's elements.

### Suggested Solution 11.3

We define:

$$\pi_{ts} = \text{GD} \frac{\text{threesum} = \text{fun } l \rightarrow \text{match } l \text{ with } [] \rightarrow 0 \mid x::xs \rightarrow 3*x + \text{threesum } xs}{\text{threesum} \Rightarrow \text{fun } l \rightarrow \text{match } l \text{ with } [] \rightarrow 0 \mid x::xs \rightarrow 3*x + \text{threesum } xs}$$

Now, we do an induction on the length  $n$  of the list.

- Base case:  $n = 0$  ( $l = []$ )

$$\text{APP} \frac{\pi_{ts} \quad \text{PM} \frac{[] \Rightarrow [] \quad 0 \Rightarrow 0}{\text{match } [] \text{ with } [] \rightarrow 0 \mid x::xs \rightarrow 3*x + \text{threesum } xs \Rightarrow 0}}{\text{threesum } [] \Rightarrow 0}$$

- Inductive step: We assume `threesum xs` terminates with  $3 \sum_{i=1}^n x_i$  for an input  $xs = [x_n; \dots; x_1]$  of length  $n \geq 0$ . Now, show that `threesum  $x_{n+1}::xs$`  terminates with  $3 \sum_{i=1}^{n+1} x_i$ :

$$\begin{array}{c}
\text{APP} \frac{\text{PM} \frac{\text{match } x_{n+1}::xs \text{ with } [] \rightarrow 0 \mid x::xs \rightarrow 3*x + \text{threesum } xs \Rightarrow 3 \sum_{i=1}^{n+1} x_i}{x_{n+1}::xs \Rightarrow x_{n+1}::xs}}{\pi_{ts} \quad x_{n+1}::xs \Rightarrow x_{n+1}::xs} \\
\text{OP} \frac{\text{APP} \frac{\text{by I.H.}}{\text{threesum } xs \Rightarrow 3 \sum_{i=1}^n x_i} \quad 3*x_{n+1} + 3 \sum_{i=1}^n x_i \Rightarrow 3 \sum_{i=1}^{n+1} x_i}{3*x_{n+1} \Rightarrow 3x_{n+1}} \\
\text{OP} \frac{}{3 * x_{n+1} \Rightarrow 3x_{n+1}}
\end{array}$$

□

### Assignment 11.4 (L) Records

Let MiniOCaml++ be an extended version of MiniOCaml that comes with records. Perform these tasks:

1. Extend the operational big-step semantics of MiniOCaml for these new expressions.
2. Construct a big-step proof for the value of this expression:

```
let r = { x={ a=3+5; b=2+4::[] }; y=2*7 } in r.x.a::r.x.b
```

## Suggested Solution 11.4

1. We need two new rules for the record evaluation (*RE*) and record access (*RA*):

- $$\text{RE} \frac{e_1 \Rightarrow v_1 \ \dots \ e_n \Rightarrow v_n}{\{a_1 = e_1; \dots a_n = e_n\} \Rightarrow \{a_1 = v_1; \dots a_n = v_n\}}$$
- $$\text{RA} \frac{e \Rightarrow \{ \dots a = v; \dots \}}{e.a \Rightarrow v}$$

2. The big-step tree:

$$\begin{array}{l}
 \pi_0 = \text{RE} \frac{\text{OP} \frac{3 \Rightarrow 3 \ 5 \Rightarrow 5 \ 3+5 \Rightarrow 8}{3+5 \Rightarrow 8} \quad \text{LI} \frac{\text{OP} \frac{2 \Rightarrow 2 \ 4 \Rightarrow 4 \ 2+4 \Rightarrow 6}{2+4 \Rightarrow 6}}{[2+4] \Rightarrow [6]} \quad \text{OP} \frac{2 \Rightarrow 2 \ 7 \Rightarrow 7 \ 2*7 \Rightarrow 14}{2*7 \Rightarrow 14}}{\{ \text{a}=3+5; \text{b}=[2+4] \} \Rightarrow \{ \text{a}=8; \text{b}=[6] \}} \\
 \pi_1 = \text{RA} \frac{\text{RA} \frac{\{ \text{x}=\{ \text{a}=8; \text{b}=[6] \}; \text{y}=14 \} \Rightarrow \{ \text{x}=\{ \text{a}=8; \text{b}=[6] \}; \text{y}=14 \}}{\{ \text{x}=\{ \text{a}=8; \text{b}=[6] \}; \text{y}=14 \} \Rightarrow \{ \text{x}=\{ \text{a}=8; \text{b}=[6] \}; \text{y}=14 \}}}{\{ \text{x}=\{ \text{a}=8; \text{b}=[6] \}; \text{y}=14 \}.x \Rightarrow \{ \text{a}=8; \text{b}=[6] \}} \\
 \pi_2 = \text{RA} \frac{\text{RA} \frac{\{ \text{x}=\{ \text{a}=8; \text{b}=[6] \}; \text{y}=14 \} \Rightarrow \{ \text{x}=\{ \text{a}=8; \text{b}=[6] \}; \text{y}=14 \}}{\{ \text{x}=\{ \text{a}=8; \text{b}=[6] \}; \text{y}=14 \} \Rightarrow \{ \text{x}=\{ \text{a}=8; \text{b}=[6] \}; \text{y}=14 \}}}{\{ \text{x}=\{ \text{a}=8; \text{b}=[6] \}; \text{y}=14 \}.x \Rightarrow \{ \text{a}=8; \text{b}=[6] \}} \\
 \text{LD} \frac{\pi_0 \quad \text{LI} \frac{\pi_1 \ \pi_2}{\{ \text{x}=\{ \text{a}=8; \text{b}=[6] \}; \text{y}=14 \}.x.a :: \{ \text{x}=\{ \text{a}=8; \text{b}=[6] \}; \text{y}=14 \}.x.b \Rightarrow [8;6]}}{\text{let } r = \{ \text{x}=\{ \text{a}=3+5; \text{b}=[2+4] \}; \text{y}=2*7 \} \text{ in } r.x.a :: r.x.b \Rightarrow [8;6]}
 \end{array}$$

## Assignment 11.5 (H) More Big Steps

[12 Points]

Globally defined are these functions:

```

let rec map = fun f l ->
  match l with [] -> [] | x::xs -> f x :: map f xs
and fold_left = fun f a l ->
  match l with [] -> a | x::xs -> fold_left f (f a x) xs
and comp = fun f g x -> f (g x)
and mul = fun a b -> a * b
and id = fun x -> x

```

Give big-step proofs for the following claims:

1.  $\text{fold\_left } \text{mul } 3 \ [10] \Rightarrow 30$
2.  $(\text{let } a = \text{comp } (\text{fun } x \rightarrow 2 * x) \text{ in } a \ (\text{fun } x \rightarrow x + 3)) \ 4 \Rightarrow 14$
3.  $\text{map } (\text{id id}) \ [8] \Rightarrow [8]$

## Suggested Solution 11.5

1.

$$\begin{aligned}
 \pi_{fl} &= \text{fun } f \text{ a l } \rightarrow \text{match l with } [] \rightarrow a \mid x::xs \rightarrow \text{fold\_left } f \text{ (f a x) xs} \\
 \pi_{fold\_left} &= \text{GD} \frac{\text{fold\_left} = \pi_{fl} \pi_{fl} \Rightarrow \pi_{fl}}{\text{fold\_left} \Rightarrow \text{fun } f \text{ a l } \rightarrow \text{match l with } [] \rightarrow a \mid x::xs \rightarrow \text{fold\_left } f \text{ (f a x) xs}} \\
 \pi_{mul} &= \text{GD} \frac{\text{mul} = \text{fun } a \text{ b } \rightarrow a*b \text{ fun } a \text{ b } \rightarrow a*b \Rightarrow \text{fun } a \text{ b } \rightarrow a*b}{\text{mul} \Rightarrow \text{fun } a \text{ b } \rightarrow a*b} \\
 \pi_0 &= \text{APP}' \frac{\text{fun } a \text{ b } \rightarrow a*b \Rightarrow \text{fun } a \text{ b } \rightarrow a*b \quad \text{OP} \frac{3 \Rightarrow 3 \quad 10 \Rightarrow 10 \quad 3*10 \Rightarrow 30}{3*10 \Rightarrow 30}}{(\text{fun } a \text{ b } \rightarrow a*b) \quad 3 \quad 10 \Rightarrow 30} \\
 \pi_1 &= \text{PM} \frac{[] \Rightarrow [] \quad 30 \Rightarrow 30}{\text{match } [] \text{ with } [] \rightarrow 30 \mid x::xs \rightarrow \text{fold\_left } (\text{fun } a \text{ b } \rightarrow a*b) ((\text{fun } a \text{ b } \rightarrow a*b) \quad 30 \text{ x}) \text{ xs} \Rightarrow 30} \\
 \pi_2 &= \text{PM} \frac{[10] \Rightarrow [10] \quad \text{APP}' \frac{\pi_{fold\_left} \text{ fun } a \text{ b } \rightarrow a*b \Rightarrow \text{fun } a \text{ b } \rightarrow a*b \pi_0 [] \Rightarrow [] \quad \pi_1}{\text{fold\_left } (\text{fun } a \text{ b } \rightarrow a*b) ((\text{fun } a \text{ b } \rightarrow a*b) \quad 3 \quad 10) \quad [] \Rightarrow 30}}{\text{match } [10] \text{ with } [] \rightarrow 3 \mid x::xs \rightarrow \text{fold\_left } (\text{fun } a \text{ b } \rightarrow a*b) ((\text{fun } a \text{ b } \rightarrow a*b) \quad 3 \text{ x}) \text{ xs} \Rightarrow 30}
 \end{aligned}$$

$$\text{APP}' \frac{\pi_{fold\_left} \pi_{mul} \quad 3 \Rightarrow 3 \quad [10] \Rightarrow [10] \quad \pi_2}{\text{fold\_left mul 3 [10] } \Rightarrow 30}$$

2.

$$\begin{aligned}
 \pi_{comp} &= \text{GD} \frac{\text{comp} = \text{fun } f \text{ g x } \rightarrow f \text{ (g x) fun } f \text{ g x } \rightarrow f \text{ (g x) } \Rightarrow \text{fun } f \text{ g x } \rightarrow f \text{ (g x)}}{\text{comp} \Rightarrow \text{fun } f \text{ g x } \rightarrow f \text{ (g x)}} \\
 \pi_{fun} &= \text{fun } x \rightarrow (\text{fun } x \rightarrow 2*x) ((\text{fun } x \rightarrow x+3) \text{ x}) \Rightarrow \text{fun } x \rightarrow (\text{fun } x \rightarrow 2*x) ((\text{fun } x \rightarrow x+3) \text{ x}) \\
 \pi_0 &= \text{APP}' \frac{\pi_{comp} \text{ fun } x \rightarrow 2*x \Rightarrow \text{fun } x \rightarrow 2*x \text{ fun } g \text{ x } \rightarrow (\text{fun } x \rightarrow 2*x) \text{ (g x)} \Rightarrow \text{fun } g \text{ x } \rightarrow (\text{fun } x \rightarrow 2*x) \text{ (g x)}}{\text{comp } (\text{fun } x \rightarrow 2*x) \Rightarrow \text{fun } g \text{ x } \rightarrow (\text{fun } x \rightarrow 2*x) \text{ (g x)}} \\
 \pi_1 &= \text{LD} \frac{\text{APP}' \frac{\text{fun } g \text{ x } \rightarrow (\text{fun } x \rightarrow 2*x) \text{ (g x)} \Rightarrow \text{fun } g \text{ x } \rightarrow (\text{fun } x \rightarrow 2*x) \text{ (g x)} \text{ fun } x \rightarrow x+3 \Rightarrow \text{fun } x \rightarrow x+3 \quad \pi_{fun}}{(\text{fun } g \text{ x } \rightarrow (\text{fun } x \rightarrow 2*x) \text{ (g x)}) (\text{fun } x \rightarrow x+3) \Rightarrow \text{fun } x \rightarrow (\text{fun } x \rightarrow 2*x) ((\text{fun } x \rightarrow x+3) \text{ x})}}{\text{let a = comp } (\text{fun } x \rightarrow 2*x) \text{ in a } (\text{fun } x \rightarrow x+3) \Rightarrow \text{fun } x \rightarrow (\text{fun } x \rightarrow 2*x) ((\text{fun } x \rightarrow x+3) \text{ x})} \\
 \text{APP}' \frac{\pi_1 \quad 4 \Rightarrow 4 \quad \text{APP}' \frac{\text{fun } x \rightarrow 2*x \Rightarrow \text{fun } x \rightarrow 2*x \quad \text{APP}' \frac{\text{fun } x \rightarrow x+3 \Rightarrow \text{fun } x \rightarrow x+3 \quad 4 \Rightarrow 4 \quad \text{OP} \frac{4 \Rightarrow 4 \quad 3 \Rightarrow 3 \quad 4+3 \Rightarrow 7}{4+3 \Rightarrow 7}}{(\text{fun } x \rightarrow x+3) \quad 4 \Rightarrow 7} \quad \text{OP} \frac{2 \Rightarrow 2 \quad 7 \Rightarrow 7 \quad 2*7 \Rightarrow 14}{2*7 \Rightarrow 14}}{(\text{fun } x \rightarrow 2*x) ((\text{fun } x \rightarrow x+3) \quad 4) \Rightarrow 14} \\
 \text{APP}' \frac{\pi_1 \quad 4 \Rightarrow 4}{(\text{let a = comp } (\text{fun } x \rightarrow 2*x) \text{ in a } (\text{fun } x \rightarrow x+3)) \quad 4 \Rightarrow 14}
 \end{aligned}$$

3.

$$\begin{aligned}
 \pi_{id} &= \text{GD} \frac{\text{id} = \text{fun } x \rightarrow x \text{ fun } x \rightarrow x \Rightarrow \text{fun } x \rightarrow x}{\text{id} \Rightarrow \text{fun } x \rightarrow x} \\
 \pi_m &= \text{fun } f \text{ l } \rightarrow \text{match l with } [] \rightarrow [] \mid x::xs \rightarrow f \text{ x}::\text{map } f \text{ xs} \\
 \pi_{map} &= \text{GD} \frac{\text{map} = \pi_m \pi_m \Rightarrow \pi_m}{\text{map} \Rightarrow \text{fun } f \text{ l } \rightarrow \text{match l with } [] \rightarrow [] \mid x::xs \rightarrow f \text{ x}::\text{map } f \text{ xs}} \\
 \pi_0 &= \text{APP}' \frac{\pi_{map} \text{ fun } x \rightarrow x \Rightarrow \text{fun } x \rightarrow x \quad \text{PM} \frac{[] \Rightarrow [] \quad [] \Rightarrow []}{\text{match } [] \text{ with } [] \rightarrow [] \mid x::xs \rightarrow (\text{fun } x \rightarrow x) \text{ x}::\text{map } (\text{fun } x \rightarrow x) \text{ xs} \Rightarrow []}}{\text{map } (\text{fun } x \rightarrow x) \quad [] \Rightarrow []} \\
 \pi_1 &= \text{LI} \frac{\text{APP}' \frac{\text{fun } x \rightarrow x \Rightarrow \text{fun } x \rightarrow x \quad 8 \Rightarrow 8 \quad 8 \Rightarrow 8}{(\text{fun } x \rightarrow x) \quad 8 \Rightarrow 8} \quad \pi_0}{(\text{fun } x \rightarrow x) \quad 8::\text{map } (\text{fun } x \rightarrow x) \quad [] \Rightarrow [8]} \\
 \text{APP}' \frac{\pi_{map} \pi_{id} \text{ fun } x \rightarrow x \Rightarrow \text{fun } x \rightarrow x \quad \text{APP}' \frac{\pi_{id} \pi_{id} \text{ fun } x \rightarrow x \Rightarrow \text{fun } x \rightarrow x}{\text{id id} \Rightarrow \text{fun } x \rightarrow x} \quad [8] \Rightarrow [8] \quad \text{PM} \frac{[8] \Rightarrow [8] \quad \pi_1}{\text{match } [8] \text{ with } [] \rightarrow [] \mid x::xs \rightarrow (\text{fun } x \rightarrow x) \text{ x}::\text{map } (\text{fun } x \rightarrow x) \text{ xs} \Rightarrow [8]}}{\text{map (id id) } [8] \Rightarrow [8]}
 \end{aligned}$$

## Assignment 11.6 (H) Computing Zero

[4 Points]

Consider the function `foo`:

```
let rec foo = fun l ->
  match l with [] -> 0
  | 0::xs -> foo xs
  | x::xs -> if x > 0 then foo (x-1::xs) else foo (x+1::xs)
```

Prove that `foo` terminates for all inputs. Axioms  $(v \Rightarrow v)$  may be omitted.

### Suggested Solution 11.6

Let  $\pi_{foo} = \text{GD} \frac{\text{foo} = \text{fun } l \rightarrow \dots \text{ fun } l \rightarrow \dots \Rightarrow \text{fun } l \rightarrow \dots}{\text{foo} \Rightarrow \text{fun } l \rightarrow \dots}$

We prove by induction on the length  $n$  of list  $l$ .

- Base case:  $n = 0$  ( $l = []$ ):

APP  $\frac{\pi_{foo} \text{ PM } \text{match } [] \text{ with } [] \rightarrow 0 \mid \dots \Rightarrow 0}{\text{foo } [] \Rightarrow 0}$

- Inductive step: We assume `foo xs` terminates with 0 for a list `xs` of length  $n \geq 0$ . Now, we show that it also terminates for a list `x::xs` of length  $n + 1$ . To do so, we do another induction on the value of the first element `x` of the list:

- Base case:  $x = 0$ :

APP  $\frac{\text{PM} \frac{\text{APP} \frac{\text{by I.H.}}{\text{foo } xs \Rightarrow 0}}{\text{match } 0::xs \text{ with } \dots \mid 0::xs \rightarrow \text{foo } xs \mid \dots \Rightarrow 0}}{\text{foo } (0::xs) \Rightarrow 0}$

- Inductive step: We assume `foo x::xs` terminates with 0 for a value  $x \geq 0$ . Now, we show that `foo (x+1::xs)` also terminates:

APP  $\frac{\text{PM} \frac{\text{PM} \frac{\text{APP} \frac{\text{by I.H.}}{\text{foo } (x+1-1::xs) \Rightarrow 0}}{\text{match } x+1 > 0 \text{ with true } \rightarrow \text{foo } (x+1-1::xs) \mid \dots \Rightarrow 0}}{\text{match } (x+1::xs) \text{ with } \dots \mid x::xs \rightarrow \text{match } x > 0 \text{ with true } \rightarrow \text{foo } (x-1::xs) \mid \dots \Rightarrow 0}}{\text{foo } (x+1::xs) \Rightarrow 0}$

- Inductive step We assume `foo x::xs` terminates with 0 for a value  $x \leq 0$ . Now, we show that `foo (x-1::xs)` also terminates:

APP  $\frac{\text{PM} \frac{\text{PM} \frac{\text{APP} \frac{\text{by I.H.}}{\text{foo } (x-1+1::xs) \Rightarrow 0}}{\text{match } x-1 > 0 \text{ with } \dots \mid \text{false} \rightarrow \text{foo } (x-1+1::xs) \mid \dots \Rightarrow 0}}{\text{match } x-1::xs \text{ with } \dots \mid x::xs \rightarrow \text{match } x > 0 \text{ with } \dots \mid \text{false} \rightarrow \text{foo } (x+1::xs) \Rightarrow 0}}{\text{foo } (x-1::xs) \Rightarrow 0}$

□

## Assignment 11.7 (H) Raise the bar!

[4 Points]

Given are these definitions:

```
let rec impl = fun n a ->
  match n with 0 -> a | _ -> impl (n-1) (a * n * n)
and bar = fun n -> impl n 1
```

Prove that `bar n` terminates with  $n! * n!$  for all non-negative inputs  $n$ . Axioms  $(v \Rightarrow v)$  may be omitted.

### Suggested Solution 11.7

We first prove that `impl n a` terminates with  $a * n! * n!$ . We omit the global definitions of  $\pi_{impl}$  and  $\pi_{bar}$  of `impl` and `bar` here for simplicity.

- Base case:  $n = 0$ :

$$\text{APP}' \frac{\text{PM} \frac{\text{match } 0 \text{ with } 0 \rightarrow a \mid \dots \Rightarrow a}{\text{impl } 0 \text{ a} \Rightarrow a}}{\text{impl } 0 \text{ a} \Rightarrow a}$$

- Inductive step: We assume `impl n a` terminates with  $a * n! * n!$  for an input  $n \geq 0$ . Now, we show that it terminates with  $a * (n + 1)! * (n + 1)!$  for input  $n + 1$ :

$$\text{APP}' \frac{\text{PM} \frac{\text{APP}' \frac{\text{by I.H.}}{\text{impl } (n+1-1) (a * (n+1) * (n+1)) \Rightarrow (a * (n+1) * (n+1)) * n! * n!}}{\text{match } n+1 \text{ with } \dots \mid \_ \rightarrow \text{impl } (n+1-1) (a * (n+1) * (n+1)) \Rightarrow (a * (n+1) * (n+1)) * n! * n!}}{\text{impl } (n+1) \text{ a} \Rightarrow a * (n+1)! * (n+1)!}$$

Now, that we have shown that `impl n a`  $\Rightarrow a * n! * n!$  (1), we prove:

$$\text{APP} \frac{\text{APP}' \frac{\text{by (1)}}{\text{impl } n \text{ 1} \Rightarrow n! * n!}}{\text{bar } n \Rightarrow n! * n!}$$

□