# A Novel Kinship Verification Method Based on Deep Transfer Learning and Feature Nonlinear Mapping

YONG YANG and QINGSHAN WU

### **ABSTRACT**

There are some problems when the discriminative features are used in the traditional kinship verification methods, such as focusing on the local region information, containing a lot of noisy in non-face regions and redundant information in overlapping regions, manual parameters setting and high dimension. To solve the above problems, a novel kinship verification method based on deep transfer learning and feature nonlinear mapping is proposed in this paper. Firstly, a new deep learning model trained on the face recognition dataset is transferred to the kinship datasets to extract high-level feature. Secondly, siamese multi-layer perceptrons and triangular similarity metric learning are combined to reduce the dimensionality of feature vector by nonlinear mapping. Meanwhile it would guarantee a smaller distance between kin pairs while a larger distance between non-kin pairs. Lastly, the cosine similarity of feature vector pairs is computed, and traditional classifier, such as SVM, is used. Experiments on the TSKinFace, KinFace W-I and KinFace W-II datasets indicate the proposed method could achieve better performance than the traditional methods.

## **KEYWORDS**

Kinship Verification; Deep Transfer Learning; Feature Nonlinear Mapping; Siamese Multi-Layer Perceptrons; Triangular Similarity Metric Learning.

## INTRODUCTION

To our best knowledge, the DNA detection technology has the highest accuracy in verifying the kinship relation. However, it could not be directly used to judge kinship relation in the practical application because of the privacy, money, time and cooperation. Since Fang et al. [1] firstly proposed to solve the task of kinship verification from facial images by computer vision technology, more and more research communities have paid attention on it [2-6]. Kinship verification could be used in mining the human social relation, finding missing children and improving face recognition. Apart from the factors encountered in collecting the facial images, such as illumination, pose and expression, the age gap and gender difference make kinship verification more challenging.

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Kinship verification from facial image aims at comparing facial attributes by computer vision technology and finds out the optima pair-wise according to the minimum distance or the maximum similarity. Over the past a few years, the discriminative features used in the existing methods for kinship verification are either low-level feature [1-3] or mid-level feature [4] or high-level feature [5-6]. The lowlevel features extracted by hand-crafted descriptors could not avoid the following three problems: firstly, mainly focusing on the local region information instead of the whole structure information in the facial images; secondly, containing a lot of noisy in nonface regions and redundant information in overlapping regions; thirdly, manual parameters setting and high dimension which will makes the training and testing phase computationally inefficient. The mid-level features extracted from the low-level features by discriminative learning still cannot solve the above first and third problems. The high-level features are mainly extracted by deep transfer learning which fails to fully consider the sample distribution difference between domain source and target source. To address these issues, a novel kinship verification method based on deep transfer learning and feature nonlinear mapping is proposed. The method consists of the main three steps:(1) extracting the high-level feature from facial image by deep transfer learning method;(2) reducing the dimensionality of feature vector by feature nonlinear mapping;(3) computing the cosine similarity and classifying them by classifier.

The main contributions of this paper can be stated as follows:(1) a new and compact deep learning model which is learned on the face recognition dataset is transferred to the kinship dataset to extract high-level feature;(2) siamese multi-layer perceptrons and triangular similarity metric learning are combined to reduce the dimensionality of feature vector by nonlinear mapping way, and reduce the distance between kin pairs and increase the distance between non-kin pairs.

## THE PROPOSED APPROACH

This section mainly introduces the details of the proposed method which includes the following three steps: feature extraction, feature nonlinear mapping, and similarity metric and classifying.

#### **Feature Extraction**

To get the discriminative feature without redundant information from kinship datasets in which each identity has only one facial image, the deep learning method and transfer learning method are combined. The face recognition dataset is chosen as the source domain, and face recognition is the source task; the kinship verification datasets are chosen as the target domain, feature extraction is the target task. The source model, learned on the source domain according to the source task, is transferred to target domain to get target model with the target task.

## SOURCE MODEL

To fit to the size of input in the target domain, the network structure [7] is modified by deleting several Eltwise layers. In the source model training phase, a modified softmax loss [8] and a reformed contrastive loss [8] are adopted to as the loss function. The modified contrastive loss is:

$$L_{S} = -\frac{1}{m} \sum_{i=1}^{m} \log \frac{e^{\frac{-s}{2} \left\| \overline{W}_{y_{i}}^{T} - \overline{f}_{i} \right\|_{2}^{2}}}{\sum_{j=1}^{n} e^{\frac{-s}{2} \left\| \overline{W}_{j}^{T} - \overline{f}_{i} \right\|_{2}^{2}}}$$
(1)

Where  $\overline{f}_i$  and  $\overline{W}$  represent the L2-normalized features and the L2-normalized weights matrix respectively, and s is the parameter in the scale layer.

The reformed contrastive loss is:

$$L_{c} = \begin{cases} \left\| \overline{W}_{j} - \overline{f}_{i} \right\|_{2}^{2}, c_{i} = j \\ \max(0, m - \left\| \overline{W}_{j} - \overline{f}_{i} \right\|_{2}^{2}), c_{i} \neq j \end{cases}$$
(2)

Where m is the margin, the  $\overline{W}_j$  can roughly represents the means of features in j-th class after well-separated by the margin.

The PReLU is chosen as the activation function, and stochastic gradient descent with momentum of 0.99 to update the parameters of network in training phase. The source model is continue trained for 5,000 iterations after it converges.

#### TARGET MODEL

In the source task, the final goal is to classify each sample. However, the goal of the target task is to extract the discriminative feature from target domain. To get the target model, all layers after full connected layer in the source model are deleted, and the parameters and structures between input layer and full connected layer are saved. The detail is shown in Fig.1.

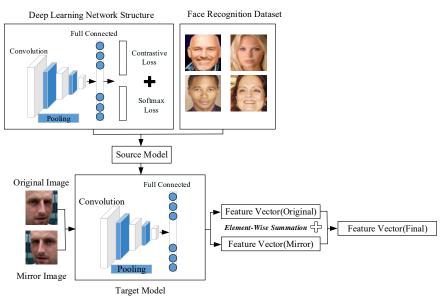


Figure 1. The structure of the deep transfer learning method.

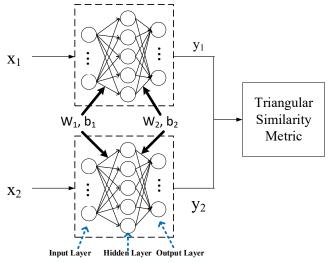


Figure 2. The structure of the SMLP Method.

# High-level Feature Extraction

To get the high-level feature, the processing procedure includes the following two steps: firstly, choosing each facial image and its mirror in the target domain as the input of target model, 512-dimension feature vector pair (the original and its mirror) will be got. Secondly, merging each feature vector pair by element-wise summation. The detail is shown in Fig.1.

# **Feature Nonlinear Mapping**

Principal Component Analysis (PCA), which retains the original information as much as possible by a linear projection, is usually adopted to reduce the dimensionality of feature vector in the existing kinship verification task. However, it may cause a problem that the projected data may mix together and are not distinguishing for kinship verification. To solve the above problem, siamese multilayer perceptrons [9] is adopted to map the feature vector by nonlinear way.

## SIAMESE MULTI-LAYER PERCEPTRONS

Siamese multi-layer perceptrons consists of two multi-layer perceptrons, where they share the same weights and biases, and a loss function. Each multi-layer perceptrons contains an input layer, a hidden layer, and an output layer. Its structure is shown Fig.2. Assuming the weights and biases between the input layer and the hidden layer are W1 and b1 respectively, the weights and biases between the hidden layer and the output layer are W2 and b2 respectively. The tanh function is chosen as the activation function. In the forward propagation, for any given input xi, the value in the hidden and output layers are computed as hi = tanh(xiW1+b1), yi = tanh(hiW2+b2) respectively. In the back-propagation process, the parameters are updated by the following:

$$P = P - \lambda \sum_{i=1}^{N} \Delta P \tag{3}$$

Where the  $^{\lambda}$  represents the learning rate (default 0.0001), the P represents W1, b1, W2 and b2 respectively, the  $^{\Delta P}$  represents the differentials of W1, b1, W2 and b2 respectively which are computed according to the differentials of the loss function.

# TRIANGULAR SIMILARITY METRIC LEARNING

Triangular similarity metric learning [10] aims at finding out an optimal mapping space, which make the similarity feature pairs are mapped in the same direction and the dissimilarity feature pairs are mapped in the opposite direction as far as possible, to minimize the distance between similarity pairs and maximize the distance between dissimilarity pairs. The modified triangular similarity metric learning objective function [9] is:

$$J = \frac{1}{n} \sum_{i=1}^{n} J_{i} = \frac{1}{n} \sum_{i=1}^{n} \left( \frac{1}{2} \| y_{1i} \|^{2} + \frac{1}{2} \| y_{2i} \|^{2} - K \| y_{3i} \| + K^{2} \right)$$

$$\tag{4}$$

Where  $y_{3i} = y_{1i} + s_i y_{2i}$ , the constant K is the average value of all the features, the y1i and y2i respectively represent the feature after nonlinear mapping, and the si represents the label (1: similarity, -1: dissimilarity). The gradient function of loss function J is:

$$\frac{\partial J}{\partial A} = \frac{1}{n} \sum_{i=1}^{n} \left( \left( y_{1i} - K \frac{y_{3i}}{\|y_{3i}\|} \right)^{T} \frac{\partial y_{1i}}{\partial A} + \left( y_{2i} - K \frac{s_{i} y_{3i}}{\|y_{3i}\|} \right)^{T} \frac{\partial y_{2i}}{\partial A} \right)$$

$$(5)$$

The loss function J will get the optimal value at the zero gradient:  $y_{ii} - K \frac{y_{3i}}{\|y_{3i}\|} = 0$  and

 $y_{2i} - K \frac{s_i y_{3i}}{\|y_{3i}\|} = 0$ . With this focus, the similarity feature pairs will be mapped to the same direction and the dissimilarity feature pairs will be mapped to the opposite direction.

# FEATURE NONLINEAR REDUCTION

The loss function J is chosen as the loss function in the siamese multi-layer perceptrons. When it gets the optimal value, the differentials of W1, b1, W2 and b2 are computed respectively, then the batch gradient descent with momentum of 0.99 is chosen to update the weights and biases in the back-propagation phase. When the SMLP model converges, it not only focuses on the whole region information of the facial image, eliminates the noisy in non-face region, and reduce the dimensionality of feature vector, but also ensure the distance between kin pairs are smaller while larger between non-kin pairs.

### SIMILARITY METRIC AND CLASSIFYING

The cosine distance is used to measure the similarity of feature vector pair. After computing the similarity of all feature vector pairs, support vector machine (SVM) is used to classify each test sample pair. The cosine distance is computed as:

$$similarity = \frac{\sum_{i=1}^{n} y_{1i} y_{2i}}{\sqrt{\sum_{i=1}^{n} y_{1i}^{2}} \sqrt{\sum_{i=1}^{n} y_{2i}^{2}}}$$
(6)

Where the  $y_{1i}$  and  $y_{2i}$  represent the i-th element in the feature vector pair respectively.

#### **EXPERIMENTS**

# **Datasets and Preprocessing**

The KinFaceW-I [3], KinFaceW-II [3] and TSKinFace [11] datasets are chosen as the target domain. What their indifferences are: they consist of public figures or celebrities and their parents or their child from the internet, and have four kinds of kinrelation such as Father-Son (FS), Father-Daughter (FD), Mother-Son (MS), and Mother-Daughter (MD), and all images have aligned with 64\*64 pixels (see Fig.3). What the difference between them is that each pair of kinship facial images in the first dataset were collected from different photos, while in the latter two datasets were collected from the same photo. For four kinds of kin-relations facial images, there are 156, 134, 116, and 127 pairs in the KinFaceW-I dataset, each kin-relation contains 250 pairs in the KinFaceW-II dataset, and there are 502, 513, 502, and 513 pairs in the TSKinFace dataset.

The Sub-MS-Celeb dataset is rebuilt from MS-Celeb [12] dataset contains 87139 face images from 2589 classes after removing the dirty face images and non-frontal face images, and it is chosen as the source domain. All images are aligned and cropped to 64\*64 pixels according to five landmarks: two eyes, nose and mouth corners (see Fig.3).

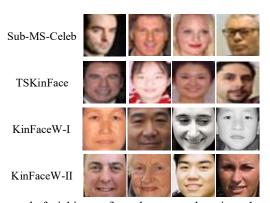


Figure 3. The sample facial image from the source domain and target domain.

# **Comparison with Methods based on Deep Learning**

To verify the effective of deep transfer learning (DTL) method, the high-level features extracting from facial images without dimension reduction operation are directly used in the similarity metric and classifying phase. Compared with existing kinship verification methods based on deep learning, a series of experiments are conducted on the KinFaceW-I and KinFaceW-II datasets respectively. The experimental results are shown in Table 1.

As can be seen from the Table 1, the DTL method gets a better accuracy on the KinFaceW-I, but worsens slightly on the KinFaceW-II dataset. What causes of this phenomenon is the sample distribution difference between the source domain and target domain. Compared to the KinFaceW-I dataset, the size of non-face region in facial images from the KinFaceW-II dataset is closer to the source domain. For a same target model, the high-level feature vectors extracted from the KinFaceW-I dataset contain less noisy in non-face regions, while the high-level feature vectors extracted from the KinFaceW-II dataset contain a lot of noisy in non-face regions which influence the similarity metric between feature vector pairs. Generally, the DTL method could be used to extract feature from facial image in the kinship dataset.

# **Comparison with Other Feature Reduction Methods**

To verify the necessity and effective of SMLP method, it is compared against PAC method with 99.5% contribution rate and directly using the high-level feature without feature reduction. A series of experiments are conducted on KinFaceW-I, KinFaceW-II, and TSKinFace datasets respectively. The number of the neurons in the hidden layer and output layer in SMLP are empirically set 256,128 respectively. The experimental results are shown in Table 2.

TABLE 1. COMPARISON WITH DEEP LEARNING BASED METHOD (ACCURACY: %).

Method	KinFaceW-I					KinFaceW-II				
	FD	FS	MD	MS	Mean	FD	FS	MD	MS	Mean
SMCNN [6]	75.00	75.00	72.20	68.70	72.73	79.00	75.00	85.00	78.00	79.25
CNN-Basic [5]	70.80	75.70	79.40	73.40	74.83	79.60	84.90	88.50	88.30	85.33
CNN-Points [5]	71.80	76.10	84.10	78.00	77.50	81.90	89.40	92.40	89.90	88.40
DTL(Ours)	89.62	86.45	88.80	89.57	88.61	89.40	83.40	<u>87.00</u>	87.00	86.70

TABLE 2. COMPARISON WITH DIFFERENT FEATURE REDUCTION METHODS.

Dataset	Reduction Method	Dimensionality	FD	FS	MD	MS	Mean
	No	512	89.62	86.45	88.80	89.57	88.61
KinFaceW-I	PCA	132	66.15	69.03	80.80	70.43	<u>71.60</u>
	SMLP	128	83.85	84.52	86.40	84.35	84.78
KinFaceW-II	No	512	89.40	83.40	87.00	87.00	86.70
	PCA	132	69.40	68.40	74.20	69.60	70.40
	SMLP	128	84.20	92.20	91.20	84.60	88.05
TSKinFace	No	512	87.70	94.71	94.00	86.18	90.65
	PCA	132	66.00	70.69	69.20	70.69	<u>69.15</u>
	SMLP	128	89.60	88.92	93.80	91.27	90.90

The Table 2 shows that: (1) compared with the method without feature reduction, the PCA method significantly depresses the accuracy on the target domains. What cause is that it may retain a lot of noisy or abandon some important information or mix feature information and destroy the original data structure of feature vector after linear mapping. (2) Compared with the method without feature reduction, the SMLP method improves the performance on the KinFaceW-II and TSKinFace datasets, but worsens slightly on the KinFaceW-I dataset. A probable reason is that the size of non-face region in facial images in the source domain is larger than the KinFaceW-I datasets, which will lead to contain less noisy in the high-level feature. However, the nonlinear dimensionality reduction is excessively emphasized in the process of SMLP training, which will lead to lose some important feature information or destroy original structural information in feature vector. (3) Compared with PCA method, the SMLP method could get a lower dimensional feature vector without reducing the accuracy markedly. So, the SMLP method could be used to reduce the dimensionality of feature vector.

# **Comparison with State-of-the Art Methods**

Compared with other state-of-the art methods, the number of the neurons in the hidden layer and output layer in the SMLP are set empirically for each target domain respectively as the following: for KinFaceW-I dataset, setting 256 and 176, for KinFaceW-II dataset, setting 128 and 192, for TSKinFace dataset, setting 96 and 192. The contrast results are shown in Table 3 and Table 4.

TABLE 3. THE ACCURACY OF DIFFERENT METHODS ON THE KINFACEW-I AND KINFACEW-II DATASETS.

Method -		KinFaceW-I dataset				KinFaceW-II dataset				
	FD	FS	MD	MS	Mean	FD	FS	MD	MS	Mean
Polito <sup>[16]</sup>	85.80	85.30	86.70	87.50	86.30	82.20	84.00	81.20	84.80	83.10
LIRIS <sup>[16]</sup>	80.63	83.04	84.98	82.30	82.74	83.60	89.40	85.00	86.20	86.05
$ULPGC^{[16]}$	70.85	71.25	80.89	58.52	70.01	75.80	85.40	81.60	75.60	80.00
$BIU^{[16]}$	76.48	86.90	79.75	73.89	79.25	80.82	87.51	75.63	79.78	80.94
NUAA <sup>[16]</sup>	80.64	86.25	83.93	81.03	82.96	81.60	84.40	81.60	82.80	82.50
M-NUAA <sup>[11]</sup>	85.47	87.84	87.50	86.16	86.74	86.20	88.40	85.20	86.00	86.45
Ours	85.77	86.45	87.20	80.87	<u>85.07</u>	91.40	87.40	91.60	90.80	90.30

TABLE 4. THE ACCURACY OF DIFFERENT METHODS ON THE TSKINFACE DATASET.

Method	TSKinFace dataset								
Method	FD	FS	MD	MS	Mean				
Dehghan et al. <sup>[13]</sup>	74.20	79.90	76.30	78.50	77.23				
Fang et al.[14]	66.80	69.10	67.90	68.70	68.13				
Lu et al. <sup>[2]</sup>	70.00	74.80	71.30	72.20	72.08				
Qin et al. <sup>[11]</sup>	80.50	83.00	81.10	82.80	81.85				
Zhang et al. <sup>[15]</sup>	87.00	88.50	87.80	87.90	87.80				
Ours	92.90	93.53	93.30	93.73	93.37				

From Table 3 and Table 4, compared with other methods, the proposed method markedly improves the accuracy of kinship verification on the TSKinFace and KinFaceW-II datasets, but worsens on the KinFaceW-I dataset. A probable reason is the sample distribution difference between the source domain and KinFaceW-I dataset which will lead to learn an indiscriminative target model, and the nonlinear dimensionality reduction is excessively emphasized in the process of SMLP training, which will lead to lose some important feature information or destroy original structural information in feature vector. If all original facial images about KinFaceW-I dataset are cropped with standards used on the source domain, and the number of neurons in the hidden layer and output layer are set relatively independently for the subsets from the target domain, the result will be improved remarkably.

#### **CONCLUSION**

A novel kinship verification method based on deep transfer learning and feature nonlinear mapping is proposed in this paper. Firstly, it extracts high-level feature by the deep transfer learning method. Then, the siamese multi-layer perceptrons with triangular similarity metric learning are combined to reduce the dimensionality of feature vector by nonlinear mapping way, meanwhile ensure the distance between kin pairs are smaller while larger between non-kin pairs. In the future work, we will consider to create a new kinship recognition dataset which contains different races and colors individuals, and ensure all facial images are collected from natural scenes, and each individual has multiple facial images. Kinship verification will be introduced to improve the reliability of face recognition.

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