



GaAs(Sb) nanostructures formed by arsenic-induced in-situ etching of III-Sb surfaces

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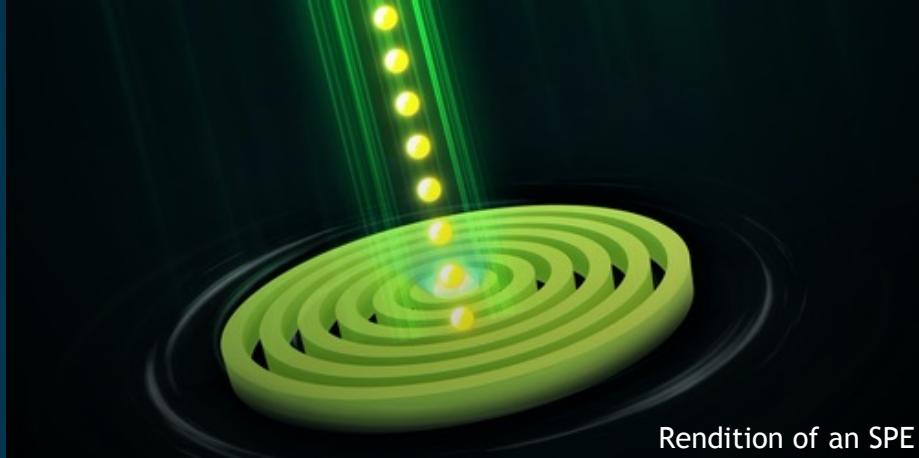
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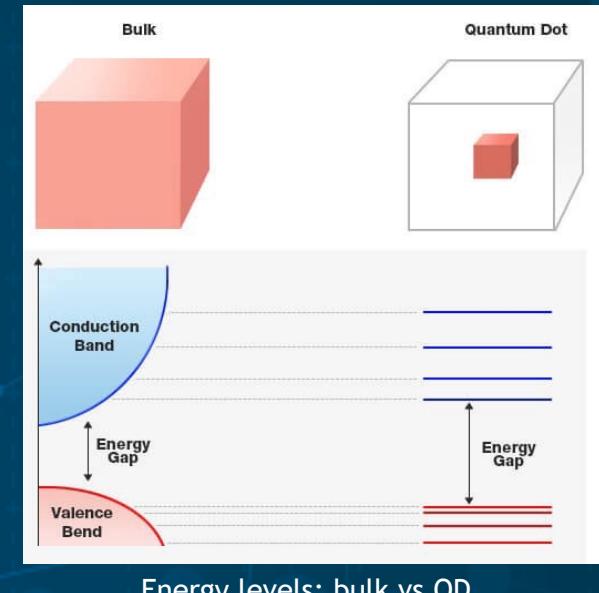
Motivation

Big picture: realizing efficient quantum emitters of single photons



Key ingredient for quantum information technologies

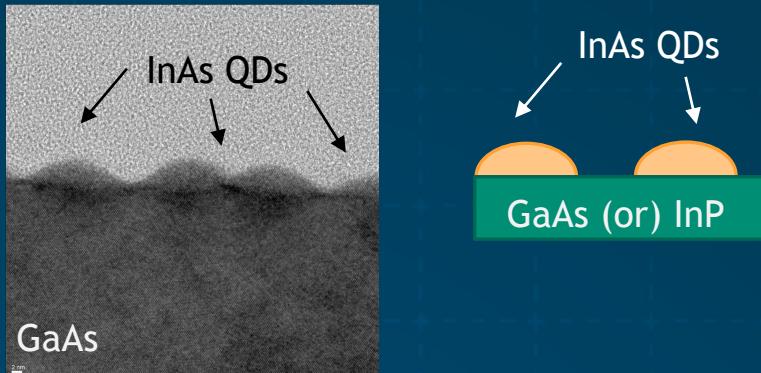
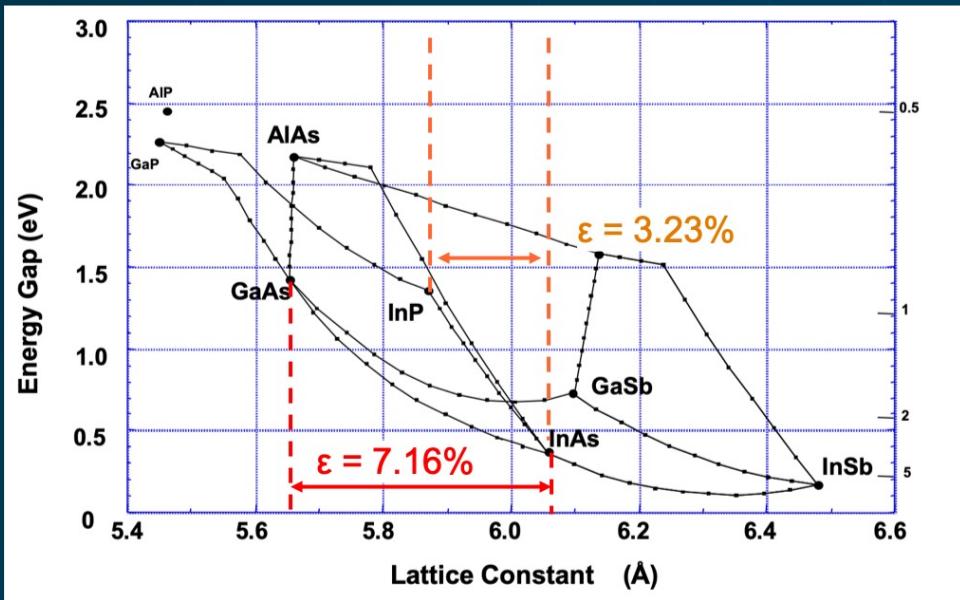
- Solid-state SPEs – combine optical properties of atoms with scalability
 - Semiconductor QDs, fluorescent atomic defects, 2D materials, carbon nanotubes
- Semiconductor QDs:
 - Quantum confinement in all spatial directions - discrete energy states
 - Explored to improve optoelectronic devices - suitable for integration



State-of-the-art

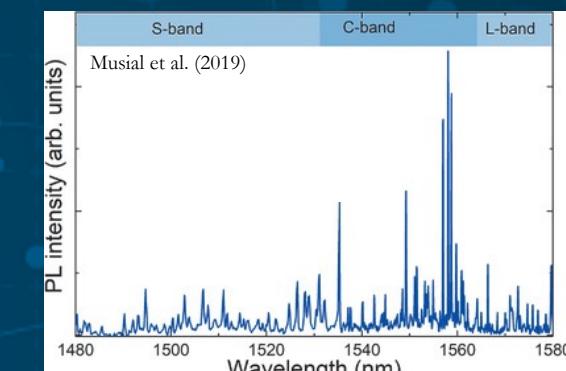
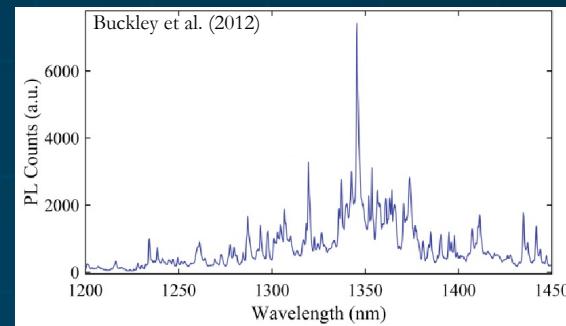
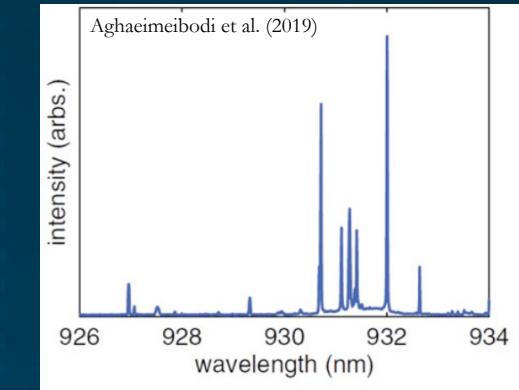
III-V QDs exhibit some of the highest all-around SPE performance*

- Stranski-Krastanov QDs (InAs, InGaAs)



X-sectional TEM & schematic showing InAs/GaAs QDs

- Strain-driven formation mechanism
- Spans telecom λ range



PL results from various works

- *Drawbacks:
 - Presence of a 2D layer interconnecting QDs
 - Self-assembly → limited range and control over shape, size and density
 - Strain-driven → limited combinations → limited λ

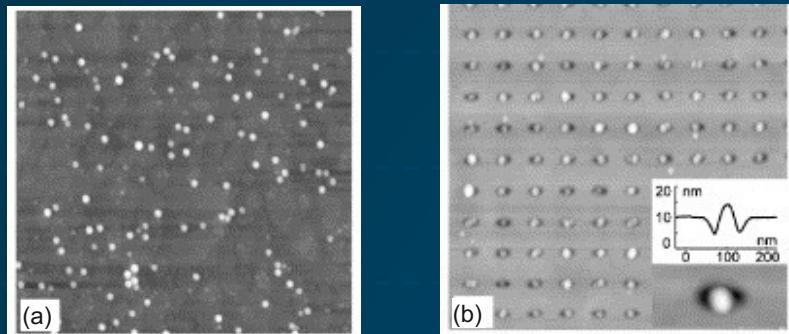
State-of-the-art

S-K QDs : Efficient SPEs and widely used, but mechanism limits control/tunability

Alternative : Instead of self-assembly, QDs could be grown in pre-defined patterns

Reduces randomness
No material-choice issue

- Lithographic patterning:

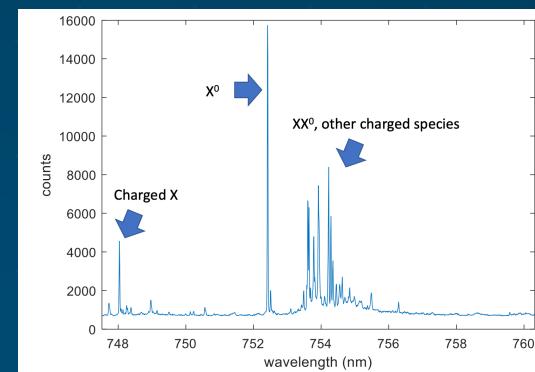


InAs/GaAs QDs grown on (a) unpatterned and (b) ex-situ patterned substrate

- Pattern controls site, shape, size of the QD
- Wavelength could be tuned by varying QD material
- **Drawback:** ex-situ patterning introduces contamination and interface issues

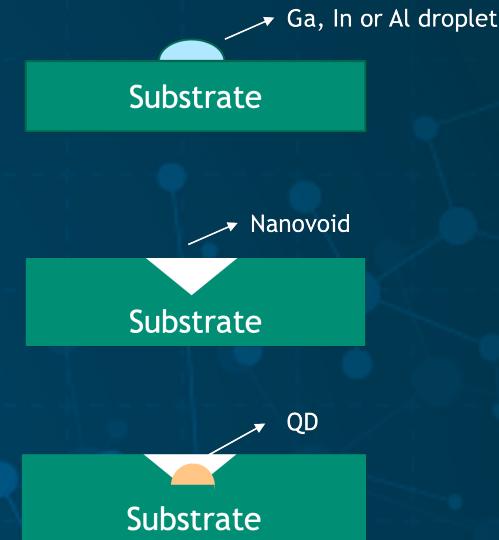
- In-situ etching:

- Group III-droplet assisted etching
- Defect and impurity-free nanopatterning
- High symmetry QDs – ideal for SPEs
- Even lattice-matched QDs grown



Typical PL spectrum for GaAs/AlGaAs LDE QDs

- **Drawback:** Complicated/sensitive growth process



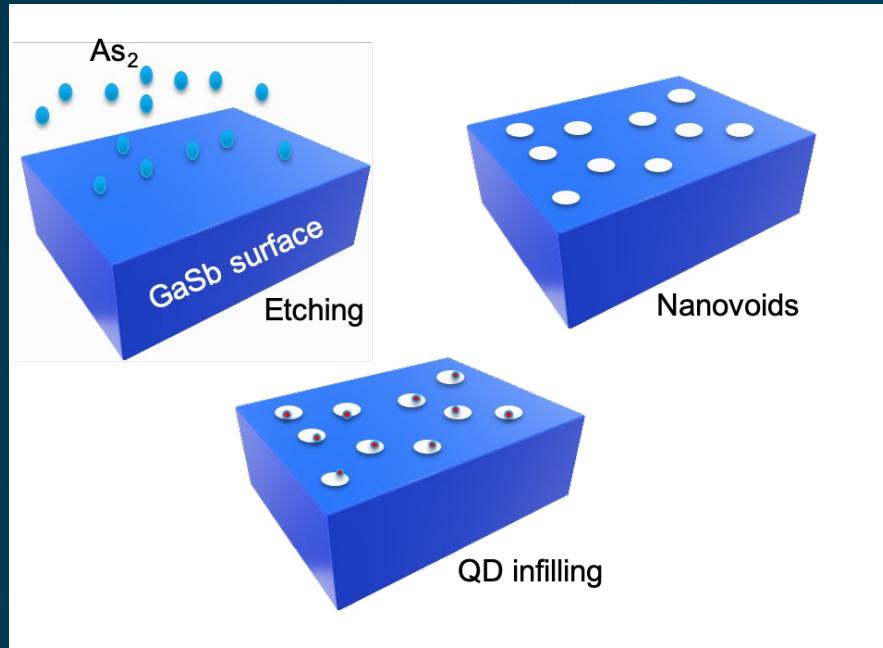
Schematic showing LDE growth process

Approach



Schematic showing S-K and LDE QD growth

- S-K QDs work well → limited by formation mechanism
- QD growth in pre-defined patterns solves S-K issues
- In-situ patterning is better, but, LDE is a complex growth process



Possible mechanism for As_2 -induced etching + QD formation

- **Best way forward:**
- Use in-situ patterning – control over size & shape of QDs
 - NO material choice constraints
- Alternative patterning/ QD growth process:

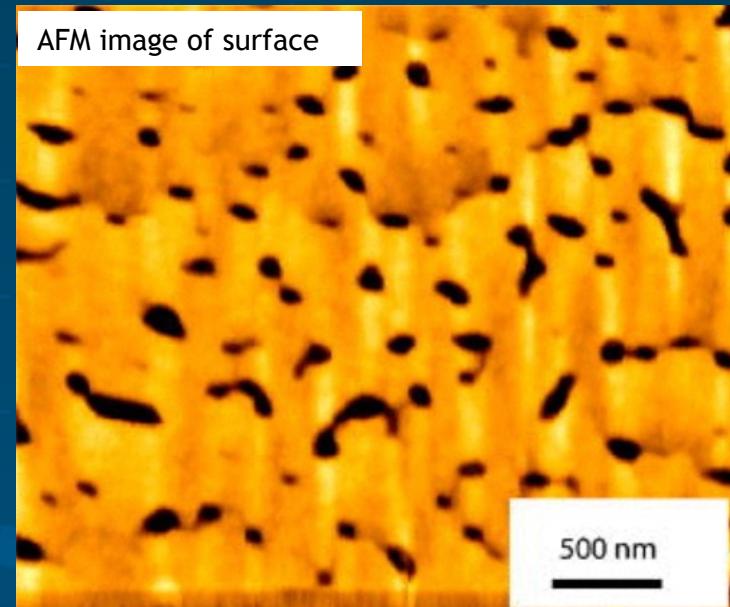
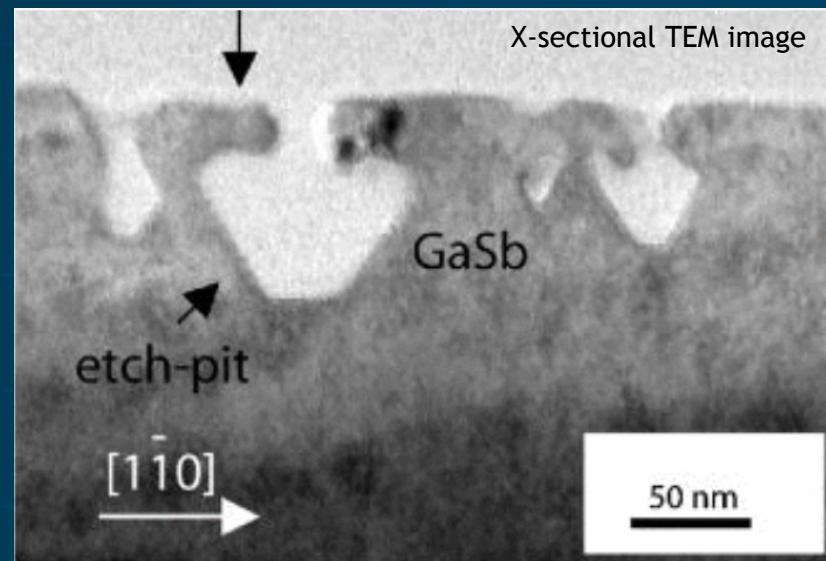
Arsenic-induced displacement etching of antimonide surfaces + infilling

Background

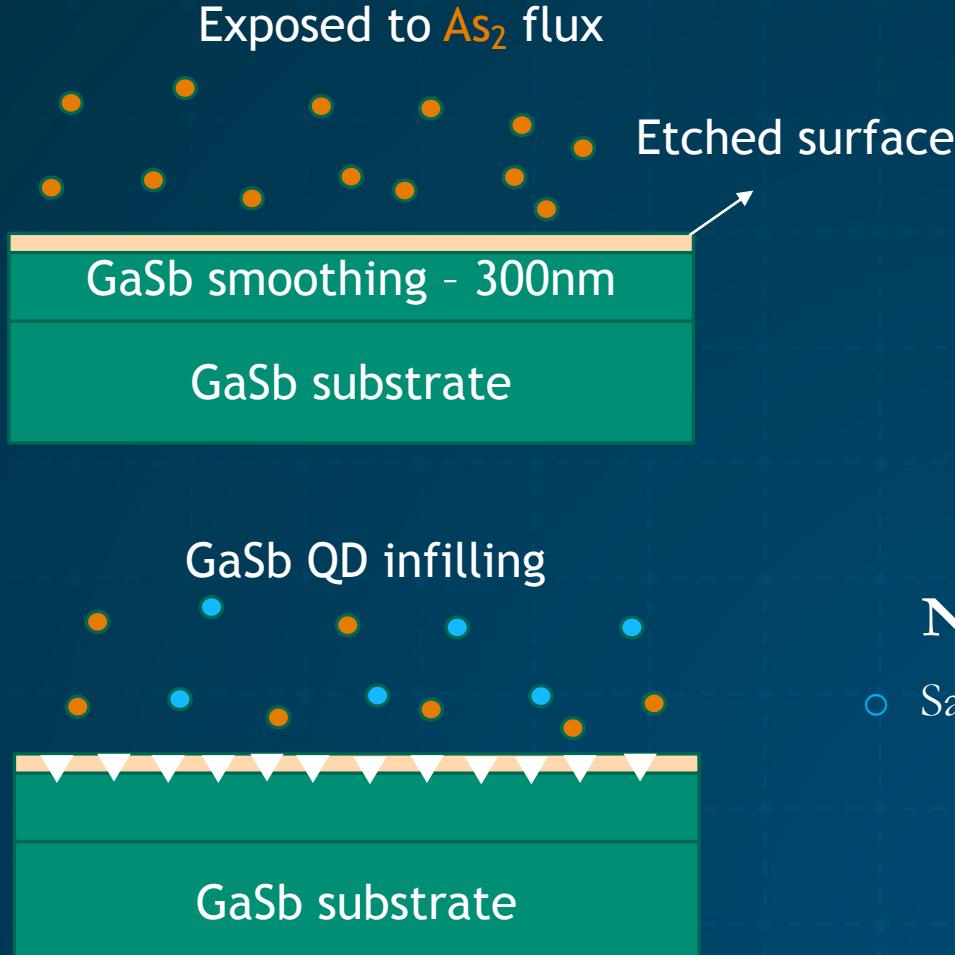
- As₂ reacts aggressively with GaSb surfaces through two reactions:



- Nanovoid formation observed previously on GaSb surfaces exposed to As₂ flux – not used for infilling



Experiment: structural



- **Procedure:**

- GaSb native oxide desorption: 540°C for 30min
- 300nm GaSb smoothing layer grown – Sb:Ga = 3 at 505°C
- Excess Sb desorbed from surface
- Surface exposed to As_2 – varying flux, times & temperature

- **Nanovoid formation**

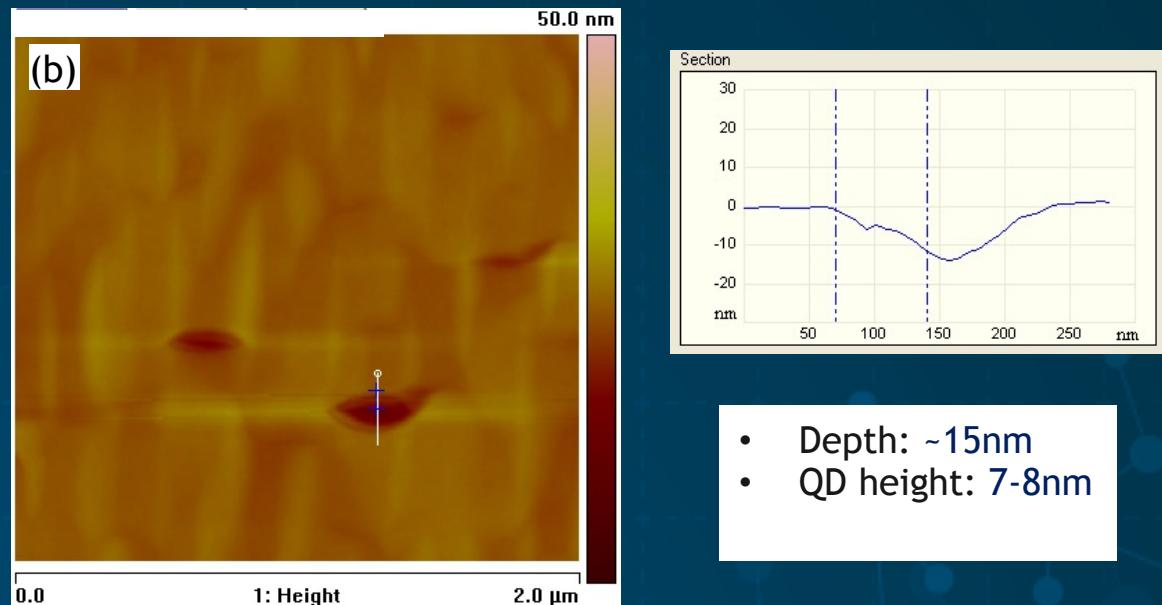
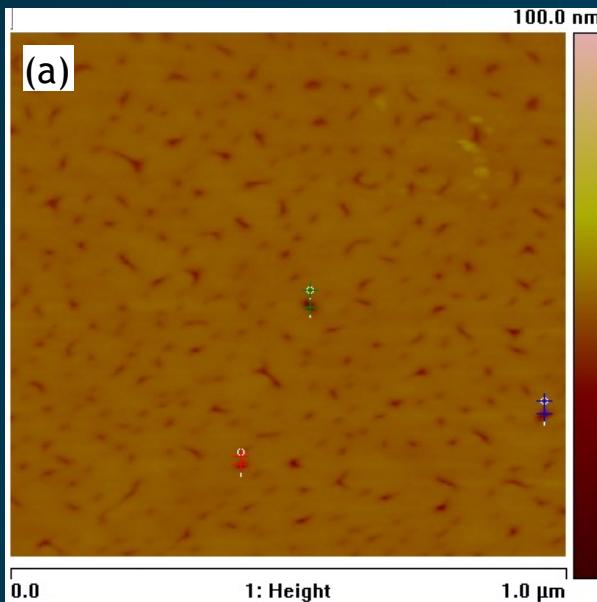
- Sample cooled down under Sb flux

- **Infilling with QDs**

- Sb soak – 5min
- Migration-enhanced GaSb QD growth
- Sample cooled under Sb flux

Initial findings

- Prolonged exposure (> 2 min) at high As₂ fluxes (> 1e-6 Torr)
→ nanovoid formation
- Infilling observed in a relatively low number of nanovoids

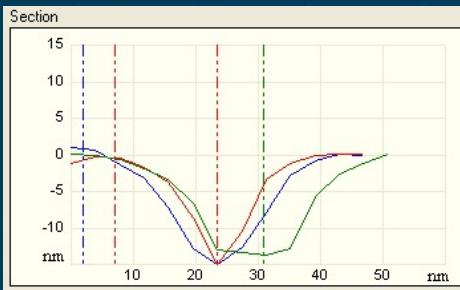
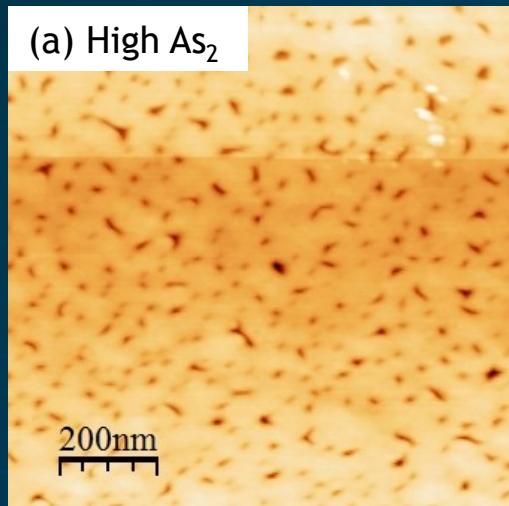


AFM scans of (a) etched nanovoid surface and (b) infilled nanovoid with sectional analysis

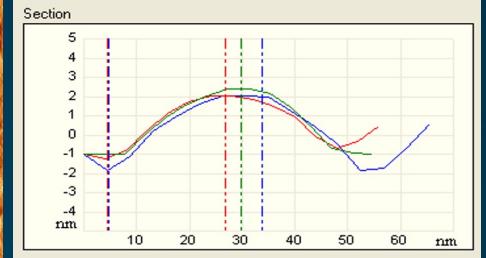
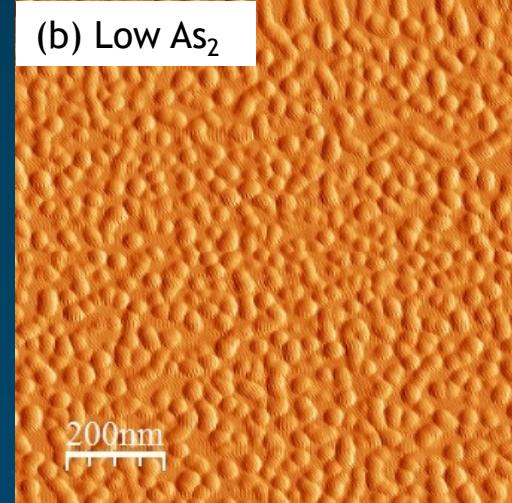
- Nanovoids show LOW uniformity in size & shape
- An extended study is carried out to determine if growth conditions influence:
 - Nanovoid uniformity, size and shape
 - Infilling of voids

Structural characteristics

- Nanovoid etch mechanism highly dependent on As_2 exposure – both flux and time



Nanovoid dimensions:
• Width : ~40nm
• Height : ~15nm



“QD” dimensions:
• Width : ~25nm
• Height : ~3nm

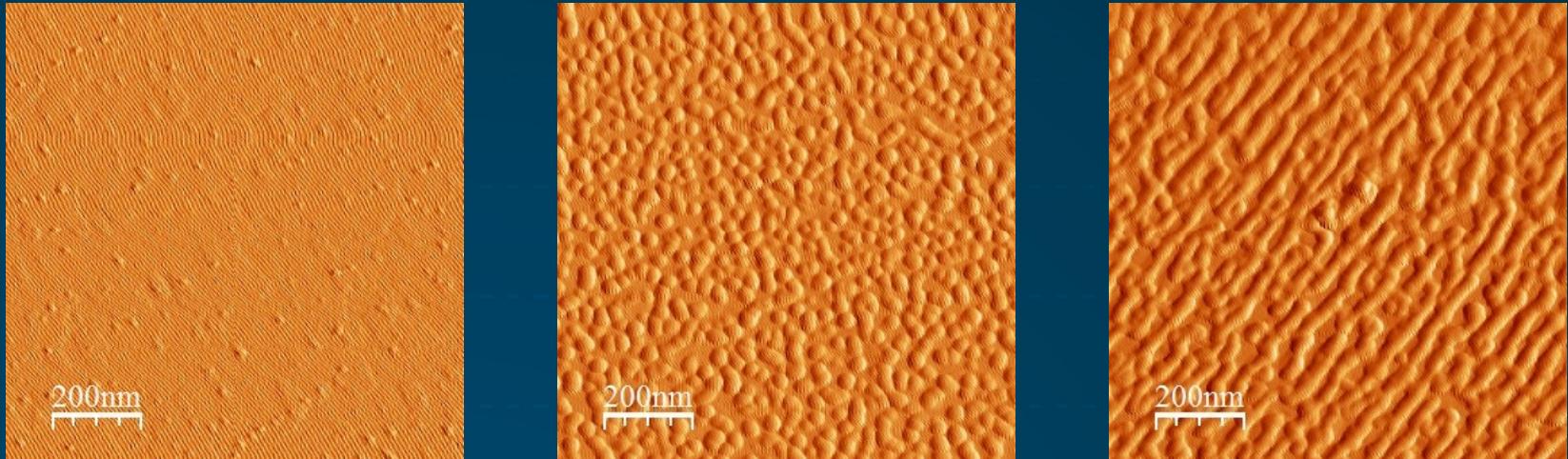
1X1 μm AFM image of (a) high and (b) low As_2 (flux and time) etched GaSb surface

- High $\text{As}_2 \rightarrow$ Nanovoids
 - High density
 - Non-uniform void sizes and profiles

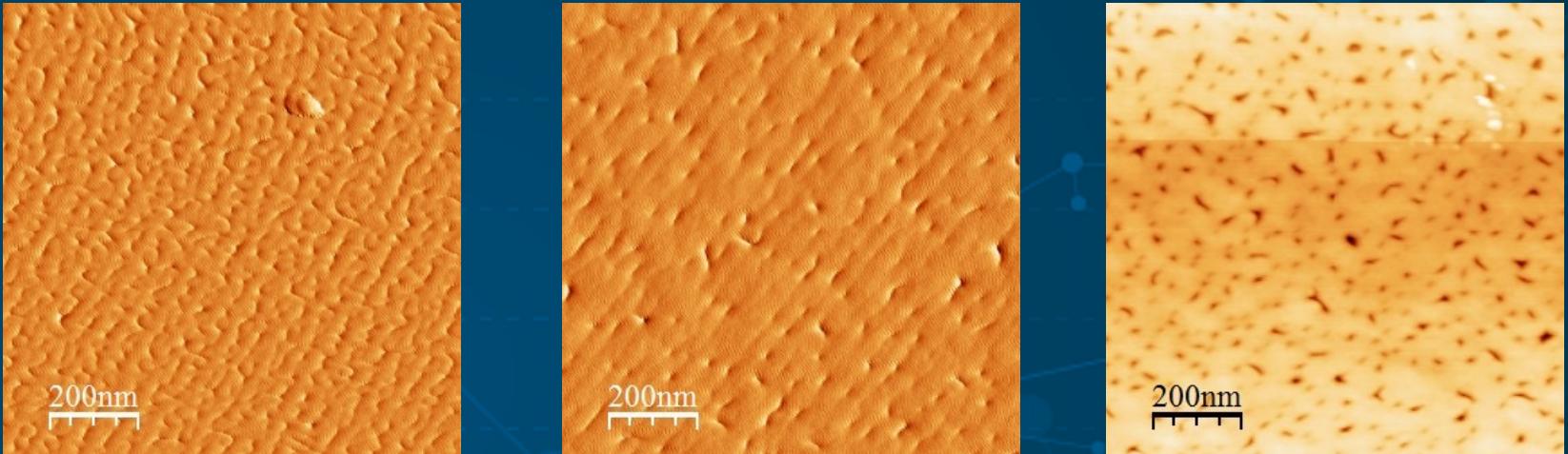
- Low $\text{As}_2 \rightarrow$ “QDs”
 - High density
 - Profiles show similar sizes

Structural characteristics

- As₂ exposure (controlled by flux, time or growth temperature) determines etch mechanism

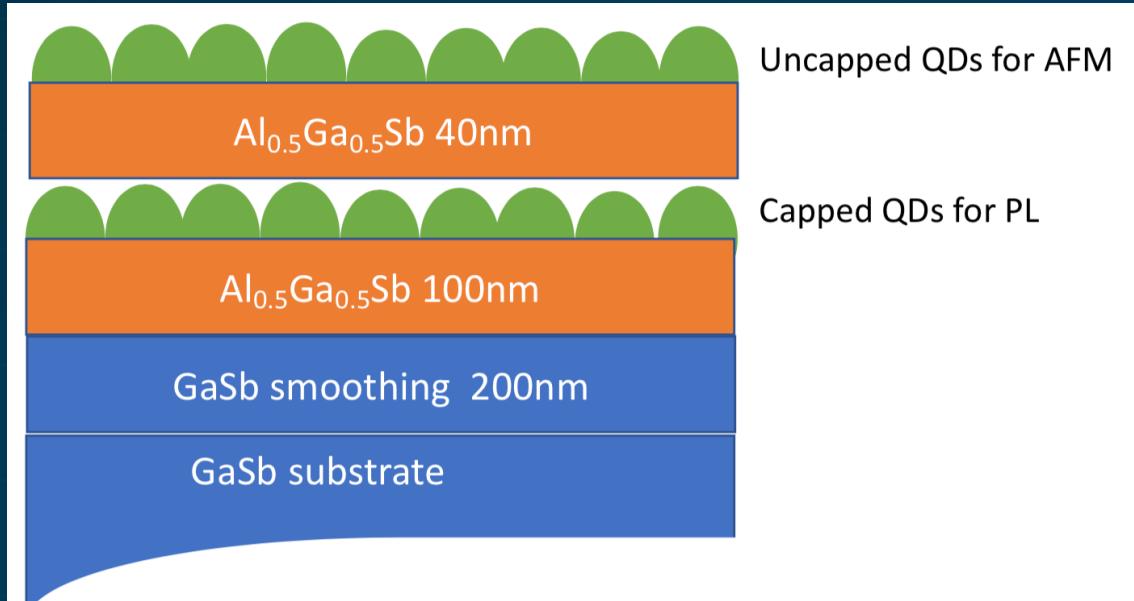


- In “QD” regime, density can be controlled
- With increasing As₂, nanostructures coalesce before void formation
- For further studies, high-density “QD” conditions used



Experiment: optical

- QDs embedded in higher bandgap material ($\text{Al}_{0.5}\text{Ga}_{0.5}\text{Sb}$) for analyzing optical signature
 - Also for x-sectional and composition analysis using TEM & SIMS



Schematic showing structure used for optical studies

- **Procedure:**

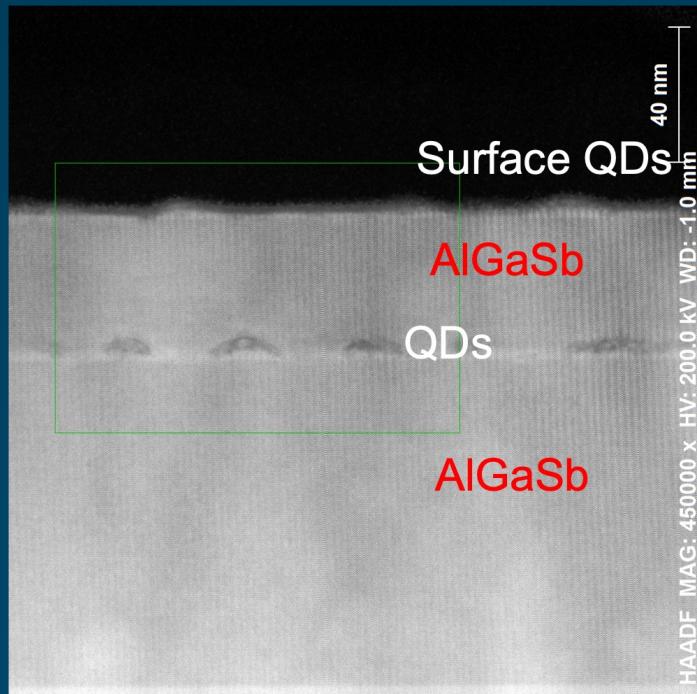
- GaSb native oxide desorption: 540°C for 30min
- 300nm GaSb smoothing layer grown – Sb:Ga = 3 at 505°C
- 100nm $\text{Al}_{0.5}\text{Ga}_{0.5}\text{Sb}$ barrier layer grown
- Excess Sb desorbed from surface
- Surface exposed to As_2 - flux, time and temperature adjusted for QD growth
- 5 min Sb soak
- QDs buried in a 40nm $\text{Al}_{0.5}\text{Ga}_{0.5}\text{Sb}$ layer
- QD growth process repeated on the top surface for AFM measurements.

X-sectional analysis using TEM

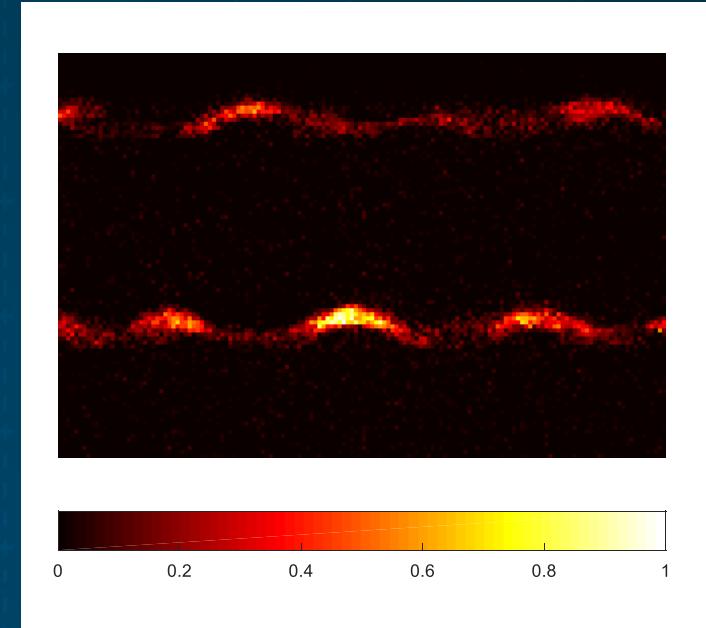
- TEM analysis shows spatially separated 3-dimensional nanostructures with a mostly GaAs(Sb) composition



Schematic showing QDs & surrounding material



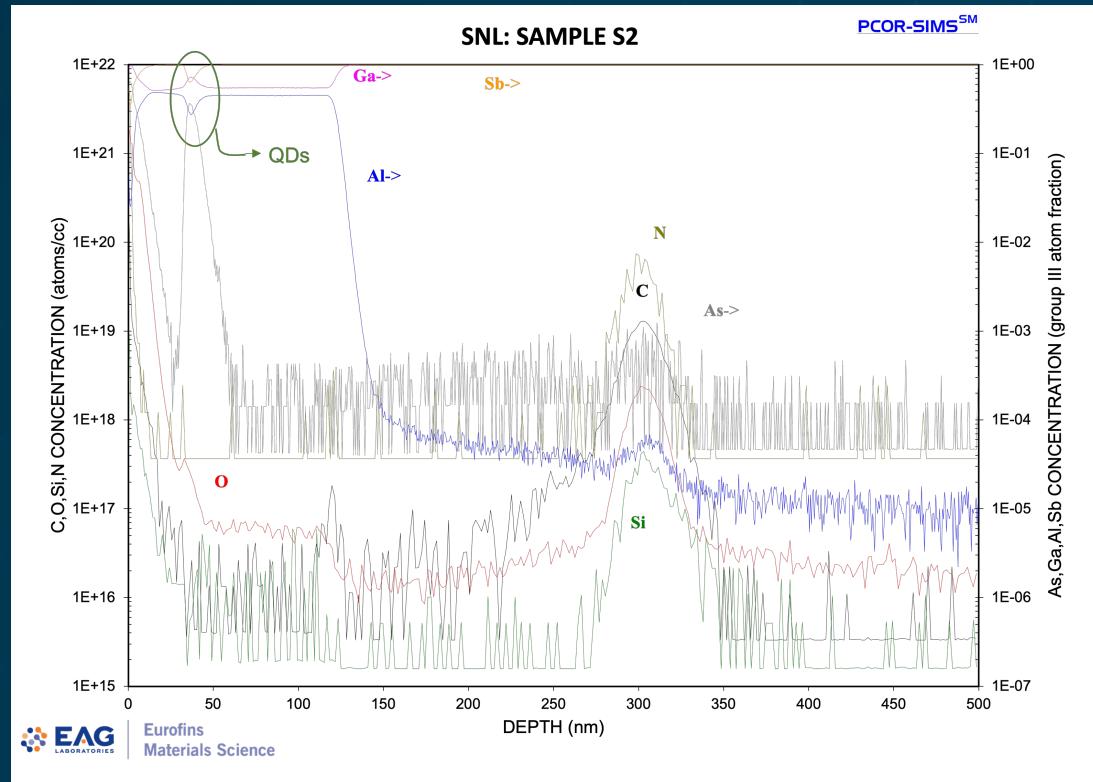
HAADF STEM image of QDs and surrounding material



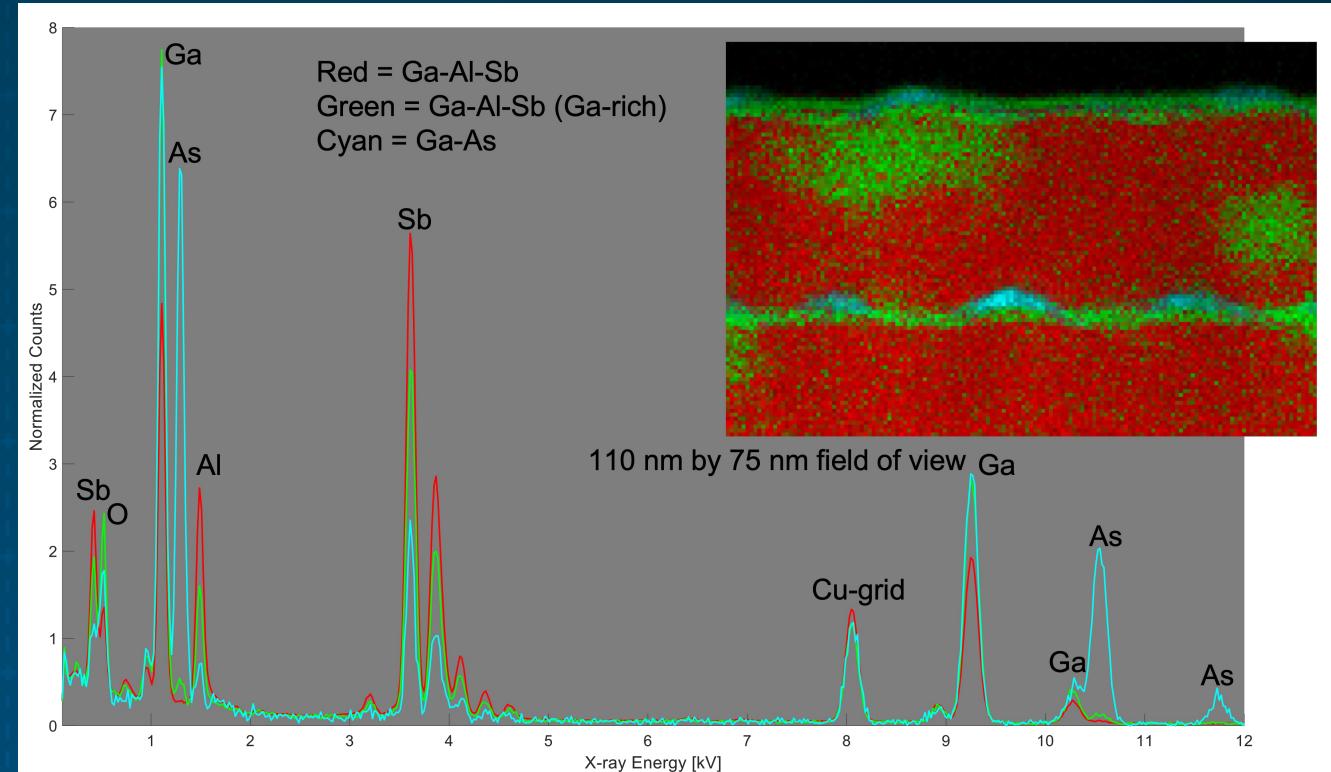
Ga-As component image

Material composition (SIMS & TEM)

- Both SIMS and EDS analysis suggest a QD composition of GaAs with low % of Sb



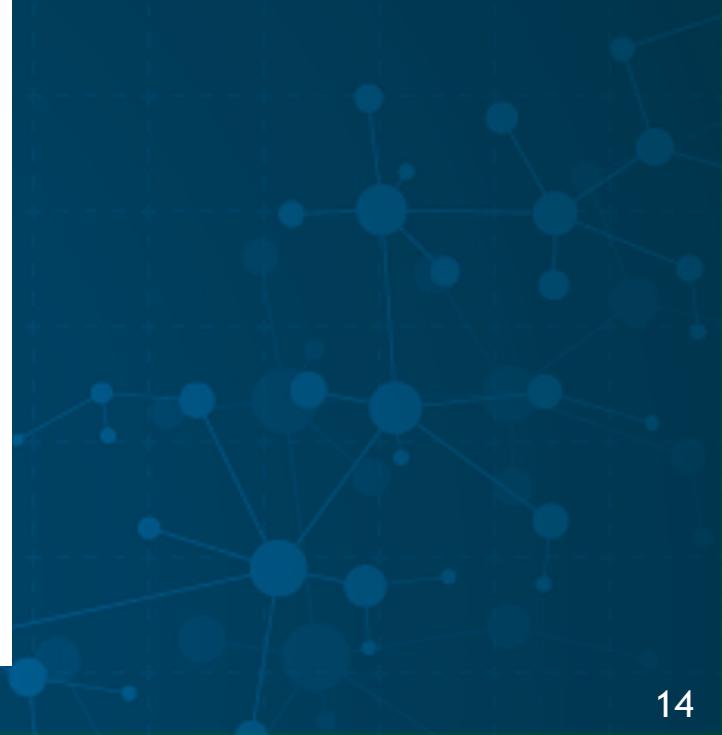
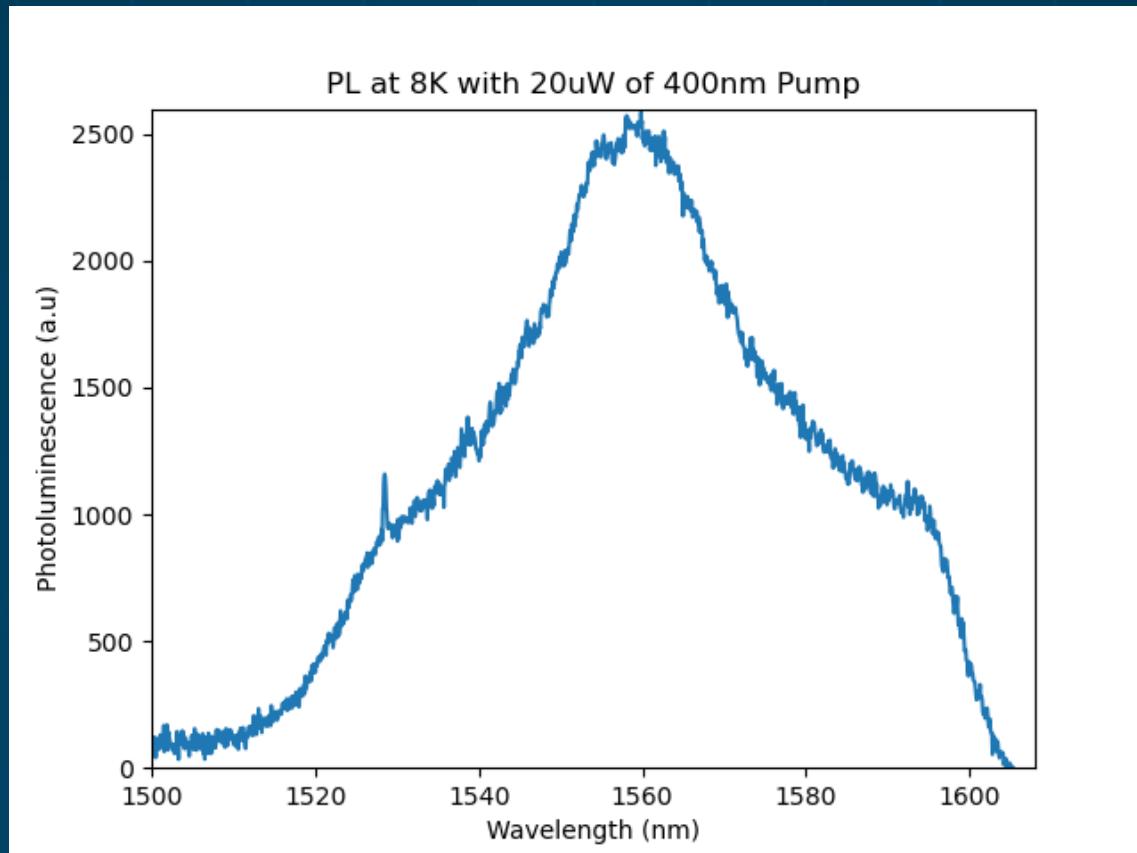
SIMS profile from GaAs(Sb) QD PL sample



EDS analysis of GaAs(Sb) QDs and surrounding material

Optical characteristics

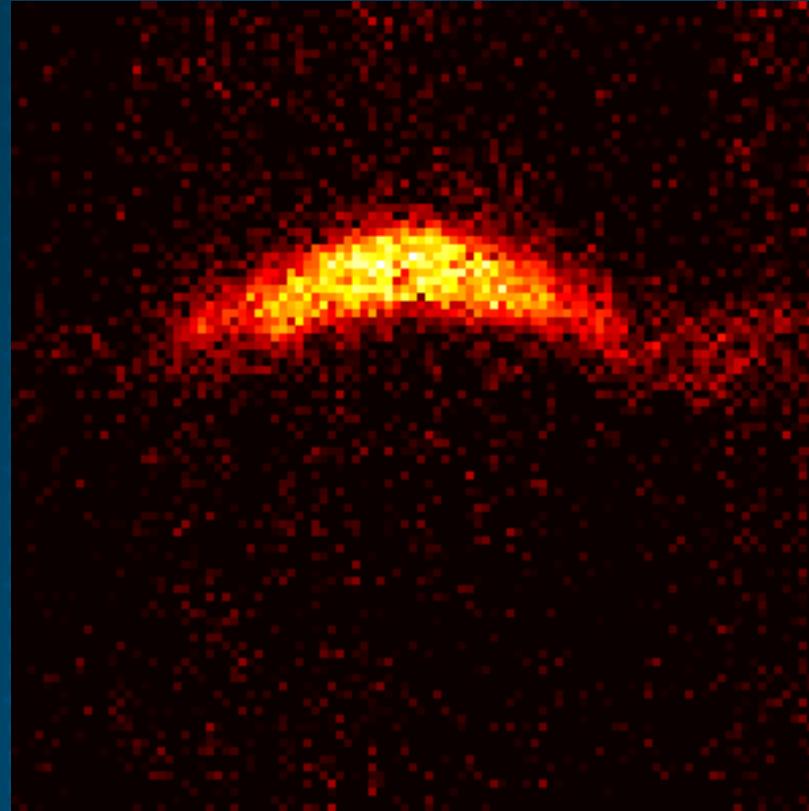
- Preliminary optical studies carried out
- Extended analysis ongoing including PL comparison between etched and non-etched GaAs(Sb) samples



Conclusions

- Arsenic-induced in-situ etching of III-Sb surfaces explored as a QD formation mechanism
- Growth conditions for nanovoid formation + QD infilling determined
 - High As₂ exposure (controlled by flux, time and temperature) leads to nanovoid formation
 - Nanovoids (both before and after infilling) show high nonuniformity in size & shape
- Low As₂ exposure results in 3-dimensional nanostructure (QD) formation with As₂ flux controlling QD density and size with high uniformity.
- Cross-sectional TEM analysis shows spatially separated QDs and coupled with SIMS reveals the composition to be GaAs(Sb).
- Preliminary optical analysis shows a distinct optical signature $\sim 1.55\mu\text{m}$ (8K measurement)

Questions?



Ga-As component image

