

# Introduction to Symplectic Geometry

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## Course information:

- **Course name** : Introduction to Symplectic Geometry
- **Instructor** : Sachchidanand Prasad
- **Time** : Wednesday 17:30 - 19:00
- **Course webpage** : [Link to the course website](#)
- **References** :

- [1] *Lectures on Symplectic Geometry*, by Ana Cannas da Silva.
- [2] *Introduction to Symplectic Topology*, by Dusa McDuff and Dietmar Salamon.
- [3] *Lectures on Symplectic Manifolds*, by Alan Weinstein.
- [4] *Symplectic Techniques in Physics*, by Victor Guillemin and Shlomo Sternberg.

# 1 Introduction

The word *symplectic* was invented by Hermann Weyl in 1939. He replaced the Latin roots in the word *complex*, *com-plexus*, by the corresponding Greek roots *sym-plektikos*.

## 1.1 An overview of geometry

- **Geometry:** Background Space (smooth manifold) + extra structure (tensor)
  - Riemannian geometry: smooth manifold + **metric structure**
    - **metric structure** = positive-definite symmetric 2-tensor
  - Complex geometry: smooth manifold + **complex structure**
    - **complex structure** = involutive endomorphism  $((1,1)$ -tensor)
  - Symplectic geometry: smooth manifold + **symplectic structure**
    - **symplectic structure** = closed non-degenerate 2-form
  - Contact geometry: smooth manifold + **contact structure**
    - **contact structure** = “local contact 1-form”

In both symplectic and Riemannian geometry the main object of study is a smooth manifold equipped with a bilinear form on each tangent space. In the Riemannian manifold, this form is a symmetric, nondegenerate, positive definite form, turning each tangent space into normed vector space. On the other hand, in symplectic geometry, we instead require a skew-symmetric bilinear form on each tangent space, again varying smoothly. We still require that at each point  $p$  in our manifold  $M$ , a skew-symmetric 2-form  $\omega_p$  should be nondegenerate, that is,

$$\omega_p(X, Y) = 0 \quad \forall Y \in T_p M, \text{ then } X \equiv 0.$$

Finally, note that because  $\omega$  is a skew-symmetric 2-form, it must be closed, that is,  $d\omega = 0$ . We will now compare both geometry and from next lecture onwards we will discuss in more details. We will use the following notations:

- $M$  : real finite dimensional smooth manifold without boundary.
- $C^\infty(M) = \{f : M \rightarrow \mathbb{R} : f \text{ is smooth}\}.$
- $\chi(M) = \{X : M \rightarrow TM : X \text{ is a vector field}\}.$
- $\Omega^k(M) = \{\omega : TM \times TM \times \cdots \times TM \rightarrow \mathbb{R}\}.$

We now start some comparison between Riemannian geometry and symplectic geometry:

(1a) **Riemannian manifold is a pair  $(M, \langle \cdot, \cdot \rangle)$ , where**

- $\langle \cdot, \cdot \rangle : \chi(M) \times \chi(M) \rightarrow C^\infty(M)$  satisfies  $\langle X, Y \rangle = \langle Y, X \rangle$  and  $\langle fX + gY, Z \rangle = f \langle X, Z \rangle + g \langle Y, Z \rangle.$
- $\langle \cdot, \cdot \rangle$  is positive definite.

(2a) **Symplectic manifold is a pair  $(M, \omega)$  where**

- $\omega \in \Omega^2(M)$  is bilinear.
  - $\omega$  is nondegenerate.
  - $\omega$  is closed, that is  $d\omega = 0$ .
- 

(1b) Every smooth manifold is a Riemannian manifold.

(2b) Not all manifolds are Symplectic. The necessary conditions are:

- $\dim M = \text{even}$ .
  - $M$  is oriented.
  - If  $M$  is compact, then  $H_{dR}^2(M, \mathbb{R}) \neq 0$ .
- 

(1c) **Isometry**: Two Riemannian manifolds  $(M_1, \langle \cdot, \cdot \rangle_1)$  and  $(M_2, \langle \cdot, \cdot \rangle_2)$  are isometric if there exists a  $C^1$  map  $\varphi : M_1 \rightarrow M_2$  such that

$$\left\langle d\varphi_p(X), d\varphi_p(Y) \right\rangle_{\varphi(p)} = \langle X, Y \rangle_p$$

(2c) Similarly, we have **symplectomorphism** between two symplectic manifolds.

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(1d) **Curvature** is a local invariant in Riemannian manifolds.

(2d) There are no local invariants (apart from dimension) in symplectic manifolds. According to the **Darboux-Weinstein theorem**, given any two symplectic manifolds of the same finite dimension, they look alike locally.

## 2 Algebra Preliminaries

In this lecture, we will mostly recall the linear algebra preliminaries for our course. More precisely, we will deal with linear symplectic algebra which we will be using through out the course.

### 2.1 For novice

**Definition 2.1** (Vector sapce). A set  $(V, +, \cdot)$  is said to be a **vector space** over a field  $\mathbb{F}$  if the operations

$$+ : V \times V \rightarrow V \text{ and } \cdot : \mathbb{F} \times V \rightarrow V,$$

satisfies the following properties. For any  $v, v_1, v_2, v_3$  and  $\alpha, \beta \in \mathbb{F}$  we have the following.

1. (Commutativity)  $v_1 + v_2 = v_2 + v_1$ .
2. (Associativity)  $(v_1 + v_2) + v_3 = v_1 + (v_2 + v_3)$ .
3. (Existence of additive identity) There exists  $0 \in V$  such that for any  $v \in V$   $0 + v = v = v + 0$ .

4. (Existence of additive inverse) For any  $v \in V$ , there exists  $w$  such that  $v + w = 0 = w + v$ . We will denote  $w = -v$ .
5. (Multiplicative identity) For any  $v \in V$ ,  $1 \cdot v = v$ .
6. (Multiplication associativity)  $(\alpha\beta) \cdot v = \alpha \cdot (\beta \cdot v)$ .
7. (Distribution law)
  - $(\alpha + \beta) \cdot v = \alpha \cdot v + \beta \cdot v$ .
  - $\alpha(v_1 + v_2) = \alpha \cdot v_1 + \alpha \cdot v_2$ .

Our field will always be either  $\mathbb{R}$  or  $\mathbb{C}$ .

**Definition 2.2** (Linear map). Let  $T : V \rightarrow W$  be a map between two vector spaces  $V$  and  $W$ . Then  $T$  is said to be **linear** if,

$$T(\alpha v_1 + \beta v_2) = \alpha T(v_1) + \beta T(v_2),$$

for  $v_1, v_2 \in V$  and  $\alpha, \beta \in \mathbb{F}$ .

**Definition 2.3** (Dual space). If  $V$  is a vector space over a field  $\mathbb{F}$ . Then the **dual space** of  $V$ , denoted by  $V^*$ , is defined by

$$V^* := \{\varphi : V \rightarrow \mathbb{F} : \varphi \text{ is linear}\}.$$

**Definition 2.4** (Bilinear map). Let  $V, W, S$  be vector spaces over a field  $\mathbb{F}$ . The a **bilinear map**  $B$  is a map

$$B : V \times W \rightarrow S$$

such that  $B$  is linear in each argument. That is,  $B(\cdot, w) : V \rightarrow S$  and  $B(v, \cdot) : W \rightarrow S$  is linear for any  $v \in V$  and  $w \in W$ .

**Definition 2.5.** A **bilinear form**  $\omega$  on a vector space  $V$  is a bilinear map  $B : V \times V \rightarrow \mathbb{F}$ . The bilinear form  $\omega$  is said to be **nondegenerate** if the kernel

$$\ker \omega := \{v \in V : \omega(v, w) = 0 \text{ for all } w \in V\}$$

is trivial.

We identify a bilinear form  $\omega$  on  $E$  with the linear mapping  $u \mapsto (v \mapsto \omega(u, v))$  for  $u, v \in E$ .

**Definition 2.6.** Let  $\omega$  be a bilinear form on a vector space  $V$ .

1.  $\omega$  is said to be **symmetric** if  $\omega(v, w) = \omega(w, v)$  for any  $v, w \in V$ .
2.  $\omega$  is said to be **skew-symmetric** if  $\omega(v, w) = -\omega(w, v)$  for any  $v, w \in V$ .

## 2.2 Symplectic linear algebra

The first important notions that we introduce are the symplectic form and the symplectic vector space. We also define the concept of canonical form of a symplectic form and the symplectic basis of a symplectic vector space. Throughout this notes, we will assume  $V$  to be a vector space of finite dimension.

### 2.2.1 Symplectic vector space

**Definition 2.7.** The pair  $(V, \omega)$  is said to be **symplectic vector space** if  $\omega : V \times V \rightarrow \mathbb{R}$  is skew-symmetric, nondegenerate bilinear form. We call  $\omega$  a **symplectic form** on  $E$ .

*Remark.* It follows from the definition that  $\omega(v, v) = 0$  for any  $v \in V$ .

*Example 2.8.* On  $\mathbb{R}^{2n} = \mathbb{R}^n \times \mathbb{R}^n$  we define  $\omega$  by

$$\omega((\mathbf{x}, \mathbf{y}), (\mathbf{x}', \mathbf{y}')) := \sum_{i=1}^n (x_i y'_i - x'_i y_i) = \langle \mathbf{x}, \mathbf{y}' \rangle - \langle \mathbf{x}', \mathbf{y} \rangle.$$

We claim that  $\omega$  is a symplectic form on  $\mathbb{R}^{2n}$ . It is clear that  $\omega$  is a bilinear form. Further, we need to check two things:

(i)  $\omega$  is skew-symmetric.

For any  $(\mathbf{a}, \mathbf{b}), (\mathbf{c}, \mathbf{d}) \in \mathbb{R}^n \times \mathbb{R}^n$ , we have

$$\begin{aligned}\omega((\mathbf{c}, \mathbf{d}), (\mathbf{a}, \mathbf{b})) &= \langle \mathbf{b}, \mathbf{c} \rangle - \langle \mathbf{a}, \mathbf{d} \rangle, \text{ and} \\ \omega((\mathbf{a}, \mathbf{b}), (\mathbf{c}, \mathbf{d})) &= \langle \mathbf{a}, \mathbf{d} \rangle - \langle \mathbf{b}, \mathbf{c} \rangle = -\omega((\mathbf{c}, \mathbf{d}), (\mathbf{a}, \mathbf{b})).\end{aligned}$$

(ii)  $\omega$  is nondegenerate.

Let  $\omega((\mathbf{x}, \mathbf{y}), (\mathbf{a}, \mathbf{b})) = 0$  for any  $(\mathbf{x}, \mathbf{y}) \in \mathbb{R}^n \times \mathbb{R}^n$ . We need to show that  $(\mathbf{x}, \mathbf{y}) = (\mathbf{0}, \mathbf{0})$ . Take  $\mathbf{a} = \mathbf{0}$  and  $\mathbf{b} = \mathbf{x}$ , then

$$\omega((\mathbf{x}, \mathbf{y}), (\mathbf{0}, \mathbf{x})) = 0 \implies \langle \mathbf{x}, \mathbf{x} \rangle - \langle \mathbf{y}, \mathbf{0} \rangle = 0 \implies \mathbf{x} = \mathbf{0}.$$

Similarly, one can show that  $\mathbf{y} = \mathbf{0}$  and hence,  $\omega$  is nondegenerate.

This is called standard symplectic form on  $\mathbb{R}^n \times \mathbb{R}^n$ .

The above example can also be written in the following form:

*Example 2.9.* Let  $V = \mathbb{R}^{2n}$  with a basis  $\{e_1, e_2, \dots, e_n, f_1, f_2, \dots, f_n\}$  and define  $\omega$  as

$$\omega(e_i, e_j) = 0, \quad \omega(f_i, f_j) = 0 \quad \text{and} \quad \omega(e_i, f_j) = \delta_{ij}.$$

Then  $\omega$  is standard symplectic form on  $V$ .

*Example 2.10.* Let  $V$  be any vector space of dimension  $n$  and  $V^*$  denotes its dual. If  $E = V \oplus V^*$  and define

$$\omega : E \times E \rightarrow \mathbb{R}, \quad \omega((v, \alpha), (v', \alpha')) = \alpha'(v) - \alpha(v'),$$

then  $(E, \omega)$  is a symplectic vector space.

Since  $\alpha$  and  $\alpha'$  are linear maps, it is clear that  $\omega$  is a bilinear form. Let us show it is skew-symmetric and nondegenerate.

(i)  $\omega$  is skew-symmetric.

For any  $v, v' \in V$  and  $\alpha, \alpha' \in V^*$ , we have

$$\begin{aligned}\omega((v, \alpha), (v', \alpha')) &= \alpha'(v) - \alpha(v') \\ &= -(\alpha(v') - \alpha'(v)) \\ &= \omega((v', \alpha'), (v, \alpha)).\end{aligned}$$

(ii)  $\omega$  is nondegenerate.

Let  $\omega((v, \alpha), (w, \beta)) = 0$  for any  $(w, \beta) \in E$ . We need to show that  $v = 0$  and  $\alpha \equiv 0$ . Observe that for any  $\beta \in V^*$

$$\omega((v, \alpha), (0, \beta)) = \beta(v) = 0 \implies v = 0.$$

Similarly, for any  $w \in V$ ,

$$\omega((v, \alpha), (w, 0)) = \alpha(w) = 0 \implies \alpha = 0.$$

Thus  $(E, \omega)$  is a symplectic vector space.

**Definition 2.11.** Let  $(V, \omega)$  is a symplectic vector space, then for any subspace  $W \subseteq V$ , we define the  $\omega$ -orthogonal space

$$W^\omega := \{v \in V : \omega(v, w) = 0, \forall w \in W\}.$$

**Proposition 2.12.** Let  $V$  be an  $n$ -dimensional vector space over  $\mathbb{R}$  and  $\omega$  be a bilinear form.

1. If  $\omega$  is symmetric with rank  $r$ , then there exists a basis  $\mathcal{B}$  of  $V$  such that with respect to  $\mathcal{B}$ ,

$$[\omega]_{\mathcal{B}} = \begin{bmatrix} \epsilon_1 & & & & \\ & \ddots & & & \\ & & \epsilon_r & & \\ & & & 0 & \\ & & & & \ddots \\ & & & & & 0 \end{bmatrix}, \text{ where } \epsilon_i = \pm 1, \quad i = 1, 2, \dots, r.$$

2. If  $\omega$  is skew-symmetric with rank  $r$ , then  $r = 2n$  and there is a basis  $\mathcal{B}$  of  $V$  relative to which

$$[\omega]_{\mathcal{B}} = \begin{bmatrix} 0 & I_n & 0 \\ -I_n & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \text{ where } I_n \text{ is the identity matrix of size } n.$$

*Proof.* 1. Proof is left.

2. Since  $\omega \neq 0$ , we can choose  $e_1, f_1 \in V$  such that  $\omega(e_1, f_1) \neq 0$  (this must implies that both the vectors are linearly independent). By rescaling  $e_1$ , we can further assume that  $\omega(e_1, f_1) = 1$ . Define  $W_1 := \text{span}\{e_1, f_1\}$ . Since,  $\omega$  is skew-symmetric, we have  $\omega(e_1, e_1) = 0 = \omega(f_1, f_1)$ . Thus, the restriction of  $\omega$  on  $W_1$  is

$$[\omega]_{\{e_1, f_1\}} = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}.$$

Let  $W_2$  be the  $\omega$ -orthogonal complement of  $W_1$ , that is,  $W_2 = W_1^\omega$ . It is clear that  $W_1 \cap W_2 = \{0\}$ . We claim that  $V = W_1 \oplus W_2$ . Note that for any  $v \in V$ , we have

$$\begin{aligned} \omega(e_1, v - \omega(v, f_1)e_1 + \omega(v, e_1)f_1) &= 0 \text{ and} \\ \omega(f_1, v - \omega(v, f_1)e_1 + \omega(v, e_1)f_1) &= 0. \end{aligned}$$

Thus,  $v - \omega(v, f_1)e_1 + \omega(v, e_1)f_1 \in W_2^\omega$  and hence  $V = W_1 \oplus W_2$ . We can repeat the process on  $W_2$  and find  $e_2$  and  $f_2$  such that  $\omega(e_2, f_2) = 1$ . Now the matrix will be

$$[\omega]_{\{e_1, e_2, f_1, f_2\}} = \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{bmatrix}$$

Inductively, we get a basis

$$\mathcal{B} = \{e_1, e_2, \dots, e_n, f_1, f_2, \dots, f_n\}$$

such that  $[\omega]_{\mathcal{B}}$  will be in the given form.

□

*Remark.* Since we focus on non-degenerate skew-symmetric bilinear form, that is,  $\text{rank} = 2n$ , we may consider only the case with matrix representation  $\begin{bmatrix} 0 & I_n \\ -I_n & 0 \end{bmatrix}$  and  $V$  must be of even dimension.