# Unit 6

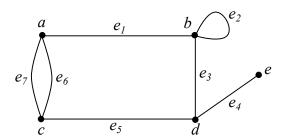
# **Relations and Graphs**

# 6.1 Graphs

# 6.1.1 Graph Basics

An **undirected graph** G is an ordered pair (V, E) where V is a set of vertices and E is a set of undirected edges each of which joins a pair of vertices.

For example, G=(V,E) where  $V=\{a,b,c,d,e\}$  and  $E=\{e_1,e_2,e_3,e_4,e_5,e_6,e_7\}$  is an undirected graph as given below:



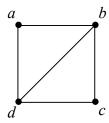
A pair of vertices that are connected by an edge are said to be **adjacent vertices**. For example, in above graph, a and b are adjacent vertices whereas a and d are non-adjacent. The vertices that an edge joins are called its **endpoints** such as vertices d and e which are endpoints of the edge  $e_4$ .

**Loop:** An edge that has the same vertex as both its endpoints, is called a loop. For example,  $e_2$  is a loop in the above graph.

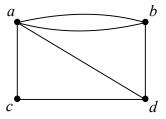
**Multiple/Parallel Edges:** Two or more edges are said to be multiple or parallel edges if they join the same pair of vertices. For example,  $e_6$  and  $e_7$  are multiple edges.

# **Types of Undirected Graphs:**

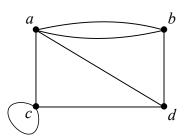
1. **Simple Graph:** An undirected graph that has neither loops not multiple edges is called a simple graph. For example, the following graph is a simple graph.



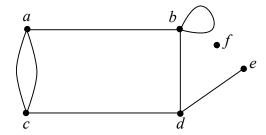
2. **Multigraph:** An undirected graph that can have multiple edges but not loops, is called a multigraph. For example, the following graph is a multigraph.



3. **Pseudograph:** An undirected graph that can have both loops as well as multiple edges is called a pseudograph. For example, the following graph is a pseudograph.



**Degree of a vertex:** The degree of a vertex x in an undirected graph is the number of edges in the graph which has x as one of its endpoints with loops counted twice. It is denoted by  $\deg(x)$ . For example in the following graph, we have  $\deg(a) = \deg(c) = \deg(d) = 3$ ,  $\deg(b) = 4$ ,  $\deg(e) = 1$  and  $\deg(f) = 0$ .



A non increasing sequence that lists all the degrees of vertices in a graph is called the **degree** sequence of that graph. For example, the degree sequence of above graph is 4, 3, 3, 3, 1, 0.

**Regular Graph:** A simple graph in which every vertex has the same degree is called a regular graph. If that degree is n, then it is called an n-regular graph.

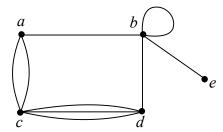
**Isolated Vertex and Pendant Vertex:** A vertex of degree zero is called an isolated vertex. A vertex of degree one is called a pendant vertex.

Theorem 1 (Handshaking Theorem): Let G=(V,E) be an undirected graph with m edges. Then  $2m=\sum_{v\in V}\deg(v)$ .

**Proof:** Since one edge contributes exactly two to the sum of the degrees of vertices, so the sum of the degrees of all the vertices is twice the total number of edges i.e.,  $2m = \sum_{v \in V} \deg(v)$ .

## **Example:**

1. Verify the handshaking theorem in the graph below:



**Solution:** In the given graph, we have

number of edges 
$$(m) = 9$$

and

$$deg(a) = 3,$$
  
 $deg(b) = 5,$   
 $deg(c) = 1,$   
 $deg(d) = 4$   
 $deg(e) = 5$ 

Therefore.

$$\sum_{v \in V} \deg(v) = 3 + 5 + 5 + 1 + 4 = 18 = 2m.$$

**Theorem 2:** An undirected graph has an even number of vertices of odd degree.

**Proof:** Let G = (V, E) be an undirected graph. Let  $V_e$  be the set of vertices of even degree and  $V_o$  be the set of vertices of odd degree. Then by handshaking theorem,

$$2m = \sum_{v \in V} \deg(v) = \sum_{v \in V_e} \deg(v) + \sum_{v \in V_o} \deg(v).$$

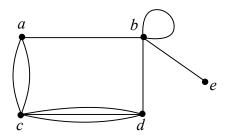
But  $\deg(v)$  is even for all vertices  $v \in V_e$ , so  $\sum_{v \in V_e} \deg(v)$  is an even number. Therefore, 2m-

 $\sum_{v \in V_e} \deg(v) = \sum_{v \in V_o} \deg(v) \text{ is an even number, being the difference of two even numbers. Since } v \in V_o$ 

 $\deg(v)$  is an odd number for all  $v \in V_o$ , so  $\sum_{v \in V_o} \deg(v)$  is an even number which is a sum of odd numbers. Hence there must be an even number of terms in  $\sum_{v \in V_o} \deg(v)$  i.e., the number of vertices in  $V_o$  must be even. Therefore the number of vertices of odd degree is even.

### **Example:**

Here in the graph below, d is the only vertex of even degree and all the other four vertices are of odd degree. So the number of vertices of odd degree is even.

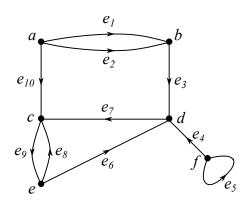


**Directed Graph:** A **directed graph** (or **digraph**) G is an ordered pair (V, E) where V is a set of vertices and E is a set of directed edges each of which is associated with an ordered pair of vertices.

For example, G = (V, E) where  $V = \{a, b, c, d, e, f\}$  and

$$E = \{e_1, e_2, e_3, e_4, e_5, e_6, e_7, e_8, e_9, e_{10}\}\$$

is a directed graph as below:



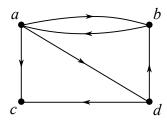
If a directed edge is associated with an ordered pair (a, b), then a is called the **initial vertex** and b is called the **final/terminal vertex** of that edge. Also, we say that a **is adjacent to** b or b **is adjacent from** a.

**Loop:** A directed edge that has the same initial and terminal vertices is called a loop. For example,  $e_5$  in the above graph is a loop.

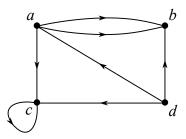
**Multiple directed edges:** Two or more directed edges are called multiple directed edges if they have the same pair of initial and terminal vertices. For example,  $e_1$  and  $e_2$  are multiple directed edges.

#### **Types of Directed Graphs:**

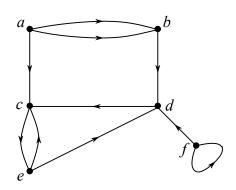
1. **Simple Directed Graph:** A directed graph that has neither loops nor multiple directed edges is called a simple directed graph. For example, the following is a simple directed graph.



2. **Directed Multigraphs:** A directed graph that can have loops as well as multiple directed edges is called a directed multigraph. For example, the following graph is a directed multigraph.



Indegree and Outdegree of a vertex: Let x be a vertex of a directed graph G. Then the indegree of x, denoted by  $\deg^-(x)$ , is the number of edges with x as their terminal vertex. The outdegree of x, denoted by  $\deg^+(x)$ , is the number of edges with x as their initial vertex. For example, in the following directed graph, the indegree and outdegree of each vertex is listed below:



$$\begin{array}{lll} \deg^-(a) = 0 & \deg^+(a) = 3 \\ \deg^-(b) = 2 & \deg^+(b) = 1 \\ \deg^-(c) = 3 & \deg^+(c) = 1 \\ \deg^-(d) = 3 & \deg^+(d) = 1 \\ \deg^-(e) = 1 & \deg^+(e) = 2 \\ \deg^-(f) = 1 & \deg^+(f) = 2 \end{array}$$

**Theorem 3:** Let G = (V, E) be a directed graph with m edges. Then

$$\sum_{v \in V} \deg^{-}(v) = \sum_{v \in V} \deg^{+}(v) = m.$$

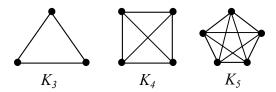
**Proof:** Since each directed edge has exactly one initial vertex, so each directed edge contributes exactly one to the sum of the indegrees of the vertices. Therefore,  $m = \sum_{v \in V} \deg^-(v)$ . Similarly, each directed edge has exactly one terminal vertex, so each directed edge contributes exactly one to the sum of the outdegrees of the vertices. Therefore  $m = \sum_{v \in V} \deg^+(v)$ .

For example, in the previous digraph,

$$\sum_{v \in V} \deg^{-}(v) = \sum_{v \in V} \deg^{+}(v) = m = 10.$$

# 6.1.2 Graph Types

**1. Complete Graph:** The complete graph on n vertices, denoted by  $K_n$ , is the simple graph that has exactly one edge between each pair of distinct vertices. For example, the following graphs are  $K_3$ ,  $K_4$  and  $K_5$ .

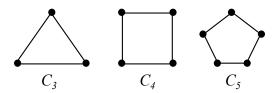


A complete graph  $K_n$  has n vertices. Each of these vertices has degree n-1 and so

$$\sum_{v \in V} \deg(v) = n(n-1).$$

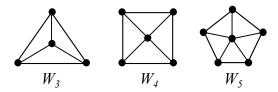
Therefore by the Handshaking theorem, the number of edges in  $K_n$  is  $\frac{n(n-1)}{2}$ .

**2. Cycle:** For  $n \geq 3$ , the cycle  $C_n$  is a simple graph consisting of n vertices  $v_1, v_2, \cdots, v_n$  and n edges  $\{v_1, v_2\}, \{v_2, v_3\}, \cdots, \{v_{n-1}, v_n\}$  and  $\{v_n, v_1\}$ . For example,



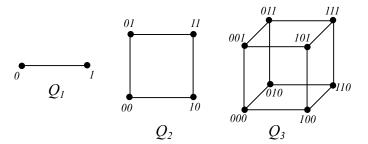
The cycle  $C_n$  has n vertices and n edges.

**3. Wheel:** For  $n \geq 3$ , the wheel  $W_n$  is the simple graph obtained from the cycle  $C_n$  by adding one new vertex and n new edges connecting this new vertex to all the other n vertices in  $C_n$ . For example,



Note that in a wheel  $W_n$ , there are n+1 vertices and 2n edges.

**4.** n-Cube The n-cube, denoted by  $Q_n$ , is the graph whose vertices are labeled using length n bit strings and the two vertices joined by an edge if and only if their labels differ in exactly one bit position. For example,



Note that an n-cube  $Q_n$  has  $2^n$  vertices. Each of these vertices are connected with n other vertices corresponding to a change in one bit position among n bits. So the degree of each vertex is n and therefore  $\sum_{v \in V} \deg(v) = n2^n$ . By the Handshaking theorem, we therefore have

$$\frac{n2^n}{2} = n2^{n-1}$$

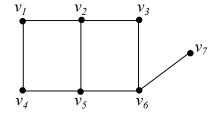
edges.

- **5. Bipartite Graphs:** Let G be a simple graph. If the vertex set V of G can be divided into two subsets U and W such that
  - (i) U and W are nonempty,
  - (ii)  $U \cup W = V$ ,
  - (iii)  $U \cap W = \emptyset$  and
- (iv) every edge in G connects a vertex in U and a vertex in W

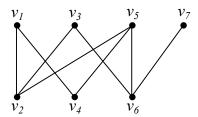
then G is called a bipartite graph.

### **Examples:**

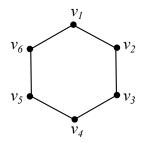
1. The graph below



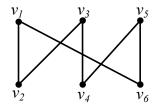
is a bipartite graph because the set of vertices  $V = \{v_1, v_2, v_3, v_4, v_5, v_6, v_7\}$  can be partitioned into two subsets  $U = \{v_1, v_3, v_5, v_7\}$  and  $W = \{v_2, v_4, v_6\}$  such that each edge connects a vertex in U with a vertex in W as below:



2. The cycle  $C_6$  as given below is bipartite.



The set  $V = \{v_1, v_2, v_3, v_4, v_5, v_6\}$  can be partitioned into two subsets  $U = \{v_1, v_3, v_5\}$  and  $W = \{v_2, v_4, v_5\}$  such that each edge connects a vertex in U with a vertex in W as below:



In fact, any cycle  $C_n$  with n even, is bipartite.

3. The cycle  $C_5$  is not a bipartite graph. In fact, any cycle  $C_n$  with n odd, is not bipartite.

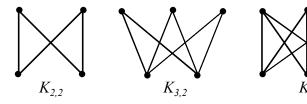
To determine whether a graph G=(V,E) with  $V=\{v_1,v_2,\cdots,v_n\}$  is bipartite or not, we follow these steps:

- STEP I. Assign a vertex  $v_1$  to the subset U.
- STEP II. Assign all the vertices adjacent to  $v_1$  to the subset W.
- STEP III. For a vertex in W, assign all the vertices adjacent to that vertex to the subset V.
- STEP IV. Continue this process until all the vertices have been assigned to either U or W. If the subsets U and W so formed satisfy the required conditions, then G is a bipartite graph.

**Theorem 4:** A simple graph is bipartite if and only if it is possible to assign two different colors to each vertex of the graph so that no two adjacent vertices are assigned the same color.

**Complete Bipartite Graphs:** The complete bipartite graph is a bipartite graph with the partition of the vertex set V into U and W such that every vertex in U is joined to every vertex in W. It is denoted by  $K_{m,n}$  where m = |U| and n = |W|.

For example, the following graphs are complete bipartite graphs.

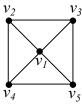


**Subgraph of a graph:** A subgraph of a graph G=(V,E) is a graph H=(W,F) where  $W\subset V$  and  $F\subset E.$ 

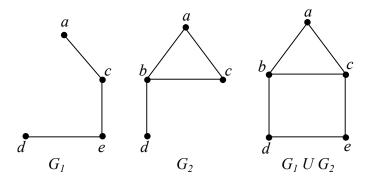
For example



is a subgraph of the graph



**Union of graphs:** The union of two simple graphs  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  is the simple graph G = (V, E) where  $V = V_1 \cup V_2$  and  $E = E_1 \cup E_2$ . For example, the union of the graphs  $G_1$  and  $G_2$  below is the graph G.



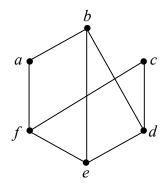
# **6.1.3** Graph Representation

There are three ways to represent graphs:

- (i) Adjacency Lists
- (ii) Adjacency Matrix
- (iii) Incidence Matrix

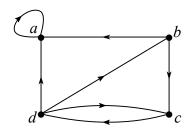
**Adjacency Lists:** A graph with no multiple edges can be represented by using adjacency lists which specify the vertices that are adjacent to each vertex of the graph.

For example, the undirected graph below is represented using the adjacency list as follows:



Vertex	Adjacent Vertices
a	b, f
b	a, e, d
c	f, d
d	e, b, c
e	f, b, d
f	a, c, e

Below, a directed graph is represented using the adjacency list:

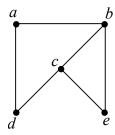


Initial Vertex	Terminal Vertices
a	a
b	a, c
c	d
d	a, b, c

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**Adjacency Matrices:** Let G be an undirected graph with n vertices  $v_1, v_2, \dots, v_n$ . Then the adjacency matrix of G is an  $n \times n$  matrix  $A_G = [a_{ij}]_{n \times n}$  where  $a_{ij}$  is the number of edges joining vertices  $v_i$  and  $v_j$ .

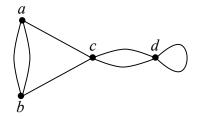
For example, the adjacency matrix of the graph below



is

$$A_{G} = \begin{pmatrix} a & b & c & d & e \\ 0 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 \end{pmatrix}$$

The adjacency matrix of graph



is

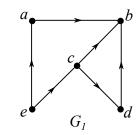
$$A_G = \begin{pmatrix} a & b & c & d \\ 0 & 2 & 1 & 0 \\ 2 & 0 & 1 & 0 \\ c & 1 & 1 & 0 & 2 \\ d & 0 & 0 & 2 & 1 \end{pmatrix}$$

### Remark:

- 1. The adjacency matrix of an undirected graph is always symmetric.
- 2. The sum of the rows and columns for each vertex are always equal and its value is the degree of that vertex except when that vertex has a loop on it.

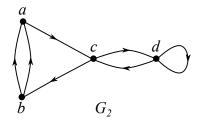
Let G be a directed graph with n vertices  $v_1, v_2, \dots, v_n$ . Then the adjacency matrix of G is the  $n \times n$  matrix  $A_G = [a_{ij}]_{n \times n}$  where  $a_{ij}$  is the number of edges with initial vertex  $v_i$  and the final vertex  $v_j$ .

For example, the adjacency matrix of the directed graph  $G_1$  is written as below:



$$A_{G_1} = \begin{pmatrix} a & b & c & d & e \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 \end{pmatrix}$$

The adjacency matrix of the directed graph  $G_2$  is written as below:



$$A_{G_2} = \begin{pmatrix} a & b & c & d \\ 0 & 0 & 1 & 0 \\ 2 & 0 & 0 & 0 \\ c & 0 & 1 & 0 & 1 \\ d & 0 & 0 & 1 & 1 \end{pmatrix}$$

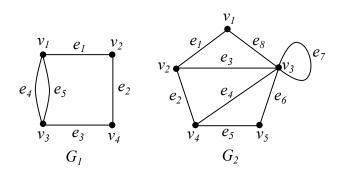
#### Remark:

- 1. The adjacency matrix of a directed graph is not necessarily symmetric.
- 2. The sum of the rows gives the outdegree and the sum of the columns gives the indegree of the corresponding vertex.

**Incidence Matrices:** Let G be an undirected graph with n vertices  $v_1, v_2, \dots, v_n$  and m edges  $e_1, e_2, \dots, e_m$ . Then the incidence matrix of G is an  $n \times m$  matrix  $M = [a_{ij}]_{n \times m}$  where

$$a_{ij} = \begin{cases} 1 & \text{if vertex } v_i \text{ is an end vertex of edge } e_j \\ 0 & \text{otherwise} \end{cases}$$

For example, the incidence matrices  $M_1$  and  $M_2$  of the graphs  $G_1$  and  $G_2$  are written below:



#### Remark:

- 1. Each column of an incidence matrix has exactly two 1's except in the column corresponding to a loop in which there is only one 1.
- 2. The sum of each row equals the degree of that vertex except in the row corresponding to the vertex with a loop in which case the sum is one less than the degree.
- 3. Parallel edges have identical columns in an incidence matrix.

# 6.1.4 Graph Isomorphism

Two simple graphs  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  are called **isomorphic** if there exists a one-to-one and onto function f from  $V_1$  to  $V_2$  with the property that a and b are adjacent in  $G_1$  if and only if f(a) and f(b) are adjacent in  $G_2$  for all vertices a and b in  $V_1$ . Such a function f is called an **isomorphism**.

**Invariant Property of a Graph:** A property of a graph G is said to be an invariant property if every other graph isomorphic to G also has that property. Some invariant properties of graphs are:

- (i) The number of vertices: If two graphs  $G_1$  and  $G_2$  are isomorphic, then by definition of the graph isomorphism, we can conclude that the number of vertices in  $G_1$  and  $G_2$  must be same.
- (ii) The number of edges: If two graphs  $G_1$  and  $G_2$  are isomorphic, then again by definition of the graph isomorphism, we can say that the number of edges in  $G_1$  and  $G_2$  must be equal.

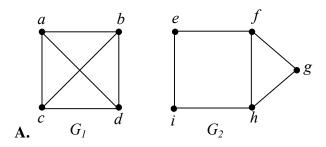
(iii) Degree sequence of a graph: The degree sequence of a graph is the listing of the degrees of all the vertices of that graph written in descending order. If two graphs  $G_1$  and  $G_2$  are isomorphic, then both the graphs must have identical degree sequence.

Apart from these three invariant properties, there is a fourth invariant property which we will be studying in the next section after paths and circuits have been defined.

**Note:** If two graphs  $G_1$  and  $G_2$  are isomorphic, then by definition of the invariant property,  $G_1$  and  $G_2$  both must have the same invariant properties. Hence, by contrapositive argument, we can say that if  $G_1$  and  $G_2$  differ in any one of the invariant properties, then  $G_1$  and  $G_2$  cannot be isomorphic.

#### **Problems:**

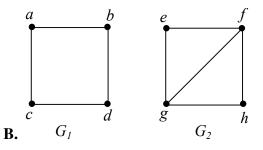
Determine whether the following graphs are isomorphic or not.



**Solution:** Here,

number of vertices in  $G_1 = 4$ number of vertices in  $G_2 = 5$ .

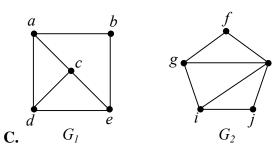
Since  $G_1$  and  $G_2$  have different number of vertices, so  $G_1$  and  $G_2$  are not isomorphic.



Solution: Here,

number of edges in  $G_1 = 4$ number of edges in  $G_2 = 5$ .

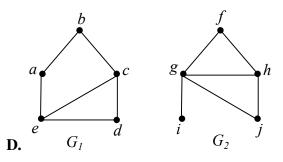
Since  $G_1$  and  $G_2$  have different number of edges, so  $G_1$  and  $G_2$  are not isomorphic.



Solution: Here,

degree sequence of  $G_1$  is 3, 3, 3, 3, 2 degree sequence of  $G_2$  is 4, 3, 3, 2, 2.

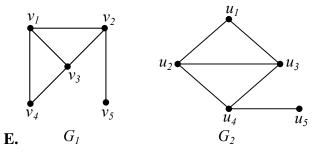
Since  $G_1$  and  $G_2$  have different degree sequences, so  $G_1$  and  $G_2$  are not isomorphic.



Solution: Here,

degree sequence of  $G_1$  is 3, 3, 2, 2, 2degree sequence of  $G_2$  is 4, 3, 2, 2, 1.

Since  $G_1$  and  $G_2$  have different degree sequences, so  $G_1$  and  $G_2$  are not isomorphic.



**Solution:** Here,  $V_1 = \{v_1, v_2, v_3, v_4, v_5\}$  and  $V_2 = \{u_1, u_2, u_3, u_4, u_5\}$ . Let  $f: V_1 \to V_2$  be a function defined as follows:

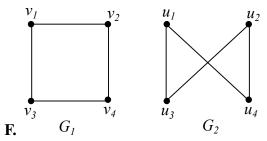
$$f(v_1) = u_2 f(v_2) = u_4 f(v_3) = u_3 f(v_4) = u_1 f(v_5) = u_5$$

Then clearly, f is a bijective function. Also

$$A_{G_1} = \begin{pmatrix} v_1 & v_2 & v_3 & v_4 & v_5 \\ v_2 & 0 & 1 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 & 1 \\ v_4 & 1 & 0 & 1 & 0 & 0 \\ v_5 & 0 & 1 & 0 & 0 & 0 \end{pmatrix}$$

$$A_{G_2} = \begin{pmatrix} u_2 & u_4 & u_3 & u_1 & u_5 \\ u_4 & 0 & 1 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 & 1 \\ 1 & 1 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \end{pmatrix}$$

Since  $G_1$  and  $G_2$  have identical adjacency matrices as well, so  $G_1$  and  $G_2$  are isomorphic graphs.



**Solution:** Here,  $V_1 = \{v_1, v_2, v_3, v_4\}$  and  $V_2 = \{u_1, u_2, u_3, u_4\}$ . Let  $f: V_1 \to V_2$  be a function defined as follows:

$$f(v_1) = u_1$$
  
 $f(v_2) = u_4$   
 $f(v_3) = u_3$   
 $f(v_4) = u_2$ 

Then clearly, f is a bijective function. Also

$$A_{G_1} = \begin{pmatrix} v_1 & v_2 & v_3 & v_4 \\ v_2 & 0 & 1 & 1 & 0 \\ v_2 & 1 & 0 & 0 & 1 \\ v_3 & 0 & 1 & 1 & 0 \end{pmatrix}$$

$$A_{G_2} = \begin{pmatrix} u_1 & u_4 & u_3 & u_2 \\ u_4 & 0 & 1 & 1 & 0 \\ u_4 & 1 & 0 & 0 & 1 \\ u_3 & 1 & 0 & 0 & 1 \\ 0 & 1 & 1 & 0 \end{pmatrix}$$

Since  $G_1$  and  $G_2$  have identical adjacency matrices as well, so  $G_1$  and  $G_2$  are isomorphic graphs.

# **6.1.5** Connectivity in Graphs

#### Paths and Circuits in Undirected Graphs:

**Path:** Let G be an undirected graph with vertices u and v. Then a path between u and v is a sequence of edges  $e_1, e_2, \cdots, e_n$  of G such that  $e_1$  is associated with  $\{x_0, x_1\}$ ,  $e_2$  is associated with  $\{x_1, x_2\}$ ,  $\cdots$ ,  $e_n$  is associated with  $\{x_{n-1}, x_n\}$ , where  $x_0 = u$  and  $x_n = v$ . The length of a path is defined to be the number of edges in that path.

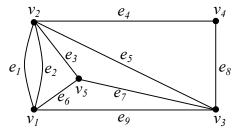
**Circuit:** A circuit is a path of length greater than zero that begins and ends at the same vertex.

**Simple Path:** A path is called a simple path if it does not contain the same edge more than once.

**Simple Circuit:** A circuit is called a simple circuit if it does not contain the same edge more than once.

#### **Examples:**

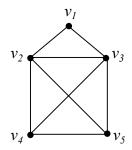
1. Let G be the following graph.



Then  $e_3, e_5, e_7, e_3, e_4$  is a path of length 5 between the pair of vertices  $v_5, v_4$  whereas  $e_3, e_5, e_8$  is a simple path of length 3 between  $v_5$  and  $v_4$ . Also,  $e_1, e_5, e_9, e_2, e_5, e_7, e_6$  is a circuit that starts and ends in the vertex  $v_1$  and  $e_1, e_3, e_7, e_9$  is a simple circuit that also starts and ends in the vertex  $v_1$ .

**Note:** When the graph G is simple, then paths or circuits in G can be specified by a sequence of vertices rather than edges as in the example below. This is because, in a simple graph, there can be at most one edge between any pair of vertices.

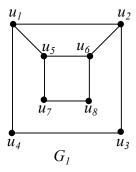
#### 2. Let G be the simple graph as below:

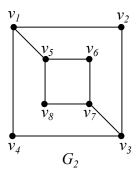


Then  $v_1, v_3, v_5, v_2, v_1, v_3$  is a path of length 5 between the vertices  $v_1$  and  $v_3$  and  $v_1, v_2, v_5, v_3$  is a simple path of length 3 between  $v_1$  and  $v_3$ . Also,  $v_1, v_2, v_3, v_4, v_2, v_1$  is a circuit of length 5 that starts and ends in  $v_1$  and  $v_1, v_2, v_5, v_4, v_3, v_1$  is a simple circuit of length 5 that starts and ends in  $v_1$ .

One more invariant: The number of simple circuits of length k in a graph G is an invariant property of that graph. This invariant property can be used to show that two graphs  $G_1$  and  $G_2$  are not isomorphic.

For example, the following graphs  $G_1$  and  $G_2$  are not isomorphic because  $G_1$  has three simple circuits of length 4 but  $G_2$  has only two.





#### Paths and Circuits in Directed Graphs:

**Path:** Let G be a directed graph with vertices u and v. Then a path from u to v is a sequence of edges  $e_1, e_2, \cdots, e_n$  of G such that  $e_1$  is associated with  $(x_0, x_1)$ ,  $e_2$  is associated with  $(x_1, x_2)$ ,  $\cdots$ ,  $e_n$  is associated with  $(x_{n-1}, x_n)$  where  $x_0 = u$  and  $x_n = v$ . As for paths in undirected graphs, the number of edges in a path is called its length.

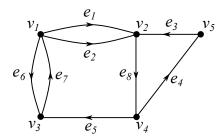
**Circuit:** A circuit is a path of length greater than zero that begins and ends at the same vertex.

**Simple Path:** A path is called a simple path if it does not contain the same edge more than once.

**Simple Circuit:** A circuit is called a simple circuit if it does not contain the same edge more than once.

#### **Examples:**

1. Let G be a directed graph as below:



Then  $e_5, e_7, e_6, e_7, e_2$  is a path of length 5 from vertex  $v_4$  to vertex  $v_2$  and  $e_7, e_1, e_8, e_4$  is a simple path of length 4 from vertex  $v_3$  to vertex  $v_5$  but  $e_7, e_1, e_3$  is not a path. Also,

 $e_1, e_8, e_5, e_7, e_6, e_7$  is a circuit of length 6 starting and ending in vertex  $v_1$  whereas  $e_8, e_4, e_3$  is a simple circuit of length 3 starting and ending in vertex  $v_2$ .

**Note:** When the graph G is a simple directed graph, then paths or circuits in G can be specified by a sequence of vertices rather than edges because in a simple digraph, there can be at most one edge between any pair of vertices.

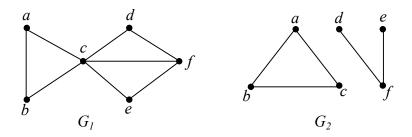
#### **Counting paths between vertices:**

Given a graph with n vertices  $v_1, v_2, \dots, v_n$ , we can determine the number of paths between any two vertices  $v_i$  and  $v_j$  (or from  $v_i$  to  $v_j$  in case of digraphs) using its adjacency matrix A. The number of paths of length r in the graph will be the  $ij^{th}$  entry in the matrix  $A^r$ . **Example:** 

- 1. Find the number of paths between the vertices  $v_1$  and  $v_5$  of length 3 in the following graph.
- 2. Find the number of paths from  $v_1$  to  $v_4$  of length 4 in the following digraph.

## **Connectedness in Undirected Graphs:**

**Connected Undirected Graphs:** An undirected graph G is said to be connected if there is a path between every pair of distinct vertices in the graph. For example,  $G_1$  is a connected graph and  $G_2$  is not a connected graph.

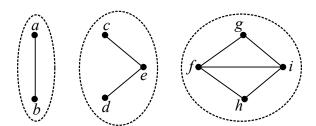


**Theorem 1:** There is a simple path between every pair of distinct vertices of a connected undirected graph.

**Proof:** Let u and v be two distinct vertices of the connected undirected graph G. Since G is connected, there is at least one path between u and v. Let  $x_0, x_1, \cdots, x_n$  where  $x_0 = u$  and  $x_n = v$  be a path of least length. We claim that this path of least length is a simple path. To prove this, suppose that this path is not simple. Then  $x_i = x_j$  for some i and j with  $0 \le i < j$ . Then  $x_0, x_1, \cdots, x_{i-1}, x_j, \cdots, x_n$  is a path from u to v obtained from the path  $x_0, x_1, \cdots, x_n$  by removing the vertices  $x_i, x_{i+1}, \cdots, x_{j-1}$ . So the path  $x_0, x_1, \cdots, x_{i-1}, x_j, \cdots, x_n$  is a path of shorter length than the path  $x_0, x_1, \cdots, x_n$  i.e.,  $x_0, x_1, \cdots, x_n$  is not a path of shortest length. Hence (by indirect proof method) the path  $x_0, x_1, \cdots, x_n$  from u to v must be a simple path.  $\square$ 

**Connected Components:** A connected component of a graph G is a connected subgraph of G that is not a proper subgraph of another connected subgraph of G. In other words, a maximal connected subgraph of G is called its connected component.

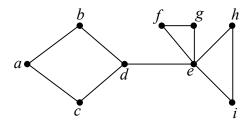
For example, the graph below has three connected components:



Cut Vertices and Cut Edges: A vertex in G is said to be a cut vertex if removing that vertex and all the edges incident on it produces a subgraph of G with more connected components than in G.

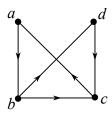
An edge in G is said to be a cut edge or bridge if removing that edge produces a disconnected subgraph of G.

For example, in the graph below, d and e are cut vertices and  $\{d, e\}$  is a cut edge.



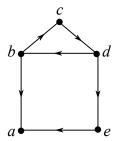
#### **Connectedness in Directed Graphs:**

**Strongly Connected Directed Graphs:** A directed graph is said to be strongly connected if there is a path from a to b and from b to a for every pair of vertices a, b in the graph. For example, the following directed graph is strongly connected.



**Weakly Connected Directed Graphs:** A directed graph is said to be weakly connected if there is a path between every two vertices in the underlying undirected graph i.e., if the underlying undirected graph is connected.

For example, the following graph is weakly connected.

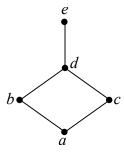


This graph is not strongly connected because there is no path from a to b but it is a weakly connected graph because the underlying undirected graph is connected.

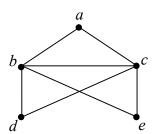
#### 6.1.6 Euler and Hamiltonian Paths and Circuits

#### **Euler Paths and Circuits:**

**Euler Path:** An Euler path in a graph G is a simple path that contains every edge of G. For example, in the graph G below, e, d, b, a, c, d is an Euler path.

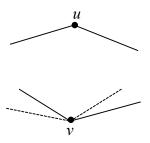


**Euler Circuit:** An Euler circuit in a graph G is a simple circuit that contains every edge of G. For example, the graph below has an Euler circuit a, b, c, d, b, e, c, a.



**Theorem 1:** A connected multigraph has an Euler circuit if and only if each of its vertices has even degree.

**Proof:** Suppose that a connected multigraph G has an Euler circuit. We need to prove that each of the vertices of G has even degree. Now suppose that the Euler circuit in G start and ends at a vertex u. If v is any vertex of G different from u then v must be on the Euler circuit because G is connected and the Euler circuit contains every edge of G. Moreover, each time v occurs in the Euler circuit, it enters and leaves v by different edges because each edge of G occurs only once in the Euler circuit. Thus each occurrence of v in the Euler circuit adds two to deg(v) i.e., deg(v) is even. Finally, since the Euler circuit must end at u, the first and last edges add two to deg(u) as will any intermediate occurrence if u in the Euler circuit. So deg(u) is also even.

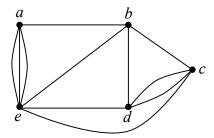


Conversely suppose that a connected multigraph G has all its vertices of even degree. We need to show that G has an Euler circuit. So let u be any arbitrary vertex of G. Starting from u, we construct a simple path that is as long as possible. Since every vertex is of even degree, we can exit from every vertex we enter so the path can only stop at vertex u. We then have a simple circuit in G. If this simple circuit contains all the edges of G, then this is an Euler circuit as required. If not, then consider a subgraph H of G obtained by removing all the edges in the circuit and the resulting isolated vertices if any. Since both G and the simple circuit have all their vertices of even degree, so the degrees of the vertices of H are also even. Also, since H is connected, H has at least one vertex in common with the simple circuit that was removed. Let H be that vertex. Starting at the vertex H0, we can again construct a new simple path. Since all the vertices of H1 are of even degree, this path must terminate at vertex H2 thus forming a simple circuit. Now this simple circuit can be combined with the previous simple circuit to obtain a larger simple circuit that starts and ends at vertex H1. This process is continued until one obtains a simple circuit that contains all the edges of H2. We would then have an Euler circuit in H3.

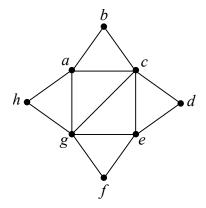
#### **Problems:**

Which of the following graphs have an Euler circuit?

(a)



**Solution:** Here  $\deg(a) = \deg(b) = \deg(c) = \deg(d) = 4$  and  $\deg(e) = 6$ . So the degree of all the vertices are even and hence the graph must have an Euler circuit. e.g., abcdeaebdcea (b)



**Solution:** Here  $\deg(b) = \deg(d) = \deg(f) = \deg(h) = 2$ ,  $\deg(a) = \deg(e) = 4$  and  $\deg(c) = \deg(g) = 5$ . So this graph has vertices of odd degree and hence it cannot have an Euler circuit.

#### **Algorithm for constructing Euler circuits:**

PROCEDURE Euler (*G*: connected multigraph with all vertices of even degree)

Circuit:= a circuit in G beginning at an arbitrarily chosen vertex with edges successively added to form a path that returns to this vertex

H := G with edges of circuit removed

WHILE H has edges

#### **BEGIN**

Subcircuit:= a circuit in H beginning at a vertex in H that also is an endpoint of an edge of Circuit

H:=H with edges of *Subcircuit* and all isolated vertices removed

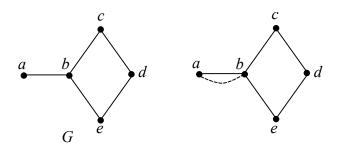
Circuit:= Circuit with Subcircuit inserted at the appropriate vertex

**END** 

*Circuit* is the required Euler circuit.

**Theorem 2:** A connected multigraph has an Euler path but not an Euler circuit if and only if it has exactly two vertices of odd degree.

**Proof:** Let G be a connected multigraph that doesn't have an Euler circuit but has an Euler path from a to b. If we join the vertices a and b with the edge  $\{a,b\}$ , then this Euler path becomes an Euler circuit and the degrees of a and b increases by one whereas the degrees of other vertices remains unchanged. By Theorem 1, the degree of all vertices of this newly formed graph must be even i.e., the degree of a and b in the original graph must have been odd and the degree of all other vertices must be even. So G has exactly two verices a and b of odd degree.



Conversely suppose that a connected multigraph G has exactly two vertices a and b of odd degree. If the vertices a and b are joined by another edge  $\{a,b\}$  then this new graph has all the vertices of even degree and so by Theorem 1, there must be an Euler circuit in this new graph. If the newly added edge  $\{a,b\}$  is removed from this Euler circuit, then it becomes an Euler path from vertex a to vertex b in the original graph G.

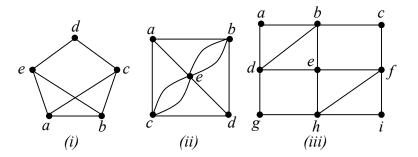
**Theorem 3:** A connected multigraph has an Euler path if and only if it has either no vertices of odd degree or exactly two vertices of odd degree.

**Proof:** Let G be a connected multigraph which has an Euler path. If this Euler path is an Euler circuit as well, then by Theorem 1, G has no vertices of odd degree. If this Euler path is not an Euler circuit, then by Theorem 2, G has exactly 2 vertices of odd degree.

Conversely suppose that a connected multigraph G has no vertices of odd degree. Then all the vertices of G has even degree and so by Theorem 1, G must have an Euler circuit and hence an Euler path. If G has exactly two vertices of odd degree, then G must have an Euler path by Theorem 2.

#### **Problems:**

Which of the following graphs has an Euler path?



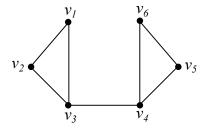
#### **Solution:**

- (i) Here deg(a) = deg(b) = deg(c) = deg(e) = 3. So this graph does not have an Euler path because it has more that two vertices of odd degree.
- (ii) This graph has exactly two vertices, a and d, of odd degree. So it has an Euler path.
- (iii) Here,  $\deg(a) = \deg(c) = \deg(i) = \deg(g) = 2$  and  $\deg(b) = \deg(f) = \deg(h) = \deg(d) = \deg(e) = 4$ . So this graph has no vertices of odd degree and therefore it has an Euler path.

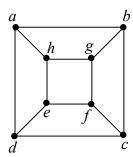
#### **Hamiltonian Paths and Circuits:**

**Hamiltonian Path:** A Hamiltonian path in a graph G is a simple path that passes through every vertex of G exactly once.

For example,  $v_1v_2v_3v_4v_5v_6$  is a Hamiltonian path in the graph below.



**Hamiltonian Circuit:** A Hamiltonian circuit in a graph G is a simple circuit that passes through every vertex of G exactly once except the starting vertex which must also be the final vertex. For example, in the graph below, abgfcdeha is a Hamiltonian circuit.



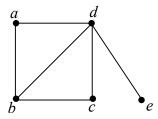
**Proposition 1:** The complete graph  $K_n$  has a Hamiltonian circuit whenever  $n \geq 3$ .

**Proof:** Let  $K_n$ ,  $n \geq 3$  be a complete graph of n vertices  $v_1, v_2, \cdots, v_n$ . Since there is an edge joining  $v_i$  and  $v_j$  for each distinct i and j, so the cycle  $v_1v_2\cdots v_nv_1$  is a subgraph of  $K_n$ . Clearly, this cycle is a Hamiltonian circuit of  $K_n$ .

**Proposition 2:** A graph with vertex of degree one cannot have a Hamiltonian circuit.

**Proof:** Suppose u is a vertex of degree one. Then whenever a path reaches this vertex, there is no way to leave this vertex through a different edge. So this graph cannot have a Hamiltonian circuit.

For example, the following graph below cannot have a Hamiltonian circuit.

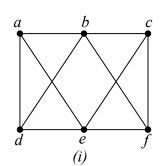


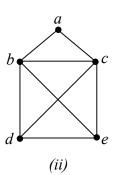
**Dirac's Theorem:** (Statement only) If G is a simple graph with n vertices,  $n \ge 3$ , such that the degree of every vertex in G is at least  $\left\lceil \frac{n}{2} \right\rceil$ , then G has a Hamiltonian circuit.

**Ore's Theorem:** (Statement only) If G is a simple graph with n vertices,  $n \geq 3$ , such that  $\deg(u) + \deg(v) \geq n$  for every pair of nonadjacent vertices u and v in G, then G has a Hamiltonian circuit.

#### **Problems:**

Determine whether the following graphs have Hamiltonian circuits:



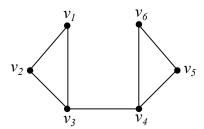


**Solution:** 

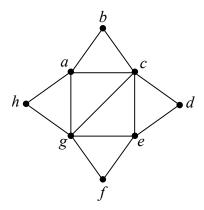
- (i) In this graph, the number of vertices n=6 and so  $\left\lceil \frac{n}{2} \right\rceil = 3$ . Now  $\deg(a) = \deg(c) = \deg(f) = \deg(d) = 3$  and  $\deg(b) = \deg(e) = 4$ . So the degree of all the vertices is at least  $\left\lceil \frac{n}{2} \right\rceil = 3$  and so by Dirac's Theorem, this graph must have a Hamiltonian circuit.
- (ii) Here, the number of vertices n=5. Now the nonadjacent pairs of vertices in this graph are  $\{a,d\}$  and  $\{a,e\}$ . So  $\deg(a)+\deg(d)=2+3=5$ ,  $\deg(a)+\deg(e)=2+3=5$  i.e.,  $\deg(u)+\deg(v)\geq n$  for all nonadjacent pairs of vertices u and v in G. So by Ore's Theorem, G must have a Hamiltonian circuit.

# **6.1.7** Matching Theory

A **matching** in a simple graph is a subset of the set of edges of the graph such that no two edges in the set share a common vertex. In other words, a matching is a subset of edges such that if  $\{s,t\}$  and  $\{u,v\}$  are edges of the matching, then s,t,u,v are all distinct. A **maximal matching** of a graph is a matching with the largest number of edges. For example, in the graph below,



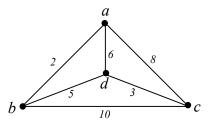
a subset consisting of edges  $\{v_1, v_2\}$  and  $\{v_4, v_5\}$  is a matching where as a subset consisting of edges  $\{v_1, v_2\}$  and  $\{v_2, v_3\}$  is not a matching. The subset consisting of edges  $\{v_1, v_2\}$ ,  $\{v_3, v_4\}$  and  $\{v_5, v_6\}$  is a maximal matching. The graph below



has the subset consisting of edges  $\{a,b\}$ ,  $\{c,d\}$ ,  $\{e,f\}$ ,  $\{g,h\}$  as a maximal matching.

# 6.1.8 Shortest Path Algorithm

**Weighted graph:** Graphs that have a number assigned to each edge are called weighted graphs. For example,



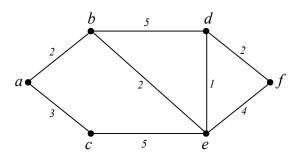
The number assigned to an edge e is called its weight and is denoted by w(e). So in the above figure, w(a,b)=2, w(c,d)=3 etc.

**Length of a path:** The length of a path in a weighted graph is the sum of all the weights of the edges in that path. For example, in the previous weighted graph, the length of the path a, d, b, c is 6 + 5 + 10 = 21.

Weighted graphs can arise in many situations while modeling real-world problems such as finding the path of shortest distance from one city to another while traveling or finding the best route to transfer data from one computer to another in a computer network.

**Shortest-Path Problem:** Given a weighted graph G, the problem of finding a path of least length between two of its vertices is called the shortest-path problem.

For example, in the graph below, we can see that the shortest path from a to f is a, b, e, d, f whose length is 7.



#### Dijkstra's Algorithm for solving shortest-path problem:

Dijkstra's algorithm is used for finding a path of shortest length between two given vertices in a weighted connected simple graph where all the weights are positive.

#### Dijkstra's Algorithm:

PROCEDURE Dijkstra (G: weighted connected simple graph, with all weights positive)  $\{G \text{ has vertices } a = v_0, v_1, \cdots, v_n = z \text{ and weights } w(v_i, v_j) \text{ where } w(v_i, v_j) = \infty \text{ if } \{v_i, v_j\} \text{ is not an edge in } G\}$ 

FOR 
$$i := 1$$
 TO  $n$ 

 $L(v_i) := \infty$ 

```
L(a) := 0
S := \emptyset
{The labels are now initialized so that the label of a is 0 and all other labels are \infty and S is the empty set.}

WHILE z \notin S

BEGIN
u := \text{a vertex not in } S \text{ with } L(u) \text{ minimal.}
{If there is more than one vertex with same minimum label } L(u), \text{ then select one arbitrarily.} S := S \cup \{u\}
FOR all vertices v not in S and adjacent to u

IF L(u) + w(u, v) < L(v) THEN L(v) = L(u) + w(u, v)
{This adds a vertex to S with minimal label and updates the labels of the vertices not in S.}

END
```

### **Description of Dijkstra's Algorithm:**

Suppose we are given a weighted connected simple graph G with the weight of all the edges positive. Let a and z be two vertices in G and suppose that we have to find the path of shortest length from a to z.

Dijkstra's algorithm to find this path of shortest length proceeds iteratively by first finding the length of a shortest path from a to the first vertex, then the length of a shortest path from a to the second vertex, and so on, until the length of a shortest path from a to z is found. For this, the algorithm labels each vertex v of G by L(v) which denotes the length of path from a to v. It also maintains a set S of vertices whose final shortest path length from the vertex a have already been determined.

The algorithm proceeds stepwise as follows:

```
STEP 1: Set L(a) = 0 and L(v) = \infty for all other vertices.
```

STEP 2: If z is selected, then stop; otherwise proceed to next step.

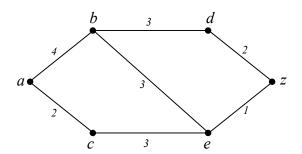
{Length of the shortest path from a to z is L(z).}

STEP 3: Let u be an unselected vertex such that L(u) is the minimum. (If there are more than one such vertices, select one arbitrarily.) Select u and for each unselected vertex v adjacent to u, if L(u) + w(u,v) < L(v), then change the value of L(v) to L(u) + w(u,v), otherwise don't change the value of L(v). Proceed to STEP 2.

The algorithm terminates when z is a selected and the value of L(z) at that time is the length of a shortest-path from a to z.

### **Examples:**

(i) Find the path of shortest length from a to z in the following weighted connected simple graph:

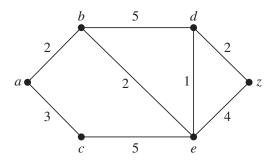


## **Solution:**

L(a)	L(b)	L(c)	L(d)	L(e)	L(z)
0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
0	$\begin{pmatrix} 4 \\ (a) \end{pmatrix}$	$\begin{bmatrix} 2 \\ (a) \end{bmatrix}$	$\infty$	$\infty$	$\infty$
0	$ \begin{array}{ c c } \hline 4\\ (a) \end{array} $	$\begin{bmatrix} 2 \\ (a) \end{bmatrix}$	$\infty$	$5 \\ (a,c)$	$\infty$
0	$ \begin{array}{ c c } \hline 4\\ (a) \end{array} $	$\begin{bmatrix} 2 \\ (a) \end{bmatrix}$	$7 \\ (a,b)$	$\begin{bmatrix} 5 \\ (a,c) \end{bmatrix}$	$\infty$
0	4 (a)	$\begin{bmatrix} 2 \\ (a) \end{bmatrix}$	$7 \\ (a,b)$	$\begin{bmatrix} 5 \\ (a,c) \end{bmatrix}$	$\begin{bmatrix} 6 \\ (a, c, e) \end{bmatrix}$

Hence the shortest path from a to z is acez with length 6.

(ii) Find the path of shortest length from a to all other vertices in the following weighted connected simple graph:



**Solution:** 

L(a)	L(b)	L(c)	L(d)	L(e)	L(z)
0	$\infty$	$\infty$	$\infty$	$\infty$	$\infty$
0	$\begin{bmatrix} 2 \\ (a) \end{bmatrix}$	$\begin{pmatrix} 3 \\ (a) \end{pmatrix}$	$\infty$	$\infty$	$\infty$
0	$\begin{bmatrix} 2 \\ (a) \end{bmatrix}$	[3] (a)	7 $(a,b)$	$\begin{pmatrix} 4 \\ (a,b) \end{pmatrix}$	$\infty$
0	$\begin{bmatrix} 2 \\ (a) \end{bmatrix}$	$\begin{bmatrix} 3 \\ (a) \end{bmatrix}$	7 $(a,b)$	$\begin{bmatrix} 4 \\ (a,b) \end{bmatrix}$	$\infty$
0	$\begin{bmatrix} 2 \\ (a) \end{bmatrix}$	3 (a)	$\begin{bmatrix} 5 \\ (a,b,e) \end{bmatrix}$	$\begin{bmatrix} 4 \\ (a,b) \end{bmatrix}$	$\begin{cases} 8\\ (a,b,e) \end{cases}$
0	$\begin{bmatrix} 2 \\ (a) \end{bmatrix}$	3 (a)	$\begin{bmatrix} 5 \\ (a,b,e) \end{bmatrix}$	$\begin{bmatrix} 4 \\ (a,b) \end{bmatrix}$	$\begin{bmatrix} 7 \\ (a, b, e, d) \end{bmatrix}$

### Hence the shortest path from

a to b is ab with length 2

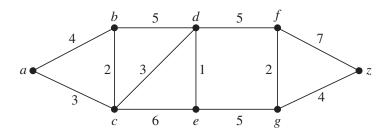
a to c is ac with length 3

a to d is abed with length 5

a to e is abe with length 4

a to z is abedz with length 7.

(iii)



#### **Solution:**

# 6.1.9 Traveling Salesman Problem

Traveling Salesman Problem (TSP): Given n number of cities and the distance between each pair of those cities, the traveling salesman problem is to find a path that the salesman should

travel so as to visit every city precisely once and return home, with the minimum distance traveled. Therefore in graph-theoretic terms, the traveling salesman problem is equivalent to finding a Hamiltonian circuit that has minimum total weight in a weighted complete undirected graph.

**Solving TSP:** The total number of different Hamiltonian circuits in a complete undirected graph of n vertices is  $\frac{(n-1)!}{2}$ . So, theoretically, the TSP can always be solved by finding these  $\frac{(n-1)!}{2}$  different Hamiltonian circuits, finding the total weight of each of those circuits and then choosing a circuit with the least weight.

But practically, this method is very time consuming because for large n, finding  $\frac{(n-1)!}{2}$  different Hamiltonian circuits is very inefficient. For example, if n=25, then

$$\frac{(n-1)!}{2} = \frac{24!}{2} \approx 3.1 \times 10^{23}.$$

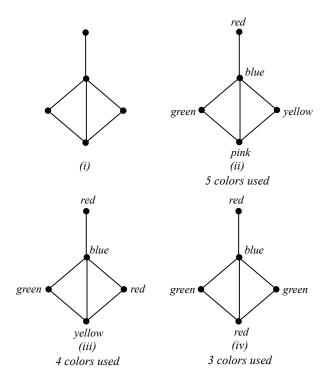
Assuming that it take just one nanosecond ( $10^{-9}$  second) to examine each Hamiltonian circuit, a total of approximately ten million years would be required to find a minimum-length Hamiltonian circuit.

Therefore TSP are practically solved using approximation algorithms which do not necessarily produce the exact solution to the problem but instead produce a solution that is close to the exact solution in a reasonable period of time.

# 6.1.10 Graph Coloring

**Graph Coloring:** The assignment of a color to each vertex of a simple graph so that no two adjacent vertices are assigned the same color is called the graph coloring or coloring of the graph.

For example, in the figures below, (ii), (iii) and (iv) are the graph colorings of the graph is figure (i).



**Chromatic Number:** The chromatic number of a graph G, denoted by  $\chi(G)$ , is the least number of colors needed for a coloring of the graph.

For example, the chromatic number of the above graph is 3.

#### **Chromatic number of some common graphs:**

- 1.  $\chi(K_n)$ : Each vertex of a complete graph  $K_n$  is connected with every other vertex. So if a color is used for one vertex of the graph, that color cannot be reused for any other vertex. So we need at least n colors to properly color  $K_n$ . Hence  $\chi(K_n) = n$ .
- 2.  $\chi(C_n)$ : A cycle  $C_n$  needs either two colors or three colors to color its vertices depending upon whether n is even or odd. So

$$\chi(C_n) = \begin{cases} 2 & \text{if } n \text{ is even} \\ 3 & \text{if } n \text{ is odd.} \end{cases}$$

3.  $\chi(W_n)$ : A wheel graph  $W_n$  has one more vertex that  $C_n$  and this vertex is connected with all the other vertices. So

$$\chi(W_n) = \begin{cases} 3 & \text{if } n \text{ is even} \\ 4 & \text{if } n \text{ is odd.} \end{cases}$$

4.  $\chi(\text{Bipartite graph})$ : If the vertex set V of a bipartite graph G is partitioned into two subsets U and W, then the vertices in U can be given the same color since none of the vertices in U are adjacent. Similarly the vertices in W can be given the same color. Therefore  $\chi(G)=2$  for any bipartite graph G.

### **Applications of Graph Coloring**

#### **Scheduling Exams:**

Graph coloring can be used to schedule the exams so that no student has two exams at the same time and also the exams are completed in the minimum amount of time possible. For this we proceed as follows:

- (1) Represent the courses by vertices.
- (2) If there is a common student in the courses, then join the corresponding vertices by an edge.
- (3) Find a coloring of this associated graph.
- (4) Schedule the exam such that each time slot for the exam is represented by a different color.

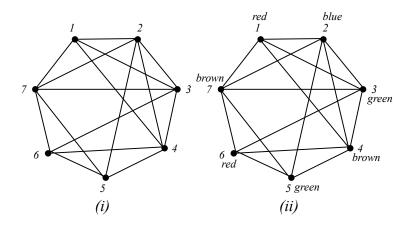
### **Examples:**

1. Suppose that there are seven courses which are numbered as 1, 2, 3, 4, 5, 6, 7 and suppose that the following courses have common students:

$$\{1,2\}, \{1,3\}, \{1,4\}, \{1,7\}, \{2,3\}, \{2,4\}, \{2,5\}, \{2,7\}, \{3,4\}, \{3,6\}, \{3,7\}, \{4,5\}, \{4,6\}, \{5,6\}, \{5,7\}, \{6,7\}.$$

Make an optimum schedule for the exam of these subjects.

**Solution:** The graph associated with the subjects is as in figure (i) below:



The coloring of the graph with minimum number of colors is shown in figure (ii).

Since 4 different colors are used, the exam can be scheduled using 4 time slots as follows:

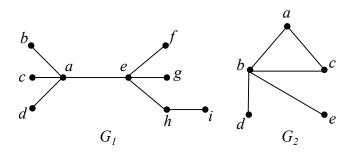
<b>Time Slots</b>	<b>Courses</b>
I (red)	1, 6
II (blue)	2
III (green)	3, 5
IV (brown)	4, 7

- 2. Schedule the exam for ten subjects 1, 2, 3, 4, 5, 6, 7, 8, 9, 10 assuming that the pairs of subjects  $\{1, 2\}, \{1, 5\}, \{1, 8\}, \{2, 4\}, \{2, 9\}, \{2, 7\}, \{3, 6\}, \{3, 7\}, \{3, 10\}, \{4, 8\}, \{4, 3\}, \{4, 10\}, \{5, 6\}, \{5, 7\}$  have common students.
- 3. Schedule the exams for Math115, Math116, Math185, Math195, CS101, CS102, CS273, and CS473, using the fewest number of different time slots, if there are no students taking the following pairs of subjects but there are students in every other combination of courses:

{Math115, CS473}, {Math115, Math116}, {Math115, Math185}, {Math116, CS 473}, {Math185, Math195}, {Math195, CS101}, {Math195, CS102}.

# **6.2** Trees and Spanning Trees

**Tree:** A tree is a connected undirected graph which has no simple circuits. For example, the following graph  $G_1$  is a tree and  $G_2$  is NOT a tree.



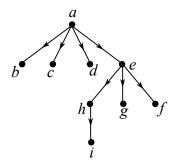
Forest: A collection of disjoint trees is called a forest.

**Theorem 1:** An undirected graph is a tree if and only if there is a unique simple path between any two of its vertices.

**Proof:** Suppose that an undirected graph T is a tree. We need to show that there is a unique simple path between any two of its vertices, say x and y. Since T is connected, there must exist a simple path between x and y. We claim that this path must be unique, for if there were a second such path, then the path formed by combining the first path from x to y followed by the second path from y to x would contain a circuit and hence, a simple circuit. This contradicts the fact that T is a tree. So there is a unique simple path between any two vertices x and y of a tree.

Conversely, suppose that an undirected graph T has a unique simple path between any two of its vertices. We need to show that T is a tree. Since there is a path between any two vertices of T, so T is connected. Also, T cannot have simple circuits because if it had a simple circuit containing the vertices, say x and y, then there would be two simple paths between x and y formed by dividing the simple circuit at x and y. This contradicts the fact that x has a unique simple path between any two of its vertices. Hence x is a tree.

**Rooted Tree:** A rooted tree is a tree in which one vertex has been designated as the root and every edge is directed away from the root. For example, in the graph  $G_1$  above, the rooted tree obtained by designating the vertex a as the root is

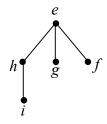


**Note:** Rooted trees are usually drawn with the root at the top of the graph. So the arrows indicating the directions of the edges in a rooted tree can be omitted, because the edges are always directed "away" or "downward" from the root.

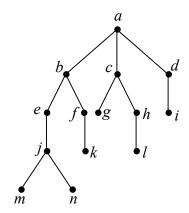
#### **Some definitions:**

Let T be a rooted tree as in the above figure.

- (a) For a vertex T other than the root, the **parent** of v is the unique vertex u such that there is a directed edge from u to v, e.g., parent of h is e.
- (b) A vertex v is called a **child** of vertex u if there is a directed edge from u to v, e.g., h is a child of e.
- (c) Vertices with the same parent are called **siblings**, e.g., h, g and f are siblings.
- (d) The **ancestors** of a vertex other than the root are the vertices in the path from root to this vertex, excluding the vertex itself and including the root, e.g., ancestors of i are h, e and a.
- (e) The **descendants** of a vertex v are those vertices that have v as an ancestor, e.g., descendants of e are h, g, f, i.
- (f) A vertex of a tree is called a **leaf** if it has no children, e.g., b, c, d, i, g, f are leafs.
- (g) A vertex of a tree is called an **internal vertex** if it has children. The root is an internal vertex unless it is the only vertex in the graph, in which case it is a leaf, e.g., a, e, h are internal vertices.
- (h) If v is a vertex in a tree, the **subtree** with v as its root is the subgraph of the tree consisting of v and its descendants and all edges incident to these descendants, e.g., the subtree with e as root is



- (i) The **level of a vertex** v in a rooted tree is the length of the unique path from the root to this vertex.
- (j) The **height** of a rooted tree is the maximum of the levels of its vertices. For example, the height of the following rooted tree is 4.

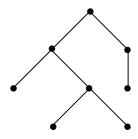


level-0 vertices: a level-1 vertices: b, c, d level-2 vertices: e, f, g, h, i level-3 vertices: j, k, l level-4 vertices: m, n

m-ary tree: A rooted tree is called an m-ary tree if every internal vertex has no more than m children.

Full m-ary tree: A rooted tree is called a full m-ary tree if every internal vertex has exactly m children.

**Binary tree:** An m-ary tree with m=2 is called a binary tree.



**Ordered rooted tree:** A rooted tree where the children of each internal vertex are ordered as first child, second child, third child and so on is called an ordered rooted tree.

The first child of an internal vertex in an ordered binary tree is called the left child and the second child is called the right child.

**Theorem 2:** A tree with n vertices has n-1 edges.

**Proof:** We use mathematical induction to prove this theorem which proceeds in two steps as below:

Basis step: We need to show that the theorem is true when n=1 i.e., a tree with 1 vertex has 1-1=0 edges which is clearly true.

Inductive step: We need to show that if the theorem is true for n=k, then the theorem must also be true for n=k+1 for some positive integer k. So let T be a tree with k+1 vertices and let v be a leaf of T whose parent is w. If the vertex v along with the edge connecting w to v is removed from T, then a tree T' is produced with k vertices. By induction hypothesis, T' has k-1 edges. Since T' is formed from T by removing exactly one edge, so T must have (k-1)+1=k edges. Therefore the induction step is true as well and so the theorem is proved.  $\square$ 

### **6.2.1** Tree Traversal

The process of visiting each vertex of an ordered rooted tree in some order is called tree traversal.

There are three recursive methods of tree traversal.

1. **Preorder traversal:** In preorder traversal of an ordered rooted tree T, a vertex (starting from the root) is visited and then the subtrees of this vertex is traversed in preorder from left to right.

More precisely, if T is an ordered rooted tree with root r and subtrees  $T_1, T_2, \dots, T_n$  at r, then preorder traversal of T proceeds recursively as follows:

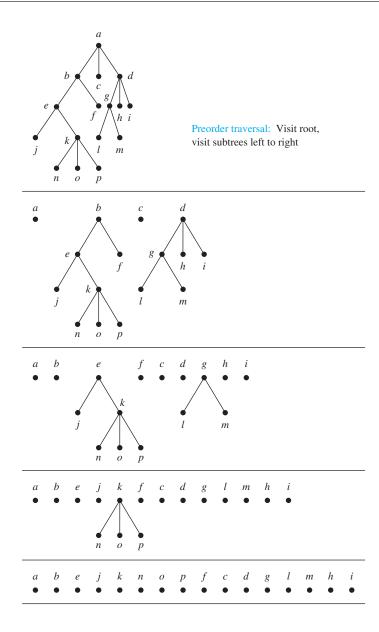
- I. Visit the root r.
- II. Traverse the first subtree  $T_1$  in preorder.
- III. Traverse the second subtree  $T_2$  in preorder.
- IV. Proceeding similarly, traverse the n-th subtree  $T_n$  in preorder.

### Algorithm for preorder traversal:

PROCEDURE preorder (T: ordered rooted tree)

```
\begin{split} r &= \text{root of } T \\ \text{list } r \\ \text{FOR each child } c \text{ of } r \text{ from left to right } \{ \\ T(c) &= \text{subtree with } c \text{ as its root} \\ \text{preorder } (T(c)) \\ \} \end{split}
```

#### **Example:**



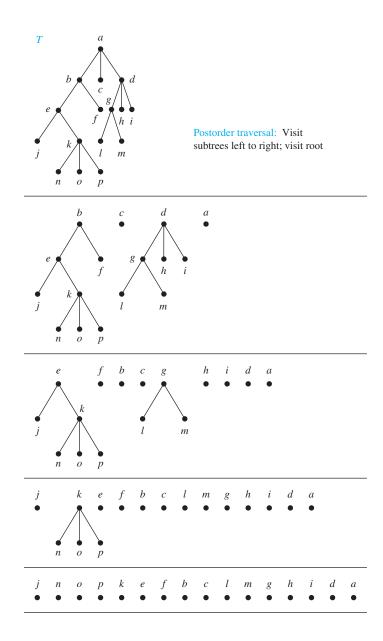
- 2. **Postorder Traversal:** In postorder traversal of an ordered rooted tree T, all the subtrees starting from left to right are traversed in postorder and then the root of the tree is visited. More precisely, if T is an ordered rooted tree with root r and subtrees  $T_1, T_2, \cdots, T_n$  at r, then postorder traversal of T proceeds recursively as follows:
  - I. Traverse the first subtree  $T_1$  in postorder.
  - II. Traverse the second subtree  $T_2$  in postorder.
  - III. Proceeding similarly, traverse the n-th subtree  $T_n$  in postorder.
  - IV. Visit the root r.

## Algorithm for postorder traversal:

PROCEDURE postorder (T: ordered rooted tree)

```
\begin{split} r &= \text{root of } T \\ \text{FOR each child } c \text{ of } r \text{ from left to right } \{ \\ T(c) &= \text{subtree with } c \text{ as its root } \\ \text{postorder } (T(c)) \\ \} \text{ list } r \end{split}
```

# **Example:**



3. **Inorder Traversal:** In inorder traversal of an ordered rooted tree T, the first subtree starting at the left is traversed in inorder, then the root of the tree is visited and then the subtrees from second to last are traversed in inorder.

More precisely, if T is an ordered rooted tree with root r and subtrees  $T_1, T_2, \dots, T_n$  at r, then inorder traversal of T proceeds recursively as follows:

- I. Traverse the first subtree  $T_1$  in inorder.
- IV. Visit the root r.
- II. Traverse the second subtree  $T_2$  in inorder.
- III. Proceeding similarly, traverse the n-th subtree  $T_n$  in inorder.

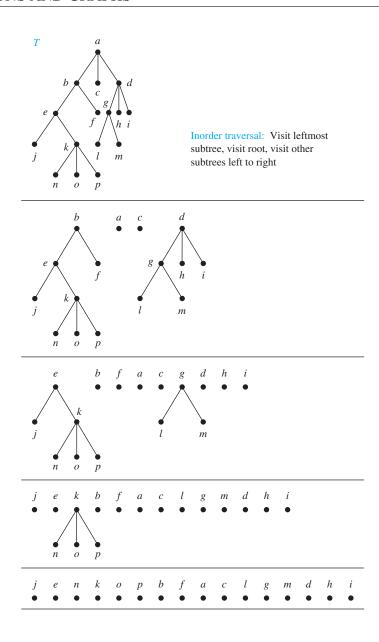
Note: When traversing a binary tree, if the first subtree (left subtree) is absent then we first visit the root and then traverse the second (right) subtree in inorder.

## Algorithm for inorder traversal:

PROCEDURE inorder (*T*: ordered rooted tree)

```
\begin{split} r &= \operatorname{root} \operatorname{of} T \\ \operatorname{IF} r \text{ is a leaf THEN list } r \\ \operatorname{ELSE} \\ \{ & l = \operatorname{first} \operatorname{child} \operatorname{of} r \operatorname{from left} \operatorname{to} \operatorname{right} \\ T(l) &= \operatorname{subtree} \operatorname{with} l \operatorname{ as its root} \\ \operatorname{inorder}(T(l)) \\ \operatorname{list} r \operatorname{FOR} \operatorname{each} \operatorname{child} c \operatorname{of} r \operatorname{except} \operatorname{for} l \operatorname{ from left} \operatorname{to} \operatorname{right} \\ T(c) &= \operatorname{subtree} \operatorname{with} c \operatorname{ as its root} \\ \operatorname{inorder}(T(c)) \\ \} \end{split}
```

# **Example:**



# **6.2.2** Binary Search Trees (BST)

Simplest way to store data is in linear data structures such as arrays but searching for data stored in linear data structure can be time consuming. For example, given a sequence of numbers as 17, 23, 4, 7, 9, 19, 45, 6, 2, 37, 99, we can store them in an array as:

If one wants to search for the number, say 57, then we have to make 11 comparisons before knowing that this number is not in the sequence.

Another way to store data in, is to use hierarchical data structures such as binary search tree as below. The data stored in binary search trees is much simpler and less time-consuming to search.

## Algorithm for locating and inserting items in a BST:

PROCEDURE Insertion (T: binary search tree; x: item)

v:= root of T {a vertex not present in T has the value null} WHILE  $v \neq null$  and  $label(v) \neq x$ 

#### **BEGIN**

IF x < label(v) THEN

IF left child of  $v \neq null$  THEN v := left child of v ELSE add new vertex as a left child of v and set v := null

**ELSE** 

IF right child of  $v \neq null$  THEN v := right child of v ELSE add new vertex as a right child of v to T and set v := null

**END** 

IF root of T=null THEN add a vertex v to the tree and label it with x ELSE IF v is null or  $label(v) \neq x$  THEN label new vertex with x and let v be this new vertex

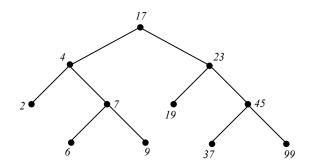
 $\{v = \text{location of } x\}$ 

### **Problems:**

Construct binary search trees for the following data:

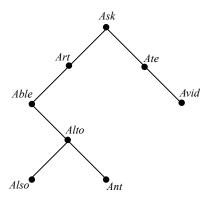
(i) 17, 23, 4, 7, 9, 19, 45, 6, 2, 37, 99

**Solution:** The final tree is



(ii) Ask, Art, Ate, Able, Alto, Also, Avid, Ant

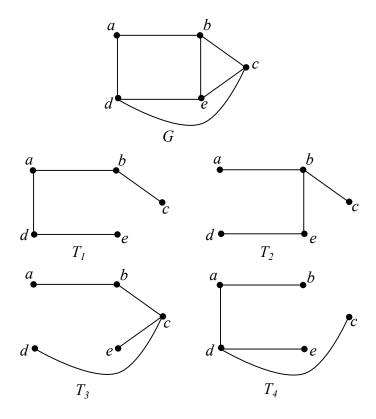
**Solution:** The final tree is



# 6.2.3 Spanning Tree

**Spanning tree:** A spanning tree of a simple graph G is a subgraph of G which is also a tree containing all the vertices of G.

For example, given a simple graph G below, some of its spanning trees are  $T_1$ ,  $T_2$ ,  $T_3$ ,  $T_4$  etc.

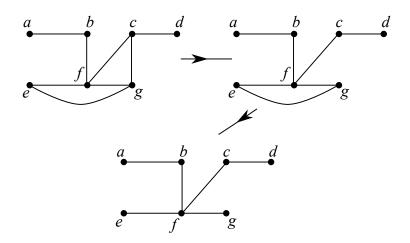


**Theorem:** A simple graph is connected if and only if it has a spanning tree.

**Proof:** Suppose that a simple graph G has a spanning tree T. Then every vertex of G is also in T and there is a path in T between any two of its vertices. Since T is a subgraph of G, so there is a path in G between any two vertices of G. Hence G is connected.

Conversely, suppose that a simple graph G is connected. We need to show that G has a spanning tree. If G is itself a tree, then our theorem is proved. If not, then it must contain a simple circuit. If an edge is removed from one of these simple circuits then the resulting subgraph has all the

vertices of G but one less edge. This subgraph is still connected because when two vertices are connected by a path containing the removed edge, they are connected by a path not containing this edge. Such path can be constructed by inserting into the original path, at the point where removed edge once was, the simple circuit with this edge removed. If this subgraph is not a tree, it must have a simple circuit and so as before, remove an edge that is in a simple circuit. Since there are only a finite number of edges in G, so this process can be repeated until no simple circuits remain. When no simple circuits remain, the process terminates. So we have produced a connected subgraph of G that has no simple circuits and contains all the vertices of G i.e., a spanning tree of G is formed.

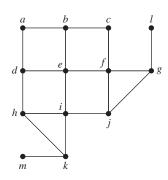


Methods of forming a spanning tree: Given a connected simple graph G, we can make a rooted tree which is a spanning tree of G by following systematic procedure as follows.

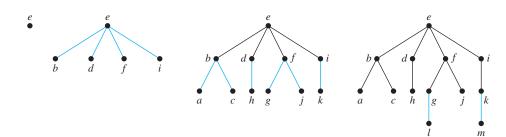
1. **Breadth-First Search (BFS):** The breadth-first search method of forming a spanning tree from a graph G attempts to make a spanning tree which is as fat/wide as possible. For this, we select a vertex of G arbitrarily as root of the tree. Then add all edges incident to this vertex. The new vertices added at this stage becomes the vertices at level 1 in the spanning tree. Arbitrarily order these vertices. Next, for each vertex at level 1, visited in order, add each edge incident to this vertex to the tree as long as it does not produce a cycle. Arbitrarily order the children of each vertex at level 1. This produces the vertices at level 2 in the tree. Follow the same procedure until all the vertices in the tree have been added.

### **Examples:**

a. Form a spanning tree of the following graph using breadth-first search with vertex e as root.



**Solution:** 

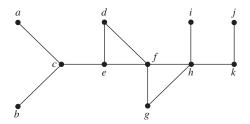


2. **Depth-First Search (DFS):** The depth-first search method of forming a spanning tree of a graph G attempts to make a spanning tree that is as tall/long as possible.

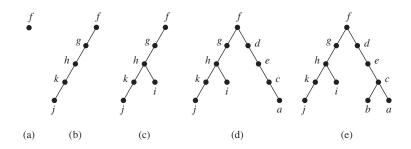
To start, we choose an arbitrary vertex of the graph G as the root. Then form a path starting at this vertex by successively adding vertices and edges where each new edge is incident with the last vertex in the path and a vertex not already in the path. Continue adding vertices and edges to this path as long as possible. If the path goes through all the vertices of the graph, the tree consisting of this path is a spanning tree. However, if the path does not go through all vertices, move back to the next to last vertex in the path and if possible, form a new path starting at this vertex passing through vertices that were not already visited. If this cannot be done, move back another vertex in the path i.e., two vertices back in the path and try again. Repeat this process until no more edges can be added.

### **Examples:**

a. Form a spanning tree of the following graph using depth-first search with vertex f as root.

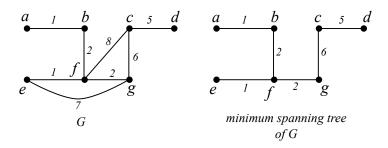


**Solution:** 



**Minimum Spanning Tree (MST):** A minimum spanning tree in a connected weighted graph is a spanning tree that has the smallest possible sum of weights of its edges.

For example, for the weighted connected graph shown below, its minimum spanning tree is as given below:



## Kruskal's Algorithm for finding MST:

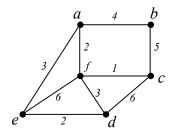
PROCEDURE Kruskal (G: weighted connected undirected graph with n vertices)

$$T$$
:= empty graph FOR  $i:=1$  TO  $n-1$  BEGIN 
$$e:= \text{any edge in } G \text{ with smallest weight that does not form a simple circuit when added to } T.$$
  $T:=T \text{ with } e \text{ added}$  END

 $\{T \text{ is the minimum spanning tree of } G\}$ 

### **Problems:**

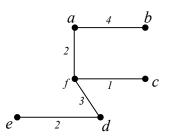
Use Kruskal's algorithm to find the minimum spanning tree of the following graphs: (a)



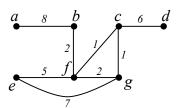
**Solution:** The following edges are chosen to form MST using Kruskal's algorithm.

<b>Choice</b>	Edge	Weight
1	$\overline{\{f,c\}}$	1
2	$\{e,d\}$	2
3	$\{a, f\}$	2
4	$\{f,d\}$	3
5	$\{a,b\}$	4
		$\overline{12}$

Therefore the MST formed has minimum weight 12.



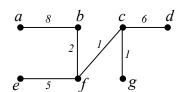
**(b)** 



**Solution:** The following edges are chosen to form MST using Kruskal's algorithm.

<b>Choice</b>	Edge	Weight
1	$\overline{\{f,c\}}$	1
2	$\{c,g\}$	1
3	$\{b, f\}$	2
4	$\{e, f\}$	5
5	$\{c,d\}$	6
6	$\{a,b\}$	8
		$\overline{23}$

Therefore the MST formed has minimum weight 23.



## Prim's Algorithm for finding MST:

PROCEDURE Prim (G: weighted connected undirected graph with n vertices)

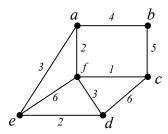
T:= a minimum weight edge FOR i:=1 TO n-2 BEGIN e:= an edge of minimum weight incident to a vertex in T and not forming a simple circuit in T if added to T. T:=T with e added

**END** 

 $\{T \text{ is the minimum spanning tree of } G\}$ 

### **Problems:**

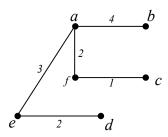
Use Prim's algorithm to find the minimum spanning tree of the following graphs: (a)



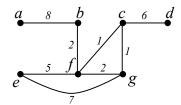
**Solution:** The following edges are chosen to form MST using Prim's algorithm.

<b>Choice</b>	Edge	Weight	<b>Vertices</b>
1	$\overline{\{f,c\}}$	1	f, c
2	$\{f,a\}$	2	f, c, a
3	$\{a,e\}$	3	f, c, a, e
4	$\{e,d\}$	2	f, c, a, e, d
5	$\{a,b\}$	4	f, c, a, e, d, b
		$\overline{12}$	

Therefore the MST formed has minimum weight 12.



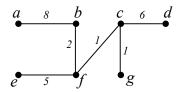
**(b)** 



**Solution:** The following edges are chosen to form MST using Prim's algorithm.

<b>Choice</b>	Edge	Weight	<b>Vertices</b>
1	$\overline{\{f,c\}}$	1	f, c
2	$\{c,g\}$	1	f, c, g
3	$\{b,f\}$	2	f,c,g,b
4	$\{e, f\}$	5	f,c,g,b,e
5	$\{c,d\}$	6	f, c, g, b, e, d
6	$\{a,b\}$	8	f, c, g, b, e, d, a
		$\overline{23}$	

Therefore the MST formed has minimum weight 23.

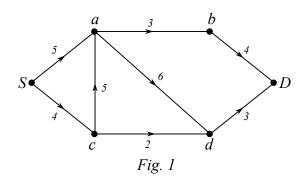


# **6.3** Network Flows

**Transport Network:** Let G=(V,E) be a weakly connected directed graph that does not contain any loops such that

- (i) there are exactly two distinguished vertices S and D called the source and sink of G respectively and
- (ii) there is a nonnegative real-valued function k defined on E called the capacity function of G.

Then (G, k) is called a transport network. Also, for an edge  $e \in E$ , the number k(e) is called the capacity of e.



**Notations:** Let G=(V,E) be a directed graph and x be a vertex. Then  $A(x)=\{y\in V\mid (x,y)\in E\}$  and  $B(x)=\{y\in V\mid (y,x)\in E\}$ . For example, in the above graph,  $A(a)=\{b,d\}$  and  $B(a)=\{S,c\}$ .

**Flow:** Let (G, k) be a transport network. Then a flow in G is a nonnegative real-valued function F defined on E such that

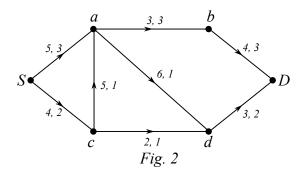
- (i)  $0 \le F(e) \le k(e)$  for each edge  $e \in E$ . (Capacity constraint)
- (ii) if x is any vertex of G other that the source or the sink, then the sum of all values of F(x, y) where  $y \in A(x)$  is equal to the sum of all values F(z, x) where  $z \in B(x)$  i.e.,

$$\sum_{y \in A(x)} F(x,y) = \sum_{z \in B(x)} F(z,x)$$

for all  $x \in V - \{S, D\}$ . (Conservation equation)

(iii) F(e) = 0 for any edge e incident to the source S or incident from the sink D.

For example, the following diagram shows a flow in the transport network in figure 1 above.



**Saturated and Unsaturated Edge:** The edges for which the flow and capacity are equal are called saturated. Otherwise they are called unsaturated edges.

If e is an unsaturated edge, then the slack of e denoted by s(e) is defined as s(e) = k(e) - F(e). For example, the edge ab is saturated and the edge cd is unsaturated in the network flow in figure 2 above. Here slack of cd is 2 - 1 = 1.

**Notation:** If  $X \subset V$  and  $Y \subset V$ , then (X,Y) denotes the set of all edges which go from a vertex in X to a vertex in Y. For example, if  $X = \{a, b\}$  and  $Y = \{c, d, D\}$  in previous graph,

then  $(X, Y) = \{(a, d), (b, D)\}.$ 

If g is any real-valued function on E, then we define  $g(X,Y) = \sum_{e \in (X,Y)} g(e)$ . For example, if (X,Y) is as above, then  $k(X,Y) = \sum_{e \in (X,Y)} k(e) = k(a,d) + k(b,D) = 6 + 4 = 10$  and

$$F(X,Y) = \sum_{e \in (X,Y)} F(e) = F(a,d) + F(b,D) = 1 + 3 = 4.$$

**Theorem:** Let S and D be the source and sink respectively of a transport network (G, k). Let F be a flow in G. Then, the flow out of  $S = \sum_{x \in A(S)} F(S,x)$  is equal to the flow into

$$D = \sum_{x \in B(D)} F(x, D).$$

**Value of the flow:** Let F be a flow defined on the transport network (G, k). Let A(S) = $\{a_1, a_2, \cdots, a_m\}$  and  $B(D) = \{b_1, b_2, \cdots, b_t\}$ . Then the value of the flow F, denoted by |F|, is defined as

$$|F| = F(S, a_1) + F(S, a_2) + \dots + F(S, a_m) = F(b_1, D) + F(b_2, D) + \dots + F(b_t, D).$$

For example, in the previous example, |F| = F(S, a) + F(S, c) = F(b, D) + F(d, D) = 5.

**Maximal Flow:** A flow F in a network (G, k) is called a maximal flow if |F'| < |F| for any flow F' in (G, k).

**S-D Cut:** Let (G, k) be a transport network with source S and sink D. Let  $X \subset V$  and  $\overline{X} = V - X$  such that  $S \in X$  and  $D \in \overline{X}$ . Then the set  $(X, \overline{X})$  of all the edges from a vertex in X to a vertex in  $\overline{X}$  is called an S-D cut.

For example, in our previous network,  $(X, \overline{X})$  is an S - D cut where  $X = \{S, a, d\}$  (so that  $\overline{X} = \{b, c, D\}$ ) and  $(X, \overline{X}) = \{(S, c), (a, b), (d, D)\}.$ 

Capacity of a cut: The capacity of an S-D cut  $(X, \overline{X})$ , denoted by  $k(X, \overline{X})$  is defined as the sum of the capacities of all the edges belonging to that cut.

For example, the capacity of the previous cut is  $k(X, \overline{X}) = 4 + 3 + 3 = 10$ .

**Minimal Cut:** An S-D cut  $(X,\overline{X})$  is called a minimal cut in a transport network (G,k) if there is no S - D cut  $(Y, \overline{Y})$  such that  $k(Y, \overline{Y}) < k(X, \overline{X})$ .

For example, the minimal cut in the previous transport network is  $(X, \overline{X})$  where  $X = \{S, a, c, d\}$ and  $\overline{X} = \{b, D\}$ . So  $(X, \overline{X}) = \{(a, b), (d, D)\}$  with capacity  $k(X, \overline{X}) = 3 + 3 = 6$ .

Max Flow-Min Cut Theorem: In any transport network, the value of any maximal flow is equal to the capacity of a minimal cut.

This theorem asserts the following:

- (1) the existence of a minimal cut  $(X, \overline{X})$ ,
- (2) the existence of a maximal flow F, and
- (3) the equality of |F| and  $k(X, \overline{X})$  for any maximal flow F and any minimal cut  $(X, \overline{X})$ .

To find the maximal flow in a transport network, there are two basic strategies as follows:

- (i) If an edge is not being used to capacity, we could try to send some of the commodity through it.
- (ii) If an edge is working against us by sending some of the commodity back towards the source, we could try to reduce the flow along this edge and redirect in a more practical direction.

**Forward and Backward Edges:** Let (G, k) be a transport network with source S, sink D and a flow F. A non-directed path P from S to D is a sequence of edges  $e_1, e_2, \cdots, e_n$  and a sequence of vertices  $S = v_0, v_1, \cdots, v_n = D$  where edge  $e_i$  is directed from either

- (a)  $v_{i-1}$  to  $v_i$
- (b)  $v_i$  to  $v_{i-1}$

Then the edge  $e_i$  is called a forward edge of P if (a) is true and backward edge if (b) is true. For example, in our previous transport network, SacdD is a non-directed path from S to D. In this path, (S, a), (c, d) and (d, D) are forward edges whereas (c, a) is a backward edge.

**F-augmenting Path:** Let P be a path from S to D in a transport network (G,k) with flow F. Then P is called an F-augmenting path if

- (i) each forward edge of P is unsaturated i.e., F(e) < k(e) and hence s(e) > 0 for any forward edge e of P
- (ii) each backward edge of P, if it exists, has positive flow i.e., F(e)>0 for any backward edge e of P

For example, in the previous transport network, SacdD is an F-augmenting path. Its forward edges (S,a),(c,d) and (d,D) are all unsaturated and its backward edge (c,a) has a positive flow. Another F-augmenting path in the same transport network is SadD which consists entirely of three forward edges, all of which are unsaturated.

**Notation:** For an *F*-augmenting path *P*, let  $\epsilon(P) = \min \epsilon_i(P)$  where

$$\epsilon_i(P) = \begin{cases} s(e_i) = k(e_i) - F(e_i) & \text{if } e_i \text{ is a forward edge of } P \\ F(e_i) & \text{if } e_i \text{ is a backward edge of } P \end{cases}$$

Clearly,  $\epsilon(P) > 0$  for an F-augmenting path P.

## Algorithm for constructing maximal flow:

**Input:** A transport network (G, k) with a given flow F

**Output:** A maximal flow

**Procedure:** 

Repeat steps 1 and 2 below until there are no F-augmenting paths left.

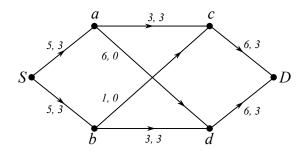
- 1. Choose any F-augmenting path P.
- 2. Form a new flow of higher value equal to  $|F| + \epsilon(P)$  using F and the F-augmenting path P as follows:
  - (a) Add  $\epsilon(P)$  to the value of the flow along all the forward edges on P.
  - (b) Subtract  $\epsilon(P)$  from the value of the flow along all the backward edges on P.

**Note:** To construct a minimal cut  $(X, \overline{X})$  corresponding to a maximal flow, we note that all the edges that are in the minimal cut must be saturated (in the final flow diagram). So if any vertex in X forms an unsaturated edge ending in some vertex v, then v must also belong to X and if any unsaturated edge starts from a vertex v and ends in a vertex in  $\overline{X}$  then v must also belong to  $\overline{X}$ .

#### **Problems:**

Find a maximum flow for the network in the figures below:

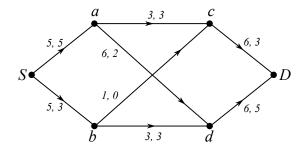
**(i)** 



**Solution:** The path P given by SadD is an F-augmenting path from S to D. For this path,

$$\epsilon(P) = \min\{5 - 3, 6 - 0, 6 - 3\} = \min\{2, 6, 3\} = 2.$$

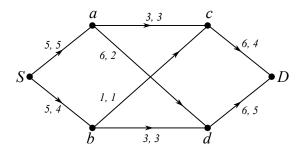
So the flow can be increased along the path SadD by 2 as follows:



The resulting network has the path P given by SbcD as an F-augmenting path from S to D for which

$$\epsilon(P) = \min\{5 - 3, 1 - 0, 6 - 3\} = \min\{2, 1, 3\} = 1.$$

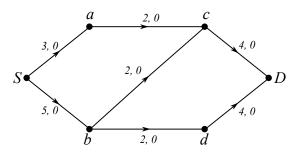
So the flow along the path SbcD can be increased by 1 as follows:



Now there are no more F-augmenting paths in this network and so we have obtained a maximal flow whose value is

$$|F| = 5 + 4 = 4 + 5 = 9.$$

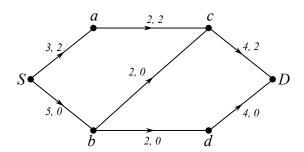
**Note:** The minimal cut in this network is  $(X, \overline{X})$  where  $X = \{S, b\}$  and  $\overline{X} = \{a, c, d, D\}$ . (ii)



**Solution:** The flow along the F-augmenting path P given by SacD can be increased by

$$\epsilon(P) = \min\{3-0, 2-0, 4-0\} = \min\{3, 2, 4\} = 2$$

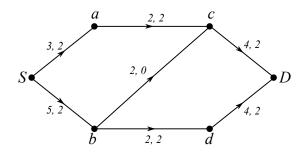
as follows:



The flow along the F-augmenting path P given by SbdD can be increased by

$$\epsilon(P) = \min\{5-0, 2-0, 4-0\} = \min\{5, 2, 4\} = 2$$

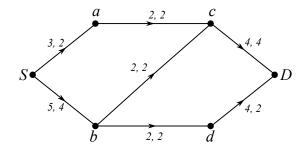
as follows:



The flow along the F-augmenting path P given by SbcD can be increased by

$$\epsilon(P) = \min\{5 - 2, 2 - 0, 4 - 2\} = \min\{3, 2, 2\} = 2$$

as follows:

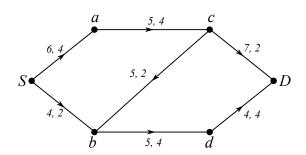


Now there are no more F-augmenting paths in this network and so we have reached a maximal flow whose value is

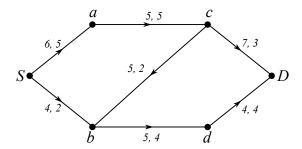
$$|F| = 2 + 4 = 4 + 2 = 6.$$

**Note:** The minimal cut in this network is  $(X, \overline{X})$  where  $X = \{S, a, b\}$  and  $\overline{X} = \{c, d, D\}$  OR  $X = \{S, a, b, c\}$  and  $\overline{X} = \{d, D\}$ .

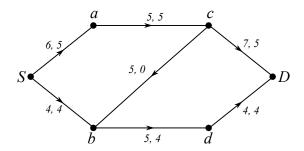
(iii)



**Solution:** The flow along the *F*-augmenting path *P* given by SacD can be increased by  $\epsilon(P) = \min\{6-4, 5-4, 7-2\} = \min\{2, 1, 5\} = 1$  as follows:



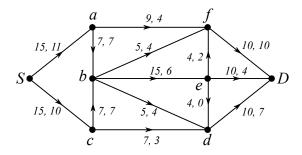
The resulting network has an F-augmenting path P given by SbcD where (S,b) and (c,D) are forward edges and (c,b) is a backward edge. So the flow along the path SbcD can be increased by  $\epsilon(P) = \min\{4-2,2,7-3\} = \min\{2,2,4\} = 2$  as follows:



Now there are no more F-augmenting paths in this network and so we have reached a maximal flow whose value is

$$|F| = 5 + 4 = 9.$$

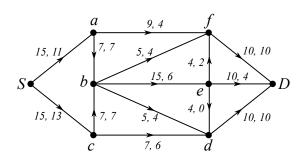
**Note:** The minimal cut in this network is  $(X, \overline{X})$  where  $X = \{S, a\}$  and  $\overline{X} = \{b, c, d, D\}$ . (iv)



**Solution:** The flow along the F-augmenting path ScdD can be increased by

$$\epsilon(P) = \min\{15-10, 7-3, 10-7\} = \min\{5, 4, 3\} = 3$$

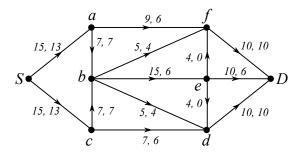
as follows:



The flow along the F-augmenting path SafeD can be increased by

$$\epsilon(P) = \min\{15-11, 9-4, 2, 10-4\} = \min\{4, 5, 2, 6\} = 2$$

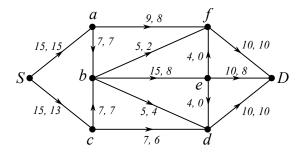
as follows:



The flow along the F-augmenting path SafbeD can be increased by

$$\epsilon(P) = \min\{15-13, 9-6, 4, 15-6, 10-6\} = \min\{2, 3, 4, 9, 4\} = 2$$

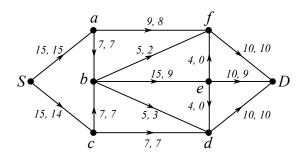
as follows:



The flow along the F-augmenting path ScdbeD can be increased by

$$\epsilon(P) = \min\{15-13, 7-6, 4, 15-8, 10-8\} = \min\{2, 1, 4, 7, 2\} = 1$$

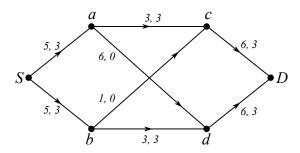
as follows:



There are no more F-augmenting paths. So we have reached a maximal flow whose value is

$$|F| = 15 + 14 = 10 + 9 + 10 = 29.$$

**Note:** The minimal cut in this network is  $(X, \overline{X})$  where  $X = \{S, c\}$  and  $\overline{X} = \{a, b, d, e, f, D\}$ . Find a minimal cut for the network in the figures below: (i)



**Solution:** The possible cuts of the given network are shown in the table below:

X	$\overline{X}$	$(X, \overline{X})$	Capacity
$\{S\}$	$\{a, b, c, d, D\}$	$\{(S,a),(S,b)\}$	5 + 5 = 10
$\{S,a\}$	$\{b, c, d, D\}$	$\{(a,c),(a,d),(S,b)\}$	3+6+5=14
$\{S,b\}$	$\{a, c, d, D\}$	$\{(S,a),(b,c),(b,d)\}$	5+1+3=9
$\{S,c\}$	$\{a, b, d, D\}$	$\{(S, a), (S, b), (c, D)\}$	5+5+6=16
$\{S,d\}$	$\{a,b,c,D\}$	$\{(S, a), (S, b), (d, D)\}$	5+5+6=16
$\{S, a, b\}$	$\{c,d,D\}$	$\{(a,c),(a,d),(b,c),(b,d)\}$	3 + 6 + 1 + 3 = 13
$\{S, a, c\}$	$\{b,d,D\}$	$\{(S,b),(a,d),(c,D)\}$	5+6+6=17
$\{S, a, d\}$	$\{b, c, D\}$	$\{(S,b),(a,c),(d,D)\}$	5+3+6=14
$\{S,b,c\}$	$\{a,d,D\}$	$\{(S,a),(b,d),(c,D)\}$	5 + 3 + 6 = 14
$\{S, b, d\}$	$\{a, c, D\}$	$\{(S,a),(b,c),(d,D)\}$	5+1+6=12
$\{S, c, d\}$	$\{a, b, D\}$	$\{(S,a),(S,b),(c,D),(d,D)\}$	5 + 5 + 6 + 6 = 22
$\{S, a, b, c\}$	$\{d, D\}$	$\{(a,d),(b,d),(c,D)\}$	6+3+6=15
$\{S, a, b, d\}$	$\{c, D\}$	$\{(a,c),(b,c),(d,D)\}$	3+1+6=10
$\{S,b,c,d\}$	$\{a, D\}$	$\{(S, a), (c, D), (d, D)\}$	5+6+6=17
$\{S, a, c, d\}$	$\{b,D\}$	$\{(S,b),(c,D),(d,D)\}$	5 + 6 + 6 = 17
$\{S, a, b, c, d\}$	$\{D\}$	$\{(c,D),(d,D)\}$	6 + 6 = 12

From the table, we can see that the minimal cut is the cut  $(X, \overline{X}) = \{(S, a), (b, c), (b, d)\}$  where  $X = \{S, b\}$  and  $\overline{X} = \{a, c, d, D\}$  with capacity 9.