Requirements Elicitation Log

This log captures hypothetical stakeholder questions and answers derived using various elicitation techniques for the Risk Profiling & Suitability Engine project.

Stakeholder Interview (Compliance Officer)

- Q: What challenges do you face in ensuring investment recommendations are compliant?
- A: Manual reviews take too much time; we need an automated suitability check with an audit trail.
- Q: Which regulations must be prioritized for compliance?
- A: MiFID II and SEBI suitability guidelines must be followed strictly.

Workshop / Brainstorming (Financial Advisors)

- Q: How do you currently profile client risk?
- A: We use static questionnaires, but they don't adapt to client responses.
- Q: What would make the process easier for you?
- A: Dynamic, configurable questions and real-time product filtering.

Prototyping / Wireframes (Client Perspective)

- Q: Would a progress bar help you complete the questionnaire?
- A: Yes, it makes the process transparent and less intimidating.
- Q: Do you prefer mobile or desktop for filling the questionnaire?
- A: Mostly mobile, so it must be responsive.

Document Analysis (Regulatory / Compliance Docs)

- Q: What rules should we consider when designing the suitability engine?
- A: MiFID II, Reg BI, and SEBI regulations for investor protection.
- Q: What audit requirements exist?
- A: Every recommendation override must be logged with justification for compliance audit.

Survey / Questionnaire (Clients)

- Q: How comfortable are you with answering 15+ questions in one go?
- A: It feels too long; prefer 8–10 key questions with follow-ups only when needed.
- Q: Do you want explanations for technical terms?

- A: Yes, tooltips or glossary would be very useful.