

# Wireframe / Mock-up Templates

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## Page Name / Feature Area

Client Risk Profiling Questionnaire

## Purpose of the Screen

To capture client responses through a dynamic and adaptive questionnaire that helps determine the risk profile accurately.

## Wireframe or Sketch

- Header with client name/context
- Progress bar showing completion status
- Dynamic question container with radio/select inputs
- Navigation buttons (Next, Previous, Save/Resume later)
- Help icon/tooltips for behavioral explanation

## Annotations / Notes

- Each question dynamically adapts based on client responses
- Save/Resume option allows partial completion
- Help tooltips provide clarity for non-technical users

## User Flow (optional)

Client completes questionnaire → Profile score generated → Advisor review

## Version / Iteration Number

Version 1.0

## Feedback from Stakeholders

- Liked progress bar for transparency
- Suggested simplifying language of some risk-related questions

## Client Risk Profiling Questionnaire

John Doe



30%

What is your investment experience? 

- ☐ Very limited
- ☐ Somewhat limited
- ☐ Moderate
- ☐ Extensive

Previous

Next

Save/Resume later

## **Page Name / Feature Area**

Advisor Dashboard View

## **Purpose of the Screen**

To give advisors a consolidated view of client profiles, risk scores, and suitability flags for better decision-making and compliance.

## **Wireframe or Sketch**

- Client list with profile risk score summary
- Suitability flags/icons
- Action links for override with audit log option

## **Annotations / Notes**

- Advisors can filter clients by risk score category
- Override action is logged for compliance
- Icons/flags provide quick at-a-glance insights

## **User Flow (optional)**

Advisor logs in → Views client list → Reviews suitability flags → Overrides if required


## **Version / Iteration Number**

Version 1.0

## **Feedback from Stakeholders**

- Appreciated compliance audit log feature
- Suggested color-coding risk levels for better readability

## Advisor Dashboard View

Client	Risk Score	Suitability	
Jane Smith	Moderate		Override
Robert Johnson	High		Override
Emily Brown	Low		Override
Michael Wilson	Moderate		Override

Override

Override