Requirements Elicitation Log

This log captures hypothetical stakeholder questions and answers derived using various elicitation techniques for the Risk Profiling & Suitability Engine project.

# Stakeholder Interview (Compliance Officer)

* Q: What challenges do you face in ensuring investment recommendations are compliant?
* A: Manual reviews take too much time; we need an automated suitability check with an audit trail.
* Q: Which regulations must be prioritized for compliance?
* A: MiFID II and SEBI suitability guidelines must be followed strictly.

# Workshop / Brainstorming (Financial Advisors)

* Q: How do you currently profile client risk?
* A: We use static questionnaires, but they don’t adapt to client responses.
* Q: What would make the process easier for you?
* A: Dynamic, configurable questions and real-time product filtering.

# Prototyping / Wireframes (Client Perspective)

* Q: Would a progress bar help you complete the questionnaire?
* A: Yes, it makes the process transparent and less intimidating.
* Q: Do you prefer mobile or desktop for filling the questionnaire?
* A: Mostly mobile, so it must be responsive.

# Document Analysis (Regulatory / Compliance Docs)

* Q: What rules should we consider when designing the suitability engine?
* A: MiFID II, Reg BI, and SEBI regulations for investor protection.
* Q: What audit requirements exist?
* A: Every recommendation override must be logged with justification for compliance audit.

# Survey / Questionnaire (Clients)

* Q: How comfortable are you with answering 15+ questions in one go?
* A: It feels too long; prefer 8–10 key questions with follow-ups only when needed.
* Q: Do you want explanations for technical terms?
* A: Yes, tooltips or glossary would be very useful.