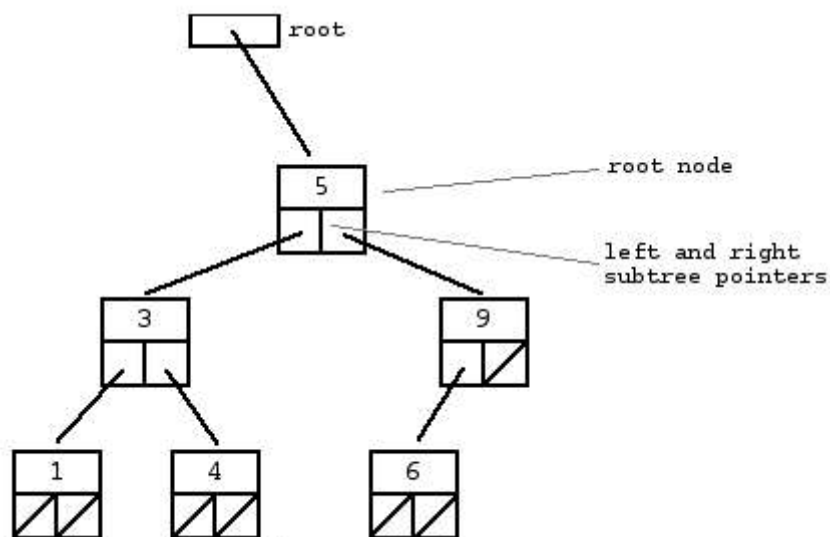


## 4. Binary Trees

### 4a. Binary Trees - Ordered or Unordered

Ordered binary tree or Binary search tree(BST)  $\Rightarrow \max(\text{LeftSubTree}) \leq \text{Node/Root} \leq \min(\text{RightSubTree})$

Note - A "binary search tree" is different from a "binary tree".



The nodes at the bottom edge of the tree have empty subtrees and are called "leaf" nodes (1, 4, 6) or external nodes while the others are "internal" nodes (3, 5, 9).

Basically, binary search trees are fast at insert and lookup. .On average, a binary search tree algorithm can locate a node in an N node tree in order  $\log(N)$  time (log base 2). Therefore, binary search trees are good for "dictionary" problems where the code inserts and looks up information indexed by some key. The  $\lg(N)$  behavior is the average case -- it's possible for a particular tree to be much slower depending on its shape

BST for lookup and insert -

Avg.  $O(\log_2(N))$

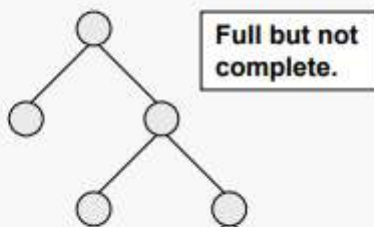
Worst  $O(N)$

## Full and Complete Binary Trees

### Binary Tree Theorems 1

Here are two important types of binary trees. Note that the definitions, while similar, are logically independent.

**Definition:** a binary tree T is *full* if each node is either a leaf or possesses exactly two child nodes.



**Definition:** a binary tree  $T$  with  $n$  levels is *complete* if all levels except possibly the last are completely full, and the last level has all its nodes to the left side.

Complete  
but not full.

Neither  
complete nor  
full.

Full and complete.

## Full Binary Tree Theorem

## Binary Tree Theorems 2

**Theorem:** Let  $T$  be a nonempty, full binary tree Then:

- (a) If  $T$  has  $I$  internal nodes, the number of leaves is  $L = I + 1$ .
- (b) If  $T$  has  $I$  internal nodes, the total number of nodes is  $N = 2I + 1$ .
- (c) If  $T$  has a total of  $N$  nodes, the number of internal nodes is  $I = (N - 1)/2$ .
- (d) If  $T$  has a total of  $N$  nodes, the number of leaves is  $L = (N + 1)/2$ .
- (e) If  $T$  has  $L$  leaves, the total number of nodes is  $N = 2L - 1$ .
- (f) If  $T$  has  $L$  leaves, the number of internal nodes is  $I = L - 1$ .

Basically, this theorem says that the number of nodes  $N$ , the number of leaves  $L$ , and the number of internal nodes  $I$  are related in such a way that if you know any one of them, you can determine the other two.

## Possible Implementation of tree



```
struct TreeNode
{
    Object element;
```

```

    ...
    TreeNode *firstChild; /* Pointer to linked list of children */
    TreeNode *nextSibling; /* Pointer to linked list of siblings */
}

```

Alternatively: a **TreeNode** can have pointer to parent, and a **list** (List ADT) of children (each of which is a tree). Class **Tree** itself can then contain one **TreeNode** corresponding to **root**.  
**Many implementations possible.**

## Height and Size

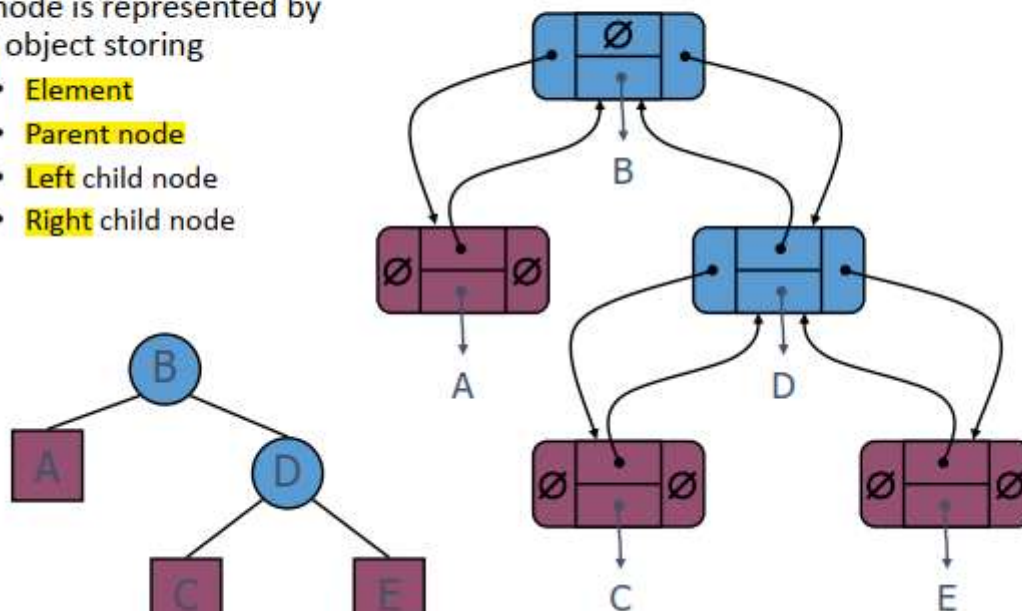


- $height(\Phi) = 0$
- $height(plant(n, L, R)) = next(max(height(L), height(R)))$
- Here  $max$  is the maximum function on numbers defined by
  - $max(0, n) = n$  for all  $n$
  - $max(next(m), n) = next(m)$  if  $max(m, n) = m$   
 $= n$  otherwise
- $size(\Phi) = 0$
- $size(plant(n, L, R)) = next(add(size(L), size(R)))$

## Data Structure for Binary Trees



- A node is represented by an object storing
  - **Element**
  - **Parent node**
  - **Left child node**
  - **Right child node**





## Properties of Binary Trees



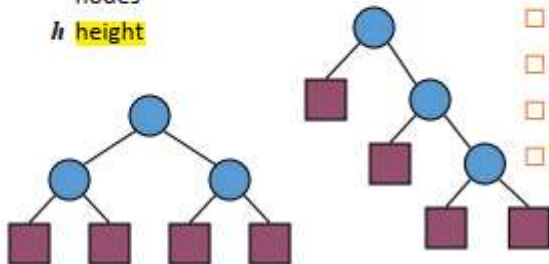
### • Notation

$n$  number of nodes

$e$  number of external nodes or leaves

$i$  number of internal nodes

$h$  height



### • Properties:

$$\square e = i + 1$$

$$\square n = 2e - 1$$

$$\square h \leq i$$

$$\square h \leq (n - 1)/2$$

$$\square e \leq 2^h$$

$$\square h \geq \log_2 e$$

$$\square h \geq \log_2 (n + 1) - 1$$

## Special Binary Trees



- Some special types of binary trees are particularly useful.
- **Full binary trees**
  - $full(\Phi) = true$
  - $full(plant(n, L, R)) = false$  if  $height(L) \neq height(R)$   
 $= full(L) \text{ and } full(R)$  otherwise
- A full binary tree of height  $h$  has size  $2^h - 1$
- For every node, the left and right subtree have the same size.

## Complete Binary Trees



- $complete(\Phi) = true$
- $complete(plant(n, L, R)) = full(L) \text{ and } complete(R)$   
 if  $height(L) = height(R)$   
 $= complete(L) \text{ and } full(R)$   
 if  $height(L) = next(height(R))$   
 $= false$  otherwise

## Binary Tree



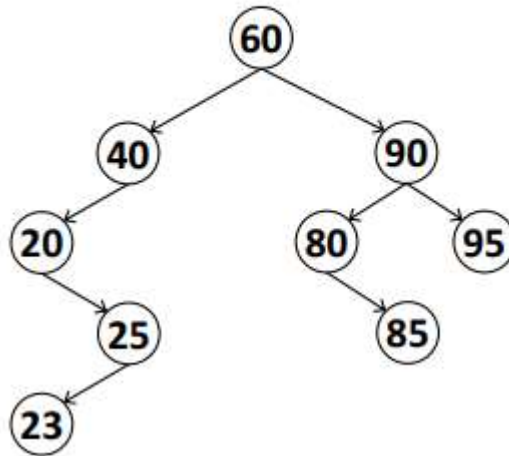
- **Nodes**

- **Parent**

- Left Child
- Right Child
- Can have either left, right, or both

- **Leaf Node**

- Does **not** have left and right child



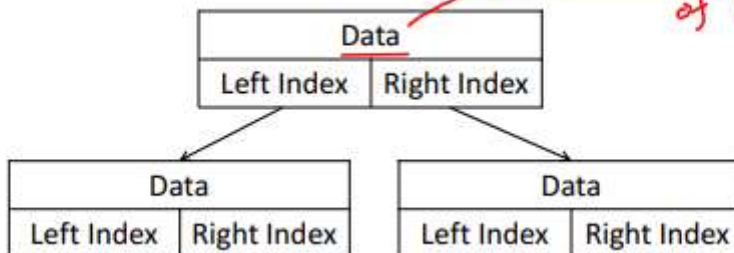
## Represented Internally as



- Each node in the tree is represented with

- **Data**: The element
- **leftIndex**: Index of the left child
- **rightIndex**: Index of the right child

could also include height of node



## Basic Operations



- **Making a node** (Root)

- **Inserting**

- Insert Left node
- Insert Right node

- **Traversing**

- Pre-Order
- In-Order
- Post-Order

**Pre-Order** (Inorder sorts the list)



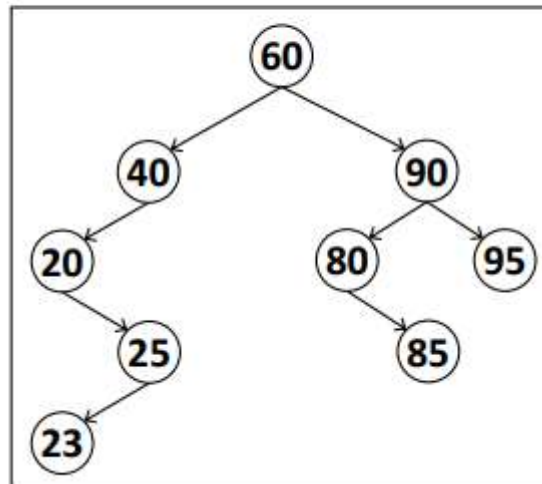
**Pre-Order**

Display Root

Traverse Left recursively

Traverse Right recursively

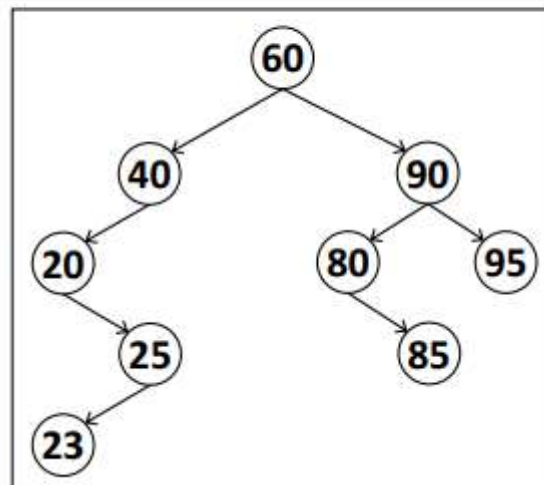
60, 40, 20, 25, 23, 90, 80, 85, 95

**In-Order****In-Order**

Traverse Left recursively

Display Root

Traverse Right recursively

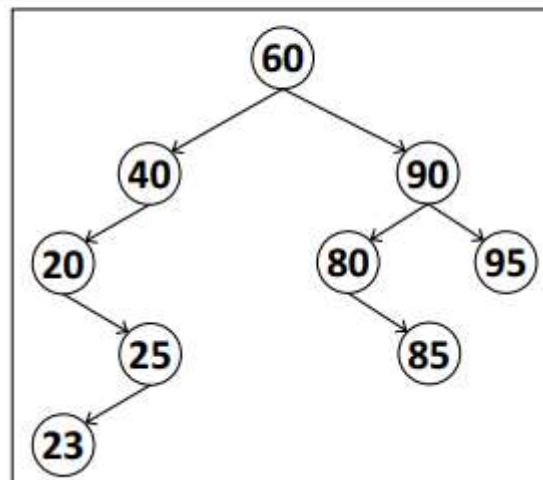
20, 23, 25, 40, 60, 80, 85, 90, 95**Post-Order****Post-Order**

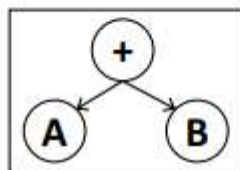
Traverse Left recursively

Traverse Right recursively

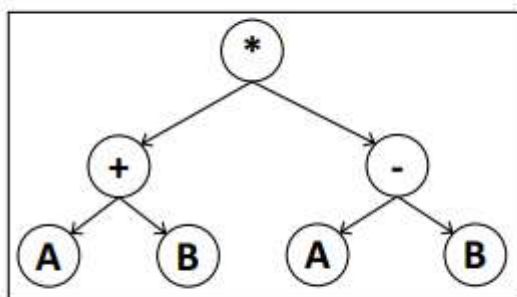
Display Root

23, 25, 20, 40, 85, 80, 95, 90, 60

**Applications**



<b>Infix</b>	A+B
<b>Prefix</b>	+AB
<b>Postfix</b>	AB+



<b>Infix</b>	(A+B) * (A-B)
<b>Prefix</b>	* +AB - AB
<b>Postfix</b>	AB+ AB- *

Best Expression

## Data Structures



- **Structure 'Node'**: Represent each node as
  - Data
  - Left Index
  - Right Index
- **Vector 'bt'**: Represents binary tree or could use a list
  - It is of type structure 'Node'

## Efficiency of Binary Search Trees



- A binary search tree allows many operations on sets to be performed efficiently.
- The time for **find, insert, delete** depends on the **height of the tree rather than the size**.
- Most binary trees have much smaller height compared to their size.
- **More efficient than** using **sequences** for **representing sets**.

## Sorting



- An **inorder traversal** on a binary search tree will **order the nodes of the tree in increasing order of their values**.
- Gives a way of **sorting values of any type T**.



- Start with an empty tree.
- Insert the values in the tree.
- Do an inorder traversal of the tree.
- Used to compare two sets, test for equality of sets represented by binary search trees.

// The most important traversal is inorder traversal

// 1. An inorder traversal on a binary search tree will order the nodes

// of the tree in increasing order of their values.

// 2. An inorder traversal gives the true form of arithmetic expressions

### Leetcode notes

Level-order Traversal - Introduction

Level-order traversal is to traverse the tree level by level.

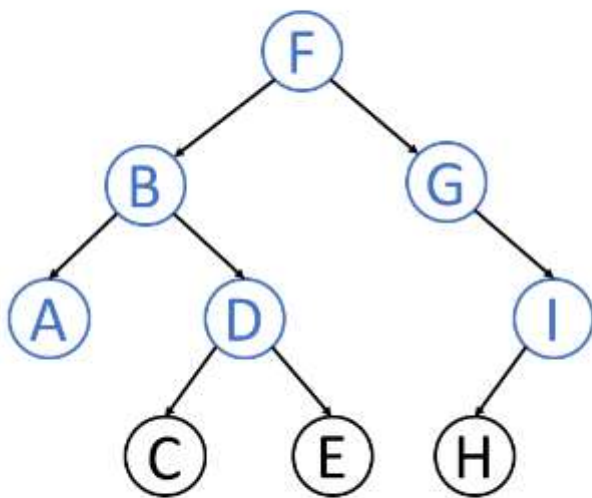
Level order traversal of a tree is breadth-first traversal for the tree.

**Breadth-First Search** is an algorithm to traverse or search in data structures like a tree or a graph. The algorithm starts with a root node and visit the node itself first. Then traverse its neighbors, traverse its second level neighbors, traverse its third level neighbors, so on and so forth.

When we do breadth-first search in a tree, the order of the nodes we visited is in level order.

Here is an example of level-order traversal:

## Tree Level Traversal



Step 1: Level Traversal – Level 2

Queue 

F	B	G	A	D	I	C	E	H
---	---	---	---	---	---	---	---	---

Answer [[F],  
[B, G],  
[A, D, I]]



Typically, we use a queue to help us to do BFS. If you are not so familiar with the queue, you can find more information about that in another card coming soon.

#### Algo for BFS using a queue

queue of node\* as Q

Q.push(root)

```
while(Q is not empty){
    node* current = Q.front()
    print current value
    enqueue both children of the node
        if(current->left!=NULL) Q.push(current->left)
        if(current->right!=NULL) Q.push(current->right)
    dequeue the front node or Q.pop()
}
```

// 15. Binary Tree Level Order Traversal - There are two methods of level order traversal or breadth first search approach.

// (Both are important)

// 15a. Without using a queue. But using two functions 1. maxDepth() and 2. printOneLevel(). # Really IMPORTANT (Learn It)

// This is useful when we need to keep a track of all nodes at a particular level

// I. maxDepth-1 gives the height of the given binary tree i.e. levels 0, 1, 2, 3, ..., n for tree of height n.

// II. printOneLevel() function prints all the nodes in that level.

void printOneLevel(node\* root, int level){ // The root and the level at which all the nodes are to be printed are passed

```
    if(root==NULL) return;
    if(level==0) cout<<root->data<<" "; // Print only the nodes of a
given level
    else if(level>0){ // If the level > 0 => not
reached the required level yet
        printOneLevel(root->left, level-1); // Prints both the left and
right nodes in a given level
        printOneLevel(root->right, level-1);
    }
}
```

void printBFSorLOT(node\* root){

```
    if(root==NULL) cout<<"The given binary tree is empty\n";
    else { // We cannot write a function
```

```

for maxHeight directly but use the fact h = mD - 1
    int height = maxDepth(root)-1;           // As max depth calculates
the max number of nodes in a path
    for(int i=0; i<=height; i++){           // while height is the max
number of connections in a path i.e. maxDepth - 1
        cout<<"[ ";
        printOneLevel(root, i);             // Prints all the nodes for
the given level i which itself varies from 0 to height
        cout<<"]";                          // thus prints all the nodes
in BFS or LOT.
    }
}
}

```

```

// 15b. Using a queue(STL) to print all the nodes in BFS/LOT. Not useful in
tracking all the nodes in a particular level.
void printBFSusingQ(node* root){           // # Really IMPORTANT (Learn
It)
    if(root==NULL){
        cout<<"The given binary tree is empty \n";
        return;
    }
    queue<node*> Q;      // Need #include<queue> or can create our own class
for queue using three functions push(), front() & pop()
    Q.push(root);
    while(!Q.empty()){
        node* curr = Q.front();
        cout<<curr->data<<" ";
        if(curr->left!=NULL) Q.push(curr->left);
        if(curr->right!=NULL) Q.push(curr->right);
        Q.pop();
    }
}

```

### Solve Tree Problems Recursively

- ["Top-down" Solution](#)
- ["Bottom-up" Solution](#)
- [Conclusion](#)

... problems, we have introduced how to solve tree problems recursively. Recursion is one of the most powerful and frequently used techniques for solving tree problems.

As we know, a tree can be defined recursively as a node(the root node) that includes a value and a list of references to children nodes. Recursion is one of the natural features of a tree. Therefore, many tree problems can be solved recursively. For each recursive function call, we only focus on the problem for the current node and call the function recursively to solve its children.

Typically, we can solve a tree problem recursively using a top-down approach or using a bottom-up approach.

## "Top-down" Solution

"Top-down" means that in each recursive call, we will visit the node first to come up with some values, and pass these values to its children when calling the function recursively. So the "top-down" solution can be considered as a kind of **preorder** traversal. To be specific, the recursive function `top_down(root, params)` works like this:

```
1. return specific value for null node
2. update the answer if needed           // answer <-- params
3. left_ans = top_down(root.left, left_params) // left_params <-- root.val, params
4. right_ans = top_down(root.right, right_params) // right_params <-- root.val, params
5. return the answer if needed           // answer <-- left_ans, right_ans
```

For instance, consider this problem: given a binary tree, find its maximum depth.

We know that the depth of the root node is **1**. For each node, if we know its depth, we will know the depth of its children. Therefore, if we pass the depth of the node as a parameter when calling the function recursively, all the nodes will know their depth. And for leaf nodes, we can use the depth to update the final answer. Here is the pseudocode for the recursive function `maximum_depth(root, depth)`:

```
1. return if root is null
2. if root is a leaf node:
3.     answer = max(answer, depth) // update the answer if needed
4. maximum_depth(root.left, depth + 1) // call the function recursively for left child
5. maximum_depth(root.right, depth + 1) // call the function recursively for right child
```

```
int answer; // don't forget to initialize answer before
call maximum_depth
void maximum_depth(TreeNode* root, int depth) {
    if (!root) {
        return;
    }
```

```
    if (!root->left && !root->right) {  
        answer = max(answer, depth);  
    }  
    maximum_depth(root->left, depth + 1);  
    maximum_depth(root->right, depth + 1);  
}
```

## "Bottom-up" Solution

---

"Bottom-up" is another recursive solution. In each recursive call, we will firstly call the function recursively for all the children nodes and then come up with the answer according to the returned values and the value of the current node itself. This process can be regarded as a kind of **postorder** traversal. Typically, a "bottom-up" recursive function `bottom_up(root)` will be something like this:

```
1. return specific value for null node  
2. left_ans = bottom_up(root.left)           // call function recursively for left child  
3. right_ans = bottom_up(root.right)         // call function recursively for right child  
4. return answers                           // answer <-- left_ans, right_ans, root.val
```

Let's go on discussing the question about maximum depth but using a different way of thinking: for a single node of the tree, what will be the maximum depth `x` of the subtree rooted at itself?

If we know the maximum depth `l` of the subtree rooted at its **left** child and the maximum depth `r` of the subtree