# Lecture 1: Introduction

USC VSoE CSCI 544: Applied Natural Language Processing
Jonathan May -- 梅約納
August 23, 2017

### What is NLP?

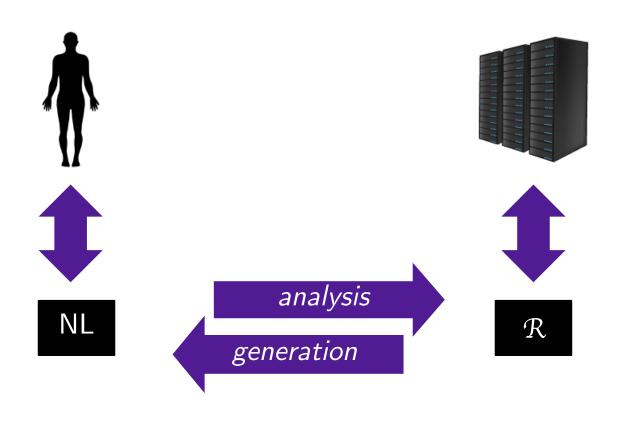
NL ∈ {Mandarin Chinese, English, Spanish, Hindi, ..., Uyghur, ..., Oromo ...}

#### Automation of:

- lacktriangle analysis (NL  $ightarrow \mathcal{R}$ )
- ▶ generation  $(\mathcal{R} \to \mathsf{NL})$
- ightharpoonup acquisition of  ${\mathcal R}$  from knowledge and data

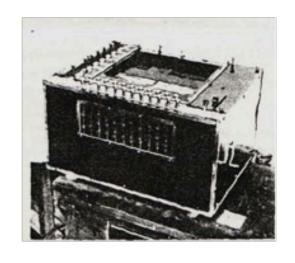
What is  $\mathcal{R}$ ?

Anybody speak a rare language?

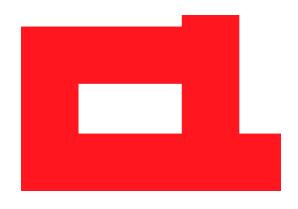


# NLP is a pretty old topic!









Becher mechanical meta-language for language-to-meaning: 1666 Georges Artsruni mechanical brain: 1930 Computers proposed for translation: 1949

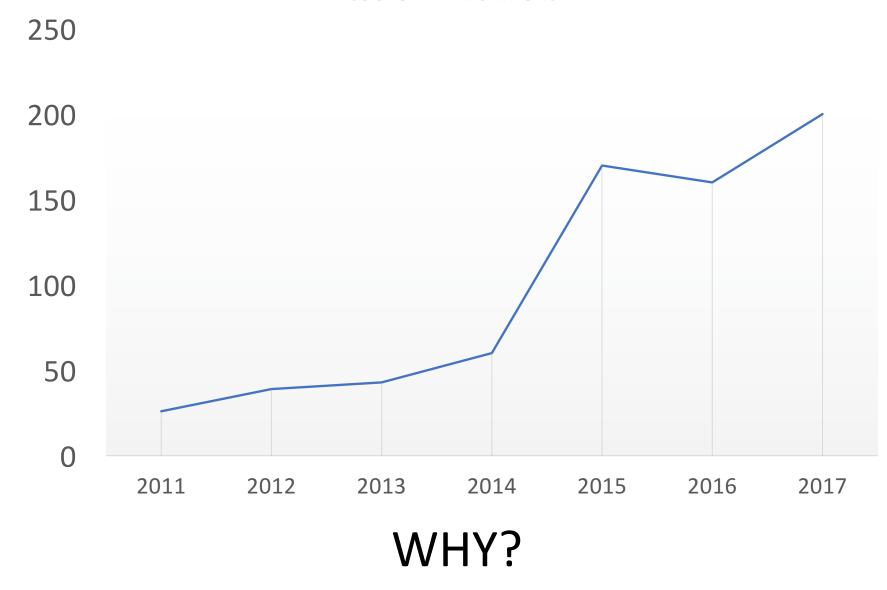
First computer: 1946

ACL founded: 1962

Jon first heard about NLP: 1999

Gainfully employed since 2001 (USC PhD 2004-2010)

(Freigang, 2001)



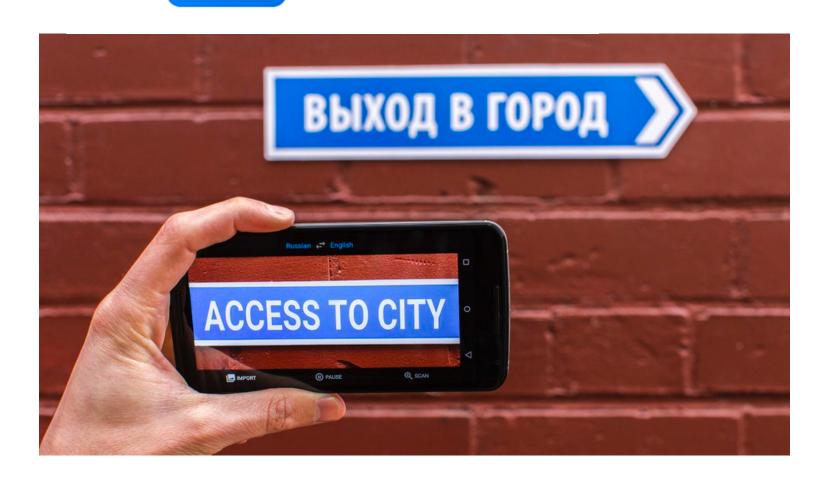
# February 2011



## October 2011







Launched 2006 App 2011

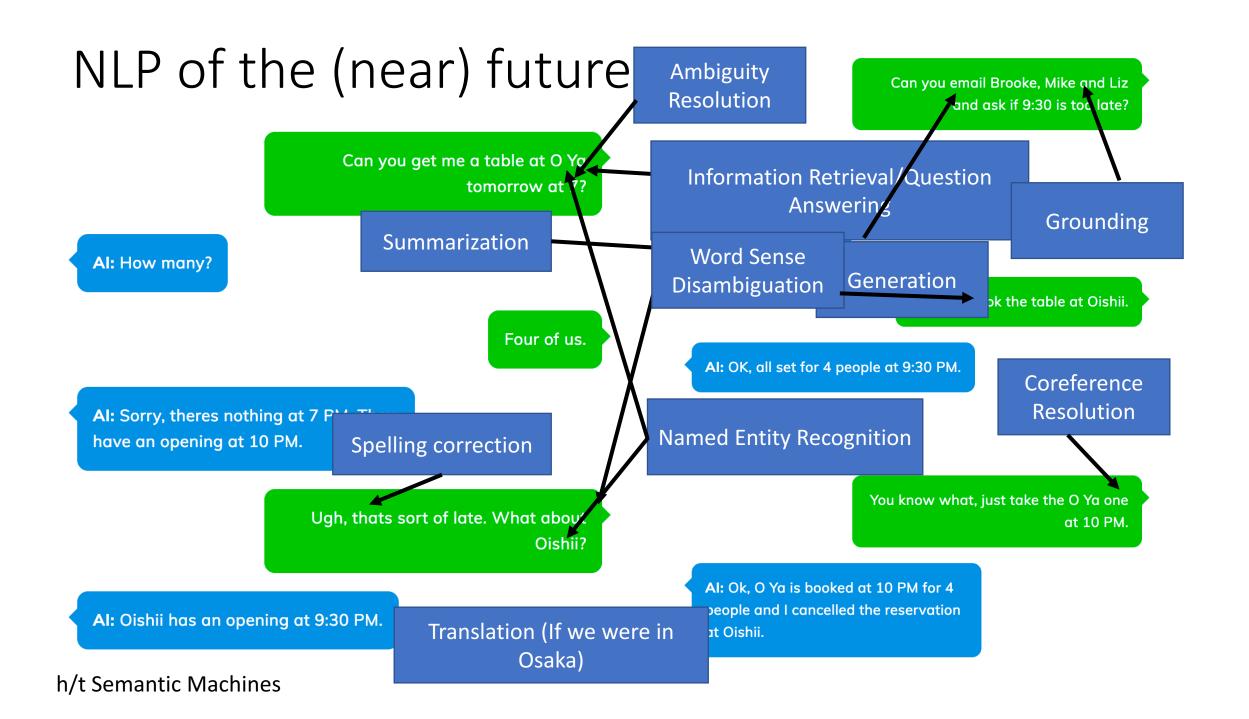
WordLens 2015

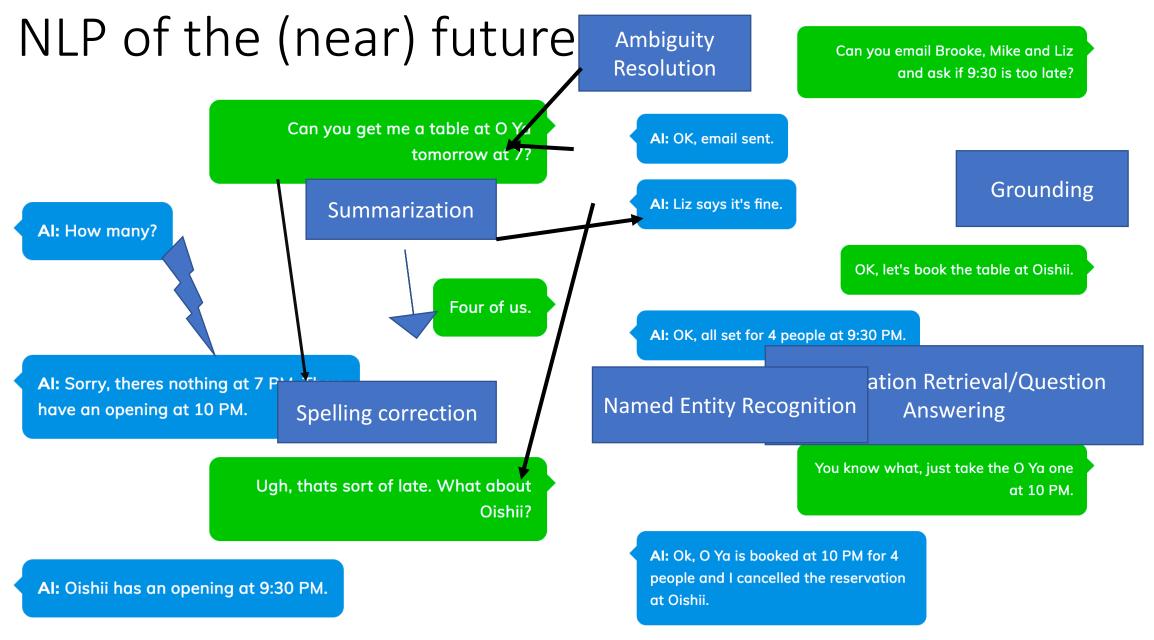
# Where else have you seen NLP in your life, in the news, or elsewhere?

## Today's Applications

- ► Conversational agents
- ► Information extraction and question answering
- ► Machine translation
- Opinion and sentiment analysis
- ► Social media analysis
- ► Rich visual understanding
- Essay evaluation, plagiarism detection (WARNING)
- ► Mining legal, medical, or scholarly literature

What tasks do you want to accomplish?





h/t Semantic Machines

# How do we (humans) do these tasks?

- Spelling Correction
- Named Entity Extraction
- Question Answering
- Coreference Resolution
- Grounding
- Ambiguity Resolution
- Summarization
- Translation

Long story short, you know languages!

# What does it mean to "know" a language?

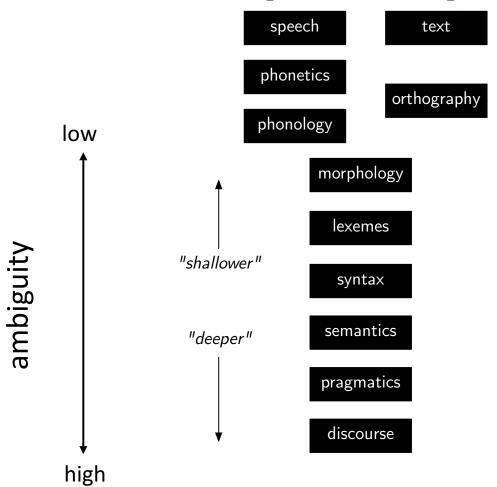
(What is the "true" R?)

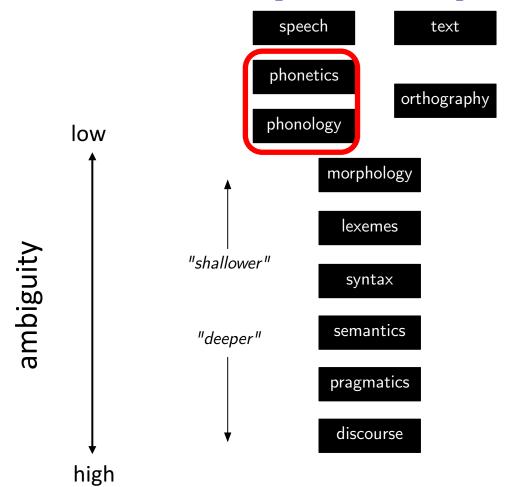
Do I know English?

Do I know Mandarin?

Does a toddler know English?

Does wc know English?





phones = distinct sounds (governed by anatomy)

```
/l/ = alveolar lateral approximant <u>lace</u>

/r/ = alveolar tap <u>race</u>

/r/ = alveolar trill <u>rey</u> (sp.)
```

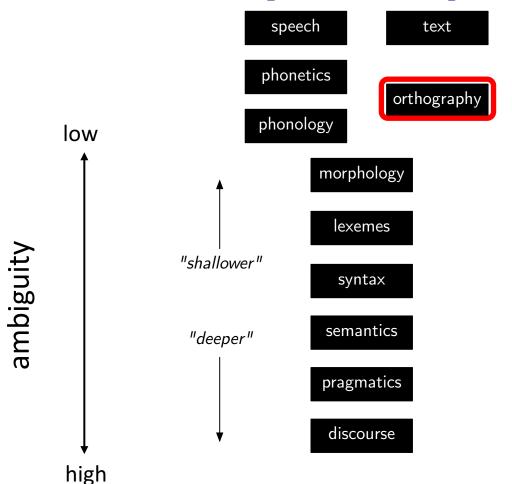
phonemes = meaningfully distinct sounds (governed by language)

English: /r/ vs /r/ conflated

Japanese: /r/ vs /r/ vs /l/ conflated

Hindi: /d/ vs /d<sup>h</sup>/ distinct

Chinese: ma1 vs ma2 vs ma3 distinct

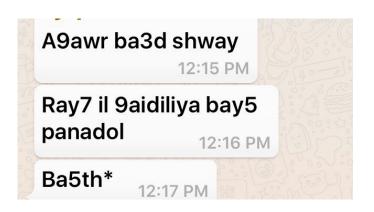


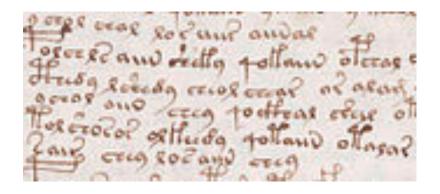


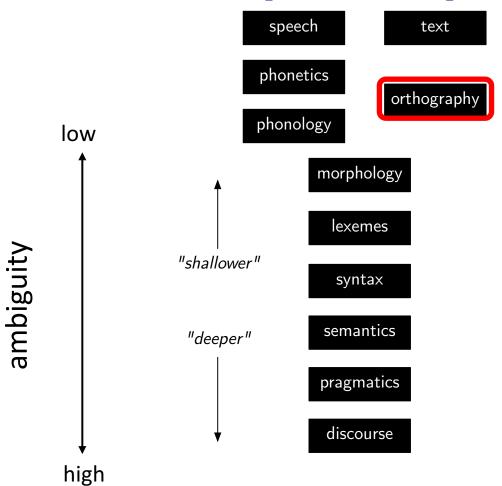
# The New York Times

天地玄黃天地玄黃

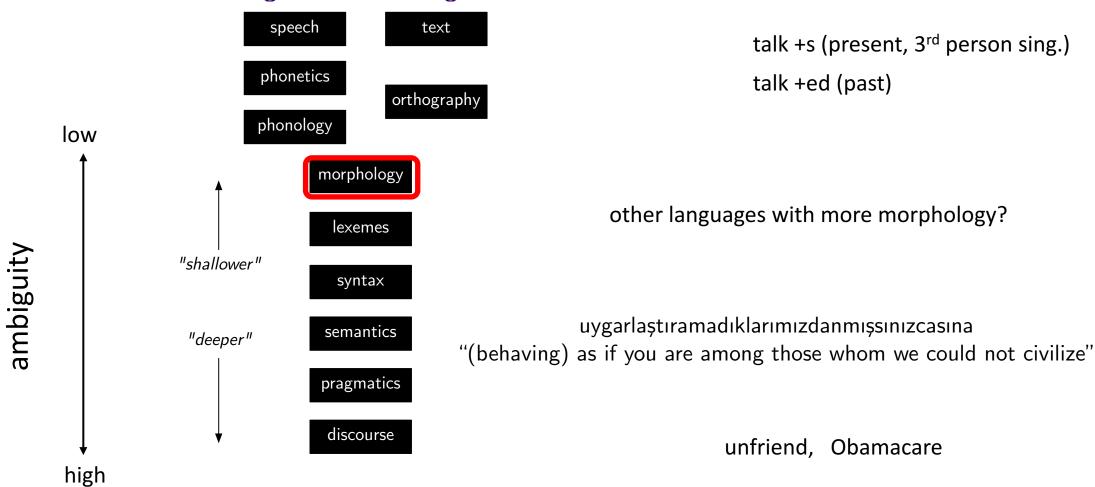
## Levels of Linguistic Knowledge speech text phonetics orthography phonology low morphology lexemes ambiguity "shallower" syntax semantics "deeper" pragmatics discourse high

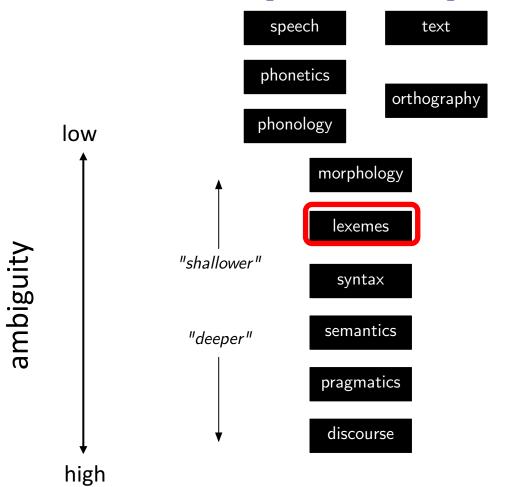






ลูกศิษย์วัดกระทิงยังยื้อปิดถนนทางขึ้นไปนมัสการพระบาทเขาคิชฌกูฏ หวิดปะทะ กับเจ้าถิ่นที่ออกมาเผชิญหน้าเพราะเดือดร้อนสัญจรไม่ได้ ผวจ.เร่งทุกฝ่ายเจรจา ก่อนที่ชื่อเสียงของจังหวัดจะเสียหายไปมากกว่านี้ พร้อมเสนอหยุดจัดงาน 15 วัน....





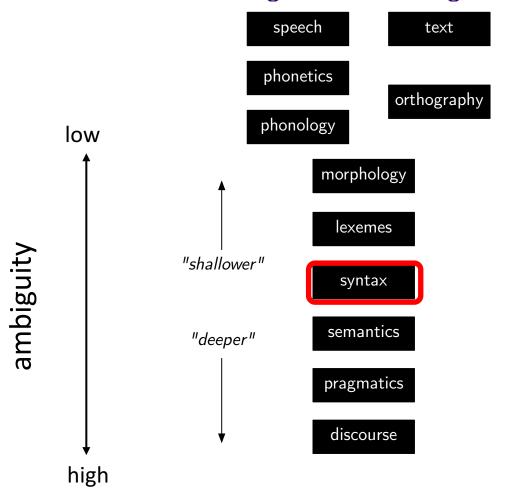
What is a word?
single unit of meaning?
text separated by whitespace?

We have to make decisions!

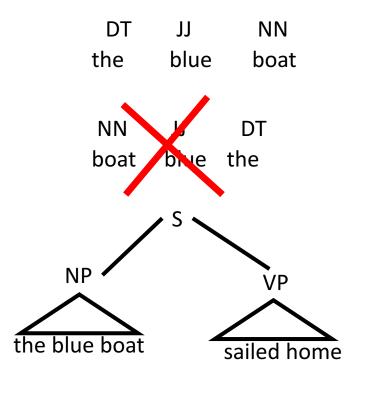
Thai (or Chinese) example?

Turkish example?

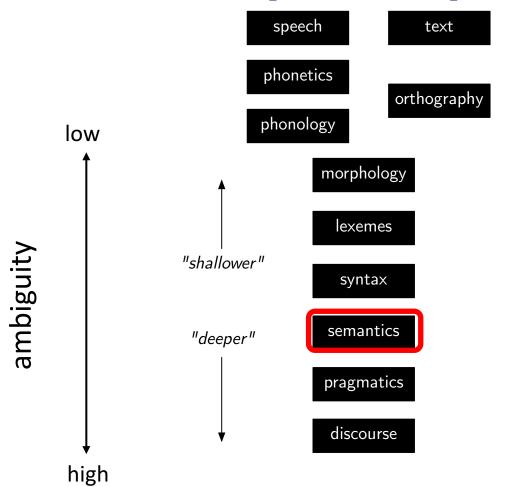
non-compositional multi-word expressions: New York, take out



How do words fit together?

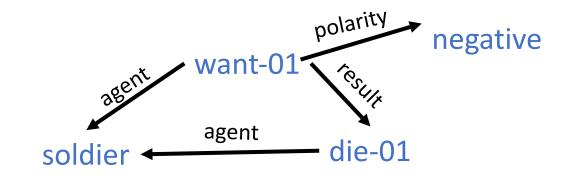


Note similarity to programming languages!



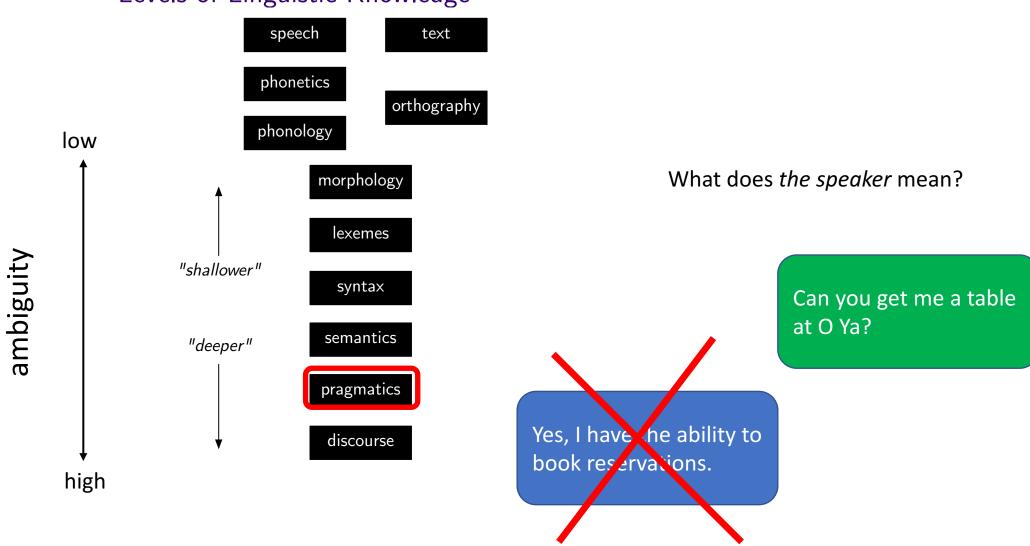
What does a sentence mean?

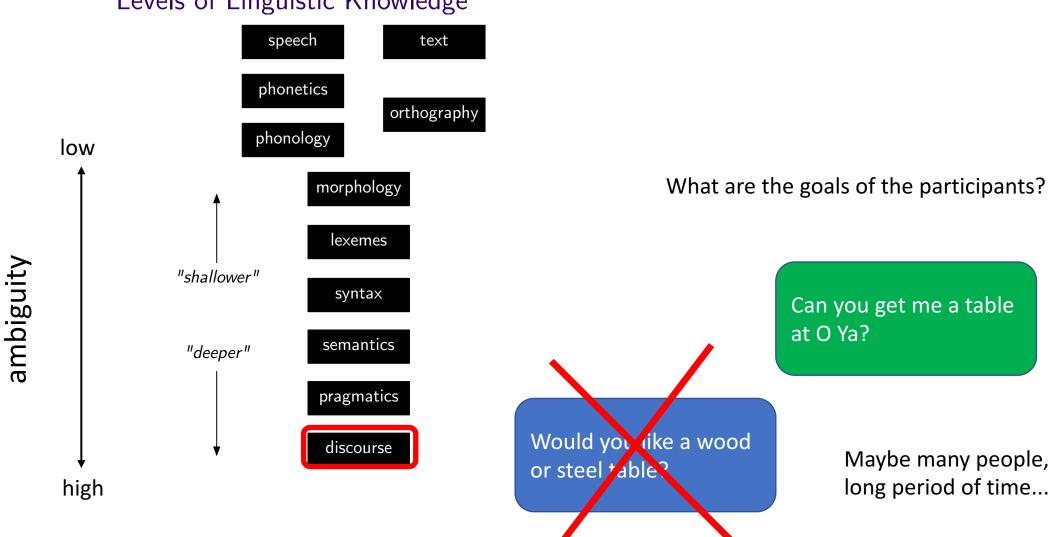
## The soldier did not want to die.



want-01: "desire" (not "lack")

die-01: "cease to live" (not "want very much")





# Ambiguity makes NLP hard

- meaning of bank or mean or latex
- make a decision or take out or make up

# And Funny!

- Enraged Cow Injures Farmer with Ax
- Ban on Nude Dancing on Governor's Desk
- Teacher Strikes Idle Kids
- Hospitals are Sued by 7 Foot Doctors

- Iraqi Head Seeks Arms
- Stolen Painting Found by Tree
- Kids Make Nutritious Snacks
- Local HS Dropouts Cut in Half

# Syntax + Semantics

We saw the woman with the telescope wrapped in paper.

- Who has the telescope?
- What is the paper wrapping?
- An event of perception or an assault?

Humans have one (or two) major readings of this; hard to keep computers from getting the unlikely ones

## Semantics

Every fifteen minutes a woman in this country gives birth.

Our job is to find this woman, and stop her!

-- Groucho Marx

## Richness makes NLP Hard!

- Lots of ways to express the same thing
- Sometimes people communicate in an intentionally ambiguous way
- There are many languages, styles, genres, modalities...

The soldier was not afraid to die <sup>?</sup> The soldier did not fear death

How many other ways can this be said?

"Call me at six niner i three triple 0 dos"

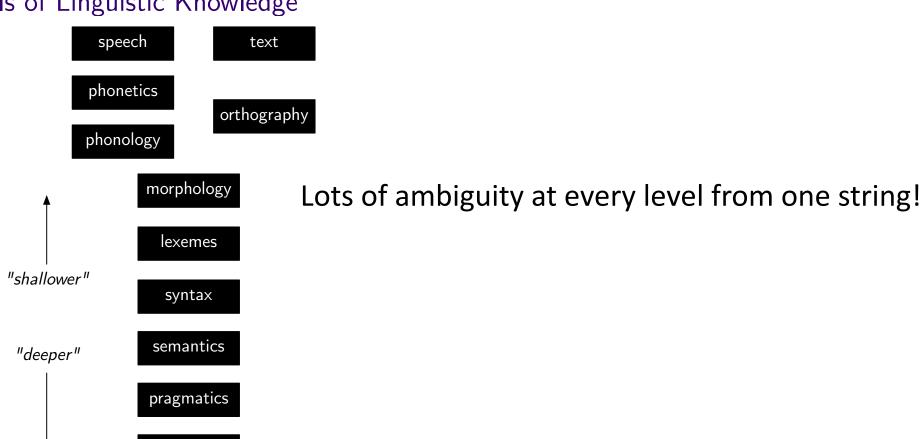
"u ship them? smh." "ikr, lolol, yolo."

"14 words now and then sure 88 later if u want"

# Uncertainty/Noise Propagated at Scale Makes NLP Hard!

Levels of Linguistic Knowledge

discourse



# Representation makes NLP Hard!

Can  $\mathcal{R}$  be "Meaning"?

#### Depends on the application!

- ► Giving commands to a robot
- Querying a database
- ► Reasoning about relatively closed, grounded worlds

#### Harder to formalize:

- Analyzing opinions
- ► Talking about politics or policy
- ► Ideas in science

what is the meaning in these cases?

more than just meaning is represented in these communications

What is the "real" R?

## Why NLP is Hard

- 1. Mappings across levels are complex.
  - ► A string may have many possible interpretations in different contexts, and resolving ambiguity correctly may rely on knowing a lot about the world.
  - ▶ **Richness**: any meaning may be expressed many ways, and there are immeasurably many meanings.
  - ▶ Linguistic **diversity** across languages, dialects, genres, styles, . . .
- 2. Appropriateness of a representation depends on the application.
- 3. Any  $\mathcal{R}$  is a theorized construct, not directly observable.
- 4. There are many sources of variation and noise in linguistic input.

## Desiderata for NLP Methods

(ordered arbitrarily)

- 1. Sensitivity to a wide range of the phenomena and constraints in human language
- 2. Generality across different languages, genres, styles, and modalities
- 3. Computational efficiency at construction time and runtime
- 4. Strong formal guarantees (e.g., convergence, statistical efficiency, consistency, etc.)
- 5. High accuracy when judged against expert annotations and/or task-specific performance

How can we evaluate some of the tasks proposed?

# $NLP \stackrel{?}{=} Machine Learning$

- ► To be successful, a machine learner needs bias/assumptions; for NLP, that might be linguistic theory/representations.
- $ightharpoonup \mathcal{R}$  is not directly observable.
- ► Early connections to information theory (1940s)
- Symbolic, probabilistic, and connectionist ML have all seen NLP as a source of inspiring applications.

# $NLP \stackrel{?}{=} Linguistics$

- ▶ NLP must contend with NL data as found in the world
- ▶ NLP  $\approx$  computational linguistics
- ► Linguistics has begun to use tools originating in NLP!

#### Fields with Connections to NLP

- ► Machine learning
- ► Linguistics (including psycho-, socio-, descriptive, and theoretical)
- ► Cognitive science
- ► Information theory
- ► Logic
- ► Theory of computation
- Data science
- ► Political science
- Psychology
- Economics
- ► Education

People from other fields! Why are you here?

#### The Engineering Side

- Application tasks are difficult to define formally; they are always evolving.
- ▶ Objective evaluations of performance are always up for debate.
- ightharpoonup Different applications require different  $\mathcal{R}$ .
- ▶ People who succeed in NLP for long periods of time are foxes, not hedgehogs.

#### Factors Changing the NLP Landscape

(Hirschberg and Manning, 2015)

- ► Increases in computing power
- ► The rise of the web, then the social web
- Advances in machine learning
- ► Advances in understanding of language in social context

## What You Will Learn In This Course (I hope)

- How the NLP you interact with on a daily basis works
- The various models, algorithms, and tools that are out there to solve language-related problems you want to tackle
- How to design and evaluate your own task/model/algorithm/tool
- The currently open research questions, so you can pursue further study

# Questions about Intro?

Next is Boring Course Stuff That Is Very Important

### The Team



- Jon (instructor)
  - Asst rsrch prof since 2015, USC PhD 2010, worked in NLP since 2001, MT, IE, Semantics, ML
  - I spend most of my time at ISI in Marina del Rey
- Ramesh Manuvinakurike (TA)
  - PhD student since 2013 at ICT studying dialogue systems
- Siddharth Jain (TA)
  - PhD student since 2012 at ICT studying
- Dong Guo (TA)
  - PhD student (ML), dialogue/QA industry experience
- Sneha Salvi (Grader)
- Xiang Zhang (Grader)

### Support

- DO NOT EMAIL US (sorry)
- Piazza Discussion Forums
  - TAs and Instructor will monitor regularly, answer questions, engage in discussion
  - You should answer each others' questions and help your fellow students out!
  - Outstanding student contributors will receive a grade bump-up as extra credit (e.g. B to B+, B+ to A-) [see extra credit details in 4 slides]

#### Office Hours

- Jon: 10am-11am Wed & Fri (i.e. after class), RTH 512; 11am-12pm possible if there is demand
- Siddharth 8am-10am Mon, SAL lab
- Dong 12pm-2pm Tue, EEB 220
- Ramesh 8am-10am Thu, SAL lab

## Syllabus and Schedule

- go to "jonmay.net" and click on the link for the class website
- Schedule will probably change depending on our speed; check back frequently
- No official textbook; readings will be posted on the class website/Blackboard
- Class through 12/1 except:
  - Fri, 9/22 No Class (Instructor religious holiday)
  - Fri, 10/6 MIDTERM (note: see me after class if you have religious holiday)
  - Week of 11/22, 11/24 No Class (Thanksgiving)

### Lecture and Notes

- You don't have to come to class if you don't want to/can't
  - If you do, please pay attention and participate!
- However you are responsible for everything covered in class, and
  - It won't be recorded
  - Slides may not cover everything I discuss
- Slides will be posted soon after class
- I use a lot of slides from other classes and note this; feel free to self educate. In particular check out videos from:
  - Noah Smith (Univ. Washington)
  - Adam Lopez (Univ. Edinburgh)
  - Dan Jurafsky (Stanford)

### Prerequisites

- I expect you to program at the level of a CS undergrad senior or better
- Most of the assignments will be in Python
- There will be basic probability and statistics, which will be reviewed as needed

### Homeworks

- 8 homeworks, 2 weeks to do each one, they overlap (i.e. you will receive hw2 before hw1 is due; cf. syllabus). 7.5% of your grade each.
- No homework will be assigned or due right before midterm/final
- Mostly programming assignments submitted to Vocareum (you should have received an email opening your account, let us know if not!)
- Some written assignments submitted electronically
- You can have 4 late days total over the whole course...
  - ...but no more than 2 per assignment
- Late homeworks thereafter are penalized by 50% for the next 24 hours,
   then not accepted

#### Exams

- Midterm: October 6 (please notify me if it's a religious holiday ASAP)
  - 15% of grade
  - short answers, maybe multiple choice, some derivations, some pencil & paper calculations
  - one double-sided page of notes allowed (may be prepared with other <u>current</u> 544 students)
- Final: December 6, 8-10am
  - 25% of grade
  - like the midterm, but can cover the whole class (emphasis will be on second half)
  - one double-sided page of notes allowed (may be prepared with other <u>current</u> 544 students)

### Extra Credit

- Outstanding forum contributors may have their grade bumped a category (e.g. B- to B, B+ to A-).
- The number of and determination of such contributors is up to the staff and is not eligible for regrade.
- Occasionally there may be extra credit points in a homework. These will offset other point losses *in that homework* (i.e. they do not affect other homeworks/exams and cannot result in a >100% score).

## Grading/Regrading Policy

- Grades will be issued one week after the exam/homework.
- No changes are allowed to submitted homework (after the deadline)
- If something is clearly wrong, you may request specific regrade of a specific question/part via a google form the TAs will send out.
- WARNING: If you are just 'fishing' for points you may LOSE additional points. No grubs!

### Cheating

#### You MAY

- talk with other students, friends, or others about your homework assignments *IF* you acknowledge such discussion in your submission
- ask questions about the homework and subject material in the forums

#### You MAY NOT

- copy code or answers from any source including friends, homework/test services, NLP or other software libraries. This includes making slight changes to previously written code
- hack the scoring servers, Kobiyashi Maru-style
- allow your code to be copied, even if unintentionally
- attempt to communicate with or read from any other person while taking exams

### Cheating

- Unfortunately, about 20 of you will be caught cheating, based on previous experience.
- By the end of this course you should have a pretty good idea of how we do it! (Hint: We use NLP to do it)
- Suspected cheats (<u>including those who were plagiarized from</u>) will be reported to the University. Punishment includes but is not limited to:
  - zero on assignment, exam, or class
  - Loss of career services privileges
  - Loss of CPT rights
  - Uncomfortable meeting with Lizsl

#### Next Time...

- Corpora and Text Processing
- Bring a laptop; we'll do in-class data manipulation, minor coding
- Make sure you have been invited and can get into vocareum