

# SciSpot: A Framework for Low-cost Scientific Computing On Transient Cloud Servers

Paper # 105

## ABSTRACT

Given the dramatic rise of cloud computing resources and their utilization in web services and distributed data processing, it is not the question of if, but when, cloud computing becomes a credible and powerful alternative to high-performance computing for scientific computing applications. To accelerate this transition and enable the implementation of scientific computing tasks over the cloud, a comprehensive understanding of the dynamics of preemptions of cloud servers, particularly in the case of transient cloud resources, and a reliable set of associated preemption-mitigation policies that optimize for cost, makespan, and deployment ease are required. In this paper, we develop principled approaches for deploying and orchestrating scientific computing applications on the cloud, and present SciSpot, a framework for low-cost scientific computing on transient cloud servers. We perform a large-scale, first-of-its-kind empirical measurement study involving over a thousand Google preemptible VMs of different types and present the *first* empirical model of transient server availability. This empirical data informs the formulation of a novel analytical model to describe preemption dynamics that enables the prediction of expected running costs of jobs of different types and duration. Our policies for tackling the resource heterogeneity and transient availability of cloud VMs build on a key insight: most scientific computing applications are deployed as “bag” of jobs, which represent multiple instantiations of the same computation with different parameters. We show that optimizing across an entire bag of jobs and being cognizant of the relation between different jobs in a bag, can enable simple and powerful policies for optimizing cost, makespan, and ease of deployment, and implement these policies as part of the SciSpot framework. The preemption-mitigation policies developed to minimize the overall makespan of bags of jobs, by taking into consideration the partial redundancy between different jobs within a bag, yield a cost saving of 70%, and a makespan reduction of 20% compared to a conventional HPC clusters.

## 1 INTRODUCTION

Application of computer simulation plays a critical role in understanding natural and synthetic phenomena associated with a wide range of material, biological, and engineering systems. This scientific computing approach involves the analysis and processing of data generated by the mathematical model representations of these systems implemented on computers. Typically, these scientific computing applications (simulations) are designed as parallel programs that leverage the communication and coordination frameworks associated with

parallel computing techniques such as MPI in order to yield useful information at a faster pace (shorter user time). To exploit the parallel processing capabilities, such applications are routinely deployed on large, dedicated high performance computing infrastructure.

The extensive use of cloud platforms to host and run a wide range of applications such as web-services and distributed data processing have inspired early investigations in the direction of using these resources for scientific computing applications to meet the large computing and storage requirements of the latter. Early work in this area has shown the potential of using these cloud platforms to both supplement and complement conventional HPC infrastructure. Public cloud platforms such as Amazon’s EC2, Google Cloud Platform, and Microsoft Azure, offer multiple benefits: *on-demand* resource allocation, convenient pay-as-you-go pricing models, ease of provisioning and deployment, and near-instantaneous elastic scaling, to name a few. Most cloud platforms offer *Infrastructure as a Service*, and provide computing resources in the form of Virtual Machines (VMs) that are application-agnostic and can serve as deployment sites for a wide range of applications such as web-services, distributed machine learning, and scientific simulations.

To meet the diverse resource demands of different applications, public clouds offer resources (i.e., VMs) with multiple different resource configurations (such as number of CPU cores, memory capacity, etc.), and pricing and availability contracts. Conventionally, cloud VMs have been offered with “on-demand” availability, such that the lifetime of the VM is solely determined by the owner of the VM (i.e., the cloud customer). Increasingly however, cloud providers have begun offering VMs with *transient*, rather than continuous on-demand availability. Transient VMs can be unilaterally revoked and preempted by the cloud provider, and applications running inside them face fail-stop failures. Due to their volatile nature, transient VMs such as Amazon Spot instances, Google Preemptible VMs, and Azure Batch VMs, are offered at steeply discounted rates ranging from 50 to 90%.

While the on-demand resource provisioning and pay-as-you-go pricing makes it easy to spin-up computing clusters in the cloud, the deployment of applications on cloud platforms must be cognizant of the heterogeneity in VM sizes, pricing, and availability for effective resource utilization. Crucially, optimizing for *cost* in addition to makespan, becomes an important objective in cloud deployments. Furthermore, although using transient resources can drastically reduce computing costs, their preemptible nature results in frequent job failures. While preemptions can be mitigated with additional fault-tolerance mechanisms and policies [18? ], these policies must be typically tailored to the application [? ], and impose

additional performance and deployment overheads. These considerations of cost, server configuration heterogeneity, and frequent job failures intrinsic to the system present multiple challenges in deploying applications on cloud platforms which are *fundamentally* different from those that appear in using HPC clusters as the execution environment for the scientific computing applications.

In this paper, we develop principled approaches for deploying and orchestrating scientific computing applications on the cloud, and present SciSpot, a framework for low-cost scientific computing on transient cloud servers. Our policies for tackling the resource heterogeneity and transient availability of cloud VMs build on a key insight: most scientific computing applications are deployed as a collection or “bag” of jobs. These bags of jobs represent multiple instantiations of the same computation with different parameters. For instance, each job may be running a (parallel) simulation with a set of simulation input parameters, and different jobs in the collection run the same simulation employing a different set of parameters. Collectively, a bag of jobs can be used to “sweep” or search across a multi-dimensional parameter space to discover or narrow down the set of feasible and viable parameters associated with the modeled natural or synthetic processes. A similar approach is adopted in the use of machine learning (ML) to enhance scientific computational methods, a rapidly emerging area of research, when a collection of jobs with independent parameter sets are launched to train ML models to predict simulation results and/or accelerate the simulation technique.

Prior approaches and systems for mitigating transiency and cloud heterogeneity have largely targeted individual instantiations of jobs [18, 20?, 21]. For a bag of jobs, it is not necessary, or sufficient, to execute an individual job in timely manner—instead, we could selectively restart failed jobs in order to complete the necessary, desired subset of jobs in a bag. Furthermore, treating the bag of jobs as a fundamental unit of computation allows us to select the “best” server configuration for a given application, by exploring different servers for initial jobs and running the remainder of the jobs on the optimal server configuration.

We show that optimizing across an entire bag of jobs and being cognizant of the relation between different jobs in a bag, can enable simple and powerful policies for optimizing cost, makespan, and ease of deployment. We implement these policies as part of the SciSpot framework, and make the following contributions:

- (1) In order to select the “right” VM from the plethora of choices offered by cloud providers, we develop a cluster configuration policy that minimizes the cost of running applications. Our search based policy selects a transient server type based on it’s cost, parallel speedup, and probability of preemption.
- (2) Since transient server preemptions can disrupt the execution of jobs, we present the *first* empirical model and analysis of transient server availability that is *not* rooted in classical bidding models for EC2 spot instances that have been proposed thus far. Our empirical model allows

us to predict expected running and costs of jobs of different types and duration.

- (3) We develop preemption-mitigation policies to minimize the overall makespan of bags of jobs, by taking into consideration the partial redundancy between different jobs within a bag. Combined, our policies yield a cost saving of 70%, and a makespan reduction of 20% compared to a conventional HPC clusters.

## 2 BACKGROUND AND OVERVIEW

In this section, we give an overview of the characteristics and challenges of transient cloud computing; motivate the need for the bag of jobs abstraction in scientific computing workflows; and give an overview of our SciSpot system.

### 2.1 Transient Cloud Computing

Infrastructure as a service (IaaS) clouds such as Amazon EC2, Google Public Cloud, Microsoft Azure, etc., typically provide computational resources in the form of virtual machines (VMs), on which users can deploy their applications. Conventionally, these VMs are leased on an “on-demand” basis: cloud customers can start up a VM when needed, and the cloud platform provisions and runs these VMs until they are shut-down by the customer. Cloud workloads, and hence the utilization of cloud platforms, shows large temporal variations. To satisfy user demand, cloud capacity is typically provisioned for the *peak* load, and thus the average utilization tends to be low, of the order of 25% [? ? ].

To increase their overall utilization, large cloud operators have begun to offer their surplus resources as low-cost servers with *transient* availability, which can be preempted by the cloud operator at any time (after a small advance warning). These preemptible servers, such as Amazon Spot instances [? ], Google Preemptible VMs [? ], and Azure batch VMs [? ], have become popular in recent years due to their discounted prices, which can be 7-10x lower than conventional non-preemptible servers.

However, effective use of transient servers is challenging for applications because of their uncertain availability [? ? ]. Preemptions are akin to fail-stop failures, and result in loss of the application’s memory and disk state, leading to downtimes for interactive applications such as web services, and poor throughput for long-running batch-computing applications. Consequently, researchers have explored fault-tolerance techniques such as checkpointing [18, 21? ] and resource management techniques [20] to ameliorate the effects of preemptions for a wide range of applications. However, the effect of preemptions is dependent on a combination of application resource and fault model, and mitigating preemptions for different applications remains an active research area [13].

### 2.2 Bag of Jobs in Scientific Computing

The typical workflow associated with most scientific computing applications, often involves evaluating a computational

model across a wide range of physical and computational parameters. For instance, constructing and calibrating a molecular dynamics application (such as [14]), usually involves running a simulation with different physical parameters such as characteristic sizes and interaction potentials, as well as computational parameters such as simulation timesteps. Each of these parameters can take a wide range of values, resulting in a large number of combinations which must be evaluated by invoking the application multiple times (also known as a parameter sweep). Since each computational job explores a single combination of parameters, this results in executing a “bag of jobs”, with each job in the bag running the same application, but with possibly different parameters.

The bag of jobs execution model is pervasive in scientific computing and applicable in many contexts. In addition to exploratory parameter sweeps, bags of jobs also result from running the application a large number of times to account for model or computational stochasticity, and can be used to obtain tighter confidence intervals. Increasingly, bags of jobs also arise in the emerging research that combines statistical machine learning (ML) techniques and scientific simulations [3, 5, 7, 8, 14–17, 19, 24]. For instance, large bags of jobs are run to provide the necessary training and testing data for learning statistical models such as neural networks that are then used to improve the efficacy of the simulations.

The bag of jobs execution model has multiple characteristics, that give rise to unique challenges and opportunities when deploying them on cloud transient servers. First, since bags of jobs require a large amount of computing resources, deploying them on the cloud can result in high overall costs, thus requiring policies for minimizing the cost and overall running time. Second, we observe that usually, there is no dependency between individual jobs in a bag, thus allowing increased flexibility in job scheduling. And last, treating entire bags of jobs as an execution unit, instead of individual jobs, can allow us to use partial redundancy between jobs and reduce the fault-tolerance overhead to mitigate transient server preemptions.

### 2.3 SciSpot Overview

Our system, SciSpot, is a general-purpose software framework for running scientific computing applications on low-cost cloud transient servers. It incorporates policies and mechanisms for generating, deploying, orchestrating, and monitoring bags of jobs on cloud servers. Specifically, it runs a bag of jobs defined by these parameters:

Bag of job =  $\{A$ : Application to execute,  
 $N$ : Number of jobs,  
 $m$ : Minimum number of jobs to finish,  
 $\pi$ : Generator function for job parameters,  
 $\mathcal{R}$ : Computing resources per job $\}$

SciSpot seeks to minimize the cost and running time of bags of jobs of scientific computing applications. SciSpot’s cost and time minimizing policies for running bags of jobs are based on empirical and analytical models of the cost and preemption dynamics of cloud transient servers, which we present in the next section.

## 3 PREEMPTION DYNAMICS OF TRANSIENT CLOUD SERVERS

To measure and improve the performance of applications running on transient cloud servers, it is critical to understand the nature and dynamics of their preemptions. The preemption characteristics are governed by the supply of surplus resources, the demand for cloud resources, and the resource allocation policies enforced by the cloud operator. In this section, we present empirical and analytical models that describe these characteristics and enable an intuitive understanding of the nature of preemptions.

### 3.1 The need for empirical preemption models

Amazon’s EC2 spot instances were the original cloud transient servers. The preemptions of EC2 spot instances are based on their *price*, which is dynamically adjusted based on the supply and demand of cloud resources. Spot prices are based on a continuous second-price auction, and if the spot price increases above a pre-specified maximum-price, then the server is preempted.

Thus, the time-series of these spot prices can be used for understanding preemption characteristics such as the frequency of preemptions and the “Mean Time To Failure” of the spot instances. Many research projects have used publicly available<sup>1</sup> historical spot prices to characterize and model spot instance preemptions [? ?]. For example, past work has analyzed spot prices and shown that the MTTF’s of spot instances of different hardware configurations and geographical zones ranges from a few hours to a few days [? ?].

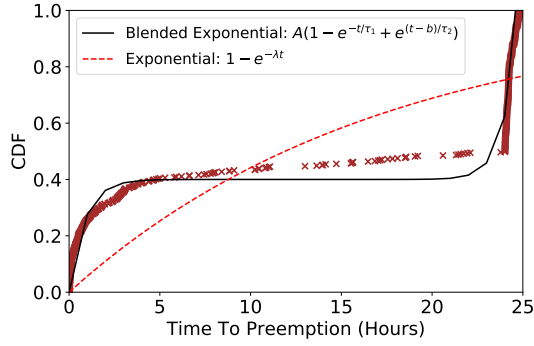
However, Amazon has recently changed the preemption characteristics of spot instances, and servers are now preempted even if the spot price is below the maximum price. Thus, spot prices are no longer a completely reliable indicator of preemptions, and preemptions can no longer be inferred from looking at prices alone. Therefore, new techniques are required to model preemption dynamics that can supplement the earlier price-based approaches, and we develop these techniques next.

### 3.2 Empirical preemption behavior

The preemptions of transient servers need not be related to their price. For example, Google’s Preemptible VMs and Azure Batch VMs have a *fixed* price relative to their non-preemptible counterparts. In such cases, price based models are inadequate, and other approaches to understand preemptions are required.

This task is further complicated by the fact that these cloud operators (Google and Microsoft) do not currently provide any information about preemption characteristics. Thus, relatively little is known about the preemptions (and hence the performance) of these transient VMs.

<sup>1</sup>Amazon posts Spot prices of 3 months, and researchers have been collecting these prices since 2010 [?].



**Figure 1: CDF of lifetimes of Google Preemptible Instances. Our blended exponential distribution fits much better than the conventional exponential failure distributions.**

In order to understand preemption dynamics of transient servers, we conduct a large-scale empirical measurement study which is the first of its kind. We launched more than 1000 Google Preemptible VMs of different types over a two month period (Feb–April 2019), and measured their time to preemption (aka, their useful lifetime).<sup>2</sup>

A sample of 100 such preemption events are shown in Figure 1, which shows cumulative distribution of the VM lifetimes. Note that the cloud operator (Google) caps the *maximum* lifetime of the VM to 24 hours, and all the VMs are preempted before that hard limit. Furthermore, the lifetimes of VMs are *not* uniformly distributed, but have three distinct phases. In the first phase, characterized by VM lifetime  $t \in (0, 3)$  hours, we observe that many VMs are quickly preempted after they are launched, and thus have a steep rate of failure initially; the rate of failure or preemptions can be obtained by taking the derivative of the CDF. The second phase characterizes the VMs that survive past 3 hours and enjoy a relatively low and uniform preemption rate over a relatively broad range of lifetime (characterized by the slowly rising CDF in Figure 1). The final phase exhibits a steep increase in the number of preemptions as the preemption deadline of 24 hours approaches. The overall rate of preemptions is “bath tub” shaped.

We note that this preemption behavior, imposed by the constraint of the small, 24 hour lifetime, is *substantially* different from conventional failure characteristics of hardware components and even EC2 spot instances. In these “classical” setups, the rate of failure usually follows an exponential distribution  $f(t) = \lambda e^{-\lambda t}$ , where  $\lambda = 1/\text{MTTF}$ . Figure 1 shows the CDF ( $= 1 - e^{-\lambda t}$ ) of the exponential distribution when fitted to the observed preemption data, by finding the distribution parameter  $\lambda$  that minimizes the least squares error. From Figure 1, we can see that the classic exponential distribution is unable to model the observed preemption characteristics. We attribute this deficiency to the central

<sup>2</sup>We will release the complete preemption dataset and hope that other researchers can benefit.

assumption made in the underlying reliability theory principles that leads to the exponential distribution: the rate of preemptions is independent of the lifetime of the VMs, in other words, the preemptions are *memoryless*. This assumption breaks down when there is a fixed upper bound on the lifetime, as is the case for Google Preemptible VMs, and the conventional approach becomes insufficient to model this constrained preemption dynamics.

### 3.3 Analytical model of preemption dynamics in Google cloud

We now develop a *minimal* analytical model for preemption dynamics that is faithful to the empirically observed data and provides a basis for developing running-time and cost-minimizing optimizations presented in Section 4. This new model is based on the earlier observation that the cumulative distribution of lifetimes has multiple distinct temporal phases. The key assumption underlying our minimal model is the presence of two distinct failure processes that give rise to a new probability distribution characterizing the preemptions and the observed CDF, and ensure the dependence of the rate of failure on the VM lifetime. The first process dominates over the initial temporal phase and yields the classic exponential distribution that captures the steep rate of early preemptions. The second process dominates over the final phase near the 24 hour maximum VM lifetime and is assumed to be characterized by an exponential term that captures the sharp rise in preemptions that results from the constraint of a fixed 24 hour lifetime. Generally, these two processes compete during the middle phase to yield a relatively constant and low number of preemptions; in practice, based on the fits to the empirical data, we observe the first process to dominate over the second during this phase as well.

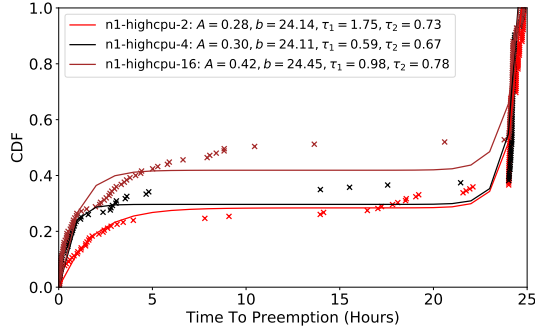
We propose the following general form for the CDF based on this model:

$$\mathcal{F}(t) = A \left( 1 - e^{-\frac{t}{\tau_1}} + e^{\frac{t-b}{\tau_2}} \right), \quad (1)$$

where  $1/\tau_1$  is the rate of preemptions in the initial phase,  $1/\tau_2$  is the rate of preemptions in the final phase (generally,  $1/\tau_2 > 1/\tau_1$ ),  $b$  denotes the time when the preemptions occur at a high rate (generally, around 24 hours) which we term the activation time for the second process, and  $A$  is a constant used to scale the CDF to ensure that the initial conditions ( $F(0) = 0$ ) are met.

For most of its life, a VM sees failures according to the classic exponential distribution with a rate of failure equal to  $1/\tau_1$  – this behavior is captured by  $1 - e^{-t/\tau_1}$  term in Eq. 1. As VMs get closer to their maximum lifetime (24 hours) imposed by the cloud operator, they are reclaimed (i.e., preempted) at a high, exponential rate, which is captured by the second term introduced in the CDF ( $e^{(t-b)/\tau_2}$ ). Shifting the argument ( $t$ ) of the exponential by  $b$  ensures that the exponential reclamation is only applicable towards the end of the VM’s maximum lifetime and does not dominate over the entire temporal range. As noted before,  $1/\tau_2$  is the rate of this reclamation.





**Figure 2: The preemption characteristics of different VM types. Larger VMs are more likely to be preempted**

The analytical model and the associated 4 parameter distribution function  $\mathcal{F}$  introduced above provides a much better fit to the empirical data and captures the different phases of the preemption dynamics through parameters  $\tau_1$ ,  $\tau_2$ ,  $b$ , and  $A$ . These parameters characterizing the preemption dynamics can be obtained for a given empirical CDF by minimizing least-squared function fitting methods.<sup>3</sup> In the next section, we use this analytical model for optimizing cloud resource selection such that we can run scientific applications at low cost and running times. We note that our motivation here is to provide a minimal model, i.e. a model based on data-driven observations and reasonable assumptions that provides a sufficiently accurate description of constrained preemption dynamics with the minimal number of necessary parameters. As is evident from Figure. 1, the analytical  $\mathcal{F}$  shows deviations from the data near the halfway point within the 24 hour lifetime. One can envision generalizing this model by including more failure processes characterized by failure rates and activation times (like  $b$ ) to capture the data with higher accuracy. Of course, this introduces a higher number of parameters and reduces the predictive power and simplicity of the model.

### 3.4 Preemption dynamics of VMs of different types

Since cloud platforms support a wide range of applications, they also offer a large range of servers (VMs) with different resource configurations (such as the number of CPU cores, memory size, I/O bandwidths, etc.). For example, a cloud provider may offer VMs with (4 CPUs, 4 GB memory), (8 CPUs, 8 GB memory), etc. Most clouds offer a large number of different hardware configurations—Amazon EC2 offers more than 50 hardware configurations, for example [?].

In general, the preemption dynamics of a VM are determined by the supply and demand of VMs of that *particular* type. Thus, the preemption characteristics of VMs of different sizes and running in different geographical zones are

<sup>3</sup>More details about the distribution fitting are presented in the implementation section( ??

different. Figure 2 shows the preemption CDF’s of three such VM types in the Google Cloud, along with the parameters of our four parameter distribution. We show the data from three different types of VMs `n1-highcpu-{2,8,32}`, where the number indicates the number of CPU’s.

From Figure 2, we see that our distribution is able to capture the preemption dynamics of different VM types. Interestingly, we can also observe that larger VMs have a higher rate of failure. This is because larger VMs require more computational resources (such as CPU and memory), and when the supply of resources is low, the cloud operator can reclaim a large amount of resources by preempting larger VMs. This observed behavior aligns with the guidelines for using preemptible VMs that suggests the use of smaller VMs when possible [?].

Our analytical model also helps use crystallize the differences in VM preemption dynamics, by allowing us to easily calculate their expected lifetime. More formally, we define the expected lifetime of a VM of type  $i$ , as

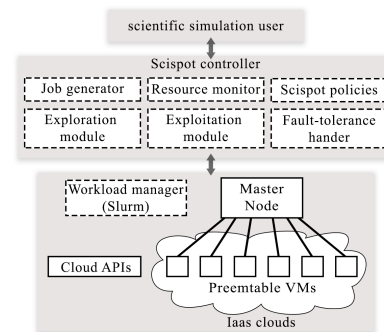
$$E[L_i] = \int_0^{24} t f_i(t) dt \quad (2)$$

Where  $f(t) = \frac{d\mathcal{F}(t)}{dt} = A \left( \frac{1}{\tau_1} e^{-t/\tau_1} + \frac{t-b}{\tau_2} e^{-\frac{t-b}{\tau_2}} \right)$

Since preemptions require restarting a job and increase the job completion time, it may be more prudent to select transient VMs with higher expected lifetimes. We use the analytically derived expected lifetimes of VMs of different types in SciSpot when selecting the the “best” VM type for a given bag of jobs. This server selection is a key part of SciSpot design, which we describe next.

## 4 SCISPOT DESIGN

SciSpot handles all the cloud resource management and job scheduling associated with running a bag of jobs on transient cloud servers. In this section, we look at SciSpot’s policies for selecting the “right” cloud server for a given application, and policies for scheduling and running a bag of jobs on transient servers. Throughout, our aim is to minimize the overall cost and minimize the impact of preemptions. *have we defined what “right” is?, could be useful to define it again here*



**Figure 3: SciSpot Architecture**

SciSpot aims to provide a simple user interface to allow users to deploy their applications with minimum changes to their workflow. Most scientific computing applications are deployed on HPC clusters that have a cluster manager such as Slurm [?] or Torque [?], and SciSpot integrates with the cluster manager (e.g., Slurm) to provide the same interface to applications. As shown in Figure 3, SciSpot creates and manages clusters of transient cloud servers, manages all aspects of the VM lifecycle and costs, and implements the various policies described in the rest of this section.

**High-level workflow:** When a user wishes to run a bag of jobs, SciSpot handles the provisioning of a cluster of transient cloud servers. In addition, SciSpot deals with the scheduling and monitoring of the bag of jobs, and with preemptions. Execution of a bag of jobs proceeds in two phases. In the first phase, SciSpot selects the “right” cluster configuration for a given application through a cost-minimizing exploration-based search policy, described in Section 4.1. In the second phase, SciSpot proceeds to run the remaining jobs in the bag on the optimal cluster configuration.

## 4.1 Server Selection

**4.1.1 Why Server Selection is Necessary.** Before deploying any application on the transient cloud servers, we must first select the “right” cloud server for the application. Since cloud platforms support a wide range of applications, they also offer a large range of servers (VMs) with different resource configurations (such as the number of CPU cores, memory size, I/O bandwidths, etc.). For example, a cloud provider may offer VMs with (4 CPUs, 4 GB memory), (8 CPUs, 8 GB memory), etc. Most clouds offer a large number of different hardware configurations—Amazon EC2 offers more than 50 hardware configurations, for example [?].

*Importantly, different server configurations have different cost, performance, and preemption characteristics.*

Even if we assume that the total amount of resources to be allocated to a job is fixed, there are multiple *cluster configurations* to satisfy the allocation with the large number of available server types. For example, a job requiring a total of 128 CPUs can be run on a cluster of 2 servers with 64 CPUs each, or 4 servers with 32 CPUs each, etc. Server selection is especially important for parallel applications, because although the total amount of resources in each cluster configuration is constant, the resources are distributed differently. Since the performance of parallel applications is particularly sensitive to their communication overheads, different cluster configurations may yield different job running times. For instance, a smaller cluster with large servers will result in lower inter-server communication, and thus shorter running times.

However, the performance of an application is also affected by preemptions of transient servers. Since preemptions are essentially fail-stop failures, synchronous parallel applications (such as those using MPI) are forced to abort, and completing the job requires restarting it. Thus, preemptions can increase the overall running time of a job, with the increase in the

job’s actual running time determined by the frequency of preemptions.

**4.1.2 Server Selection Policy.** Having provided the motivation and tradeoffs in server selection, we now describe the SciSpot’s server selection policy. Given an application and a bag of jobs, SciSpot “explores” and searches for the right server type by minimizing the expected cost of running the job. *is the cost minimized across the bag of jobs or for running the job as it says; should this be the cost of executing the application (full set of jobs)?*

We first determine the search space, which is the space of all cluster configurations  $\langle i, n_i \rangle$  *not sure if  $\langle \rangle$  is the best notation here, generally this would indicate an expected average of some quantity, think you just mean a pair here such that  $r_i n_i = \mathcal{R}$ . define  $r_i$  and  $n_i$*  With  $N$  server types, this results in at most  $N$  cluster configurations *I am confused by this sentence, not sure what  $N$  is? Different types of servers or total number of servers?* Each configuration will yield different application performance, preemption overhead, and cost. Our server selection policy runs the application on each configuration to determine its running time (in the absence of preemptions), which is denoted by  $T_{\langle i, n_i \rangle}$ . SciSpot thus does an exhaustive search over all valid cluster configurations to find the lowest-cost configuration  $\langle i, n_i \rangle$ .

We note that this search is different from conventional speedup plots in which the objective is to determine how well an application scales with increasing amount of resources and parallelism. In contrast, we *fix* the total amount of resources allocated to the application’s job ( $= \mathcal{R}$ ), and only vary *how* these resources are distributed, which affects communication overhead and hence the performance. We assume that the total resource requirement for a job,  $\mathcal{R}$ , can be easily provided by the user based on prior speedup data, the user’s cloud budget, and the deadline for job completion.

**4.1.3 Server Cost Model.** Since server selection involves a tradeoff between cost, performance, and preemptions, we develop a model that allows us to optimize the resource allocation and pick the “best” server type that minimizes the expected cost of running an application on transient cloud servers.

Let us assume that the cloud provider offers  $N$  server types, with the price of a server type equal to  $c_i$ . Let  $\mathcal{R}$  denote the total amount of computing resources requested for the job. For ease of exposition, let us assume that  $\mathcal{R}$  is the total number of CPU cores. Furthermore, let  $r_i$  denote the “size” of the server of type  $i$ . Then, the number of servers of type  $i$  required,  $n_i = \mathcal{R}/r_i$ . In what follows, we denote the expectation value of a quantity as  $E[\dots]$ . *there is some repetition in defining the symbols here which are used before in selection policy; may be this can be moved above. was wondering if we loose clarity by using  $T_k$  to denote the running time on configuration  $k$  that encodes the pair defined by the combination of server type  $i$  - number of servers of type  $i$  -  $\langle i, n_i \rangle$ ; that is,  $k \equiv \langle i, n_i \rangle$ , used as a superindex?*

The overall expected cost of running a job can then be expressed as follows:

$$E[C_{\langle i, n_i \rangle}] = n_i \times c_i \times E[T_{\langle i, n_i \rangle}] \quad (3)$$

Here,  $E[T_{\langle i, n_i \rangle}]$  denotes the expected running time of the job on  $n_i$  servers of type  $i$ . **define**  $c_i$  This running time, in turn depends on the preemption probability of the server type:

$$\begin{aligned} E[T_{\langle i, n_i \rangle}] &= T_{\langle i, n_i \rangle} + E[\text{Recomputation Time}] \\ &= T_{\langle i, n_i \rangle} + P(\text{at least one preemption}) \times T_{\langle i, n_i \rangle} / 2 \end{aligned} \quad (4)$$

Here,  $T_{\langle i, n_i \rangle}$  is the running time of the job without failures, which we obtain empirically as explained in the previous subsection.

The probability that at least one VM out of  $n_i$  will be preempted during the job execution can be expressed as:

$$P(\text{at least one preemption}) = 1 - P(\text{no preemptions}) \quad (6)$$

$$= 1 - (1 - P(i, t))^{n_i} \quad (7)$$

$$= 1 - \left(1 - \frac{T_{\langle i, n_i \rangle}}{E[L_i]}\right)^{n_i} \quad (8)$$

Here,  $P(i, t)$  denotes the probability of a preemption of a VM of type  $i$  when a job of duration  $t$  runs on it. It depends on the type of server, and we use historically determined failure distributions. In the expectation, it is given by:

$$P(i, t) = \frac{t}{E[L_i]} \quad (9)$$

Where  $E[L_i]$  is the expected lifetime of the VM of type  $i$  extracted using the the analytical model introduced in Section 3.3. As a first order approximation, the running time  $t$  of the job can be chosen as  $t = T_{\langle i, n_i \rangle}$ , where the latter is empirically obtained for a given application.

Using Eq. 4 and Eq. 6, the overall expected cost of running a job on transient cloud servers is obtained as

$$E[C_{\langle i, n_i \rangle}] = \frac{1}{2} n_i c_i T_{\langle i, n_i \rangle} \left(3 - \left(1 - \frac{T_{\langle i, n_i \rangle}}{E[L_i]}\right)^{n_i}\right) \quad (10)$$

Equation 10 shows that the expected cost  $E[C]$  is higher for larger number of servers (high  $n_i$ ), while it is reduced if the expected lifetime of the VM is larger (high  $E[L_i]$ ). **there are some limiting conditions here that we should discuss to make sure this is correct** Thus, if we select VMs of smaller size, we will require more of them (higher  $n_i$ ), and this cluster configuration will have a larger probability of failure and thus higher running times and costs. However, there is a tradeoff: selecting larger VMs results in smaller  $n_i$ , but larger VMs have higher preemption probability, as we have seen in Section 3.4.

To limit the search space, we observe that since most scientific applications are CPU bound, we only need to consider VMs meant for CPU-bound workloads, such as **highcpu** VMs in Google Cloud and the **cc** family in Amazon EC2. For example, the Google cloud offers a total of 7 **highcpu** server types with 1, 2, 4, 8, 16, 32, and 64 CPU's—yielding a small upper

bound on the number of configurations to search. Furthermore, a large cluster of small servers is suboptimal for most applications (except those that are completely embarrassingly parallel and have no communication). SciSpot thus explores VM's in descending order of their size and ignores exploring the small VMs (with 2 CPUs or fewer)—reducing the search space even further.

## 4.2 Scheduling a Bag of Jobs

Once the right cluster configuration for a job has been determined, SciSpot then proceeds to run the remaining jobs in the bag. A bag of jobs is determined by the total number of jobs in the bag, associated parameters for each job, and the minimum number of jobs that must be successfully executed. Given these parameters as input, SciSpot then creates a cluster by launching preemptible VMs and starts scheduling the different jobs in a bag.

SciSpot also allows users to specify a deadline for bag completion, which we use to compute the number of jobs to execute in parallel. If the deadline specified is  $D$ , then the number of parallel jobs is  $k = (D/m) \times E[T]$ . **what is m?** Thus if the exploration phase recommends  $n_i$  VMs, then we launch a cluster of  $k \times n_i$  VMs, with each job executing on  $n_i$  VMs. For this calculation, we assume that the running time of different jobs in a bag will largely be similar, but this is not a correctness requirement. Thus because of the stochasticity in job running times and VM lifetimes, SciSpot only meets the deadline in a “best effort” manner, and does not guarantee strict makespan constraints.

Upon job completion, the next job in the bag is run. When a job fails due to VM preemption, SciSpot replenishes the cluster by launching replacement VM's and resubmits the job. Jobs are restarted from a checkpoint if available. We do not restart failed jobs as long as we can complete the minimum number of jobs in the bag. Due to high demand, preemptible VM's of the chosen type may not be available. In such cases, SciSpot runs in a “degraded” mode—jobs are either run on a smaller number of VMs, or are run on VMs of a different size that are available but may have suboptimal cost.

## 5 SCISPOT IMPLEMENTATION

SciSpot is implemented as a light-weight, extensible framework that makes it convenient and cheap to run scientific applications in the cloud. We have implemented the SciSpot prototype in Python in about 2,000 lines of code, and currently support running VMs on the Google Cloud Platform [?].

SciSpot is implemented as a centralized controller, which implements the server selection and job scheduling policies described in Section 4. The controller can run on any machine (including the user's local machine, or inside a cloud VM), and exposes an HTTP API to end-users. Users submit bags of jobs to the controller via the HTTP API, and the controller then launches and maintains a cluster of cloud VMs, and maintains status of each job in a local json database. As a convenience feature, SciSpot also can also automatically generate parameter combinations for a given bag size—based

on a user-provided json file that provides start and end values for each parameter.

SciSpot integrates, and interfaces with two primary services. First, it uses the Google cloud API [?] for launching, terminating, and monitoring VMs. Once a cluster is launched, it then configures a cluster manager such as Slurm or Torque, to which it submits jobs. The current SciSpot prototype supports the Slurm cluster manager, with each VM acting as a Slurm “cloud” node, which allows Slurm to gracefully handle VM preemptions. SciSpot monitors job completions and failures (due to VM preemptions) through the use of slurm call-backs, which issue HTTP requests back to the slurm controller.

As part of SciSpot, we also provide a base VM image with Slurm and MPI integration, along with commonly used libraries and benchmarks for scientific computing. To run an application, users must provide a location to the application source code or binaries. Integrating SciSpot with container-based image management tools such as Docker and Singularity is currently part of our ongoing work.

## 6 EXPERIMENTAL EVALUATION

In this section, we present empirical and analytical evaluation of the performance and cost of SciSpot under different workloads and scales. Our evaluation consists of empirical analysis of the different scientific computing applications, as well as model-driven simulations for analyzing and comparing SciSpot behavior under different preemption and application dynamics.

**Environment and Workloads:** All our empirical evaluation is conducted on the Google Public Cloud, and with these representative scientific applications:

**NC.** The ions in nanoconfinement [?] application computes dynamics of nanoparticles. Uses OpenMP and MPI parallelization.

**Shapes.** Application to compute shapes of nanoparticles. Uses OpenMP and MPI parallelization and is embarrassingly parallel.

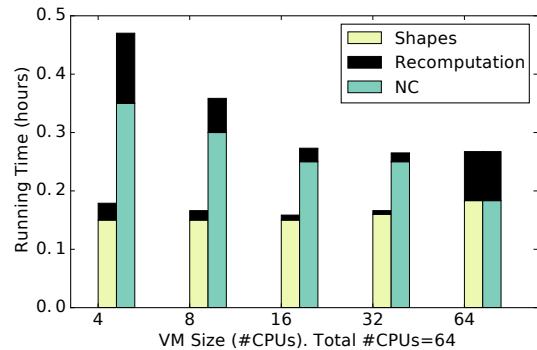
**Lulesh.** Popular hydrodynamics application with the default parameters.

All applications use OpenMPI, are deployed on Slurm vXXX and 64-bit Ubuntu 18.04, and run on Google Cloud VMs with x86-64 Intel Broadwell CPUs.

### 6.1 SciSpot Performance and Cost

**6.1.1 Impact of server exploration.** As described in Section 4, applications can be deployed on multiple types of VMs in the cloud, with each VM type having a different “size”. In our evaluation of parallel scientific applications that are CPU intensive, we are primarily interested in the number of CPUs in a VM.

When an application requests a total number of CPUs to run each of its jobs, SciSpot first runs its exploration phase to find the “right” VM for the application. SciSpot searches for the VM that minimizes the total expected cost of running the application, and this depends on several factors such



**Figure 4: Running times of applications on different VMs. The total number of CPUs is 64, yielding different number of VMs in each case. We see different tradeoffs in the base running times and recomputation costs for the different applications.**

as the parallel structure of the application, the preemption probability and the associated job recomputation time, and the price of the VM.

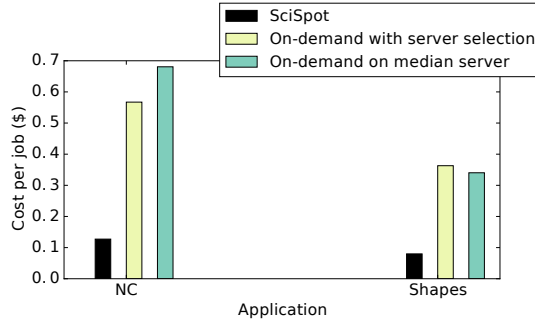
Thus, even if the *total* amount of resources (i.e., number of CPUs) per job is held constant, the total running time of an application depends on the choice of the VM, and the associated number of VMs required to meet the allocation constraint (Section 4.1.3). With preemptible instances, the total running time of a job is composed of two factors: the “base” running time of the job without any preemptions, and the expected recomputation time which depends on the probability of job failure (Equation ??).

Figure 4 shows the running times of the NC and Shapes application, when they are deployed on different VM sizes. In all cases, the total number of CPUs per job is set to 64, and thus the different VM sizes yield different cluster sizes (e.g., 16 VMs with 4 CPUs each are required).

For the NC application, we observe that the the base running times (without preemptions) reduce when moving to larger VMs, because this entails lower communication costs. The running time on the “best” VM (i.e., with 32 CPUs) is nearly 40% lower as compared to the worst case. On the other hand, the Shapes application can scale to a larger number of VMs without any significant communication overheads, and does not see any significant change in its running time.

Figure 4 also shows the total expected running time, that is obtained by adding the the expected recomputation time, which depends on the expected lifetimes of the VM and the number of VMs, and is computed using the cost model introduced in Section 4.1.3. While selecting larger VMs may reduce communication overheads and thus improve performance, it is not an adequate policy in the case of preemptible VMs, since the preemptions can significantly increase the total running time. We can observe this in the case of NC application when deployed on a 64 CPU VM—even though the base running time is lower compared to deploying the application on 2x32-CPU VMs, the recomputation time on the 64 CPU VM





**Figure 5: SciSpot’s use of preemptible VMs can reduce costs by up to 5× compared to conventional cloud deployments.**

is almost 4× higher due to the much lower expected lifetime of the larger VMs. Thus, on preemptible servers, there is a tradeoff between the base running time which only considers parallelization overheads, and the recomputation time. By considering *both* these factors, SciSpot’s server selection policy can select the best VM for an application.

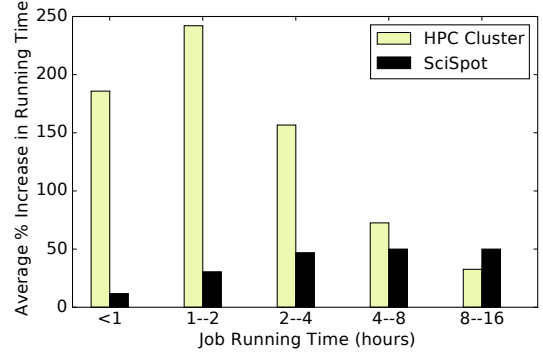
**Result:** *SciSpot’s server selection, by considering both the base running time and recomputation time, can improve performance by up to 40% , and can keep the increase in running time due to recomputation to less than 5%.*

**6.1.2 Cost.** The primary motivation for using preemptible VMs is their significantly lower cost compared to conventional “on-demand” cloud VMs that are non-preemptible. Figure 5 compares the cost of running different applications with different cloud VM deployments. SciSpot, which uses both cost-minimizing server selection, and preemptible VMs, results in significantly lower costs across the board, even when accounting for preemptions and recomputations. Even with SciSpot’s server selection, using on-demand VMs result in a 5× cost increase. In the absence of server selection, we assume that the user will pick a “median” VM in terms of number of CPUs (in this case, 8 CPU VMs), which we also show in Figure 5.

**Result:** *SciSpot reduces computing costs by up to 5x compared to conventional on-demand cloud deployments.*

**6.1.3 Comparison with HPC Clusters.** Scientific applications are typically run on large-scale HPC clusters, where different performance and cost dynamics apply. While there are hardware differences between cloud VMs and HPC clusters that can contribute to performance differences, we are interested in the performance “overheads”. In the case of SciSpot, the job failures and recomputations increase the total job running time, and are thus the main source of overhead.

On HPC clusters, jobs enjoy significantly lower recomputation probability, since the hardware on these clusters has MTTFs in the range of years to centuries [? ]. However, we emphasize that there exist *other* sources of performance overheads in HPC clusters. In particular, since HPC clusters have high resource utilization, they also have significant *waiting*



**Figure 6: Increase in running time due to waiting/queuing on HPC clusters is significantly higher than the recomputation time for SciSpot, especially for shorter jobs.**

times. On the other hand, cloud resource utilization is low [? ] and there is usually no need to wait for resources, which is why transient servers exist in the first place!

Thus, we compare the performance overhead due to preemptions for SciSpot, and job waiting times in conventional HPC deployments. To obtain the job waiting times in HPC clusters, we use the LANL Mustang traces published as part of the Atlas trace repository [2]. We analyze the waiting time of over two million jobs submitted over a 5 year period, and compute the increase in running time of the job due to the job waiting or queuing time.

Figure 6 shows the increase in running time with SciSpot, and due to waiting for resources in HPC clusters, for jobs of different lengths. We see that the average performance overhead due to waiting can be significant in the case of HPC clusters, and the job submission latency and queuing time dominate for smaller jobs, increasing their total running time by more 2.5×. This waiting is amortized in the case of longer running jobs, and the increase in running time as a percentage drops off for longer jobs, to around 30%.

On the other hand, SciSpot’s performance overhead is significantly smaller for jobs of up to 8 hours in length. For longer jobs, the limited lifetime of Google Preemptible VMs (24 hours) begins to significantly increase the preemption probability and expected recomputation time. We emphasize that these are *individual* job lengths, and not the running time of entire bags of jobs. We note that these large single jobs are rare, and for smaller jobs (within a much larger bag), both the preemption probability and recomputation overhead is much smaller.

**Result:** *While preemptions can increase running times due to recomputation, this increase is small, and is between 20 to 400% lower compared to conventional HPC clusters.*

## 6.2 SciSpot Scaling

Figure 7 shows the total bag of job execution times for 32 jobs with 4 jobs running in parallel. 32 CPU VM’s were used, and thus the total number of CPUs = 32\*jobs-per-VM.

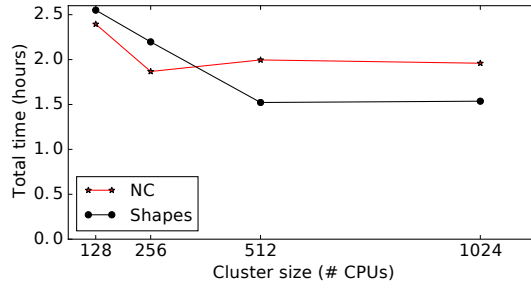


Figure 7: SciSpot scaling as the VMs per jobs increases

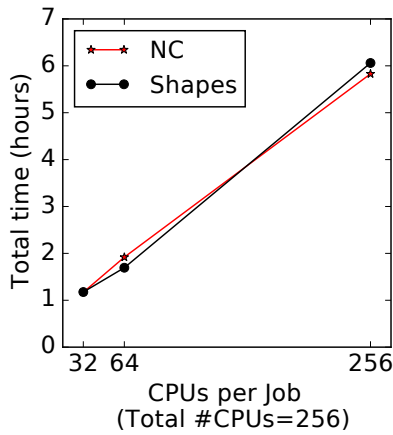


Figure 8: Job running times as the CPU's per job increases

Max CPU's used was 512 Reach saturation because of the limitations of parallel speedup and coordination.

Figure 8 shows the running time when the total cluster size is fixed, but the number of parallel jobs and hence the number of CPUs per job changes. Smaller number of CPUs extracts higher parallel speedup and is thus recommended.

**Impact of Preemptions:** Figure 9 shows the total running time of the bag of jobs (32 jobs) for the nanoconfinement workload, when the total cluster size is 4 VMs. We ran the workload multiple times with different number of VM preemptions and hence jobs that failed. We see that even with a high number of preemptions, the running time only increases by about 30%. We note that this happens with a vanishingly small likelihood, for instance when the demand is very high and the preemptible VMs see high failure rates. This shows that SciSpot is robust and can provide acceptable performance even under extreme, adverse conditions.

**Large Bags:** Essentially time scalability. 4 Jobs in Parallel, each with 2 VMs each.

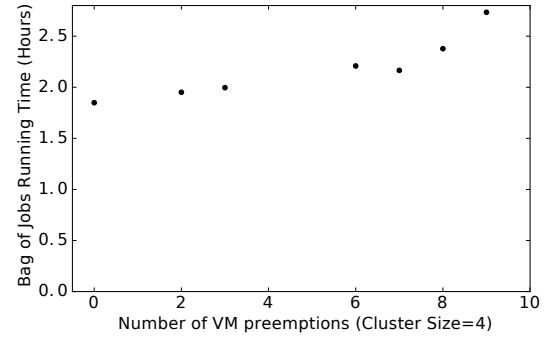


Figure 9: The running time of a bag of 32 jobs increases by less than 40%, even when the number of preemptions is high.

Workload	Jobs	Time (Hours)
NC	32	1.87
NC	100	6.08
Shapes	32	1.47
Shapes	100	4.49

Table 1: Running time for large, long-running bags of jobs.

## 7 RELATED WORK

### 7.1 Scientific applications on cloud

A classic survey is [12] [27]

Parameter sweep: [4]

Price optimizations for Scientific workflows in the cloud [9]

### 7.2 Transiency mitigation

[18] classic work on MPI and Spot. Uses checkpointing. Redundancy, but for what? User specified number of VMs. Does not do instance selection. BCLR for checkpointing.

MOre spot and MPI: [10]. FOcussed on bidding and checkpoint interval. But bidding doesnt matter.

[22] is early work for spot and MPI and

[21] a batch computing service

Heterogeneity often used, but not useful in the context of MPI jobs [20]

Selecting the best instance type, often for data analysis computations [1], and [26], and others like Ernest and Hemingway.

All the past work was on EC2 spot market with gang failures and independent markets [10, 18]. However this assumption has now changed, and failures can happen anytime. Our failure model is more general, and applies to both cases.

**7.2.1 Fault-tolerance for MPI.** [6] has a discussion of checkpointing frequency which is comprehensive.

Replication is another way [23]

**7.2.2 Huge amount of work on bidding in HPC.** [25] [11]

### 7.3 Server Selection

Exploring a large configuration space using bayesian optimization methods in CherryPick [?] and Metis [?].

Can also use Latin Hypercube sampling for parameter exploration?

## 8 CONCLUSION

Given the dramatic rise of cloud computing resources and their utilization in web services and distributed data processing, it is not the question of if, but when, cloud computing becomes a credible and powerful alternative to high-performance computing for scientific computing applications. In this paper, we developed principled approaches for deploying and orchestrating scientific computing applications on the cloud, and presented SciSpot, a framework for low-cost scientific computing on transient cloud servers.

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