

# Design And Analysis of Algorithms Project

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## Problem Statement

You are given equations of  $n$  lines as input. The equation of a line is of the form  $y=mx+c$  where  $m$  is the slope and  $c$  is the y-intercept. Design an  $O(n \log n)$  algorithm that counts the number of intersection points that lie on the right side of the line  $x=0$  (y-axis).

The following assumptions have been made:

- No line is parallel to the x-axis or y-axis.
- No two lines are parallel to each other.
- No three lines intersect at the same point. Hence, there are exactly  $n(n-1)/2$  intersection points in the configuration.
- All the intersection points lie in the bounding box  $[-100,100] \times [-100,100]$ . In other words, the x and y coordinates of any intersection point have an absolute value of at most 100.

[GitHub Link to the Project](#)

## Sources

- Introduction to Algorithms by Thomas H. Cormen, Charles E. Leiserson, Ronald L. Rivest, and Clifford Stein

## Data Structures Used

We have used a 2-D Array for storing [ slope, y-intercept ] input.

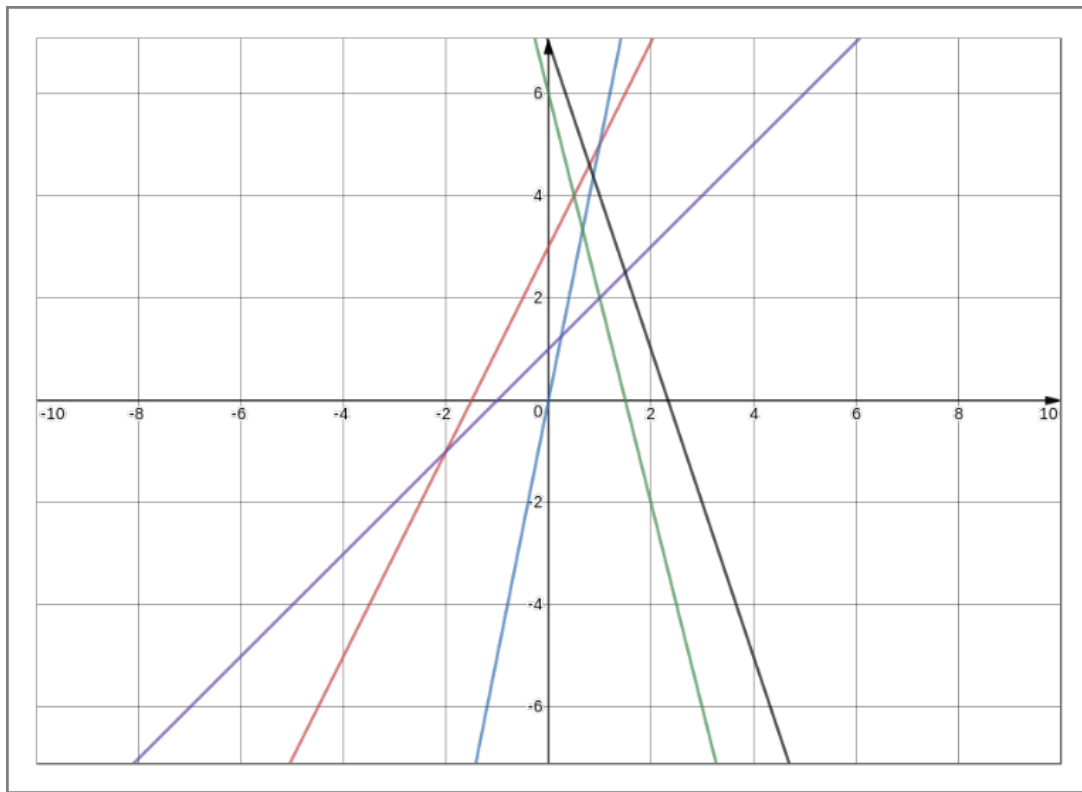
## Example

*Number of equations = 5*

1.  $y = 2x + 3$
2.  $y = 5x + 0$
3.  $y = -4x + 6$
4.  $y = x + 1$
5.  $y = -3x + 7$

We can see from the graph below the number of intersection points that lie on the right side of y-axis = **8**.

The above input has been written in "input2.txt" file and has been tested with the code.



## ALGORITHM

1. Take the input in the form of  $[m, c]$  pairs.
2. Sort the input 2-D array based on the  $c$  values (y-intercept) using Python's default sort algorithm. Now we have the values such that it is in ascending order with respect to  $c$ .
3. Array after sorting -  $[c_1, c_2, c_3 \dots c_n]$  such that  $c_1 < c_2 < c_3 \dots c_n$ .
4. To find the required intersection points, we need to find points such that  $m_i > m_j$  where  $i < j$ . Add all the  $m$  values from the input array to Array M.
5. Modify merge sort algorithm to count the number of inversions in Array M.
6. The number of count inversions in array M IS EQUAL to the number of intersection points that lie on the right side of the  $y$ -axis.

## PSEUDO CODE

Input: input\_array consists of  $n$   $[m, c]$  pairs.

```
input_array.sort -> based on c values (y-intercept)
m_array = []

for 1 to n:
    m_array[i] = input_array[i][0]

sort_and_count(m_array)
```

A and B are two sorted lists.  $i, j$  are the pointers to the lists.

```
merge_and_count (A, B)
    C = []
    count = 0
    while (A != empty and B != empty)
        C.append( min(A[i], B[j]) )
        if( B[j] < A[i] )
            count += number of elements left in A
            j++
        else
            i++
    add the remaining elements to C

    return count, C
```

With merge-and-count, we can design the count inversion algorithm as follows:

```
sort_and_count (C)

  if ( C has one element)
    return 0
  else
    divide C into A and B --> A is the left half and B is the right half
    (c1, A) = sort_and_count (A)
    (c2, B) = sort_and_count (B)
    (c3, C) = merge_and_count(A, B)

  return c1+c2+c3, C
```

## Proof Of Correctness

Consider 2 equations .

1.  $y = m_1x + c_1$
2.  $y = m_2x + c_2$

Since no 2 lines are parallel to each other, Line 1 and Line 2 must intersect.

$$\begin{aligned} m_1x + c_1 &= m_2x + c_2 \\ x &= (c_1 - c_2)/(m_2 - m_1) \end{aligned}$$

Since the intersection point has to lie on the right side of the line  $x = 0$  ( y-axis ), we need to consider the case where the above relation is positive.

$$\text{i.e., } (c_1 - c_2)/(m_2 - m_1) > 0$$

For the above equation to be true, the values of  $c$  (y-intercept) and  $m$  (slope) have to satisfy one of the following conditions:

$$\begin{aligned} c_1 > c_2 \text{ AND } m_2 > m_1 &\text{---- (1)} \\ c_1 < c_2 \text{ AND } m_2 < m_1 &\text{----(2)} \end{aligned}$$

The above relation of intersection points can be reduced to a problem of counting inversions as shown below.

The array of slopes say,  $M$  (i.e.,  $[m_1, m_2, m_3 \dots m_n]$ ) is such that the corresponding  $c$  values are sorted (i.e.,  $c_1 < c_2 < c_3 \dots c_n$ )

We get an array such that,  $\forall i, j \in \text{indices of } M$ .

$$i < j \Rightarrow c_i < c_j \text{ ----(3)}$$

and if,

$$M[i] > M[j] \text{ ----(4)}$$

we can say that the point of the intersection of the two lines is beyond the y-axis (from (1) and (2)). The above relations (3) and (4) show the reduction of the intersection points problem into the counting inversions problem.

Hence, counting the number of inversions in the  $M$  array will give us the number of intersection points on the right side of the y-axis.

- **Claim 1:** *merge\_and\_count merges two given sorted arrays.*

Let  $A[1, 2, 3 \dots n]$  and  $B[1, 2, 3 \dots n]$  be two sorted arrays and let  $C[1, 2, 3 \dots 2n]$  be the final merged array.

Proof by induction, (with induction being carried over the insertion of an element to  $C$ )

**Base Case:  $i = 1$**

The first element of  $C$ , i.e.,  $C[1]$  is the minimum of  $A[i]$  and  $B[i]$ .

Assume, for contradiction, minimum element isn't  $\min(A[1], B[1])$ .

$\exists i > 1$ , such that either  $A[i]$  or  $B[i]$  is the minimum. Without loss of generality assume  $A[i]$  is the minimum.

but this means,  $A[i] < A[1]$  which is a contradiction to the assumption that both the arrays are sorted

**Assuming it is true for all  $i = k-1$**

At the  $k^{\text{th}}$  iteration  $C[k]$  is the minimum of  $A[p_1]$  and  $B[p_2]$ . Where  $p_1$  and  $p_2$  are the pointers to the first element of  $A$  and  $B$  respectively that has not been added to  $C$ .

Assume, for contradiction, minimum element isn't the minimum of  $A[p_1]$  and  $B[p_2]$ .  $\exists i > p_1$  and  $j > p_2$ , such that the minimum( $A[i]$ ,  $B[j]$ ) is the minimum and is added to  $C$ . Assume without loss of generality let  $A[i]$  is the minimum.

$A[i] < A[p_1] \Rightarrow$  contradiction to the assumption that the array  $A$  is sorted.

Hence, this assumption has been proved

- **Claim 2** : *merge\_and\_sort sorts the given array.*

Proof by Strong Induction (induction on the size of the array):

for an array of size  $i = 1$  : trivially true (since an array of size 1 is already sorted).

For the inductive step : assuming that merge\_and\_sort sorts every array of size less than  $n$ .

Proving merge\_and\_sort on any array of size  $n$ .

We know that merge\_and\_sort will recursively merge\_and\_sort on two arrays of size  $n/2$ . By the inductive hypothesis these two arrays are sorted correctly. From claim 1, we can say that the two arrays are merged correctly from indices  $i = 1, 2, 3 \dots n/2$  and  $j = n/2 + 1, n/2 + 2, \dots n$  and hence the array is sorted correctly.

- **Claim 3** : *when an inversion is observed, all elements in  $A$  which have not been added to  $C$  should be counted as inversions*

When the algorithm compares  $A_i$  to  $B_j$  if  $A_i > B_j$ , then an inversion exists (since the  $B$  array is to the right of the  $A$  array and should have  $\forall i \forall j, j > i$  since  $j$  marks the indices of the right half-array and  $i$  marks the indices for the left half-array).

Since, we know that both arrays are sorted

$$\forall k > i, A_i < A_k$$

also  $B_j < A_i$  (given in the claim)

this implies,

$$\forall k > i \text{ and } k < j, B_j < A_k \text{ (} k < j \text{ since } j \text{ exists in the right array } B \text{ which will having indices greater than the largest index in } A \text{)}$$

Therefore all elements in  $A$  which have not been added to  $C$  are greater than  $b_j$  and thus need to be considered as inversions

- **Claim 4** : *merge\_and\_count counts every inversion at least once*

Suppose  $Z[i]=x > Z[j]=y$ , in the array  $Z$  and  $i < j$ , i.e., there is an inversion in the original list.

The function sort\_and\_count successively divides the array  $Z$  into half arrays  $A[1,2,3 \dots n/2]$  and  $B[n/2, n/2+1, \dots n]$ , and merges them to give sorted arrays  $A \cup B$ .

Since we know from Claims 1 and 2, that the algorithm sorts the given array.

At some point array  $A$  shall contain  $x$  and array  $B$  shall contain  $y$ . merge\_and\_count cannot exhaust all of  $A$  before the second pointer( $p_2$ ) points to  $y$ .

At some point, when  $x$  is still in  $A$ ,  $y$  will be compared to  $x' \in A$ , where  $x' > y$  and  $x' \leq x$  (since the array is being sorted).

At that point,  $y$  is removed and the number of elements in  $A$  after  $x'$  is added to the count of inversions. The inversion pair  $x, y$  contributes **one** to this count.

Hence, every inversion pair gets counted at least once.

- **Claim 5** : *merge\_and\_count does not count any inversion more than once.*

An inversion pair  $a, b$  can only contribute to a count when  $a \in A$  and  $b \in B$  in some invocation of Merge-and-Count. Since  $A$  and  $B$  are merged during that invocation, this removes the inversion (by sorting the array) and hence the pair  $a, b$  can contribute to the count in exactly one invocation of Merge-and-Count. During that invocation when an inversion pair  $a, b$  does contribute to the count (claim 4), the inversion is **removed** since the final sorted array  $C$ , is used in the further invocations of merge\_and\_count.

Therefore, all the inversions in Array  $M$  have been counted correctly. This in turn gives us the number of intersection points that lie on the right side of the  $y$ -axis.

# Analysis of Running Time

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The Recurrence Relation for MergeSort is:

$$\begin{aligned}T(n) &= 2T(n/2) + n \\T(n) &= 4T(n/4) + 2n \\T(n) &= 8T(n/8) + 3n \\&\dots \\T(n) &= 2^k T(n/2^k) + kn\end{aligned}$$

Proof using Induction:

For  $k=1$ :  $T(n) = 2T(n/2) + n$  is true.

Induction hypothesis:

$$T(n) = 2^{k-1}T(n/2^{k-1}) + (k-1)n$$

$$T(n) = 2[2^{k-1}T(n/2^{k-1}) + (k-1)n/2] + n$$

Hence proved,  $T(n) = 2^k T(n/2^k) + kn$  is true for all  $k$ .

Put  $k = \log(n)$ :  $T(n) = n(T(1)) + n \log(n) = O(n \log(n))$

- Sorting the input based on **c** values (y-intercept) using TimSort(Default sorting algorithm in Python) -  $\theta(n \log(n))$
- To count the inversions in **m** array (slopes array), the algorithm is a modified form of Merge Sort -  $\theta(n \log(n))$
- Therefore the Time Complexity is  $\theta(n \log(n)) + \theta(n \log(n))$ .

$$\text{Time Complexity} = \theta(n \log(n))$$

## Instructions on how to run the code

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- The code is written in Python. The code can be run by using the following command for a given input file (Suppose the input file name is **input1.txt**) - **python IntersectionPoints.py TestCases/input1.txt**
- The **generate\_input.py** generates random input values for a large value of **n**. The input values are written to the file **large\_input.txt**. The value of **n** can be changed in the code to generate a different set of inputs.
- The format for TestCases has been defined in the file **Format\_For\_TestCases.pdf**.

## Individual Contributions

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- **Combined Contribution** : Came up with the algorithm for the question.
- **Ayush** : Wrote the proof of correctness. Wrote sample test cases. Wrote the script for generating large inputs.
- **Prateksha** : Wrote the code. Completed pseudo code, running time analysis and TestCase formats of README.