

### Unit III

~~Pitfalls in designing to good design~~

#### ↑ Relational Database design :-

A bad design of a relation may have :

- 1) Repetition of information
- 2) inability to represent certain information.

Consider, the following eg. of a bad design of a database

Consider the following loan relation to store the info concerning loans:

$\text{Loan} = (\text{br\_name}, \text{br\_city}, \text{assets}, \text{cust\_nm}, \text{loan\_no}, \text{amt.})$

fig. 4-1 The loan relation

Br-name	Br-city	Assets	cust-name	loan-no.	Amnt
BOH	Aurangabad	20000	Sachin	L-17	10000
BUM	pune	32000	Rahul	L-23	20000
BOI	Mumbai	100000	Ajay	L-15	1500
ICICI	pune	3700000	Rahul	L-14	1500
SBI	Mumbai	400000	sachin	L-93	5000
HDFC	Delhi	490000	Raj	L-23	20000
City Bank	Delhi	2500000	Anil	L-25	25000
HDFC	Delhi	490000	Ramesh	L-10	22000

Injection anomalies:

Suppose we wish to add a new loan to the database say that the loan is made by ICICI + Ramesh for an amount of Rs. 10000/- and the loan is L-30.

Thus we will add the following tuple

(ICICI, pune, 3700000, Ramesh, L-30, 10000)

to the loan relation.

In the above case we have repeated the info assets and city for the branch ICICI i.e.

### deletion anomalies:

If we delete a tuple from the loan reln that represents customers located at a branch, the details about that branch are also lost from the database.

We have 2 tuples having the following information:

(ICICI, pune, 3700000, Rahul, L-14, 1500) with

(ICICI, pune, 3700000, Ramesh, L-30, 10000) with

Here the assets and city information is repeating.

Thus, for insertion of each loan information the information concerning the assets and city are being repeated.

This repetition wastes space. erector is with

This redundancy of data also complicates the update operations made on the database.

Eg:- if ICICI branch moves from pune to chennai. we will have to update all the redundant entries as well.

### relation anomalies:

Thus updates are more costly bcoz while updating as in this case we have to ensure that every tuple pertaining to ICICI has to be updated or else our database will show two different cities for the same branch name (ICICI) thereby resulting in an inconsistent state of the database.

Moreover suppose that there is a branch ICICI which doesn't have any loan at that branch then we cannot represent the required branch information in the loan relation.

Tuples in the loan relation require values for loanno, amount, and customer name, otherwise null. Values can be inserted for these attributes, but the null values are difficult to handle.

### Decomposition :-

The above mentioned problems can be solved by

thus using decomposition, we can write it as

Thus the loan relation of above fig. 1 can be decomposed into the following two relational schemas.

Branch-cust-schema (branchName, branchCity, assets, customerName)

customer-loan-schema (customerName, loanNo, amount).

Thus 2 relations will have the following contents:

Branch-cust relation:

branchName	branchCity	assets	customerName
BOH	Aurangabad	20000	Sachin
BOM	Pune	32000	Rahul
BOI	Mumbai	100000	Ajay
CIC	Pune	370000	Rahul
SBI	Mumbai	400000	Sachin
HDFC	Delhi	490000	Rajesh
City Bank	Delhi	2500000	Amith
HDFC	Delhi	490000	Ramesh

The customer-loan relation:

customerName	loanNo	amount
Sachin	L-17	10000
Rahul	L-23	20000
Ajay	L-15	1500
Rahul	L-14	1500
Sachin	L-93	5000
Rajesh	L-23	22000
Anil	L-25	25000
Ramesh	L-10	22000

We can reconstruct the original loan relation as follows:

$\text{branch\_cust} \bowtie \text{customer\_loan}$ .

The result of this is as shown <sup>in the table</sup> below.

Table 4.2

branch-name	branch-city	assets	cust-name	loan-no	amount
BOH	Aurangabad	20000	Sachin	L-17	10000
BOH	Aurangabad	20000	Sachin	L-93	5000
BOM	Pune	32000	Rahul	L-23	20000
BOM	Pune	32000	Rahul	L-14	1500
BOI	Mumbai	100000	Ajay	L-15	1500
ICICI	Pune	3700000	Rahul	L-23	20000
ICICI	Pune	3700000	Rahul	L-14	1500
SBI	Mumbai	400000	Sachin	L-17	20000
SBI	Mumbai	400000	Sachin	L-93	5000
HDFC	Delhi	490000	Raj	L-23	2200
City Bank	Delhi	250000	Anil	L-25	25000
HDFC	Delhi	490000	Ramesh	L-10	22000

Consider the query:

"Find the branch name of all branches that have made loans in amount less than RS. 15000".

The By referring to the above table which shows the loan relation. The result of the above query are the following branch names: BOH, BOI, ICICI, SBI.

The result of the same query using the

$\text{Branch-cust} \bowtie \text{Customer-loan}$  relation is: BOH, BOM, BOI, ICICI, SBI. Here in this case there is one additional branch name ie. BOM.

Moreover, if a customer happens to have several loans from different branches, we cannot tell which loan belongs to which branch for eg. from table 4.1 we see that, Sachin is having 2 loans - (L-93 and L-17).

However, when we decompose the relation of table 4.1 into the two relations - (customer-loan and Branch-cust) and after taking their join we obtain an relation

Table 4.2. In this relation we cannot make out that the loan numbered L-17 belongs to which branch as there are two entries pertaining to it i.e. BOH and SBI.

Thus, when we join Branch-cust and customer-loan we obtain not only the tuples that we had originally in loan but also several additional tuples.

Although we have more tuples in Branch-cust & customer-loan we actually have less information.

Thus we are not able to represent in the database the information about which customers are borrowers from which branch because of this loss of information. We call the decomposition of the loan relation schema as a lossy decomposition or a lossy-join decomposition.

Thus a lossy-join decomposition is a bad database design

### Functional Dependencies :-

Functional dependencies are constraints on the set of legal relations. It is a relationship between the attributes.

In a particular relation an attribute 'Y' is functional dependant on an attribute 'X' if every value of X in the relation has exactly one value of 'Y' in the given relation. This functional dependency is represented as follows:

$$X \rightarrow Y$$

which says that the attribute Y is functionally dependant on the attribute X. Or we say that X attribute functionally determines the attribute Y. Consider the relation r as shown below:-

Consider the dependency  $A \rightarrow C$ .

Table 4.3 Relation R

A	B	C	D
a <sub>1</sub>	b <sub>1</sub>	c <sub>1</sub>	d <sub>1</sub>
a <sub>1</sub>	b <sub>2</sub>	c <sub>1</sub>	d <sub>2</sub>
a <sub>2</sub>	b <sub>2</sub>	c <sub>2</sub>	d <sub>2</sub>
a <sub>2</sub>	b <sub>3</sub>	c <sub>2</sub>	d <sub>3</sub>
a <sub>3</sub>	b <sub>3</sub>	c <sub>2</sub>	d <sub>4</sub>

Every value of A  
has exactly one value  
of C in the given  
relation.

This dependency is satisfied as there are 2 tuples which have the value  $a_1$  for the attribute A and these tuples have the same C value i.e.  $c_1$ , for the attribute C.

Similarly, there are 2 tuples with the value  $a_2$  for the attribute A but have the same value i.e.  $c_2$  for the attribute C. There are no other pairs of distinct tuples that have the same A value.

The functional dependency  $C \rightarrow A$  is not satisfied as consider the tuples  $t_1 = (a_2, b_3, c_2, d_3)$  and  $t_2 = (a_3, b_3, c_2, d_4)$ . These two tuples have the same C values,  $c_2$ , but they have different A values i.e.  $a_2$  and  $a_3$  respectively. Hence, we say that the relation  $R$  does not satisfy the functional dependency  $C \rightarrow A$ . Thus, we have found a pair of tuples  $t_1, t_2$  such that  $t_1[C] = t_2[C]$ , but  $t_1[A] \neq t_2[A]$ .

\* Functional dependency can be formally defined as follows:

The functional dependency  $\alpha \rightarrow \beta$  holds on  $R$  if, in any legal relation  $R(R)$ , for all pairs of tuples  $t_1$  and  $t_2$  in  $R$  such that  $t_1[\alpha] = t_2[\alpha]$  we require that  $t_1[\beta]$  should also be equal to  $t_2[\beta]$ .

**Full functional dependency:**

Given a relation R and an FD  $X \rightarrow Y$ , Y is fully functionally dependent on X if there is no proper subset of  $X'$  of X such that  $X'$  is functionally dependent on Y.

**Prime attributes and Non prime attributes:-**

An attribute A in a relation schema R is a prime attribute if A is a part of any candidate key of the relation. If A is not a part of any candidate key of R, A is called a non prime attribute.

**Normalization using Functional dependency.**

**Desirable properties of decomposition:-**

Decomposition must be

- Loss-less join decomposition
- Dependency preserving
- without any repetition of information.

1. lossless join decomposition :-

To have a lossless join decomposition it is necessary to determine if a decomposition is lossy or not.

This can be done by using the following rule.

Let R be a relation schema, and let F be a set of functional dependencies on R. Let  $R_1$  and  $R_2$  form a decomposition of R. This decomposition is a loss-less join decomposition of R if at least one of the following functional dependencies are in  $F^+$

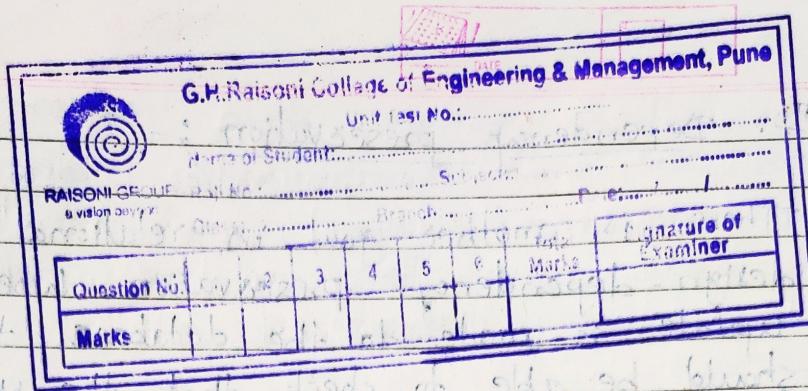
$$R_1 \cap R_2 \rightarrow R_1$$

$$R_1 \cap R_2 \rightarrow R_2$$

Consider again the loan schema = (br\_name, br\_city, assets, customer\_name, Loan\_no, amount)

The set of functional dependencies that we require to hold on the loan schema are :

(3)



The Loan schema as seen earlier is a bad database design. Assume we decompose it into the following two relations:

$R_1$ : Branch-schema = (br-name, assets, br-city)  
 $R_2$ : loan\_info\_schema = (br-name, customer-name, loan-no, amount)

since we have the F.D.  $br-name \rightarrow br-city, assets$ ,

the augmentation rule of functional dependencies implies that  $br-name \rightarrow br-city, assets$ .

Since, branch schema  $\cap$  loan schema = {br-name}  
it follows that our initial decomposition is a loss-less join decomposition.

$$FD_{R_1} = \{br-name \rightarrow br-city, br-name \rightarrow assets\}$$

$$FD_{R_2} = \{br-name \rightarrow loan-no, br-name \rightarrow customer-name\}$$

$$FD_{R_1 \cup R_2} = \{br-name \rightarrow br-city, br-name \rightarrow assets, br-name \rightarrow loan-no, br-name \rightarrow customer-name\}$$

$$\{br-name \rightarrow br-city, br-name \rightarrow assets\} \cup \{br-name \rightarrow loan-no, br-name \rightarrow customer-name\} = \{br-name \rightarrow br-city, br-name \rightarrow assets, br-name \rightarrow loan-no, br-name \rightarrow customer-name\}$$

thus branch schema  $\cap$  loan schema = {br-name}

## II. Dependency preservation :-

There is another goal in relational database design - dependency preservation. When an update is made to the database, the system should be able to check that the update will not create an illegal relation - i.e. a relation which does not satisfy all the given functional dependencies.

Given a relation schema  $R$  and a set of functional dependencies  $(F)$  associated with it.  $R$  is decomposed into the relation schemas  $R_1, R_2, \dots, R_n$  with the functional dependencies  $F_1, F_2, \dots, F_n$ .

Then the decomposition is dependency preserving if the closure of  $F'$  (where  $F' = F_1 \cup F_2 \cup \dots \cup F_n$ )

is identical to  $F^+$  i.e.

is a dependency preserving decomposition!

Eg: Let  $R(A, B, C, D)$  and

$F = \{A \rightarrow B, A \rightarrow C, C \rightarrow D\}$

$R$  is decomposed into  $R_1 = (A, B, C)$  with the FD's

$$F_1 = \{A \rightarrow B, A \rightarrow C\}$$

$$R_2 = (C, D) \text{ with the FD's}$$

$$F_2 = \{C \rightarrow D\}$$

$$F = F_1 \cup F_2 = \{A \rightarrow B, A \rightarrow C, C \rightarrow D\}$$

$$\text{Hence } F' = F^+.$$

Thus the decomposition is dependency preserving and also less-loss.

eg: We consider each member of the set  $F$  of functional dependencies that we require to hold on loan schema and show that each one can be tested in at least one relationship in the decomposition.

We can test the F.D.  $\{br\_name \rightarrow br\_city, assets\}$

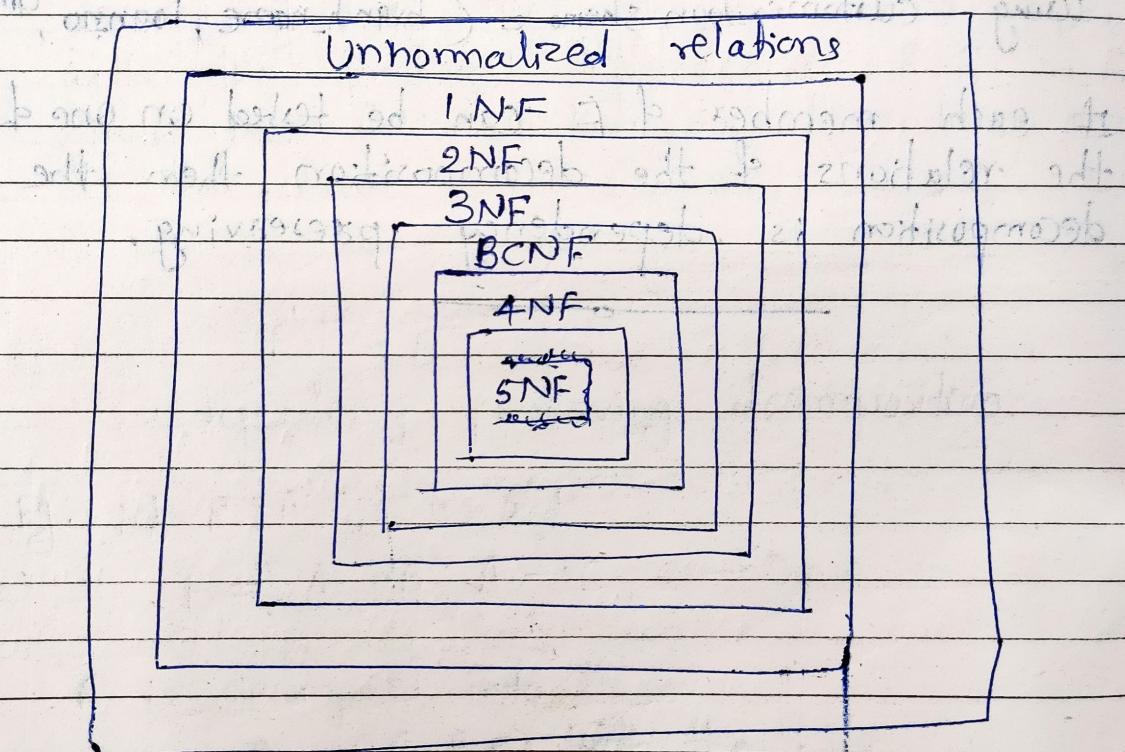
by using the branch\_cust and loan tables  
 $br\_customers \rightarrow (branch\_name, branch\_city)$ ,  
 $loan \rightarrow (assets, customer\_name)$ .

We can test the F.D.  $\{loan\_number \rightarrow amount, branch\_name\}$   
using customer\_loan schema  $\rightarrow (branch\_name, loan\_no, amount)$

If each member of  $F$  can be tested on one of the relations of the decomposition, then the decomposition is dependency preserving.

Normalization: - The theory of normalization is developed around the concept of normal forms. A relation is said to be in a particular normal form if it satisfies a certain specified set of constraints or conditions.

Many normal forms have been defined on relations. First normal form (1NF), 2NF, 3NF, Boyce-Codd normal form (BCNF), fourth normal form (4NF) and (5NF) are some of the normal forms defined as below indicated in the following diagram:



### Objectives of Normalization :-

- (1) To develop "good" database design
- (2) Each normal form has a number of constraints (conditions) associated with it which ensures that various types of anomalies (problems) and inconsistencies are not introduced in the database.

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		Unit Test No. ....						
Name of Student: .....		Subject: .....						
RAISONI GROUP • INSTITUTE •		Branch: .....						
Class: .....		Date: .....						
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20 UNIT

First Normal Form:

**Defn:** "[A relation is said to be in 1NF if the values in the domain of each attribute of the relation are atomic. i.e. only one value associated with each attribute.] A database system is in 1NF if every relation in the system is in 1NF."

Consider the following unnormalised relation as shown in Table a.

supplier relation

Supplier-no	Status	City	Product information	
			Product-id	Qty
S <sub>1</sub>	20	Latur	P <sub>1</sub>	300
			P <sub>2</sub>	200
			P <sub>3</sub>	400
			P <sub>4</sub>	200
			P <sub>5</sub>	300
			P <sub>6</sub>	100
S <sub>2</sub>	10	pune	P <sub>1</sub>	300
S <sub>3</sub>	10	pune	P <sub>2</sub>	400
S <sub>4</sub>	20	Latur	P <sub>2</sub>	200
			P <sub>4</sub>	300
			P <sub>5</sub>	400

This relation is unnormalized and is not in INF  
some of its attributes do not have atomic values

The above table can be represented in INF as shown in table below: (b)

supplierno.	status	city	productno.	qty
S <sub>1</sub>	20	Latur	P <sub>1</sub>	300
S <sub>1</sub>	20	Latur	P <sub>2</sub>	200
S <sub>1</sub>	20	Latur	P <sub>3</sub>	400
S <sub>1</sub>	20	Latur	P <sub>4</sub>	200
S <sub>1</sub>	20	Latur	P <sub>5</sub>	100
S <sub>1</sub>	20	Latur	P <sub>6</sub>	100
S <sub>2</sub>	10	pune	P <sub>1</sub>	300
S <sub>2</sub>	10	pune	P <sub>2</sub>	400
S <sub>2</sub>	10	Latur	P <sub>2</sub>	200
S <sub>4</sub>	20	Latur	P <sub>2</sub>	200
S <sub>4</sub>	20	Latur	P <sub>4</sub>	300
S <sub>4</sub>	20	Latur	P <sub>5</sub>	400

The redundancies in the supplier relation lead to a variety of update anomalies i.e. difficulties with update operation such as insert, delete and update operations.

(i) insert anomaly: It is difficult to enter that a particular supplier is located in a particular city until that supplier supplies at least one product. The table does not include indicate that the supplier S<sub>5</sub> is located at Agra bcoz S<sub>5</sub> has not supplied any product. Moreover to make an entry into the relation each attribute must have at one atomic value to satisfy the constraint.

(ii) Deletion: If we use all the be lost. If a supplier supplies

(iii) Update

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Def :- it is in attribute primary

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### (ii) Deletion anomaly:

If we delete a tuple for a particular supplier all the information regarding the supplier will be lost.

If a tuple of the supplier relation is deleted it deletes the product information regarding a supplier as well as the information regarding the supplier's location, location.

### (iii) Updation:

Redundancy occurs bcoz of duplication of the same data in the table. This leads to update problems.

### Second Normal Form (2NF):

Def:- "A relation R is in 2NF if and only if it is in 1NF and every non-key attribute (non prime attribute) is fully functionally dependent on the primary key".

An attribute is a non key or non prime if it does not participate in the primary key.

By splitting the suppliers relation of Table D into supplier details and product relation

We can eliminate the insertion, deletion and updation problems encountered in 1NF relation.

The decomposition of the supplier relation is as shown in following table C.

Supplier Details			Product		
Supplier No.	Status	City	Supplier No.	Product No.	Qty
S <sub>1</sub>	20	Latur	S <sub>1</sub>	P <sub>1</sub>	300
S <sub>2</sub>	10	Pune	S <sub>1</sub>	P <sub>2</sub>	200
S <sub>3</sub>	10	Pune	S <sub>1</sub>	P <sub>3</sub>	400
S <sub>4</sub>	20	Latur	S <sub>1</sub>	P <sub>4</sub>	9200
S <sub>5</sub>	30	Agra	S <sub>1</sub>	P <sub>5</sub>	100
			S <sub>2</sub>	P <sub>6</sub>	100
			S <sub>2</sub>	P <sub>1</sub>	300
			S <sub>2</sub>	P <sub>2</sub>	400
			S <sub>3</sub>	P <sub>2</sub>	200
			S <sub>4</sub>	P <sub>2</sub>	2000
			S <sub>4</sub>	P <sub>4</sub>	300
			S <sub>4</sub>	P <sub>5</sub>	400

## (1) Insertion

functional dependencies for the relations supplier details and products are as shown in Fig. 11.11.

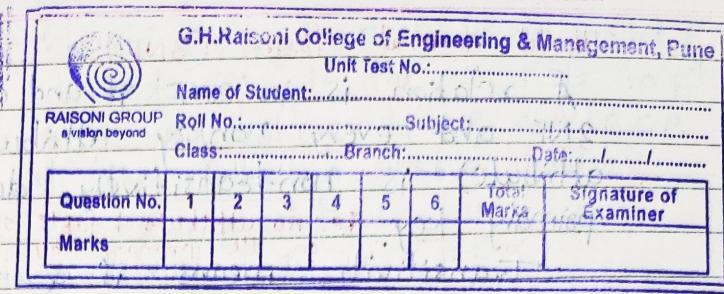
Thus the problems encountered in 1NF supplier relation are solved as follows:

(1) Insertion : Information regarding the supplier s<sub>5</sub> can be added into the table supplier details even if he is not supplying any product.

(2) Deleting : The tuple (S<sub>3</sub>, P<sub>2</sub>) in the product table can be deleted without losing the information that S<sub>3</sub> is located in pune.

(3) Updating : Since redundancy is eliminated the problem during updation is less.

However the relations supplier details and product cause certain problems in the 3 operations.



## (i) Insertion Anomaly:

The information that a particular city has a particular status value cannot be inserted until some supplier is located to map that city, because until a supplier exists there is no appropriate primary key value.

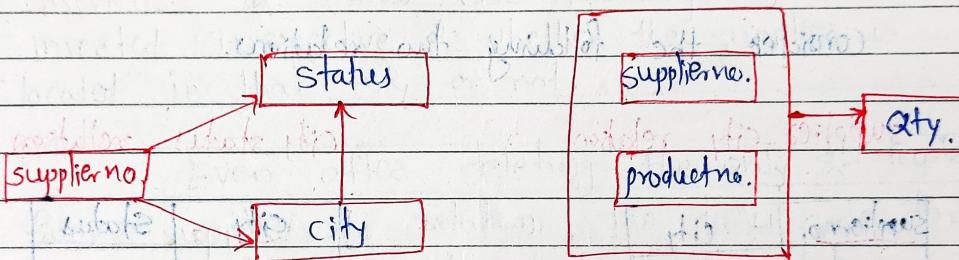


Fig. 4.2

## (2) Deletion Anomaly:

If a tuple of the supplier details is deleted, then information regarding the supplier and the city is lost, i.e. if the tuple pertaining to  $s_5$  is deleted then the status of Agra is also lost.

### ③ Updation anomaly :

Redundancy still exists in the supplier details relation because status for each city is still repeated in the supplier details relation. This leads to the same update problem as in 1NF.

### Third normal form (3NF)

A relation is in 3NF if and only if it is in 2NF and every nonkey attribute (nonprime attribute) is non-transitively dependent on primary key. i.e. no attribute of table should be transitive.

Transitivity means if  $\alpha \rightarrow \beta$  holds and

$\beta \rightarrow \gamma$  holds, then  $\alpha \rightarrow \gamma$  holds.

Transitive dependency causes problems in update.

Nontransitive dependencies implies no mutual dependencies; i.e. none of the attributes are functionally dependent on any combination of other attributes. Such independence implies each can be updated independently of all the

Consider the following two relations.

Supplier-city relation

<u>Supplier.no.</u>	<u>city</u>
S <sub>1</sub>	Latur
S <sub>2</sub>	Pune
S <sub>3</sub>	Pune
S <sub>4</sub>	Latur

City\_Status relation

<u>city</u>	<u>Status</u>
Agra	30
Latur	20
Pune	10

They have the following functional dependencies

$$\text{Supplier.no.} \rightarrow \text{city}$$

$$\text{city} \rightarrow \text{status}$$

Suppose above two relations: supplier-city and city-status are both in 3NF. The primary keys for supplier-city and city-status is supplier-no. and city respectively. A relation that is in 2NF and not in 3NF can always be reduced to

A relation schema  $R$  is in 3NF w.r.t. a set  $F$  of functional dependencies if for all FD's in  $F$  of the form  $\alpha \rightarrow \beta$ , where  $\alpha \subseteq R$  and  $\beta \subseteq R$ , at least one of the following holds:

- (1)  $\alpha \rightarrow \beta$  is a trivial F.D. i.e. ( $\beta \subseteq \alpha$ )
- (2)  $\alpha$  is a superkey of  $R$
- (3) Each attribute in  $\beta$  is a candidate key for  $R$ .

Third cond' does not say that single candidate key should contain all the attributes in  $\beta - \alpha$ . i.e. each attribute A in  $\beta$  may contain in a different candidate key.

Can be solved as follows:

**Insertion:** The status code for any city can be inserted irrespective of whether the supplier is located in that city or not.

**Deletion:** Even after deleting the only 5-tuple from supplied-city relation, the status code for Agra is available in the city-status relation.

**Updating:** Redundancy is completely removed and hence no updation problems.

### BCNF

Boyce-Codd normal form (BCNF) was proposed as a simpler form of 3NF, but it is much more strict than 3NF. i.e. every relation in BCNF is also in 3NF, however, a relation in 3NF is not necessarily in BCNF.

4NF.

Multivalued Dependencies - this normal form called 4NF.

The definition of fourth normal form makes use of a new kind of dependency called a multivalued dependency (MVD).

Consider an relation example to explain this, consider a relation containing information about courses teachers and text in which the attributes are course, teacher and text.

The tuples in this relation mean that the specified course can be taught by any of the specified teachers and uses all of the specified texts.

Course	Teacher	Text
Maths	prof. Brown	Algebra
Maths	prof. White	Algebra
Maths	prof. Brown	Geometry
Computer	prof. Green	Computer
Computer	prof. Green	Computer

The meaning of relation CTX is a tuple (CTX) from appears in CTX, if a course C can be taught by teacher A and uses text X. The above relation involves a good deal of redundancies, leading to update anomalies.

For eg: to add the information that the Computer course can be taught by a new teacher, it is necessary to insert two new tuples, one for each of the two texts. We do so, by decomposing the CTX relation into

4NF: even though some relation schemas are in BCNF, do not seem to be sufficiently normalized, i.e. they still suffer from the problem of repetition of info.

To deal with this we must define a new form of constraint called a multivalued dependency. This

normal form also called as 4NF. Every 4NF schema is also in BCNF, but there are BCNF schemas that are not in 4NF.

$CX$  (Course, Text) because teachers and text are completely independent of one another.

Course	Teacher	Teacher	Course	Text
Maths	prof. Brown		Maths	Algebra
Maths	prof. White		Maths	Geometry
Computer	prof. Green		Computer	C

To add the information that the Computer can be taught by a new teacher all we have to do is insert a single tuple into relation CT.

Obviously the design of  $CTX$  is lossy and the decomposition into  $CT$  and  $CX$  is better.

$CTX$  satisfies no FDs at all.  $CTX$  is in BCNF.

Now we'll come back to our discussion on MVDs. MVDs are a generalization of FDs, i.e. every FD is an MVD but converse is false.

There are 2 MVDs in the above eg.

$\text{Course} \rightarrow \text{Teacher}$

$\text{Course} \rightarrow \text{Text}$

This means that attribute Teacher / Text is multi-dependent on course attribute or the attribute Course multi-determines Teacher / Text attributes.

		G.H.Raisoni College of Engineering & Management, Pune						
Name of Student:		Unit Test No. ....						
RAISONI GROUP A vision beyond		Roll No. ....	Subject: ....	Class: ....	Branch: ....	Date: / /		
Question No.	1	2	3	4	5	6	Total Marks	Signature of Examiner
Marks								

Sid, Sname  $\rightarrow$  empty.

A cause does not have a single corresponding teacher if it has a well defined set of teachers. i.e. for a given course C and a given text X, the set of teachers A matching the pair (C, X) in CTX depends on other value C alone.

The formal definition of MVD is:

Let R be a relation and A, B and C be subsets of attributes of R. Then we say B is multidependent on A in symbols as

iff in every possible legal value of R, the set of B values matching a given pair (A, C) depends only on A value and is independent of other C value.

If we have input Relation R {A, B, C}, the MVD  $A \rightarrow\rightarrow B$  holds iff the MVD  $A \rightarrow\rightarrow C$  and also holds. It can be represented in one statement as,  $A \rightarrow\rightarrow B/C$ .

Eg: Course  $\rightarrow\rightarrow$  Teacher / Text.

The two projections (tables) CT & CT do not involve any such MVDs.

### Fifth Normal Form (5NF): - / PJNF

project join Normal Form

- 1) The normal forms upto 4NF so far require that the given relation R if not in the normal form to be decomposed into two relations.
- 2) In some rare cases, a relation can have

problems like redundant info and update anomalies b'coz of it but cannot be decomposed in two relations to remove the problems.

3) In such cases it may be possible to decompose the relation into three or more relations using the 5NF, which are

a) The fifth normal form deals with join-

dependencies which is a generalization of the 4NF.

\* Theorem of fifth normal form is to have relations that cannot be decomposed further.

A relation in 5NF (cannot be constructed from

several smaller relations) satisfies

6) A relation  $R$  satisfies join dependency  $(R_1, R_2, \dots, R_n)$  if and only if  $R$  is equal to the join of  $R_1, R_2, \dots, R_n$  where  $R_i$  are subsets of the set of attributes of  $R$ .

7) A relation  $R$  is in 5NF (or PJNF project

Join Normalized Form) if for all join dependencies at least one of the following holds:

(a)  $(R_1, R_2, \dots, R_n)$  is a trivial join dependency

(i.e. one of  $R_i$  is  $R$ )

(b) every  $R_i$  is a candidate key for  $R$ .

Example :- of 5NF can be provided by the eg. below that deals with departments, subjects, and students.

dept	subject	student
comp. sci.	CP1000	John
mathematics	MA1000	John
Comp. sci.	CP2000	Arun
comp. sci.	CP3000	Reena
physics	PH1000	Raymond
chemistry	CH2000	Albert

i) The above relation says that Comp. sci offers subjects CP1000, CP2000 and CP3000 which are taken by a variety of students.

iii) Not student takes all the subjects, so no subject has all students enrolled in it and therefore all three fields are needed to represent the information.

The above relation does not show MVDs since the attributes subject and student are not independent, they are related to each other and the pairing have significant information in them.

The relation can therefore not be decomposed in two relations (dept, subject) and (dept, student) without losing some important info.

The relation can have been decomposed in the following three relations:

(dept, subject), and

(dept, student)

(subject, student)

and now it can be shown that this decomposition is lossless.

### Domain key Normal Form (DKNF):-

- 1) DKNF is a normal form used in database normalization which requires that the database contains no constraints other than domain constraints and key constraints.
- 2) A domain constraint specifies the permissible values for a given attribute, while a key constraint specifies the attributes that uniquely identify a row in a given table.
- 3) A violation of DKNF occurs in the following table:

name	type	wealthy person
wealthy person	wealthy person type	Net worth in dollars
Steve	Eccentric Millionaire	124,543,621
Roderick	Evil Billionaire	6,553,228,893
Katrina	Eccentric Billionaire	8,829,462,998
Gray	Evil Millionaire	195,565,211

Assume that the domain for wealthy person consists of the names of all wealthy people in a pre-defined sample of wealthy people. the domain for wealthy person type consists of the values 'Eccentric Billionaire', 'Evil Millionaire', and 'Evil Billionaire'. and the domain for Net worth in dollars consists of all integers greater than or equal to 1,000,000.

The constraint is neither a domain constraint nor a key constraint, therefore we can not rely on domain constraints and key constraints to guarantee that an inconsistent wealthy person type / Net worth in dollars combination does not make its way into the database.

The DKNF violation could be eliminated by altering the wealthy person type domain to make it consist of just two values, 'Evil' and 'Eccentric'.

(the wealthy person's type domain is Status, a millionaire or billionaire is implicit in their net Net worth in Dollars, so no useful information is lost.)

DKNF is frequently difficult to achieve in practice.

Q Define key & Explain different types of keys

A key is the data item that exclusively identifies a record.

e.g.: Account number, product code, empno and customer number are used as key fields b'coz they identify a record stored in a database.

1) Super key:

A super key for an entity is a set of one or more attributes whose combined value uniquely identifies the entities in the entity set.

for e.g.: for an attribute entity set Employees, the set of attributes (emp-name, address) can be considered to be a super key, if we assume that there are no two employees with the same name emp-name and same address.

2) primary key:

The primary key of a relation can be said to be a minimal super key.

The field or group of fields which forms the unique identifier for a table is called the table's primary key.

The primary key uniquely identifies each record in the table and must never be the same for two records.

for eg: emp\_code can be primary key for the entity set employee

The primary key should be chosen such that its attributes are never or very rarely changed?

eg: address field of a person should not be part of the primary key, since it is likely to change.

Emp\_code, on the other hand, is guaranteed to never change, till he is in the organization.

### 3) Candidate key:

There is only one primary key in a table.

But there can be multiple candidate keys.

A candidate key is an attribute or set of attributes that uniquely identifies a record.

These attributes or combination of attributes are called candidate key.

In such a case, one of the candidate key is chosen to be a primary key. The remaining candidate keys are called Alternate keys.

### 4) Composite key:

When a record cannot be uniquely identified by a single field, in such cases a composite key is used.

A composite key is a group of fields that uniquely identify a record.

### 5) Secondary key:

A secondary key is an attribute or combination of attributes that may not be a candidate key but classifies the entity set on a particular characteristic.

for eg: consider a relation EMPLOYEE having the attribute department, which identifies by its value which more than one means all instances of EMPLOYEE who belong to a given department.

more than one employee may belong to a department attribute, so the Dept attribute is not a candidate key for the relation EMPLOYEE since it cannot uniquely identify an individual employee. However, the Department attribute does identify all employees belonging to a given department.

#### 6) Foreign key

In a relation, column whose data values correspond to the values of a key column in another relation is called a Foreign key.

In a relational database, the foreign key of a relation would be the primary key of another relation.

# Functional Dependancy

$X \rightarrow Y$

Determinant  $\nearrow$  dependant attribute  $\nwarrow$

$X$  determines  $Y$

$Y$  is determined by  $X$

$Sid \rightarrow Sname$

eg:  $1 \rightarrow Ranjit$   
 $2 \rightarrow Ranjit$

where  $Sid$  is student id

are these different  $Ranjit$  we will determine from  $Sid$

Case 1 Valid

$Sid \rightarrow Sname$

1. Ranjit  
 1. Ranjit

Valid

Case 3  $Sid \rightarrow Sname$

1. Ranjit  
 2. Varun

Case 2 Valid

$Sid \rightarrow Sname$

1. Ranjit  
 2. Ranjit

Case 4 Invalid case

Ranjit

$Sid \rightarrow Sname$

Two types of F.D.

RHS.

Trivial F.D. always valid/true because  $Y$  is subset of  $X$ .  $X \rightarrow Y$  then  $Y$  is subset of  $X$ .

eg.  $\frac{X}{Y} \frac{Y}{S}$   
 $Sid \rightarrow Sid$

$X \rightarrow Y$

LHS  $\cap$  RHS  $\neq \emptyset$

$Sid, Sname \rightarrow Sid$  valid  $\frac{\text{Sid is empty,}}{\text{RHS subset of LHS.}}$

ii) Nontrivial F.D.

$$X \rightarrow Y \quad X \cap Y = \emptyset$$

$Sid \rightarrow Sname$

$Sid \rightarrow Semester$

$Sid \rightarrow phaneno$

RHS in  $\cap$  Intersection always empty.

Properties of Functional Dependency :-

1) Reflexivity : if  $Y$  is subset of  $X$  then  $X \rightarrow Y$

2) Augmentation : if  $X \rightarrow Y$ , then  $XZ \rightarrow YZ$

3) Transitive : if  $X \rightarrow Y$  and  $Y \rightarrow Z$  then  $X \rightarrow Z$

4) Union : if  $X \rightarrow Y$  and  $X \rightarrow Z$  then  $X \rightarrow YZ$

5) Decomposition :

if  $X \rightarrow YZ$  then  $X \rightarrow Y$  and  $X \rightarrow Z$

$X \rightarrow YZ \rightarrow Z$   $X \rightarrow Z, Y \rightarrow Z$  Cannot decompose

6) Pseudotransitivity :

If  $X \rightarrow Y$  and  $WY \rightarrow Z$  then  $WX \rightarrow Z$

7) Composition : If  $X \rightarrow Y$  and  $Z \rightarrow W$  then

$$XZ \rightarrow YW$$

~~$XZ \rightarrow YZ$~~

## Finding closure method.

R(ABCD) and following FD given

$$FD \{ A \rightarrow B, B \rightarrow C, C \rightarrow D \}$$

$$\begin{aligned}
 A^+ &= BCDA \\
 \text{closure } B^+ &= BCD \\
 C^+ &= CD \\
 D^+ &= D
 \end{aligned}$$

A → A A determines A  
 Transitive  
 $A \rightarrow B$   
 $B \rightarrow C$   
 $A \rightarrow C$

$C_k = \{A\}$   
 Candidate key

$(AB)^+ = ABCD$   
 $AB = CK \times$   
 ↑  
 Superkey

eg. ② R(ABCD)

$$FD = \{ A \rightarrow B, B \rightarrow C, C \rightarrow D, D \rightarrow A \}$$

$$A^+ = ABCD$$

$$B^+ = BCDA$$

$$C^+ = CDAB$$

$$D^+ = DABC$$

$$C_k = \{A, B, C, D\}$$

$$\text{prime attribute} = \{A, B, C, D\}$$

Non prime attribute

$X$  = set of attributes

$X^+$  → Contains set of attributes determined by  $X$ .

$$A^+ \rightarrow = \{A, B, C, D, E\}$$

$$AD^+ = \{A, D, B, C, E\}$$

augmentation property  
 $A \rightarrow ABCDE$

$$AD \rightarrow BD$$

$$AD \rightarrow B$$

$$AD \rightarrow D$$

$$B^+ = \{B, C, D, E\}$$

$$\text{CD}^+ = \{C, D, E\}$$

Candidate key is

therefore  $X \in \{ACD\}, (BCD), (ABD), (ABC)$

minimum set of attributes  $\neq 2$  is selected as

to be used for primary key

## keys in DBMS.

sid	name	marks	Dept	Course
1	a	78	CS	C <sub>1</sub>
2	b	60	EE	C <sub>2</sub>
3	a	78	CS	C <sub>3</sub>
4	b	60	EE	C <sub>3</sub>
5	c	80	IT	C <sub>2</sub>

Same name then identify student with Sid.  
key.

{dept, Course} = key

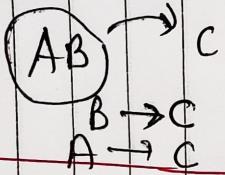
{CS, C<sub>1</sub>}

{name, marks} ✗ not valid key

{name, marks, Dept, Course} ✗ not valid key

an attribute or set of attributes that

Uniquely identify each record of .

1 <sup>st</sup> Normal form	2 <sup>nd</sup> Normal form	3 <sup>rd</sup> Normal form	BCNF	4 <sup>th</sup> Normal form	5 <sup>th</sup> Normal form
<ul style="list-style-type: none"> <li>- No multivalued attribute</li> <li>- only single valued</li> </ul> <p>* Only full Dependency</p>  <pre> graph LR     AB((AB)) --&gt; C     B --&gt; C     A --&gt; C   </pre>	<ul style="list-style-type: none"> <li>- In 1<sup>st</sup> NF +</li> <li>* No partial dependency</li> </ul>	<ul style="list-style-type: none"> <li>* In 2<sup>nd</sup> NF +</li> <li>* No Transitive Dependency</li> </ul>	<ul style="list-style-type: none"> <li>* In 3<sup>rd</sup> NF +</li> <li>* LHS must be PK or FK</li> </ul>	<ul style="list-style-type: none"> <li>In BCNF +</li> <li>No multivalued dependency</li> </ul>	<ul style="list-style-type: none"> <li>* In 4<sup>th</sup> NF +</li> <li>* Lossless Decomposition</li> </ul>
		<ul style="list-style-type: none"> <li>* No non-prime should determine Non-prime</li> </ul>	<ul style="list-style-type: none"> <li>X → Y → Z</li> <li>PK CK Non Prime Nonprime</li> </ul>	<ul style="list-style-type: none"> <li>X → Y</li> </ul>	