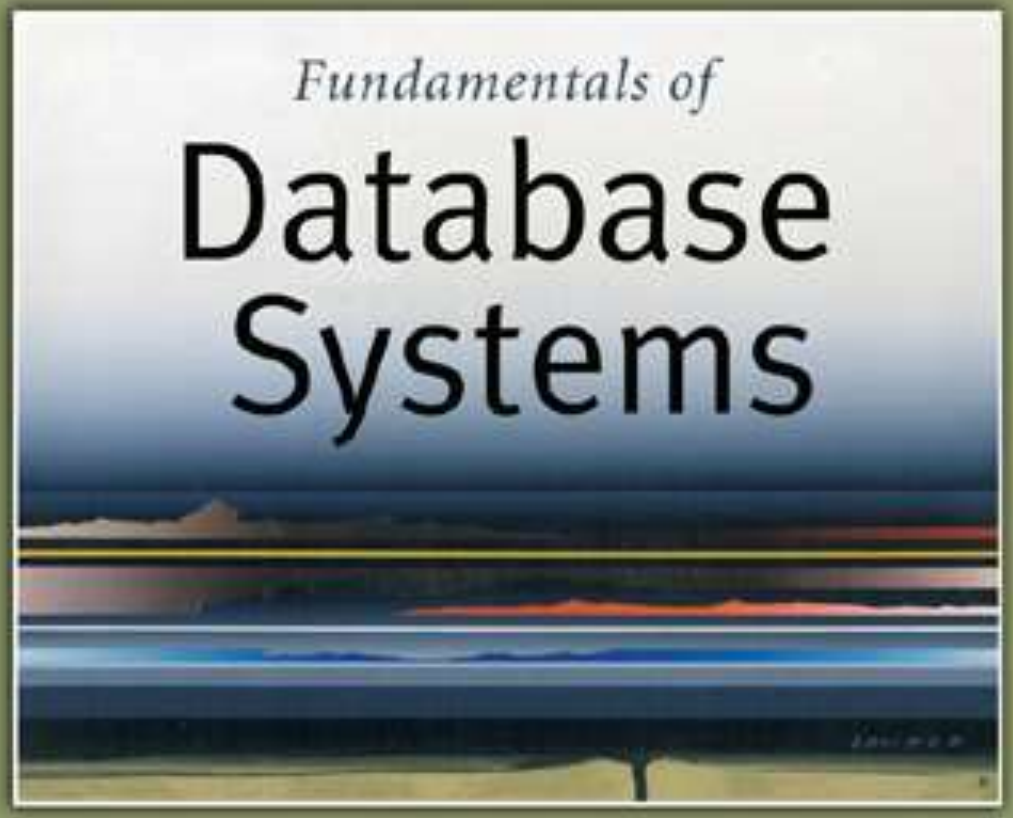
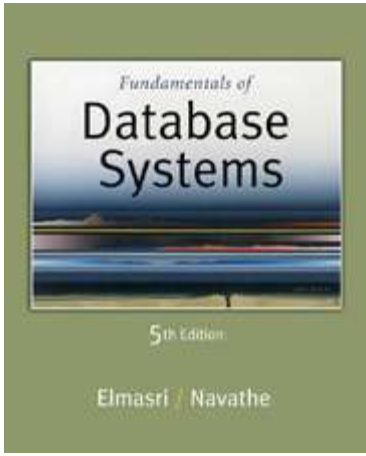


5th Edition

Elmasri / Navathe

Chapter 2

Database System Concepts and Architecture



Outline

- Data Models and Their Categories
- History of Data Models
- Schemas, Instances, and States
- Three-Schema Architecture
- Data Independence
- DBMS Languages and Interfaces
- Database System Utilities and Tools
- Centralized and Client-Server Architectures
- Classification of DBMSs

Data Models

- **Data Model:**
  - Database approach provides some level of **Data abstraction** by hiding details of data storage that are not needed by most database users.
  - A set of concepts to describe the ***structure*** of a database, the ***operations*** for manipulating these structures, and certain ***constraints*** that the database should obey.
- **Data Model Structure and Constraints:**
  - Constructs are used to define the database structure
  - Constructs typically include ***elements*** (and their ***data types***) as well as groups of elements (e.g. ***entity, record, table***), and ***relationships*** among such groups
  - Constraints specify some restrictions on valid data; these constraints must be enforced at all times

Data Models (continued)

- **Data Model Operations:**
  - These operations are used for specifying database *retrievals* and *updates* by referring to the constructs of the data model.
  - Operations on the data model may include ***basic model operations*** (e.g. generic insert, delete, update) and ***user-defined operations*** (e.g. compute_student_gpa, update_inventory)

Categories of Data Models

- **Conceptual (high-level, semantic) data models:**
  - Provide concepts that are close to the way many users perceive data.
    - (Also called ***entity-based*** or ***object-based*** data models.)
- **Physical (low-level, internal) data models:**
  - Provide concepts that describe details of how data is stored in the computer. These are usually specified in an ad-hoc manner through DBMS design and administration manuals
- **Implementation (representational) data models:**
  - Provide concepts that fall between the above two, used by many commercial DBMS implementations (e.g. relational data models used in many commercial systems).– used most frequently in traditional commercial DBMSs.

Entities, attributes, and relationships

- Conceptual data models use concepts such as entities, attributes, and relationships.
- An entity represents a real-world object or concept, such as an employee or a project, that is described in the database.
- An attribute represents some property of interest that further describes an entity, such as the employee's name or salary.
- A relationship among two or more entities represents an interaction among the entities;
- for example, a works-on relationship between an employee and a project

Schemas versus Instances

- Database Schema:
  - The ***description*** of a database.
  - Includes descriptions of the database structure, data types, and the constraints on the database.
  - specified during database design and is not expected to change frequently
- Schema Diagram:
  - An ***illustrative*** display of (most aspects of) a database schema.- the diagram displays the structure of each record type but not the actual instances of records
- Schema Construct:
  - A ***component*** of the schema or an object within the schema, e.g., STUDENT, COURSE.

Schemas versus Instances

- Database State:
  - The actual data stored in a database at a ***particular moment in time and may change frequently***. This includes the collection of all the data in the database.
  - Also called database instance (or occurrence or snapshot).
    - The term *instance* is also applied to individual database components, e.g. *record instance, table instance, entity instance*

Database Schema vs. Database State

- Database State:
  - Refers to the ***content*** of a database at a moment in time.
- Initial Database State:
  - Refers to the database state when it is initially loaded into the system-empty state.
- Valid State:
  - A state that satisfies the structure and constraints of the database.

Database Schema vs. Database State (continued)

- Distinction
  - The ***database schema*** changes very infrequently.
  - The ***database state*** changes every time the database is updated.

- **Schema** is also called **intension**.
- **State** is also called **extension**.

Example of a Database Schema

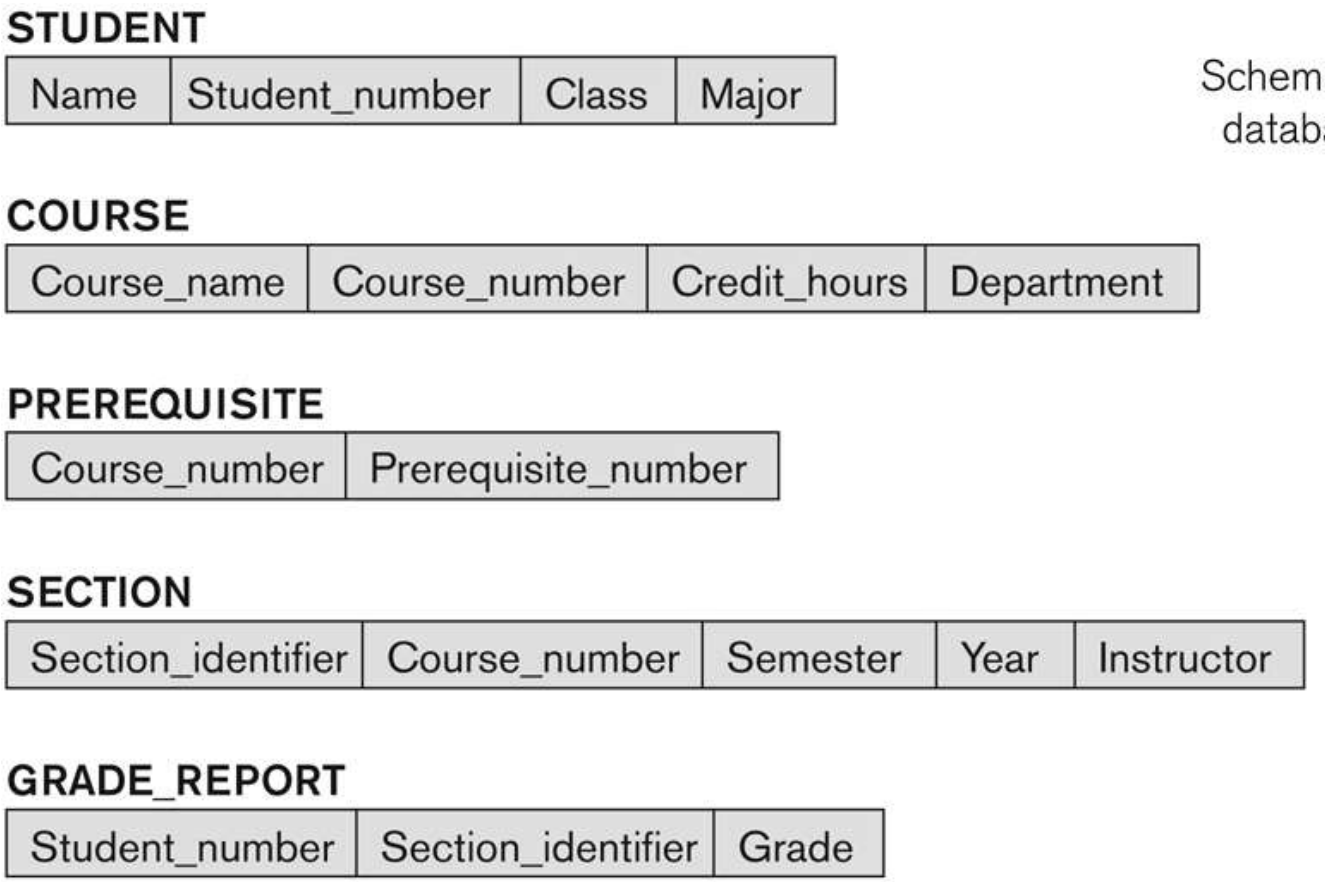


Figure 2.1
Schema diagram for the database in Figure 1.2.

Example of a database state

COURSE

Course_name	Course_number	Credit_hours	Department
Intro to Computer Science	CS1310	4	CS
Data Structures	CS3320	4	CS
Discrete Mathematics	MATH2410	3	MATH
Database	CS3380	3	CS

SECTION

Section_identifier	Course_number	Semester	Year	Instructor
85	MATH2410	Fall	04	King
92	CS1310	Fall	04	Anderson
102	CS3320	Spring	05	Knuth
112	MATH2410	Fall	05	Chang
119	CS1310	Fall	05	Anderson
135	CS3380	Fall	05	Stone

GRADE_REPORT

Student_number	Section_identifier	Grade
17	112	B
17	119	C
8	85	A
8	92	A
8	102	B
8	135	A

PREREQUISITE

Course_number	Prerequisite_number
CS3380	CS3320
CS3380	MATH2410
CS3320	CS1310

Figure 1.2
A database that stores student and course information.

Three-Schema Architecture

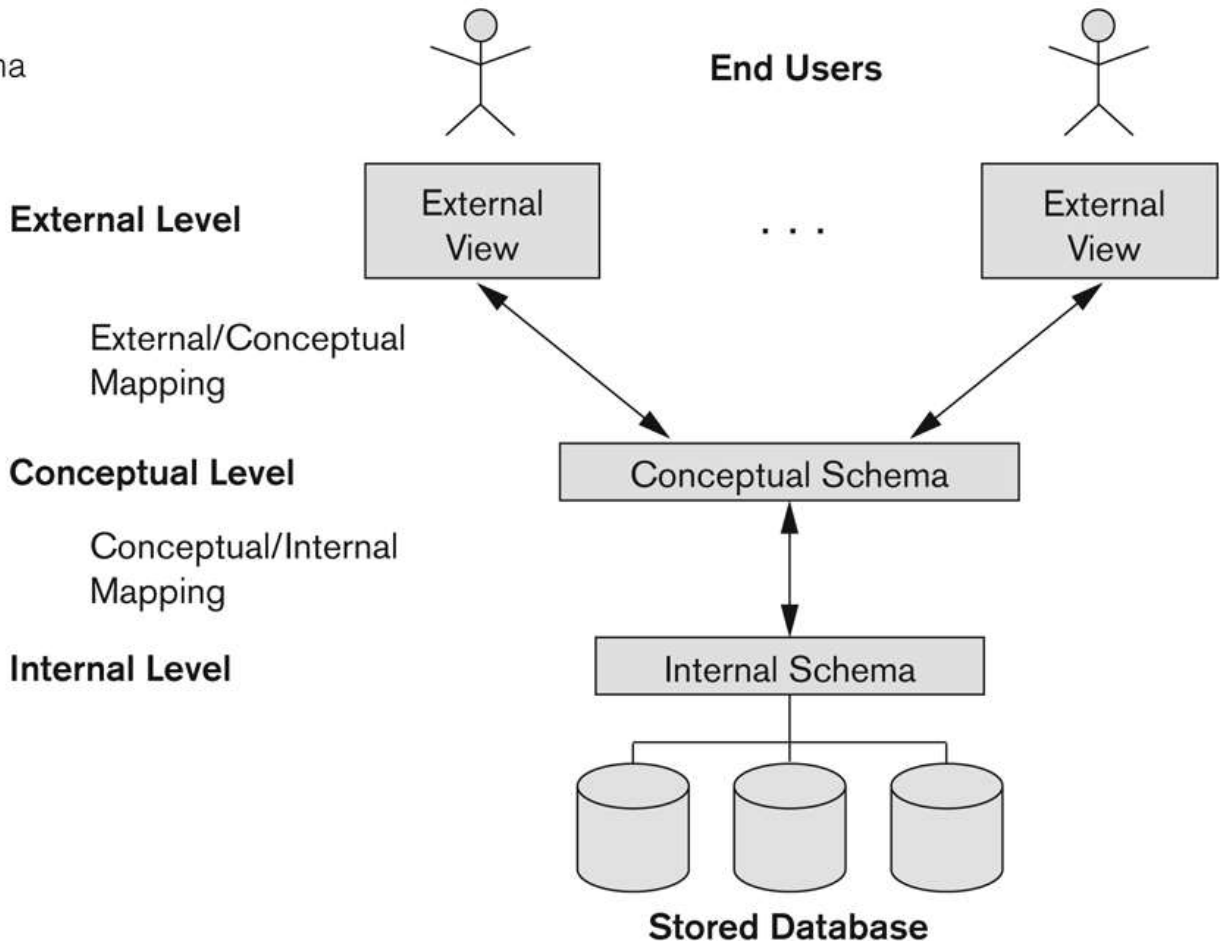
- The goal of the three-schema architecture,is to separate the user applications and the physical database.
- In this architecture, schemas can be defined at the following three levels:
- Proposed to support DBMS characteristics of:
  - **Program-data independence.**
  - Support of **multiple views** of the data.
- Not explicitly used in commercial DBMS products, but has been useful in explaining database system organization

Three-Schema Architecture

- **Defines DBMS schemas at *three* levels:**
  - **Internal schema** at the internal level to describe physical storage structures of DB and access paths (e.g indexes).
    - Typically uses a **physical** data model and describes the complete details of data storage and access paths for the database. .
  - **Conceptual schema** at the conceptual level to describe the structure and constraints for the whole database for a community of users.
    - Hides the details of physical storage structures and concentrates on describing entities, data types, relationships, user operations, and constraints
  - **External schemas** at the external level to describe the various user views.
    - Describes part of the database that a particular user group is interested in and hides the rest of the database from that user group

The three-schema architecture

Figure 2.2
The three-schema architecture.



Three-Schema Architecture

- Mappings among schema levels are needed to transform requests and data.
  - In a DBMS based on the three-schema architecture, each user group refers only to its own external schema.
  - Hence, the DBMS must transform a request specified on an external schema into a request against the conceptual schema, and then into a request on the internal schema for processing over the stored database.
  - Data extracted from the internal DBMS level is reformatted to match the user's external view (e.g. formatting the results of an SQL query for display in a Web page)
  - Process of transforming requests and results between levels are called mappings.

Data Independence

- The three-schema architecture can be used to explain the concept of data independence, that is the capacity to change the schema at one level of a database system without having to change the schema at the next higher level
- **Logical Data Independence:**
  - The capacity to change the conceptual schema without having to change the external schemas and their associated application programs.

Logical Data Independence…

- We may change the conceptual schema to expand the database (by adding a record type or data item), or to reduce the database (by removing a record type or data item). In the latter case, external schemas that refer only to the remaining data should not be affected.
- Application programs that reference the external schema constructs must work as before, after the conceptual schema undergoes a logical reorganization.
- Changes to constraints can be applied also to the conceptual schema without affecting the external schemas or application programs.

Data Independence

- **Physical Data Independence:**
  - The capacity to change the internal schema without having to change the conceptual schema.
  - For example, the internal schema may be changed when certain file structures are reorganized or new indexes are created to improve database performance
  - for example, by creating additional access structures—to improve the performance of retrieval or update. If the same data as before remains in the database, we should not have to change the conceptual schema

Data Independence (continued)

- When a schema at a lower level is changed, only the **mappings** between this schema and higher-level schemas need to be changed in a DBMS that fully supports data independence.
- The higher-level schemas themselves are **unchanged**.
  - Hence, the application programs need not be changed since they refer to the external schemas.

DBMS Languages

- Data Definition Language (DDL)
- Data Manipulation Language (DML)
  - High-Level or Non-procedural Languages: These include the relational language SQL
    - May be used in a standalone way or may be embedded in a programming language
  - Low Level or Procedural Languages:
    - These must be embedded in a programming language

DBMS Languages

- **Data Definition Language (DDL):**
  - Used by the DBA and database designers to specify the conceptual schema of a database.
  - In many DBMSs, the DDL is also used to define internal and external schemas (views).
  - In some DBMSs, separate **storage definition language (SDL)** and **view definition language (VDL)** are used to define internal and external schemas.
    - SDL is typically realized via DBMS commands provided to the DBA and database designers

DBMS Languages

- **Data Manipulation Language (DML):**
  - Used to specify database retrievals and updates
  - DML commands (data sublanguage) can be *embedded* in a general-purpose programming language (host language), such as COBOL, C, C++, or Java.
    - A library of functions can also be provided to access the DBMS from a programming language
  - Alternatively, stand-alone DML commands can be applied directly (called a *query language*).

Types of DML

- **High Level or Non-procedural Language:**
  - For example, the SQL relational language
  - Are “set”-oriented and specify what data to retrieve rather than how to retrieve it.
  - Also called **declarative** languages.
- **Low Level or Procedural Language:**
  - Retrieve data one record-at-a-time;
  - Constructs such as looping are needed to retrieve multiple records, along with positioning pointers.

DML

- Whenever DML commands, whether high-level or low-level, are embedded in a general-purpose programming language, that language is called the host language and the DML is called the data sublanguage
- High-level DML used in a stand-alone interactive manner is called a query language.
- Casual end users typically use a high-level query language to specify their requests, whereas programmers use the DML in its embedded form.
- For naive and parametric users, there usually are user-friendly interfaces for interacting with the database; these can also be used by casual users or others who do not want to learn the details of a high-level query language.

DBMS Interfaces

- Stand-alone query language interfaces
  - Example: Entering SQL queries at the DBMS interactive SQL interface (e.g. SQL*Plus in ORACLE)
- Programmer interfaces for embedding DML in programming languages
- User-friendly interfaces
  - Menu-based, forms-based, graphics-based, etc.

DBMS Programming Language Interfaces

- Programmer interfaces for embedding DML in a programming languages:
  - **Embedded Approach**: e.g embedded SQL (for C, C++, etc.), SQLJ (for Java)
  - **Procedure Call Approach**: e.g. JDBC for Java, ODBC for other programming languages
  - **Database Programming Language Approach**: e.g. ORACLE has PL/SQL, a programming language based on SQL; language incorporates SQL and its data types as integral components

User-Friendly DBMS Interfaces

- Menu-based, popular for browsing on the web
- Forms-based, designed for naïve users
- Graphics-based
  - (Point and Click, Drag and Drop, etc.)
- Natural language: requests in written English
- Combinations of the above:
  - For example, both menus and forms used extensively in Web database interfaces

Other DBMS Interfaces

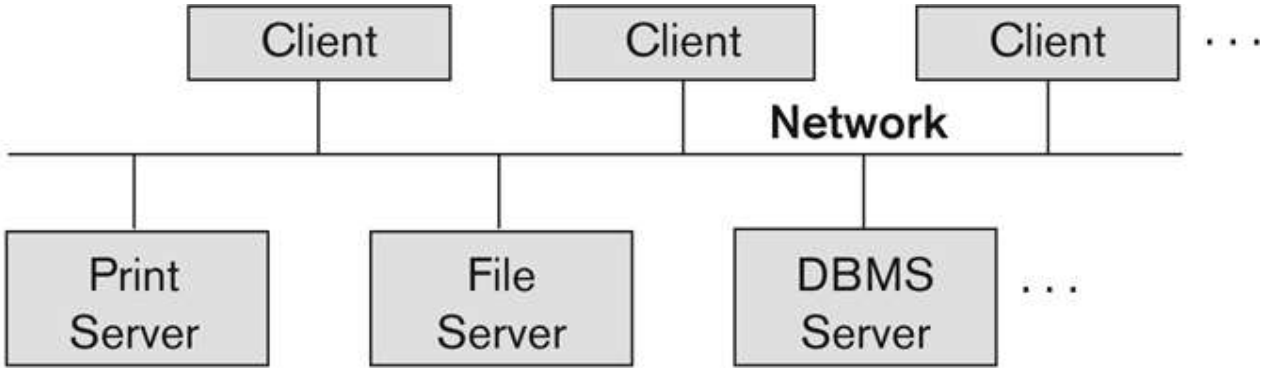
- Speech as Input and Output
- Web Browser as an interface
- Parametric interfaces, e.g., bank tellers using function keys.
- Interfaces for the DBA:
  - Creating user accounts, granting authorizations
  - Setting system parameters
  - Changing schemas or access paths

Other Tools

- Application Development Environments and CASE (computer-aided software engineering) tools:
- Examples:
  - PowerBuilder (Sybase)
  - JBuilder (Borland)
  - JDeveloper 10G (Oracle)

Logical two-tier client server architecture

Figure 2.5
Logical two-tier client/server architecture.



Clients

- Provide appropriate interfaces through a client software module to access and utilize the various server resources.
- Clients may be diskless machines or PCs or Workstations with disks with only the client software installed.
- Connected to the servers via some form of a network.
  - (LAN: local area network, wireless network, etc.)

DBMS Server

- Provides database query and transaction services to the clients
- Relational DBMS servers are often called SQL servers, query servers, or transaction servers
- Applications running on clients utilize an Application Program Interface (**API**) to access server databases via standard interface such as:
  - ODBC: Open Database Connectivity standard
  - JDBC: for Java programming access
- Client and server must install appropriate client module and server module software for ODBC or JDBC
- See Chapter 9

Two Tier Client-Server Architecture

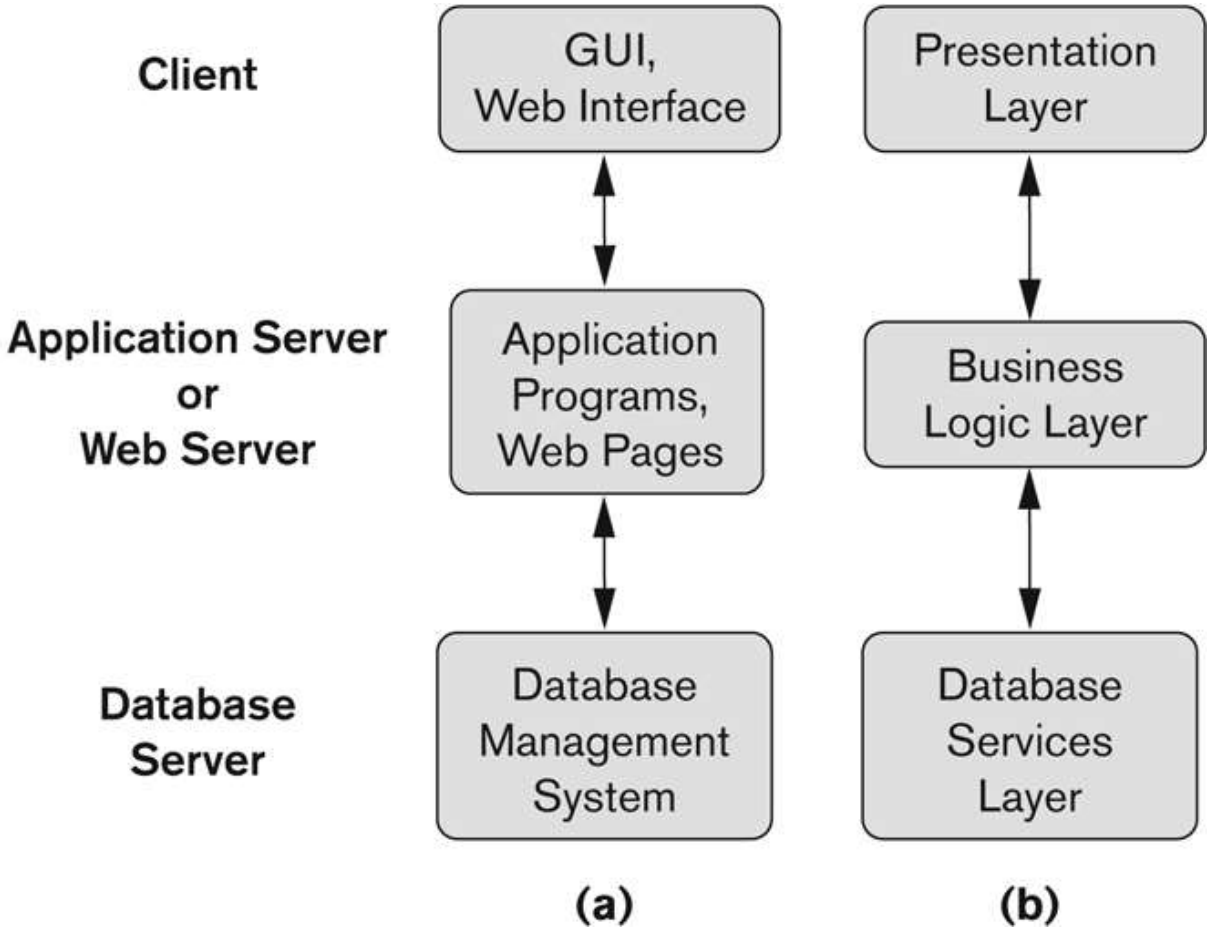
- A client program may connect to several DBMSs, sometimes called the data sources.
- In general, data sources can be files or other non-DBMS software that manages data.
- Other variations of clients are possible: e.g., in some object DBMSs, more functionality is transferred to clients including data dictionary functions, optimization and recovery across multiple servers, etc.

Three Tier Client-Server Architecture

- Common for Web applications
- Intermediate Layer called Application Server or Web Server:
  - Stores the web connectivity software and the business logic part of the application used to access the corresponding data from the database server
  - Acts like a conduit for sending partially processed data between the database server and the client.
- Three-tier Architecture Can Enhance Security:
  - Database server only accessible via middle tier
  - Clients cannot directly access database server

Three-tier client-server architecture

Figure 2.7
Logical three-tier client/server architecture, with a couple of commonly used nomenclatures.



History of Data Models

- Network Model
- Hierarchical Model
- Relational Model
- Object-oriented Data Models
- Object-Relational Models