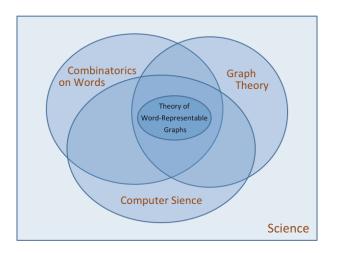
# Word-representable graphs. The basics

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University of Strathclyde

April 21, 2017

## What is this about?



• Study of the Perkins semigroup (original motivation) — Algebra

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- Scheduling robots on a path, periodically Computer Science

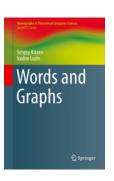
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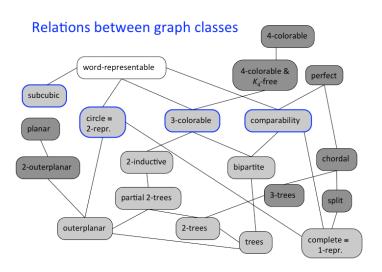
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- Beautiful mathematics Mathematics
- Just fun Human Science

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# Relations between graph classes



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Any consecutive letters in a word generate a factor of the word. All different factors of the word 113 are 1, 3, 11, 13 and 113.

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Note that removing all letters but 5 and 6 we obtain 56 showing that the letters 5 and 6 alternate (by definition).

**All** graphs considered by us are simple (no loops, no multiple edges).

## Word-representable graph

A graph G = (V, E) is word-representable if there exists a word w over the alphabet V such that letters x and y,  $x \neq y$ , alternate in w if and only if  $xy \in E$ . (w must contain each letter in V)

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#### Remark

We deal with **unlabelled graphs**. However, to apply the definition, we need to label graphs. Any labelling of a graph is **equivalent** to any other labelling because letters in w can always be renamed.

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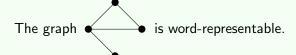
#### Remark

The class of word-representable graphs is hereditary. That is, removing a vertex v in a word-representable graph G results in a word-representable graph G'. Indeed, if w represents G then w with v removed represents G'.

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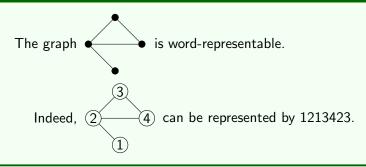
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# Example: representing complete graphs and empty graphs



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- (3)
- 2
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- 1

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k-representability implies (k+1)-representability.

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### Graph's representation number

Graph's representation number is the **least** k such that the graph is k-representable. By a theorem above, this notion is well-defined for word-representable graphs. For non-word-representable graphs, we let  $k=\infty$ .

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Let  $\mathcal{R}(G)$  denote G's representation number.

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#### Observation

 $\mathcal{R}_1 = \{G : G \text{ is a complete graph}\}.$ 

## Empty graphs

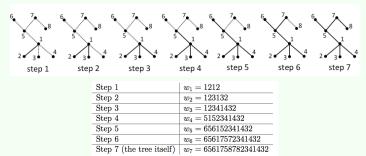
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#### Trees

Trees on at least three vertices belong to  $\mathcal{R}_2$ . The idea of a simple inductive proof is shown for the tree in "step 7" below.



#### Lemma

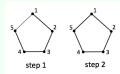
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### Cycle graphs

Cycle graphs on at least four vertices belong to  $\mathcal{R}_2$ . E.g. see  $\mathcal{C}_5$ :



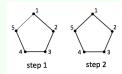
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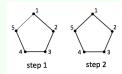
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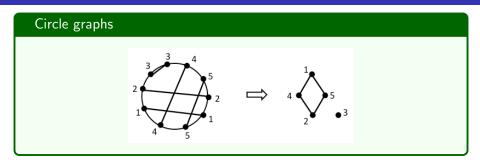
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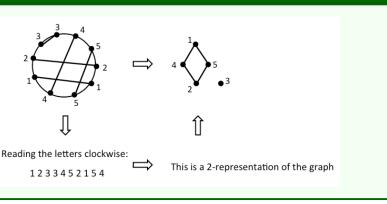
- As step 1, remove the edge 15 and represent the resulting tree as 1213243545.
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- Swap the first two letters to obtain a word-representant for  $C_5$ : 1521324354.

# Characterization of graphs with representation number 2



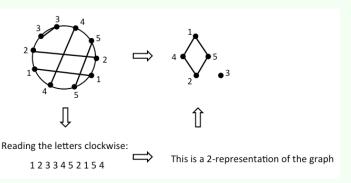
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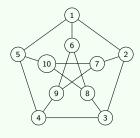
### Circle graphs



## Theorem (Halldórsson, SK, Pyatkin; 2011)

For a graph G different from a complete graph,  $\mathcal{R}(G) = 2$  iff G is a circle graph.

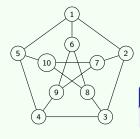
#### Petersen graph



Two **non-equivalent** 3-representations (by Konovalov and Linton):

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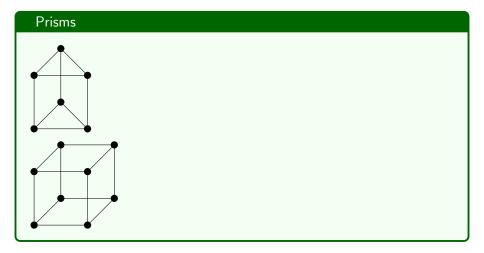


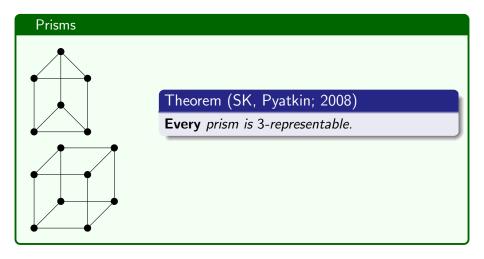
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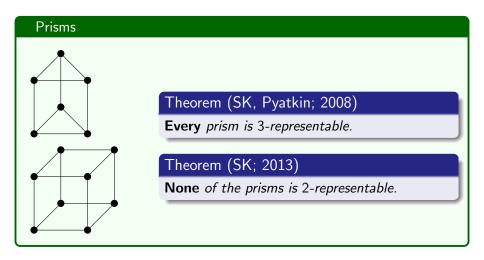
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Theorem (Halldórsson, SK, Pyatkin; 2010)

Petersen graph is **not** 2-representable.







# Subdivisions of graphs



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3-subdivision of **any** graph is 3-representable. In particular, for **every** graph G there exists a 3-representable graph H that contains G as a minor.

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#### Remark

In fact, **any** subdivision of a graph is 3-representable as long as **at least two new vertices** are added on each edge.

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- How hard is it to decide whether a graph is word-representable or not? (complexity)
- Which graph operations preserve (non-)word-representability?
- Which graphs are word-representable in your favourite class of graphs?

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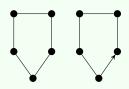


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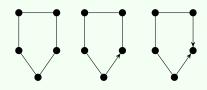


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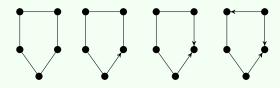


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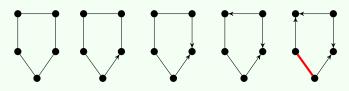


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## Permutationally representable graphs

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A graph G=(V,E) is permutationally representable if it can be represented by a word of the form  $p_1\cdots p_k$  where  $p_i$  is a permutation. We say that G is permutationally k-representable.

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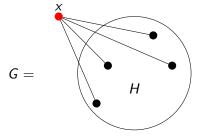
## Theorem (SK, Seif; 2008)

A graph is permutationally representable **iff** it is a comparability graph.

## Significance of permutational representability

The graph G below is obtained from a graph H by adding an all-adjacent

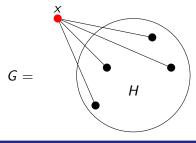
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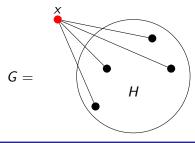
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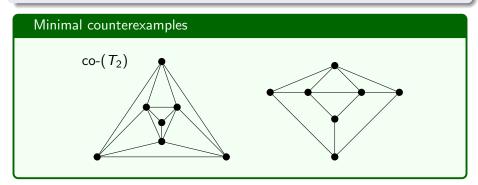
## Theorem (SK, Pyatkin; 2008)

*G* is word-representable  $\Rightarrow$  the neighbourhood of each vertex is permutationally representable (is a comparability graph).

## Converse to the last theorem is not true

## Theorem (Halldórsson, SK, Pyatkin; 2010)

G is word-representable  $\neq$  the neighbourhood of each vertex is permutationally representable (is a comparability graph).



#### Maximum clique

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#### Remark

The Maximum Clique problem is NP-complete.

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The Maximum Clique problem is polynomially solvable on word-representable graphs.

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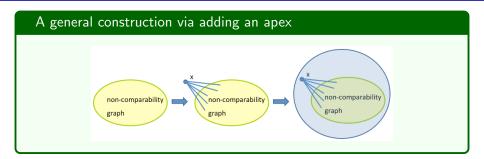


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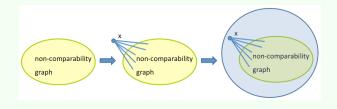
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- $\blacksquare$  Thus the problem is solvable on G in polynomial time, since any maximum clique belongs to the neighbourhood of a vertex including the vertex itself.



## A general construction via adding an apex



#### Smallest non-word-representable graphs









The wheel graph  $W_5$  (to the left) is the smallest non-word-representable graph. It is the only such graph on 6 vertices.

## Odd wheels

#### Observation

The cycle graphs  $C_{2k+1}$  for  $k \ge 2$  are non-comparability graphs  $\Rightarrow$  the odd wheels  $W_{2k+1}$  for  $k \ge 2$  are non-word-representable.

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#### Observation

The wheel graph  $W_5$  is non-word representable  $\Rightarrow$  almost all graphs are non-word-representable (since almost all graphs contain  $W_5$  as an induced subgraph).

## Non-word-representable graphs of maximum degree 4

The **minimal non-comparability** graph is on 5 vertices, and thus the construction of non-word-representable graphs above gives a graph with a **vertex of degree at least 5**. Collins, SK and Lozin showed non-word-representability of

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## Triangle-free non-word-representable graphs

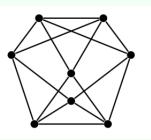
Adding an apex to a **non-empty** graph gives a graph containing a triangle. Are there any triangle-free non-word-representable graphs?

## Theorem (Halldórsson, SK, Pyatkin; 2011)

There exist triangle-free non-word-representable graphs.

#### Regular non-word-representable graphs

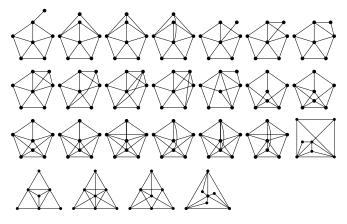
A regular graph is a graph having degree of each vertex the same. It was found out by Herman Chen that the smallest regular non-word-representable graphs are on 8 vertices.





## All 25 non-word-representable graphs on 7 vertices

The following picture was created by Herman Chen.



Ozgur Akgun, Ian Gent, Chris Jefferson found the number of non-word-representable graphs on up to 10 nodes: 1, 25, 929, 68545, 4880093 (ca 42% of all connected graphs)

# Asymptotic enumeration of word-representable graphs

## Theorem (Collins, SK, Lozin; 2017)

The number of n-vertex word-representable graphs is  $2^{\frac{n^2}{3}+o(n^2)}$ .

#### Proof.

**Proof idea:** Apply to the case of word-representable graphs Alekseev-Bollobás-Thomason Theorem related to asymptotic growth of **every** hereditary class. Details are skipped due time constraints, but they can be found here:

Collins, Kitaev, Lozin. New results on word-representable graphs. *Discr. Appl. Math.* 216 (2017) 136–141.





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## Merging two areas of research

In the context of word-representable graphs, which graphs can be represented if we require that word-representants **must avoid** a given **pattern** or a **set of patterns**.

#### A trivial example

Describe graphs representable by words avoiding the pattern 21.

**Solution:** Clearly, any 21-avoiding word is of the form  $w = 11 \cdots 122 \cdots 2 \cdots nn \cdots n$ .

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If a letter x occurs **at least twice** in w then the respective vertex is **isolated**. The letters occurring **exactly once** form a **clique** (are connected to each other). Thus, 21-avoiding words describe graphs formed by a **clique** and an **independent set**.

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#### Papers in this direction

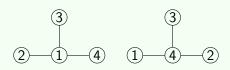
- Gao, Kitaev, Zhang. On 132-representable graphs. arXiv:1602.08965 (2016)
- Mandelshtam. On graphs representable by pattern-avoiding words. arXiv:1608.07614. (2016)

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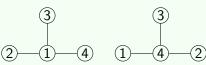
The **132-avoiding** word 4321234 represents the graph to the left, while **no 132-avoiding** word represents the other graph. Indeed, **no two** letters out of 1, 2 and 3 can occur **once** in a word-representant or else the respective vertices would **not** form an **independent set**.

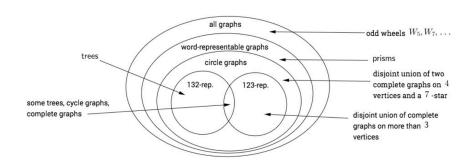


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Examples of simple, but useful general type results:

## Theorem (Mandelshtam, 2016)

Let G be a word-representable graph, which can be represented by a word avoiding a pattern  $\tau$  of length k+1. Let x be a vertex in G such that its degree  $d(x) \geq k$ . Then, any word w representing G that avoids  $\tau$  must contain no more than k copies of x.

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#### Proof.

If there are **at least** k+1 occurrences of x in w, we get a **subword**  $xw_1x\cdots w_kx$  where k neighbours of x in G occur in each  $w_i$ . But then w contains **all** patterns of length k+1, in particular,  $\tau$ . Contradiction.

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## Corollary (Mandelshtam, 2016)

Let w be a word-representant for a graph which avoids a pattern of length k+1. If some vertex y adjacent to x has degree at least k, then x occurs at most k+1 times in w.

# Semi-transitive orientations as the main tool in the theory of word-representable graphs discovered so far

Sergey Kitaev

University of Strathclyde

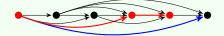
April 21, 2017

#### Shortcut

A shortcut is an oriented graph that

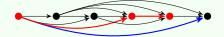
- is acyclic (that it, there are no directed cycles);
- has at least 4 vertices;
- has exactly one source (no edges coming in), exactly one sink (no edges coming out), and a directed path from the source to the sink that goes through every vertex in the graph;
- has an edge connecting the source to the sink;
- is **not** transitive (that it, there exist vertices u, v and z such that  $u \rightarrow v$  and  $v \rightarrow z$  are edges, but there is **no** edge  $u \rightarrow z$ ).

## Example of a shortcut



The part of the graph in red shows non-transitivity. There are two other violations of transitivity.

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The part of the graph in red shows non-transitivity. There are two other violations of transitivity.

The blue edge, from the **source** to the **sink**, justifies the name "**short-cut**" for this type of graphs. Indeed, **instead** of going through the **longest directed path** from the **source** to the **sink**, we can shortcut it by going directly through the single edge. The blue edge is called **shortcutting** edge.

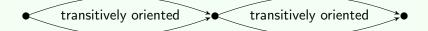
#### Semi-transitive orientation

An orientation of a graph is semi-transitive if it is

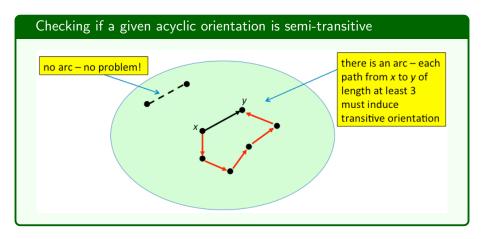
- acyclic, and
- shortcut-free.

#### Remark

Any transitive orientation is necessary semi-transitive. The converse is **not** true, e.g. the schematic semi-transitively oriented graph below is **not** transitively oriented:



Thus semi-transitive orientations generalize transitive orientations.



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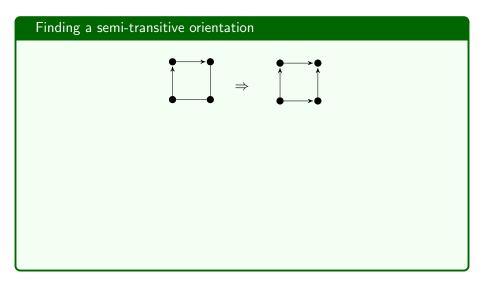


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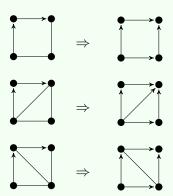
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- After that repeat the following procedure: pick an edge connected to an already oriented edge and **branch** the process by orienting it in one way and the other way assuming such an orientation does **not** introduce a cycle or a shortcut. E.g. **no** branching is required for the following situation:



 The process can normally be shorten by e.g. completing orientation of quadrilaterals as shown on next slide, which is unique to avoid cycles and shortcuts.



#### Finding a semi-transitive orientation



The diagonal in the last case may require branching.

### A key result in the theory of word-representable graphs

Theorem (Halldórsson, Kitaev, Pyatkin; 2015)

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" $\Leftarrow$ " Rather complicated and is **omitted**. An **algorithm** was created to turn a **semi-transitive orientation** of a graph into a **word-representant**. " $\Rightarrow$ " **Proof idea:** Given a word, say, w = 2421341, orient the graph represented by w by letting  $x \to y$  be an edge if the **leftmost** x is to the **left of** the **leftmost** y in w, to obtain a **semi-transitive orientation**:



## The shortest length of a word-representant

#### An upper bound on the length of a word-representant

Any **complete graph** is 1-representable. The algorithm turning semitransitive orientations into word-representants gave:

### Theorem (Halldórsson, Kitaev, Pyatkin; 2015)

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The recognition problem of word-representability is in **NP**. Indeed, any word-representant is of length at most  $O(n^2)$ , and we need  $O(n^2)$  passes through such a word to check alternation properties of **all** pairs of letters.

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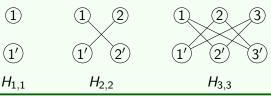
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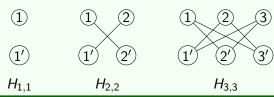
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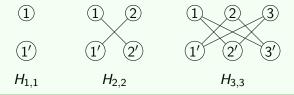


#### Word-representability of crown graphs

 $H_{n,n}$  is a **comparability graph**  $\Rightarrow$  it is **permutationally representable**. In fact,  $H_{n,n}$  requires n permutations to be represented. Can  $H_{n,n}$  be represented in a shorter way if not to require permutational representability? E.g.  $H_{3,3}$  is **2-representable**, while  $H_{4,4}$  is **3-dimensional cube** (a prism) and is **3-representable**.

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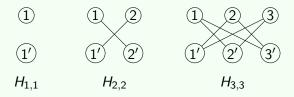


### Theorem (Glen, Kitaev, Pyatkin; 2016)

If  $n \ge 5$  then the **representation number** of  $H_{n,n}$  is  $\lceil n/2 \rceil$  (that is, one needs  $\lceil n/2 \rceil$  copies of each letter to represent  $H_{n,n}$  but **not fewer**).

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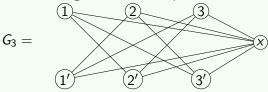


#### Open problem

Is it true that out of all bipartite graphs, crown graphs require longest word-representants?

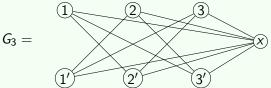
#### The "worst" known word-representable graph

The graph  $G_n$  is obtained from a **crown graph**  $H_{n,n}$  by adding an **apex** (an **all-adjacent vertex**). The **representation number** of  $G_n$  is  $\lfloor n/2 \rfloor$ , which is the **highest known** representation number.



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#### Open problem

Are there any graphs whose representation requires **more** than  $\lfloor n/2 \rfloor$  copies of each letter? Recall that **any** word-representable graph can be represented by 2n copies of each letter (a bit fewer depending on the size of the maximum clique).

### 3-colorable graphs

Theorem (Halldórsson, Kitaev, Pyatkin; 2015)

Any 3-colorable graph is word-representable.

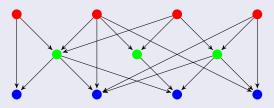
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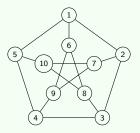
Any 3-colorable graph is word-representable.

#### Proof.

Coloring a 3-colorable graph in three colors Red, Green and Blue, and orienting the edges as Red  $\rightarrow$  Green  $\rightarrow$  Blue, we obtain a semi-transitive orientation. Indeed, obviously there are no cycles, and because the longest directed path involves only three vertices, there are no shortcuts.

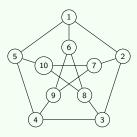


# Petersen graph



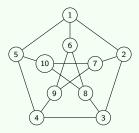
Petersen graph is **3-colorable**  $\Rightarrow$  it is word-representable.

#### Petersen graph



Petersen graph is 3-colorable ⇒ it is word-representable. Recall that two non-equivalent word-representants were found by Konovalov and Linton: 1387296(10)7493541283(10)7685(10)194562 134(10)58679(10)273412835(10)6819726495

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#### Theorem (Halldórsson, Kitaev, Pyatkin; 2011)

Triangle-free planar graphs are word-representable.

#### Proof.

By Grötzch's theorem, every triangle-free planar graph is 3-colorable.



#### Optimization problems

The following optimization problems are **NP-hard** on 3-colorable graphs  $\Rightarrow$  they are **NP-hard** on word-representable graphs:

- Dominating Set,
- Vertex Coloring,
- Clique Covering, and
- Maximum Independent Set.

### Two complexity results

### Theorem (Limouzy; 2014)

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#### Remark

The proof of Limouzy's result appears in the book "Words and Graphs" and it is based on the observation that the class of **triangle-free word-representable graphs** is exactly the class of cover graphs of posets, recognising which is **NP-complete**.

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#### Theorem (Halldórsson, Kitaev, Pyatkin; 2011)

Deciding whether a given graph is k-representable, for any fixed k,  $3 \le k \le \lceil n/2 \rceil$ , is NP-complete.

Replacing a vertex v by a module H (clique or any comparability graph); Neighbors of v become neighbors of all vertices in H.
 [Proof is straightforward via word-representants]

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- Gluing two word-representable graphs in one vertex:



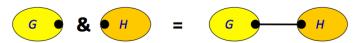
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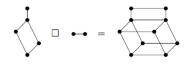
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• Joining two word-representable graphs by an edge:



[Proof is straightforward via semi-transitive orientations]

Cartesian product of two graphs (shown by Bruce Sagan):



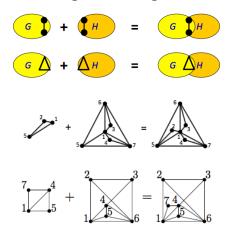
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- Gluing two graphs at an edge or a triangle



Taking the line graph operation. [Example is on next slide.]

# Theorem (SK, Salimov, Severs, Úlfarsson; 2011)

For any wheel graph  $W_n$  and  $n \ge 4$ , the line graph  $L(W_n)$  is not word-representable.

# Theorem (SK, Salimov, Severs, Úlfarsson; 2011)

For any complete graph  $K_n$  and  $n \ge 5$ , the line graph  $L(K_n)$  is not word-representable.

• Taking the line graph operation. [Example is on next slide.]

# Theorem (SK, Salimov, Severs, Úlfarsson; 2011)

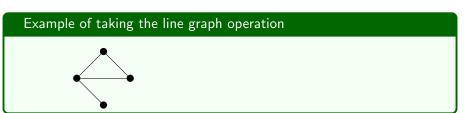
For any wheel graph  $W_n$  and  $n \ge 4$ , the line graph  $L(W_n)$  is not word-representable.

# Theorem (SK, Salimov, Severs, Úlfarsson; 2011)

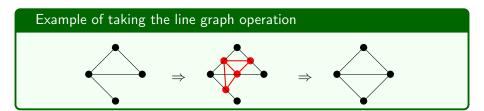
For any complete graph  $K_n$  and  $n \ge 5$ , the line graph  $L(K_n)$  is not word-representable.

#### Open problem

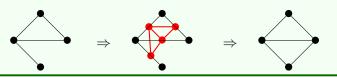
Is the line graph of a non-word-representable graph **always** non-word-representable? (This is the case in all known cases.)



# Example of taking the line graph operation $\Rightarrow$



#### Example of taking the line graph operation



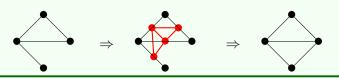
#### The claw graph; a cycle graph; a path graph

$$K_{1,3} =$$



$$P_4 = \bullet - \bullet - \bullet$$

#### Example of taking the line graph operation



#### The claw graph; a cycle graph; a path graph

$$K_{1,3} = C_4 =$$

$$P_4 = \bullet - \bullet - \bullet$$

#### Theorem (SK, Salimov, Severs, Úlfarsson; 2011)

If a connected graph G is **not** a path graph, a cycle graph or the claw graph  $K_{1,3}$ , then the line graph  $L^n(G)$  is **not** word-representable for  $n \ge 4$ .

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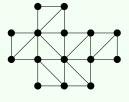
#### Open problem

Characterize (non-)word-representable planar graphs.

 Towards solving the open problem various, triangulations of planar graphs were considered to be discussed next. Key tools here are 3-colorability and semi-transitive orientations.

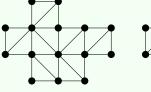
#### Convex polyomino triangulation

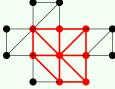
Convex = no "holes" (missing squares) in a column or a row.



#### Convex polyomino triangulation

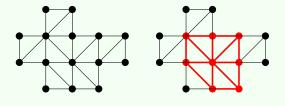
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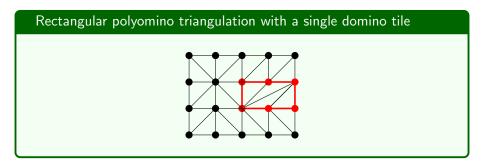
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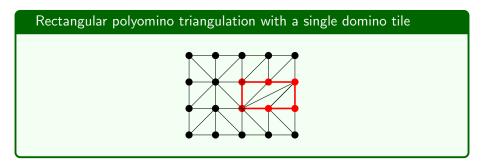
Convex = no "holes" (missing squares) in a column or a row. Need to watch for **odd wheels** as induced subgraphs.



#### Theorem (Akrobotu, SK, Masárova; 2015)

A triangulation of a **convex** polyomino is word-representable **iff** it is 3-colorable. There are not 3-colorable word-representable **non-convex** polyomino triangulations.





#### Theorem (Glen, SK; 2015)

A triangulation of a rectangular polyomino with a single domino tile is word-representable **iff** it is 3-colorable.

# Word-representability of near-triangulations

#### Near-triangulation

A near-triangulation is a planar graph in which **each** inner bounded face is a **triangle** (where the outer face may possibly not be a triangle).

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The following theorem is a far-reaching generalization of the results from the last two slides:

#### Theorem (Glen; 2016)

A K<sub>4</sub>-free near-triangulation is 3-colorable **iff** it is word-representable.

# Word-representability of near-triangulations

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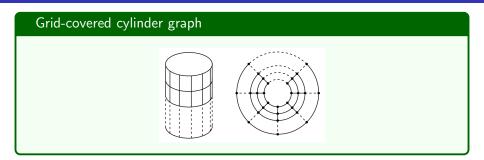
The following theorem is a far-reaching generalization of the results from the last two slides:

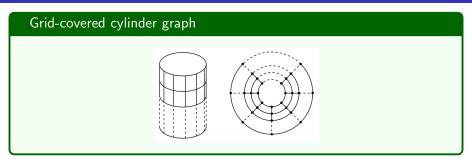
#### Theorem (Glen; 2016)

A  $K_4$ -free near-triangulation is 3-colorable **iff** it is word-representable.

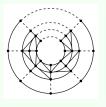
#### Open problem

Characterize word-representable near-triangulations (containing  $K_4$ ).





#### Triangulation of a grid-covered cylinder graph



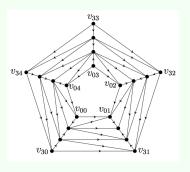
#### Theorem (Chen, SK, Sun; 2016)

A triangulation of a grid-covered cylinder graph with more than three sectors is word-representable iff it contains no  $W_5$  or  $W_7$  as an induced subgraph.

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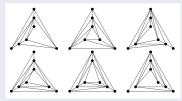
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#### Semi-transitive orientation involved in the proof



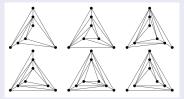
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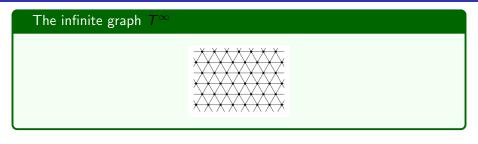
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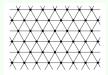


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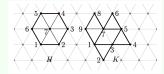


#### The infinite graph $T^{\infty}$



#### Triangular grid graph

A triangular grid graph is a subgraph of  $T^{\infty}$ , which is formed by all edges bounding **finitely** many cells. Note that a triangular grid graph does **not** have to be an **induced subgraph** of  $T^{\infty}$ .



# 

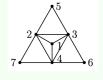
#### Subdivision of a cell



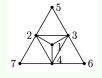
#### Interior and exterior cells

An edge of a triangular grid graph G shared with a cell in  $T^{\infty}$  that does not belong to G is a boundary edge. A cell in G that is incident to at **least one** boundary edge is a boundary cell. A **non-boundary** cell in G is an interior cell.

#### Minimal non-word-representable subdivision of a triangular grid graph

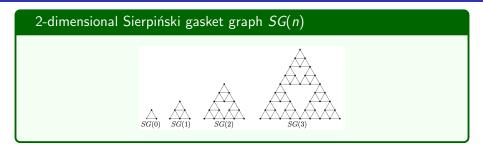


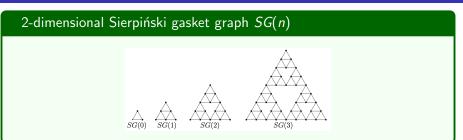
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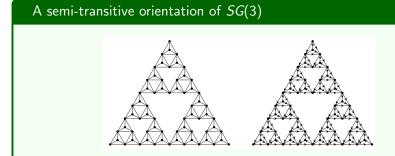


#### Theorem (Chen, SK, Sun; 2016)

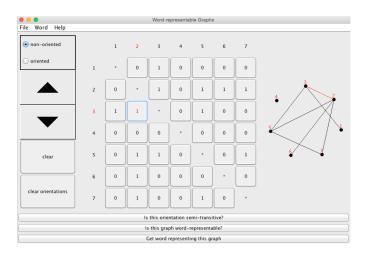
A subdivision of a triangular grid graph G is word-representable **iff** it has **no** induced subgraph isomorphic to **the graph above**, that is, if G has **no** subdivided interior cell.







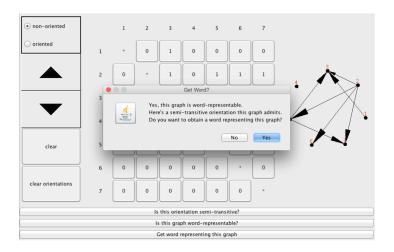
# Software by Marc Glen to study word-representable graphs



#### Available at

https://personal.cis.strath.ac.uk/sergey.kitaev/word-representable-graphs.html

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#### Open problems

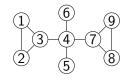
The software should be of **great help** in tackling the problems below.

- Which graphs in your favourite class of graphs are word-representable?
- Characterize (non-)word-representable planar graphs.
- Characterize word-representable near-triangulations (containing  $K_4$ ).
- Describe graphs representable by words avoiding a **pattern** au of length  $\geq$  4.
- Is it true that out of all bipartite graphs, crown graphs require longest word-representants?
- Are there any graphs whose representation requires **more** than  $\lfloor n/2 \rfloor$  copies of each letter?
- Is the line graph of a non-word-representable graph always non-word-representable?
- Characterize word-representable graphs in terms of forbidden subgraphs.
- Translate a known to you problem from graphs to words representing these graphs, and find an efficient algorithm to solve the obtained problem, and thus the original problem.

[The last two problems are of fundamental importance!]

#### Exercises for this afternoon

Represent the following graph using two copies of each letter:



The graph below contains lots of shortcuts. How many can you see?



Use a branching process to show that the partial orientation below cannot be extended to a semi-transitive orientation:



#### Acknowledgment

Thank you for your attention!