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Subject: Advanced Algorithm

ISE 2

Ques 1 What is meant by amortised analysis? Explain in detail amortised analysis of Binary Counting Problem using accounting and potential methods.

Ans  $\Rightarrow$  Amortised Analysis:

Amortised analysis is a worst case analysis of a sequence of operation - to obtain a tighter bound on the overall or average cost per operation in a sequence that is obtained by separately analyzing each operation in the sequence.

There are 2 methods of amortised analysis:  
1) Accounting method  
2) Potential method

## ★ Binary Counting Problem:

A:	5	4	3	2	1	0
0	0	0	0	0	0	0
1	0	0	0	0	0	1
2	0	0	0	0	1	0
3	0	0	0	0	1	1
4	0	0	0	1	0	0
.	.	.	.	.	.	.
n						

A binary  $k$ -bit counter can be implemented using a  $k$ -element binary array. The counter is initially 0.

The only operation is increment(), which adds 1 to the current number in the counter.

procedure increment

i=0;

while A[i] == 1 & i < A.length do A[i]=0  
    i=i+1

end while

if (i < A.length)

    A[i]=1

If we increment starting at 0, all the way up to  $(n-1)$ , In worst case for each increment the complexity is  $(\log n)$

and for the entire counting problem, the complexity is  $O(n \log n)$

Amortise analysis will consider global picture, some incrementing steps are taking too much time it is amortised over other increment steps which are taking less time each of step is taking constant time.

(i) Accounting method: In the accounting method of amortised analysis we assign differing changes to different operations, with some operation charged more or less than the actual cost. The amount we charge on operation is its amortised cost.

The difference to specific objects in the data structure as credit. Credit can pay for later operations whose amortised cost is less than their actual cost.

(i) Assume, the amortised cost of changing 0 to 1 as Rs. 2.

(ii) Similarly amortised cost of 1 to 0 as Rs. 0.

(iii) When there is transition from 0 to 1, then Rs 1 converts actual cost to Rs 1 stays with the bit which is 1.

For  $n$  increment operation the total amortised cost is  $O(n)$  which bounds the total actual buy cost.

### (ii) Potential Method:

Instead of representing prepaid work as credit stored with specific object in the data structure, the potential method of amortised analysis represents the prepaid work as "potential energy" or just "potential" which can be released to pay for future operations. We associate the potential with the data structure as a whole rather than with the specific object within the data structure.

The potential method works as follows:  
 $c_i$  = actual cost for  $i^{\text{th}}$  operation

$D_i$  = Data structure after applying the  $i^{\text{th}}$  operation to data structure  $D_{i-1}$

A potential function  $\phi$  maps each data structure  $D_i$  to a real number  $\phi(D_i)$  which is the potential associated with data structure  $D_i$

$\phi(D_i)$  = potential of  $D_i$

$D_i$  = accumulated charge

Amortised cost =  $a_i$

$$a_i = c_i + \phi(D_i) - \phi(D_{i-1})$$

for all  $n$  no. of operations

$$\sum_{i=1}^n a_i = \sum_{i=1}^n (c_i + \phi(D_i) - \phi(D_{i-1}))$$

$$= \sum_{i=1}^n c_i + \sum_{i=1}^n (\phi(D_i) - \phi(D_{i-1}))$$

$$\sum_{i=1}^n a_i = \sum_{i=1}^n c_i + \phi(D_n) - \phi(D_0)$$

If  $\phi$  is selected such that  $\phi(D_0) = 0$

and  $\phi(D_n) \geq 0$

$$\sum_{i=1}^n a_i = \left( \sum_{i=1}^n c_i \right) + \phi(D_n)$$

$$\therefore \boxed{\sum_{i=1}^n c_i \leq \sum_{i=1}^n a_i}$$

Applying to Binary Counting problem:

Define  $\phi(D_i) = b_i$

where  $b_i$  is no. of 1's in the binary string

$t_i$  = No. of trailing 1's (consecutive)

0	0	0	0	00
0	0	0	0	01
0	0	0	0	10
0	0	0	0	11
0	0	0	1	00
0	0	0	1	01
0	0	0	11	0
0	0	0	11	1

$$\begin{aligned}\phi(D_i) - \phi(D_{i-1}) &= b_i - b_{i-1} \\ &= (b_{i-1} - t_{i-1} + 1) - b_{i-1} \\ &= 1 - t_{i-1}\end{aligned}$$

actual cost  $c_i = t_{i-1} + 1$

$$a_i = c_i + \phi(D_i) - \phi(D_{i-1})$$

$$= t_{i-1} + 1 + 1 - t_{i-1}$$

$$q_i = 2$$

i.e Amortised analysis  $a = O(n)$  which is upper bound to actual cost.

$$\sum_{i=1}^n c_i \leq \sum_{i=1}^n q_i \leq 2n$$

(Q42) Discuss in detail fibonacci heap explain all types of its operations with the help of suitable examples and respective amortised and actual time complexity analysis. Also state its uses in computer algorithm problems.

Fibonacci heap is a data structure for priority queue operations consisting of a collection of heap-ordered trees. It has better amortised running time than many other priority queue data structure including binary heap & binomial heap.

A fibonacci heap is a collection of rooted trees that are min-heap ordered that is each tree obeys the min heap property the key is greater than or equal to the key of its parent.

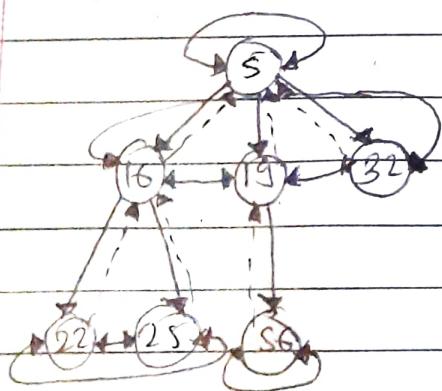
Node structure of fibonacci heap:

- If has 4 types of pointers
- (i) parent pointer
  - (ii) child pointer
  - (iii) Right sibling
  - (iv) Left sibling

It supports the following five operations in which each element has a key:

- (i) make-heap(): It makes the heap from scratch. Amortised complexity:  $O(1)$
- (ii) insert ( $H, x$ ): Insert node  $x$  in heap  $H$ . It has complexity of  $O(1)$
- (iii) minimum ( $H$ ): Returns minimum value over all available values. It has complexity of  $O(1)$ .
- (iv) Meld ( $H_1, H_2$ ): Merges two heaps into a single heap. It has a complexity of  $O(\log n)$
- (v) delete min ( $H$ ): Extract minimum from the heap and delete it. It has a complexity of  $O(\log n)$
- (vi) delete ( $H, x$ ): Delete node  $x$ ,  $O(\log n)$
- (vii) Decrease key ( $H, x, \Delta$ ): assigns to element  $x$ , within the heap, the new key value less by  $\Delta$ . It has complexity of  $O(1)$

\* Structure of heap: fibonacci heap is a collection of ordered heap tree. A node is less than or equal to all its children.

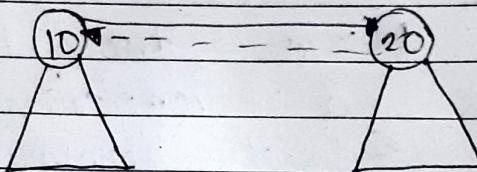


### Simple Sub operations:

(i) Linking:- Given two heap ordered trees, make root with the bigger key the child of the other root.

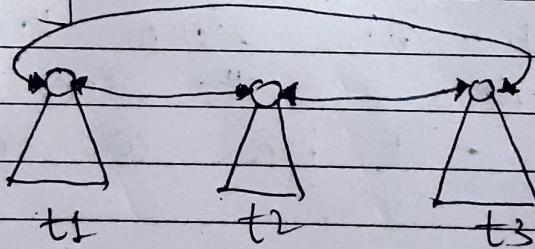


Here we make 20 as a child of 10

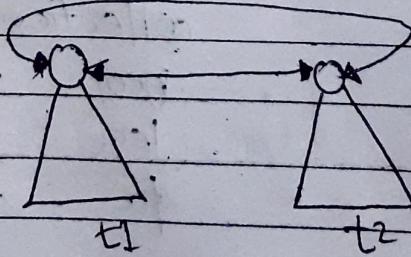


Relation between them is parent, child, If has complexity of O(1)

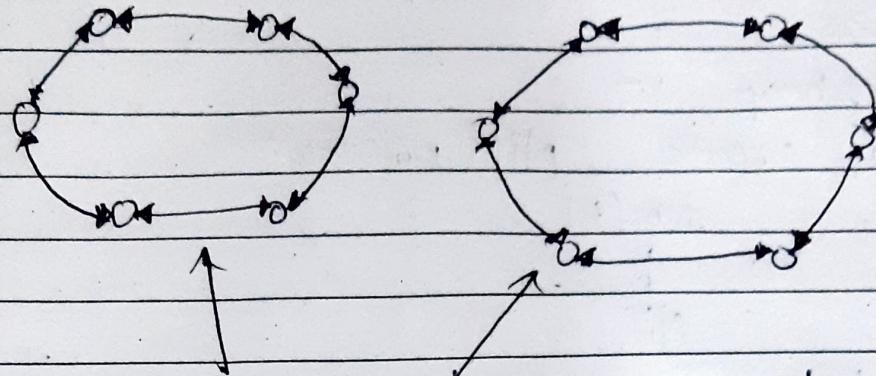
(2) Unlinking:



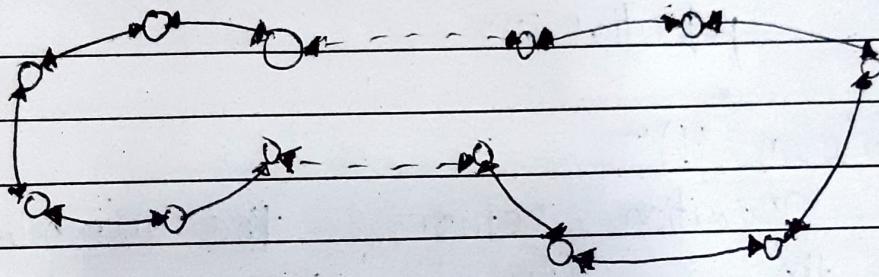
We want to unlink  $t_2$



### (3) Merging of cycles:



we need to merge the 2cycles



It has complexity of  $O(1)$

\* Potential function of fibonacci series:-

Let  $x_1, x_2, \dots, x_n$  be the sequence of operations. Let  $t_i(H)$  = the no. of roots of roots in the root list after operation  $x_i$ .

$M_i(H)$  = No. of marked nodes in  $H$  after the operation  $x_i$  (some of the nodes of fibonacci heap are marked)

$$P_i = t_i(H) + 2 \times M_i(H)$$

condition:

$$P_0 = 0$$

$$P_n > 0$$

(i) make\_heap :-

(ii) create Nil pointer

min (H)

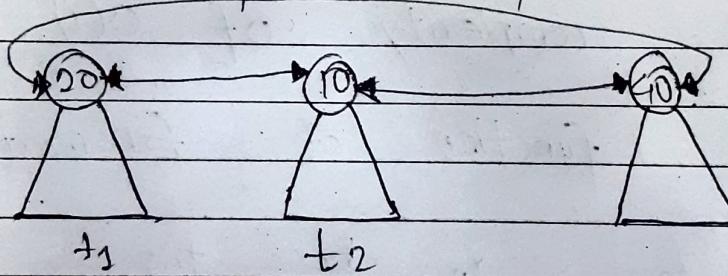


Nil

This operation has cost = O(1)  
No. of roots = 0 ; No. of marked nodes = 0,  
so potential = 0

(2) minimum (H) :-

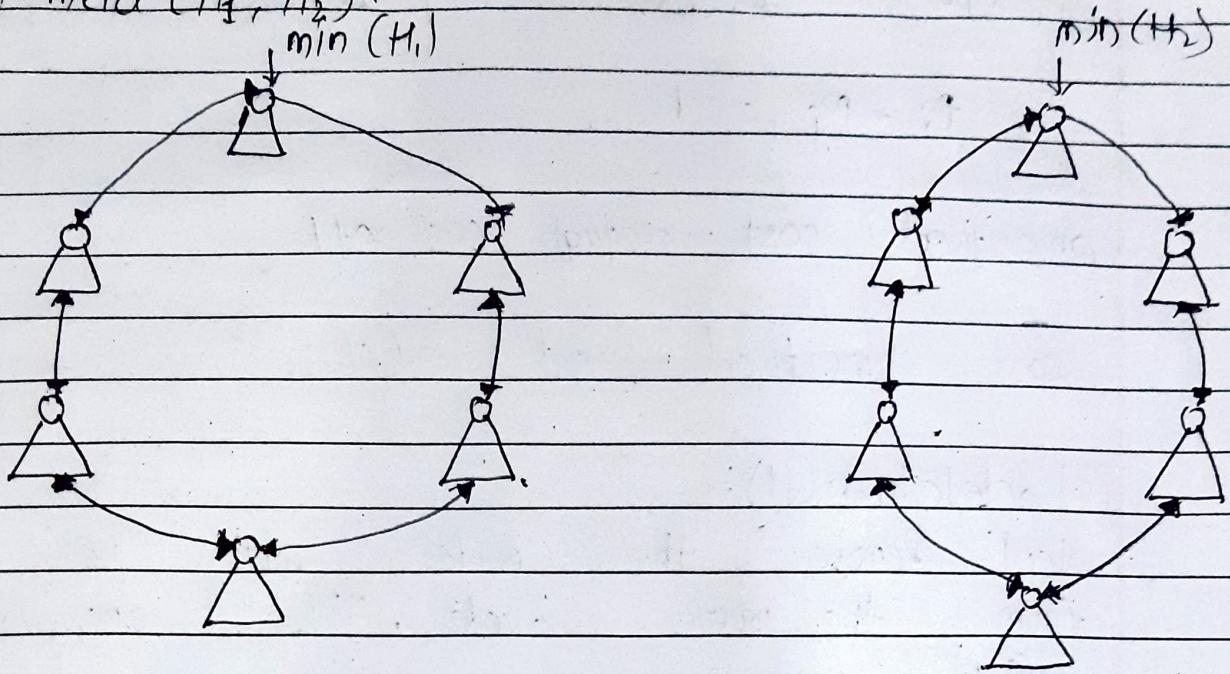
This operation, returns the minimum key from the fibonacci heap.



$$P_i - P_{i-1} = 0$$

amortised cost = actual cost = O(1)

(3)  $\text{meld}(H_1, H_2)$ :



Merge root cycles of  $H_1 \otimes H_2$   
Its cost is  $O(1)$

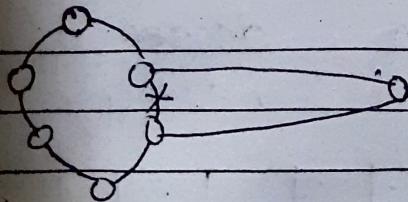
$$\min = \min(\min(H_1), \min(H_2))$$

Adjusting  $\min(H)$  also takes  $O(1)$ .

(4) Insert ( $H, x$ ):

Step 1: create fibonacci heap  $H$ , which has a single node  $x$

Step 2:  $\text{meld}(H_1, H_2) = H'$



$$P_i(H') = t_{i-1}(H) + 1 + 2m_{i-1}(H)$$

$$P_i - P_{i-1} = 1$$

amortised cost = actual cost  $\approx 1$

so amortised cost =  $O(1)$

(c) delete\_min( $H$ ):

Step 1: Remove the node with the min key from the root cycle. This operation takes  $O(1)$

Step 2: Merge the rootcycle with the cycle of children node.

Step 3: while two roots  $x_1, x_2$  have same degree  
do

link  $x_1, x_2$   
end while

It has complexity  $O(t_{i-1}(H) + O(n))$

Step 4: Adjust the min key

$D(n)$  returns a degree of node having highest degree in hierarchy of heap

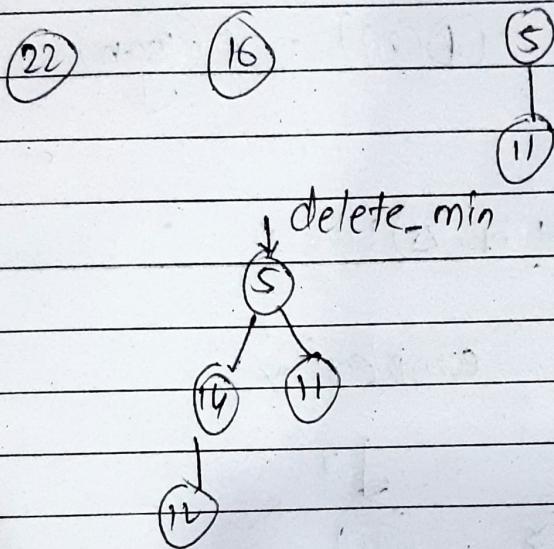
$A = [ \quad \cdot \quad ] \quad D(n) + 1$   
0 1 2

$A[i]$  points to the node with  $\text{degree}(i)$   
otherwise.

$A[i] = \text{occupied}$  with root  $r'$  link  $\sigma'$  with  
 $r$  and try to place in  $A[i+1]$

$$\sigma' = r$$

Complexity depends on degree  $D(n) + 1$  &  
 $D(n)$  is  $O(\log n)$



Def  $\text{Delete\_min}(H)$ :

Step 1:  $O(1)$

Step 2:  $O(1)$

Step 3: link  $O(t_{r-1}(H) + D(n))$

Step 4: Adjust min  $O(D(n))$

Total Actual cost =  $O(t_{r-1}(H) + D(n))$

Potential Change:

$P_i \Rightarrow$  After potential =  $D(n) + 1 + 2m_{i-1}(H)$

$P_{i-1} \Rightarrow$  Before potential =  $t_{r-1}(H) + 2m_{i-1}(H)$

Change in the potential  $(P_i - P_{i-1}) = D(n) t_{i-1}(H)$

Amortised cost = actual cost + Potential Difference

cost

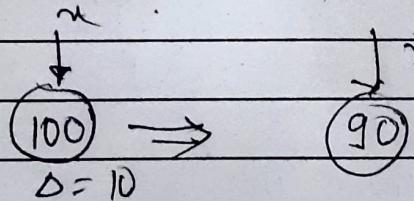
$$= O(t_{i-1}(H) + D(n)) + D(n)t_{i-1}(H) - t_{i-1}(H)$$

$$= O(D(n)) + O(t_{i-1}(H)) - t_{i-1}(H)$$

$$\text{amortised cost} = O(D(n)) = O(\log n)$$

6] decrease key ( $H, \alpha, \Delta$ ):

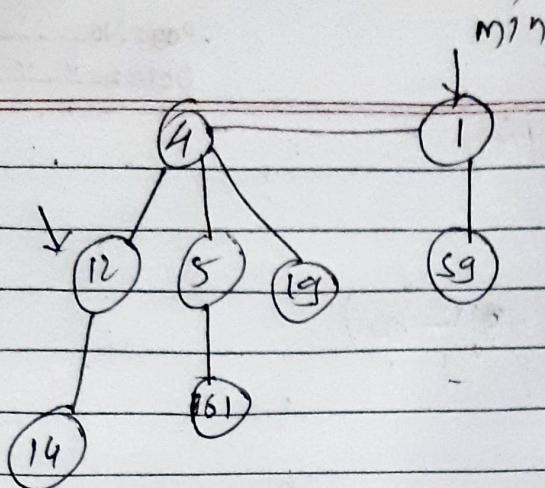
It has  $O(1)$  complexity



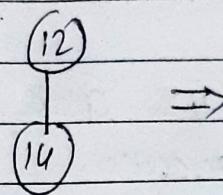
Steps involved in decrease-key operation:

- i) Unlink the rooted tree at  $\alpha$  [marking is done]
- ii) Decrease the key by  $\Delta$
- iii) Add  $\alpha$  to the root cycle
- iv) Do cascading cuts [marking bit is used]

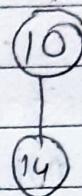
$\Delta = 2$



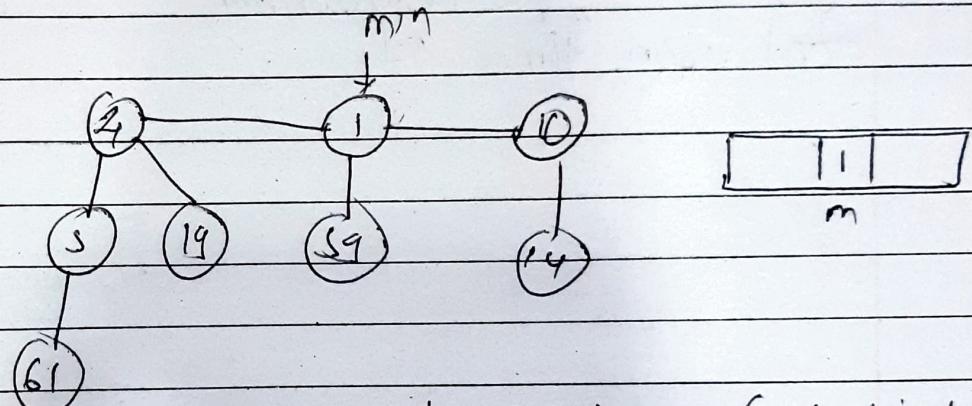
Step 1  $\Rightarrow$



Step 2  $\Rightarrow$



Step 3  $\Rightarrow$



Whenever a node lose its first child it is marked  
amortised cost = actual cost + potential diff.

actual cost of the operation is guided by cascading cuts

we have made:

c calls of cascading cuts

$\therefore$  actual cost =  $O(c)$

Increase in no. of roots = c

Decrease in marking = c - 2

change in potential =  $(c+2) - (c-2) = 4$

Amortised cost =  $O(1)$

## 7) Delete operation :-

delete ( $H, n$ ) :-

- 1) decrease ( $H, n, -\infty$ )
- 2) delete\_min ( $H$ )

entire complexity is  $O(\log n)$

fibonacci heaps are used to implement the priority queues in Dijkstra's algorithm giving the algorithm a very efficient run time.